# Attributive Types for Proof Erasure \*

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**Abstract.** Proof erasure plays an essential role in the paradigm of programming with theorem proving. In this paper, we introduce a form of attributive types that carry an attribute to determine whether expressions assigned such types are eligible for erasure before run-time. We formalize a type system to support this form of attributive types and then establish its soundness. In addition, we outline an extension of the developed type system with dependent types and present some examples to illustrate its use in practice.

### 1 Introduction

In DML [Xi07,XP99], a restricted form of dependent types are introduced to allow for specification and inference of significantly more accurate type information (when compared to the types in ML) and thus further facilitate effective program error detection and compiler optimization through types. In contrast to the standard full dependent types (as in Martin-Löf's constructive theory [Mar84,NPS90]), types in DML can only depend on indexes drawn from a chosen index language, and type-checking a sufficiently annotated program in DML can be reduced to solving constraints from the chosen index language. This design makes it particularly straightforward to support common realistic programming features such as general recursion and effects (e.g., exceptions and references) in the presence of dependent types.

In order to solve constraints in an algorithmically effective manner, certain restrictions need to be imposed on indexes. For instance, constraints on integer indexes in DML are required to be linear, and a constraint solver based on the Fourier-Motzkin variable elimination method [DE73] is then employed to solve such constraints. While this is indeed a simple design, it is inherently ad hoc and cannot handle a situation where nonlinear constraints (e.g.,  $\forall n: int. \ n*n \geq 0$ ) are involved. Let us now see a simple example that clarifies this point.

Let **list** be a type constructor that takes a type T and an integer I to form a type **list**(T, I) for lists of length I in which each element is of type T. The two list constructors associated with **list** are assigned the following types:

```
nil : \forall \alpha. \ \mathbf{list}(\alpha, 0)

cons : \forall \alpha. \forall \iota. \ \iota \geq 0 \supset (\alpha * \mathbf{list}(\alpha, \iota) \to \mathbf{list}(\alpha, \iota + 1))
```

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<sup>&</sup>lt;sup>1</sup> More precisely, it is required that constraints on integer indexes in DML be converted into linear integer programming problems.

which indicate that nil forms a list of length 0 and cons takes an element and a list of length I to form a list of length I+1. We use  $\alpha$  and  $\iota$  for bound variables ranging over types and integers, respectively. Now assume that the function @ (infix) for appending two lists is given the following type:

```
\forall \alpha. \forall \iota_1. \forall \iota_2. \ \mathbf{list}(\alpha, \iota_1) * \mathbf{list}(\alpha, \iota_2) \rightarrow \mathbf{list}(\alpha, \iota_1 + \iota_2)
```

In other words, appending two lists of length  $I_1$  and  $I_2$  yields a list of length  $I_1 + I_2$ . Naturally, the function that concatenates a list of length  $I_1$  in which each element is a list of length  $I_2$  is expected to have the following type:

```
\forall \alpha. \forall \iota_1. \forall \iota_2. \ \mathbf{list}(\mathbf{list}(\alpha, \iota_2), \iota_1) \rightarrow \mathbf{list}(\alpha, \iota_1 * \iota_2)
```

Unfortunately, this type is not allowed in DML as accepting nonlinear terms like  $\iota_1 * \iota_2$  as type indexes would readily make constraint solving undecidable (or worse, intractable).

```
dataprop MUL (int, int, int) = // a prop for encoding multiplication
  | {n:int} MULbas (0, n, 0)
  | {m, n, p:int | m >= 0} MULind (m+1, n, p+n) of MUL (m, n, p)
  | {m, n, p:int | m > 0} MULneg (~m, n, ~p) of MUL (m, n, p)
```

Fig. 1. A dataprop for encoding multiplication on integers

To address this limitation, a fundamentally different design is adopted in ATS (which supersedes DML) to accommodate a paradigm that combines programming with theorem proving [CX05]. With this design, the programmer is given a means to handle nonlinear constraints by constructing explicit proofs attesting to the validity of such constraints (while linear constraints are still handled by an automatic constraint solver). In Figure 1, we declare a prop (i.e., type for proofs) constructor <u>MUL</u>, where the concrete syntax indicates that there are three (proof) value constructors associated with <u>MUL</u>, which are given the following constant props (or c-props for short):

```
\begin{array}{lll} \textit{MULbas} &: & \forall \iota. \ \underline{\mathbf{MUL}}(0,\iota,0) \\ \textit{MULind} &: & \forall \iota_1.\forall \iota_2.\forall \iota_3. \ \iota_1 \geq 0 \supset (\underline{\mathbf{MUL}}(\iota_1,\iota_2,\iota_3) \to \underline{\mathbf{MUL}}(\iota_1+1,\iota_2,\iota_3+\iota_2)) \\ \textit{MULneg} &: & \forall \iota_1.\forall \iota_2.\forall \iota_3. \ \iota_1 > 0 \supset (\underline{\mathbf{MUL}}(\iota_1,\iota_2,\iota_3) \to \underline{\mathbf{MUL}}(-\iota_1,\iota_2,-\iota_3)) \end{array}
```

Given integers  $I_1, I_2, I_3$ ,  $I_1 * I_2 = I_3$  holds if and only if  $\underline{\mathbf{MUL}}(I_1, I_2, I_3)$  can be assigned to a closed (proof) value. In essence, MULbas, MULind and MULneg correspond to the following three equations in an inductive definition of the multiplication function on integers:

```
0 * n = 0; (m + 1) * n = m * n + n if m >= 0; (-m) * n = -(m * n) if m > 0.
```

In Figure 2, a function **concat** of the following type for concatenating a list of

Fig. 2. An implementation of the list concatenation function in ATS

lists is implemented:

```
\forall \alpha. \forall \iota_1. \forall \iota_2. \ (\iota_1 \geq 0 \land \iota_2 \geq 0) \supset (\mathbf{list}(\mathbf{list}(\alpha, \iota_2), \iota_1) \to \exists \iota. \ \underline{\mathbf{MUL}}(\iota_1, \iota_2, \iota) * \mathbf{list}(\alpha, \iota))
```

When applied to a list of length  $I_1$  in which each element is a list of length  $I_2$ , this function returns a pair (pf, v), where v is a list of length I and pf (of the prop  $\underline{\mathbf{MUL}}(I_1, I_2, I)$ ) is what we call a proof (in contrast to a program), which provides a witness to  $I = I_1 * I_2$ . Please find many more programming examples as such written in ATS [Xi], a language with a highly expressive type system rooted in the framework  $Applied\ Type\ System\ (\mathcal{ATS})$  [Xi04]. Proofs can often be large and expensive to construct and should be erased before run-time. Note that we extract nothing from proofs. This style of programing, which we call  $programming\ with\ theorem\ proving$ , is rather different from the paradigm of program extraction (from proofs) as is supported in NuPrl [C<sup>+</sup>86] or Coq [PM89,DFH<sup>+</sup>93,BC04]). For instance, a function like  $\mathbf{concat}$  can be effectful (though it is not) and need not to be terminating (though it is). To support the construction of programs involving effects (e.g., nontermination, exceptions and references, nondeterminism) is probably one of the most crucial issues in the design of ATS.

In order to guarantee that proofs in a program can be erased without altering the dynamic semantics of the program, a design is adopted in ATS that completely separates proofs from programs. Generally speaking, props (i.e., types for proofs) are introduced that can only be assigned to proofs, which are verified to be total (i.e., pure and terminating) in the type system of ATS, and programs, even if they are total, are disallowed in the construction of proofs. In short, programs may contain proofs but proofs cannot contain programs. While this design is conceptually simple, it leads to a rather duplicated presentation of various rules (e.g., typing rules and evaluation rules) for proofs and programs [CX05]. More seriously, it also complicates certain cases of proof construction that can be made significantly simpler if total programs are allowed to occur inside proofs. We will present an example in Section 4 to clarify this point.

In this paper, we follow the design of program extraction in Coq, where proofs can occur in programs and vice versa. The primary contribution of this paper lies in a novel design for unifying proofs and programs in an effectful programming language (in contrast to a theorem proving system like Coq). The developed formalism for supporting this design is already employed in ATS/Geizella, the current implementation of ATS [Xi]. However, for brevity, we can only present the essential idea behind this design in a simply typed setting and then outline an extension that accommodates dependent types as well as polymorphic types. We organize the rest of the paper as follows. In Section 2, we present a language  $\mathcal{L}_0$  based on the simply typed lambda-calculus. A form of attribute types are supported in  $\mathcal{L}_0$  to determine whether expressions assigned such types can be erased at compile-time without affecting the dynamic semantics of a program. We then outline an extension of  $\mathcal{L}_0$  to  $\mathcal{L}_0^{\forall,\exists}$  in Section 3 to support both dependent types and polymorphic types. In Section 4, we give a short but realistic example to illustrate a need for unifying proofs with programs. Lastly, we mention some closely related work and conclude.

```
erasure bits
                                        b ::= 0 \mid 1
effect bits
                                           t ::= 0 \mid 1
                                          \tau ::= \delta \mid \mathbf{1} \mid \tau_1 * \tau_2 \mid \tau_1 \to^t \tau_2
types
                                          \hat{\underline{\tau}} ::= (\underline{\tau})^b
a-types
a-type cores \underline{\tau} ::= \delta \mid \mathbf{1} \mid \underline{\hat{\tau}}_1 * \underline{\hat{\tau}}_2 \mid \underline{\hat{\tau}}_1 \to^t \underline{\hat{\tau}}_2
                                           e ::= x \mid f \mid c(e) \mid \mathbf{if}(e_0, e_1, e_2) \mid \langle \rangle \mid \langle e_1, e_2 \rangle \mid \mathbf{fst}(e) \mid \mathbf{snd}(e) \mid
expr.
                                                            \operatorname{lam} x. \ e \mid \operatorname{fix} f. \ e \mid \operatorname{app}(e_1, e_2)
                                           \underline{\hat{e}} ::= (\underline{e})^b
a-expr.
a-expr. cores \underline{e} ::= x \mid f \mid c(\underline{\hat{e}}) \mid \mathbf{if}(\underline{\hat{e}}_0, \underline{\hat{e}}_1, \underline{\hat{e}}_2) \mid \langle \rangle \mid \langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle \mid \mathbf{fst}(\underline{\hat{e}}) \mid \mathbf{snd}(\underline{\hat{e}}) \mid
                                                            \mathbf{lam} \ x. \ \underline{\hat{e}} \mid \mathbf{fix} \ f. \ \underline{\hat{e}} \mid \mathbf{app}(\underline{\hat{e}}_1, \underline{\hat{e}}_2)
values
                                           v ::= x \mid cc(v) \mid \langle \rangle \mid \langle v_1, v_2 \rangle \mid \mathbf{lam} \ x. \ e
a-values
                                           \hat{v} ::= (v)^b
a-value cores \underline{v} ::= x \mid cc(\underline{\hat{v}}) \mid \langle \rangle \mid \langle \underline{\hat{v}}_1, \underline{\hat{v}}_2 \rangle \mid \mathbf{lam} \ x. \ \underline{\hat{e}}
                                        \Gamma ::= \emptyset \mid \Gamma, \widehat{xf} : \hat{\underline{\tau}}
contexts
substitutions \theta ::= [|\theta[x \mapsto \hat{\underline{v}}]| \theta[f \mapsto \hat{\underline{e}}]
```

**Fig. 3.** The syntax for  $\mathcal{L}_0$ 

# 2 Formal Development

We present a language  $\mathcal{L}_0$  based on the simply typed lambda-calculus to formally introduce a form of attributive types. The syntax for  $\mathcal{L}_0$  is given in Figure 3. We use both b (erasure bits) and t (effect bits) to range over 0 and 1. Given two (erasure) bits  $b_1$  and  $b_2$ ,  $b_1 \otimes b_2$  is a bit, which equals 1 if and only if  $b_1 = b_2 = 1$ . Given two (effect) bits  $t_1$  and  $t_2$ ,  $t_1 \oplus t_2$  is a bit, which equals 0 if and only if  $t_1 = t_2 = 0$ . So,  $\otimes$  and  $\oplus$  correspond to boolean product and sum, respectively.

We use  $\delta$ ,  $\tau$ ,  $\underline{\tau}$  and  $\hat{\underline{\tau}}$  for base types (e.g., **bool** for booleans and **int** for integers), types, a-type (i.e., attributive type) cores and a-types, respectively. Similarly, we use e,  $\underline{e}$  and  $\hat{\underline{e}}$  for expressions, a-expression cores, a-expressions, respectively. Given  $\hat{\underline{\tau}} = (\underline{\tau})^b$ , we write  $bit(\hat{\underline{\tau}})$  for b,  $core(\hat{\underline{\tau}})$  for  $\underline{\tau}$ , and  $(\hat{\underline{\tau}})^{b_0}$  for  $(\underline{\tau})^{b\otimes b_0}$ . Similarly, given  $\hat{\underline{e}} = (\underline{e})^b$ , we write  $bit(\hat{\underline{e}})$  for b,  $core(\hat{\underline{e}})$  for  $\underline{e}$ , and  $(\hat{\underline{e}})^{b_0}$  for  $(\underline{e})^{b\otimes b_0}$ . So both  $(\hat{\underline{\tau}})^b$  and  $(\hat{\underline{e}})^b$  are just syntactic sugar. It will soon become clear that an a-type  $\hat{\underline{\tau}}$  can only be assigned to an a-expression  $\hat{\underline{e}}$  such that  $bit(\hat{\underline{\tau}}) = bit(\hat{\underline{e}})$ , and  $bit(\hat{\underline{e}}) = 0$  means that  $\hat{\underline{e}}$  can be erased from any program containing  $\hat{\underline{e}}$  without altering the dynamic semantics of the program. We say that  $\hat{\underline{e}}$  is erasable if  $bit(\hat{\underline{e}}) = 0$ .

Clearly, an a-expression cannot be erased if its evaluation may generate effects at run-time. To address this issue, we design a type system based on the notion of types with effects [JG91] to track whether evaluating an a-expression may generate effects. Given an a-type core  $\underline{\tau} = \hat{\underline{\tau}}_1 \xrightarrow{f} \hat{\underline{\tau}}_2$ , a call to a function of a-type  $(\underline{\tau})^b$  may generate effects only if t = 1. Note that nonterminating evaluation is the sole kind of effect in  $\mathcal{L}_0$ , but more can be easily added when  $\mathcal{L}_0$  is extended. For instance, in ATS, we also track effects caused by raising exceptions, accessing references, aborting program execution (abnormally), etc.

We use x for a **lam**-variable and f for a **fix**-variable, and xf for either an x or f. We use c for a constant, which is either a constant constructor cc or a constant function cf. The a-expressions in Figure 3 are standard except for the erasure bits they carry.

We now assign a dynamic semantics to  $\mathcal{L}_0$ . The evaluation contexts in  $\mathcal{L}_0$  are defined as follows.

eval. ctx. 
$$E := [] \mid (E)^b \mid (c(E))^b \mid (\mathbf{if}(E, \underline{\hat{e}}_1, \underline{\hat{e}}_2))^b \mid (\langle E, \underline{\hat{e}} \rangle)^b \mid (\langle \underline{\hat{v}}, E \rangle)^b \mid (\mathbf{fst}(E))^b \mid (\mathbf{snd}(E))^b \mid (\mathbf{app}(E, \underline{\hat{e}}))^b \mid (\mathbf{app}(\underline{\hat{v}}, E))^b$$

The redexes in  $\mathcal{L}_0$  and their reducts are defined below.

**Definition 1.** (Redexes) We define redexes and their reducts as follows.

```
 \begin{array}{l} -((\underline{v})^{b_1})^{b_2} \ is \ a \ redex, \ and \ its \ reduct \ is \ (\underline{v})^{b_1\otimes b_2}. \\ -(\mathbf{if}((true)^{b_0},\underline{\hat{e}}_1,\underline{\hat{e}}_2))^b \ is \ redex, \ and \ its \ reduct \ is \ (\underline{\hat{e}}_1)^b. \\ -(\mathbf{if}((false)^{b_0},\underline{\hat{e}}_1,\underline{\hat{e}}_2))^b \ is \ redex, \ and \ its \ reduct \ is \ (\underline{\hat{e}}_2)^b. \\ -(\mathbf{app}((\mathbf{lam}\ x.\ \underline{\hat{e}})^{b_0},\underline{\hat{v}}))^b \ is \ a \ redex, \ and \ its \ reduct \ is \ (\underline{\hat{e}}[x\mapsto\underline{\hat{v}}])^b. \\ -(\mathbf{fst}((\langle\underline{\hat{v}}_1,\underline{\hat{v}}_2\rangle)^{b_0}))^b \ is \ a \ redex, \ and \ its \ reduct \ is \ (\underline{\hat{v}}_1)^b. \\ -(\mathbf{fix}\ f.\ \underline{\hat{e}})^b \ is \ a \ redex \ and \ its \ reduct \ is \ (\underline{\hat{v}}_2)^b. \\ -(\mathbf{fix}\ f.\ \underline{\hat{e}})^b \ is \ a \ redex \ and \ its \ reduct \ is \ (\underline{\hat{e}})^b]. \end{array}
```

Given  $\underline{\hat{e}}_1$  and  $\underline{\hat{e}}_2$ , we write  $\underline{\hat{e}}_1 \hookrightarrow \underline{\hat{e}}_2$  to mean that  $\underline{\hat{e}}_1$  reduces to  $\underline{\hat{e}}_2$ , that is,  $\underline{\hat{e}}_1 = E[\underline{\hat{e}}]$  and  $\underline{\hat{e}}_2 = E[\underline{\hat{e}}']$  for some redex  $\underline{\hat{e}}$  and its reduct  $\underline{\hat{e}}'$ . We may also use  $\hookrightarrow$  for the single step call-by-value evaluation on expressions, which is completely standard. As usual, we use  $\hookrightarrow^+$  for the transitive closure of  $\hookrightarrow$ , and  $\hookrightarrow^*$  for the transitive and reflexive closure of  $\hookrightarrow$ .

We use  $\Gamma \vdash^t \underline{\hat{e}} : \underline{\hat{\tau}}$  for a typing judgment in  $\mathcal{L}_0$  that assigns the a-type  $\underline{\hat{\tau}}$  to the a-expression  $\underline{\hat{e}}$ , where the bit t is used to indicate whether evaluating  $\underline{\hat{e}}$  may generate any effects. The static semantics for  $\mathcal{L}_0$  is given by the typing

$$\frac{\Gamma(xf) = \hat{\underline{\tau}} \quad b = bit(\hat{\underline{\tau}})}{\Gamma \vdash^{0}(x)^{b} : \hat{\underline{\tau}}} \quad \text{(ty-lam-var)} \qquad \frac{\Gamma(xf) = \hat{\underline{\tau}} \quad bit(\hat{\underline{\tau}}) = 1}{\Gamma \vdash^{1}(f)^{1} : \hat{\underline{\tau}}} \quad \text{(ty-fix-var)}$$

$$\frac{\vdash c : \hat{\underline{\tau}}_{1} \rightarrow^{t_{0}} \hat{\underline{\tau}}_{2} \quad \Gamma \vdash^{t} \hat{\underline{e}} : \hat{\underline{\tau}}_{1} \quad t_{0} \oplus t \leq b}{\Gamma \vdash^{t_{0} \oplus t} (c(\hat{\underline{e}}))^{b} : \hat{\underline{\tau}}_{2}} \quad \text{(ty-const)}$$

$$\frac{\Gamma \vdash^{t_{0}} \hat{\underline{e}}_{0} : (\mathbf{bool})^{b_{0}} \quad \Gamma \vdash^{t_{1}} \hat{\underline{e}}_{1} : \hat{\underline{\tau}} \quad \Gamma \vdash^{t_{2}} \hat{\underline{e}}_{2} : \hat{\underline{\tau}} \quad t_{0} \oplus t_{1} \oplus t_{2} \leq b = bit(\hat{\underline{\tau}}) \otimes b_{0}}{\Gamma \vdash^{t_{0} \oplus t_{1} \oplus t_{2}} (\mathbf{if}(\hat{\underline{e}}_{0}, \hat{\underline{e}}_{1}, \hat{\underline{e}}_{2}))^{b} : (\hat{\underline{\tau}})^{b_{0}}} \quad \text{(ty-if)}}$$

$$\frac{\Gamma \vdash^{t_{0}} \hat{\underline{e}}_{1} : \hat{\underline{\tau}}_{1} \quad \Gamma \vdash^{t_{2}} \hat{\underline{e}}_{2} : \hat{\underline{\tau}}_{2} \quad t_{1} \oplus t_{2} \leq b}{\Gamma \vdash^{t_{1} \oplus t_{2}} (\langle \hat{\underline{e}}_{1}, \hat{\underline{e}}_{2} \rangle)^{b} : (\langle \hat{\underline{\tau}}_{1}, \hat{\underline{\tau}}_{2} \rangle)^{b}} \quad \text{(ty-tup)}}}{\Gamma \vdash^{t_{1} \oplus t_{2}} (\langle \hat{\underline{e}}_{1}, \hat{\underline{e}}_{2} \rangle)^{b} : (\langle \hat{\underline{\tau}}_{1}, \hat{\underline{\tau}}_{2} \rangle)^{b}} \quad \text{(ty-fst)}}}$$

$$\frac{\Gamma \vdash^{t} \hat{\underline{e}} : (\hat{\underline{\tau}}_{1} * \hat{\underline{\tau}}_{2})^{b_{0}} \quad t \leq b = bit(\hat{\underline{\tau}}_{1}) \otimes b_{0}}{\Gamma \vdash^{t} (\mathbf{st}(\hat{\underline{e}}))^{b} : (\hat{\underline{\tau}}_{1})^{b_{0}}}} \quad \text{(ty-fst)}}}{\Gamma \vdash^{t} (\mathbf{snd}(\hat{\underline{e}}))^{b} : (\hat{\underline{\tau}}_{2})^{b_{0}}} \quad \mathbf{t} \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}} \quad \mathbf{t} \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}}$$

$$\frac{\Gamma, x : \hat{\underline{\tau}}_{1} \vdash^{t} \hat{\underline{e}} : \hat{\underline{\tau}}_{2}}{\Gamma} \rightarrow^{t} \hat{\underline{\tau}}_{2})^{b}} \quad \mathbf{t} \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}}{\Gamma \vdash^{t} (\mathbf{snd}(\hat{\underline{e}}))^{b} : (\hat{\underline{\tau}}_{2})^{b_{0}}}} \quad \mathbf{t} \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}}{\Gamma \vdash^{t} (\mathbf{fix} : \hat{\underline{e}}_{2})^{b} : \hat{\underline{\tau}}_{1}} \quad \mathbf{t} \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}} \quad \mathbf{t} \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}}$$

$$\frac{\Gamma \vdash^{t_{1}} \hat{\underline{e}}_{1} : (\hat{\underline{\tau}}_{1} \rightarrow^{t} \hat{\underline{\tau}}_{2})^{b}}{\Gamma \vdash^{t} (\mathbf{fix} : \hat{\underline{e}}_{2} : \hat{\underline{\tau}}_{1} \quad t_{1} \oplus t_{2} \oplus t \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}}{\Gamma \vdash^{t} (\mathbf{fix} : \hat{\underline{e}}_{2})^{b} : (\hat{\underline{\tau}}_{1} \rightarrow^{t} \hat{\underline{e}}_{2})^{b}} \quad \mathbf{t} \in \mathbf{t}} \quad \mathbf{t} \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}} \quad \mathbf{t} \in \mathbf{t} \leq b = bit(\hat{\underline{\tau}}_{2}) \otimes b_{0}}}$$

**Fig. 4.** The typing rules for  $\mathcal{L}_0$ 

rules in Figure 4, for some of which we provide brief explanation as follows. The rule (ty-fix-var) indicates that a fix-variable is considered effectful; in the rule (ty-const), we write  $\vdash c : \hat{\underline{\tau}}_1 \xrightarrow{t_0} \hat{\underline{\tau}}_2$  to mean that c is assigned the a-type core  $\hat{\underline{\tau}}_1 \xrightarrow{t_0} \hat{\underline{\tau}}_2$ , for instance, by some kind of signature; the rule (ty-erase) essentially means that an a-expression  $\hat{\underline{e}}$  can be erased if the evaluation of  $\hat{\underline{e}}$  is guaranteed to be free of effects; from the rule (ty-if), it is clear that an if-expression must be erasable if its condition is erasable; the rule (ty-app) indicates that an application is erasable if the function in the application is.

**Proposition 1.** If  $\Gamma \vdash^t \hat{v} : \hat{\tau}$  is derivable, then t = 0.

*Proof.* By an inspection of the typing rules in Figure 4.

**Proposition 2.** If  $\Gamma \vdash^t \hat{e} : \hat{\tau}$  is derivable, then  $t \leq bit(\hat{e}) = bit(\hat{\tau})$ .

*Proof.* Note that  $bit((\hat{\underline{\tau}})^b) = bit(\hat{\underline{\tau}}) \otimes b$  holds for any a-type  $\hat{\underline{\tau}}$  and bit b. This proposition follows from an inspection of the rules in Figure 4.

**Lemma 1.** (Canonical Forms) Assume that  $\emptyset \vdash^0 (\underline{v})^b : (\underline{\tau})^b$  is derivable.

- 1. If  $\underline{\tau} = \delta$  for some base type  $\delta$ , then  $\underline{v}$  is of the form  $cc(\underline{\hat{v}}_0)$  for some constructor cc associated with  $\delta$ , that is, cc is given an a-type core of the form  $\underline{\hat{\tau}}_0 \rightarrow \delta \ for \ some \ \underline{\hat{\tau}}_0.$
- If \( \tau = \hat{\hat{\chi}}\_1 \* \hat{\hat{\chi}}\_2, \) then \( \bar{v} \) is of the form \( \lambda \hat{\chi}\_1, \hat{\chi}\_2 \rangle \).
   If \( \tau = \hat{\chi}\_1 \rightarrow^{\dagger} \hat{\chi}\_2, \) then \( \bar{v} \) is of the form \( \lambda x \cdot \hat{\hat{e}} \).

*Proof.* By an inspection of the typing rules in Figure 4.

# **Lemma 2.** (Substitution) We have the following.

- 1. Assume that both  $\Gamma \vdash^0 \underline{\hat{v}} : \underline{\hat{\tau}}_1$  and  $\Gamma, x : \underline{\hat{\tau}}_1 \vdash^t \underline{\hat{e}} : \underline{\hat{\tau}}_2$  are derivable. Then  $\Gamma \vdash^t \underline{\hat{e}}[x \mapsto \underline{\hat{v}}] : \underline{\hat{\tau}}_2 \text{ is also derivable.}$
- 2. Assume that both  $\Gamma \vdash^{t_1} \underline{\hat{e}}_1 : \underline{\hat{\tau}}_1$  and  $\Gamma, f : \underline{\hat{\tau}}_1 \vdash^{t_2} \underline{\hat{e}}_2 : \underline{\hat{\tau}}_2$  are derivable. Then  $\Gamma \vdash^{t'_2} \underline{\hat{e}}_2[f \mapsto \underline{\hat{e}}_1] : \underline{\hat{\tau}}_2$  is derivable for some  $t'_2 \leq t_2$ .

*Proof.* By structural induction on the derivations of  $\Gamma, x : \underline{\hat{\tau}}_1 \vdash^t \underline{\hat{e}} : \underline{\hat{\tau}}_2$  and  $\Gamma, f: \underline{\hat{\tau}}_1 \vdash^{t_2} \underline{\hat{e}}_2: \underline{\hat{\tau}}_2$ , respectively.

**Theorem 1.** (Subject Reduction) Assume that  $\emptyset \vdash^{t_1} \underline{\hat{e}}_1 : \underline{\hat{\tau}}$  is derivable and  $\underline{\hat{e}}_1 \hookrightarrow \underline{\hat{e}}_2$  holds. Then  $\emptyset \vdash^{t_2} \underline{\hat{e}}_2 : \underline{\hat{\tau}}$  is derivable for some  $t_2 \leq t_1$ .

*Proof.* By structural induction on the derivation  $\mathcal{D}$  of  $\emptyset \vdash^{t_1} \underline{\hat{e}}_1 : \underline{\hat{\tau}}$ . Lemma 2 is needed when handling the case where the last applied rule in  $\mathcal{D}$  is (ty-app) or (ty-fix).

**Theorem 2.** (Progress) Assume that  $\emptyset \vdash^t \underline{\hat{e}}_1 : \underline{\hat{\tau}}$  is derivable. Then either  $\underline{\hat{e}}_1$  is an a-value or  $\underline{\hat{e}}_1 \hookrightarrow \underline{\hat{e}}_2$  for some a-expression  $\underline{\hat{e}}_2$ .

*Proof.* The theorem follows from structural induction on the derivation  $\mathcal{D}$  of  $\emptyset \vdash^t \underline{\hat{e}}_1 : \underline{\hat{\tau}}.$ 

With Theorem 1 and Theorem 2, it is clear that for each well-typed a-expression  $\underline{\hat{e}}$ , that is,  $\emptyset \vdash \underline{\hat{e}} : \underline{\hat{\tau}}$  is derivable for some  $\underline{\hat{\tau}}$ , the evaluation of  $\underline{\hat{e}}$  either leads to an a-value or it continues forever. So the type soundness of  $\mathcal{L}_0$  is established. Of course, we can also prove the type soundness of  $\mathcal{L}_0$  by simply ignoring erasure bits. However, we need Theorem 1 and Theorem 2 to prove Theorem 5, a main result in the paper that justifies proof erasure.

**Definition 2.** (Reducibility) Given an a-expression  $\hat{e}$  and an a-type  $\hat{\tau} = (\tau)^{b_0}$ , we say that  $\hat{e}$  is reducible of  $\hat{\tau}$  if  $\hat{e}\downarrow$ , that is, there is no infinite reduction sequence from  $\hat{e}$ , and

- 1.  $\underline{\tau} = \delta$  for some base type  $\delta$ ; or
- 2.  $\underline{\tau} = \hat{\underline{\tau}}_1 * \hat{\underline{\tau}}_2$  for some  $\hat{\underline{\tau}}_1$  and  $\hat{\underline{\tau}}_2$  and for any  $\hat{\underline{v}}_1$  and  $\hat{\underline{v}}_2$ ,  $\hat{\underline{e}} \hookrightarrow^* (\langle \hat{\underline{v}}_1, \hat{\underline{v}}_2 \rangle)^b$ implies that  $\underline{\hat{v}}_1$  and  $\underline{\hat{v}}_2$  are reducible of  $\underline{\hat{\tau}}_1$  and  $\underline{\hat{\tau}}_2$ , respectively; or
- 3.  $\underline{\tau} = \hat{\underline{\tau}}_1 \stackrel{0}{\to} \hat{\underline{\tau}}_2$  for some  $\hat{\underline{\tau}}_1$  and  $\hat{\underline{\tau}}_2$  and for any  $\hat{\underline{e}}_0$ ,  $\hat{\underline{e}} \hookrightarrow^* (\mathbf{lam} \, x. \, \hat{\underline{e}}_0)^b$  implies that  $\underline{\hat{e}}_0[x \mapsto \underline{\hat{v}}]$  is reducible of  $\underline{\hat{\tau}}_2$  for every  $\underline{\hat{v}}$  reducible of a-type  $\underline{\hat{\tau}}_1$ ; or
- 4.  $\underline{\tau} = \hat{\underline{\tau}}_1 \xrightarrow{1} \hat{\underline{\tau}}_2$  for some  $\hat{\underline{\tau}}_1$  and  $\hat{\underline{\tau}}_2$ .

Given a substitution  $\theta$  and a context  $\Gamma$  of the same domain, we say that  $\theta$  is reducible of  $\Gamma$  if  $\theta(xf)$  is reducible of  $\Gamma(xf)$  for every  $xf \in \mathbf{dom}(\theta) = \mathbf{dom}(\Gamma)$ .

It should be stressed that  $\hat{e}$  being reducible of  $\hat{\tau}$  does not imply that  $\hat{\tau}$  can be assigned to  $\underline{\hat{e}}$ . For instance, according to the definition, every value (including function value) is reducible of  $(\delta)^{b_0}$  for every base type  $\delta$ . Also, it is clear that erasure bits play no role in the definition of reducibility. More precisely, if  $\hat{e}$  is reducible of  $(\underline{\tau})^b$  for some b, then it is reducible of  $(\underline{\tau})^b$  for any b.

#### **Proposition 3.** We have the following.

- 1. If  $\underline{\hat{e}}_1$  is reducible of  $\underline{\hat{\tau}}$  and  $\underline{\hat{e}}_1 \hookrightarrow \underline{\hat{e}}_2$ , then  $\underline{\hat{e}}_2$  is also reducible of  $\underline{\hat{\tau}}$ .
- 2. If  $\hat{\underline{e}}$  is reducible of  $\hat{\underline{\tau}}$  whenever  $\hat{\underline{e}}_1 \hookrightarrow \hat{\underline{e}}$  holds, then  $\hat{\underline{e}}_1$  is reducible of  $\hat{\underline{\tau}}$ .

  3. If  $\hat{\underline{e}}$  is reducible of  $\hat{\underline{\tau}}$ , then  $(\hat{\underline{e}})^b$  is reducible of  $(\hat{\underline{\tau}})^b$ .
- 4. If  $\underline{\hat{e}}_1$  and  $\underline{\hat{e}}_2$  are reducible of  $\underline{\hat{\tau}}_1$  and  $\underline{\hat{\tau}}_2$ , respectively, then  $(\langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle)^b$  is reducible of  $(\hat{\underline{\tau}}_1 * \hat{\underline{\tau}}_2)^b$ .
- 5. If  $\underline{\hat{e}}$  is reducible of  $(\underline{\hat{\tau}}_1 * \underline{\hat{\tau}}_2)^{b_0}$ , then for  $b = bit(\underline{\hat{\tau}}_1) \otimes b_0$ ,  $(\mathbf{fst}(\underline{\hat{e}}))^b$  is reducible
- 6. If  $\hat{e}$  is reducible of  $(\hat{\tau}_1 * \hat{\tau}_2)^{b_0}$ , then for  $b = bit(\hat{\tau}_2) \otimes b_0$ ,  $(\mathbf{snd}(\hat{e}))^b$  is reducible
- 7. If  $\hat{\underline{e}}_1$  and  $\hat{\underline{e}}_2$  are reducible of  $(\hat{\underline{\tau}}_1 \to^0 \hat{\underline{\tau}}_2)^{b_0}$  and  $\hat{\underline{\tau}}_1$ , respectively, then for  $b = bit(\hat{\underline{\tau}}_2) \otimes b_0$ ,  $(\mathbf{app}(\hat{\underline{e}}_1, \hat{\underline{e}}_2))^b$  is reducible of  $(\hat{\underline{\tau}}_2)^{b_0}$ .

*Proof.* Both (1) and (2) follow from the definition of reducibility immediately. As for (3), it follows by structural induction on  $\hat{\tau}$ .

We now prove (4). Clearly, both  $\underline{\hat{e}}_1 \downarrow$  and  $\underline{\hat{e}}_2 \downarrow$  hold. So  $(\langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle)^b \downarrow$  holds as well. Suppose  $(\langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle)^b \hookrightarrow^* (\langle \underline{\hat{v}}_1, \underline{\hat{v}}_2 \rangle)^b$ . Then  $\underline{\hat{e}}_1 \hookrightarrow^* \underline{\hat{v}}_1$  and  $\underline{\hat{e}}_2 \hookrightarrow^* \underline{\hat{v}}_2$ . By (1),  $\underline{\hat{v}}_1$  and  $\underline{\hat{v}}_2$  are reducible of  $\underline{\hat{\tau}}_1$  and  $\underline{\hat{\tau}}_2$ , respectively. By definition,  $\underline{\hat{e}}$  is reducible of  $(\hat{\underline{\tau}}_1 * \hat{\underline{\tau}}_2)^b$ .

We leave out the routine proofs for (5), (6) and (7).

**Lemma 3.** Assume that  $\Gamma \vdash^0 \underline{\hat{e}} : \underline{\hat{\tau}}$  is derivable and  $\theta$  is reducible of  $\Gamma$ . Then  $\hat{e}[\theta]$  is reducible of  $\hat{\tau}$ .

*Proof.* We proceed by structural induction on the derivation  $\mathcal{D}$  of  $\Gamma \vdash^0 \hat{e} : \hat{\tau}$ .

- Assume that  $\mathcal{D}$  is of the following form:

$$\frac{\mathcal{D}_1 :: \Gamma \vdash^0 \hat{\underline{e}}_1 : \hat{\underline{\tau}}_1 \quad \mathcal{D}_2 :: \Gamma \vdash^0 \hat{\underline{e}}_2 : \hat{\underline{\tau}}_2 \quad 0 \oplus 0 \leq b}{\Gamma \vdash^0 (\langle \hat{\underline{e}}_1, \hat{\underline{e}}_2 \rangle)^b : (\langle \hat{\underline{\tau}}_1, \hat{\underline{\tau}}_2 \rangle)^b} \text{ (ty-tup)}$$

where  $\underline{\hat{e}} = (\langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle)^b$ . By induction hypothesis on  $\mathcal{D}_1$  and  $\mathcal{D}_2$ , we know that  $\underline{\hat{e}}_1[\theta]$  and  $\underline{\hat{e}}_2[\theta]$  are reducible of  $\underline{\hat{\tau}}_1$  and  $\underline{\hat{\tau}}_2$ , respectively. Hence,  $\underline{\hat{e}}[\theta] = (\langle \underline{\hat{e}}_1[\theta], \underline{\hat{e}}_2[\theta] \rangle)^b$  is reducible of  $(\underline{\hat{\tau}}_1 * \underline{\hat{\tau}}_2)^b$  by Proposition 3 (4). Assume that  $\mathcal{D}$  is of the following form:

$$\frac{\Gamma \vdash^0 \hat{\underline{e}}_1 : (\hat{\underline{\tau}}_1 \to^t \hat{\underline{\tau}}_2)^{b_0} \quad \Gamma \vdash^0 \hat{\underline{e}}_2 : \hat{\underline{\tau}}_1 \quad 0 \oplus 0 \oplus 0 \leq b = \mathit{bit}(\hat{\underline{\tau}}_2) \otimes b_0}{\Gamma \vdash^0 (\mathbf{app}(\hat{\underline{e}}_1, \hat{\underline{e}}_2))^b : (\hat{\underline{\tau}}_2)^{b_0}} \quad \textbf{(ty-app)}$$

```
\begin{split} |(\underline{e})^0| &= \langle \rangle \quad |(\underline{e})^1| = |\underline{e}| \quad |x\mathit{f}| = x\mathit{f} \quad |c(\underline{\hat{e}})| = c(|\underline{\hat{e}}|) \\ &|\mathbf{if}(\underline{\hat{e}}_0, \underline{\hat{e}}_1, \underline{\hat{e}}_2)| = \mathbf{if}(|\underline{\hat{e}}_0|, |\underline{\hat{e}}_1|, |\underline{\hat{e}}_2|) \quad |\langle \rangle| = \langle \rangle \\ &|\langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle| = \langle |\underline{\hat{e}}_1|, |\underline{\hat{e}}_2| \rangle \quad |\mathbf{fst}(\underline{\hat{e}})| = \mathbf{fst}(|\underline{\hat{e}}|) \quad |\mathbf{snd}(\underline{\hat{e}})| = \mathbf{snd}(|\underline{\hat{e}}|) \\ |\mathbf{lam} \ x. \ \underline{\hat{e}}| = \mathbf{lam} \ x. \ |\underline{\hat{e}}| \quad |\mathbf{fix} \ f. \ \underline{\hat{e}}| = \mathbf{fix} \ f. \ |\underline{\hat{e}}| \quad |\mathbf{app}(\underline{\hat{e}}_1, \underline{\hat{e}}_2)| = \mathbf{app}(|\underline{\hat{e}}_1|, |\underline{\hat{e}}_2|) \end{split}
```

Fig. 5. The erasure function on a-expression cores and a-expressions

where  $\underline{\hat{e}} = (\mathbf{app}(\underline{\hat{e}}_1, \underline{\hat{e}}_2))^b$  and  $\underline{\hat{\tau}} = (\underline{\hat{\tau}}_2)^{b_0}$ . Then by induction hypothesis,  $\underline{\hat{e}}_1[\theta]$  and  $\underline{\hat{e}}_2[\theta]$  are reducible of  $(\underline{\hat{\tau}}_1 \xrightarrow{f} \underline{\hat{\tau}}_2)^{b_0}$  and  $\underline{\hat{\tau}}_2$ , respectively. By Proposition 3 (7),  $\underline{\hat{e}}[\theta]$  is reducible of  $\underline{\hat{\tau}}$ .

The rest of cases can be handled similarly (by applying Proposition 3).

**Theorem 3.** (Totality) Assume that  $\emptyset \vdash^0 \hat{e} : \hat{\tau}$  is derivable. Then  $\hat{e} \downarrow$  holds.

*Proof.* By Lemma 3,  $\underline{\hat{e}}$  is reducible of  $\underline{\hat{\tau}}$ . By the definition of reducibility,  $\underline{\hat{e}} \downarrow$ 

When  $\mathcal{L}_0$  is extended with dependent types, it becomes a great deal more involved to prove a corresponding version of Theorem 3. The technique for doing so is developed in [Xi02].

A function  $|\cdot|$  is defined in Figure 5 that erases a-expressions into expressions. Note that the erasure of an a-expression  $\hat{e}$  is  $\langle \rangle$  if  $bit(\hat{e}) = 0$ . As is desired, erasure commutes with substitution.

**Proposition 4.** We have  $|\underline{\hat{e}}_2[xf \mapsto \underline{\hat{e}}_1]| = |\underline{\hat{e}}_2|[xf \mapsto |\underline{\hat{e}}_1|]$  for any a-expressions  $\underline{\hat{e}}_1$  and  $\underline{\hat{e}}_2$ .

*Proof.* This follows from the definition of the erasure function  $|\cdot|$  immediately.

The soundness of erasure is stated and proven as follows.

**Theorem 4.** (Soundness of Erasure) Assume that  $\emptyset \vdash^t \underline{\hat{e}} : \underline{\hat{\tau}}$  is derivable. If  $\underline{\hat{e}} \hookrightarrow \underline{\hat{e}}'$ , then  $|\underline{\hat{e}}| \hookrightarrow^{0/1} |\underline{\hat{e}}'|$ , that is,  $|\underline{\hat{e}}| = |\underline{\hat{e}}'|$  or  $|\underline{\hat{e}}| \hookrightarrow |\underline{\hat{e}}'|$ .

*Proof.* We proceed by structural induction on the derivation  $\mathcal{D}$  of  $\emptyset \vdash^t \underline{\hat{e}}_1 : \underline{\hat{\tau}}$ .

– The derivation  $\mathcal{D}$  is of the following form:

$$\frac{\emptyset \vdash^{t_0} \underline{\hat{e}}_0 : (\mathbf{bool})^{b_0} \quad \emptyset \vdash^{t_1} \underline{\hat{e}}_1 : \underline{\hat{\tau}} \quad \emptyset \vdash^{t_2} \underline{\hat{e}}_2 : \underline{\hat{\tau}} \quad t_0 \oplus t_1 \oplus t_2 \leq b = bit(\underline{\hat{\tau}}) \otimes b_0}{\emptyset \vdash^{t_0 \oplus t_1 \oplus t_2} (\mathbf{if}(\underline{\hat{e}}_0, \underline{\hat{e}}_1, \underline{\hat{e}}_2))^b : (\underline{\hat{\tau}})^{b_0}} \quad \textbf{(ty-if)}$$

where  $\underline{\hat{e}} = (\mathbf{if}(\underline{\hat{e}}_0, \underline{\hat{e}}_1, \underline{\hat{e}}_2))^b$ . We have three subcases. •  $\underline{\hat{e}}_0 \hookrightarrow \underline{\hat{e}}_0'$  for some  $\underline{\hat{e}}_0'$  and  $\underline{\hat{e}}' = (\mathbf{if}(\underline{\hat{e}}_0', \underline{\hat{e}}_1, \underline{\hat{e}}_2))^b$ . If b = 0, we are done since  $|\underline{\hat{e}}| = |\underline{\hat{e}}'| = \langle \rangle$ . If b = 1, then  $|\underline{\hat{e}}| \hookrightarrow^{0/1} |\underline{\hat{e}}'|$  holds since we have  $|\underline{\hat{e}}_0| \hookrightarrow^{0/1} |\underline{\hat{e}}_0'|$  by induction hypothesis.

- $\underline{\hat{e}}_0 = (true)^{b_0}$ . Then  $\underline{\hat{e}}' = (\underline{\hat{e}}_1)^b$ . If b = 0, then we are done since  $|\underline{\hat{e}}| = |\underline{\hat{e}}'| = \langle \rangle$ . If b = 1, then  $b_0$  must equal 1 and thus  $|\underline{\hat{e}}| = \mathbf{if}(true, |\underline{\hat{e}}_1|, |\underline{\hat{e}}_2|)$ , which implies  $|\underline{\hat{e}}| \hookrightarrow |\underline{\hat{e}}_1| = |\underline{\hat{e}}'|$ .
- $\underline{\hat{e}}_0 = (false)^{b_0}$ . This case is similar to the previous one.

The rest of the cases can be handled similarly.

The following theorem implies that if the erasure of a well-typed a-expression  $\underline{\hat{e}}$  in  $\mathcal{L}_0$  evaluates to a value v, then  $\underline{\hat{e}}$  evaluates to an a-value whose erasure is v.

**Theorem 5.** (Completeness of Erasure) Assume that  $\emptyset \vdash^t \hat{e} : \hat{\tau}$  is derivable.

- 1. If  $|\underline{\hat{e}}|$  is a value, then  $\underline{\hat{e}} \hookrightarrow^* \underline{\hat{v}}$  for some a-value such that  $|\underline{\hat{v}}| = |\underline{\hat{e}}|$ . 2. If  $|\underline{\hat{e}}| \hookrightarrow e'$ , then  $\underline{\hat{e}} \hookrightarrow^+ \underline{\hat{e}}'$  for some  $\underline{\hat{e}}'$  such that  $|\underline{\hat{e}}'| = e'$ .

*Proof.* We first prove (1) by analyzing the structure of  $\hat{e}$ .

- $-bit(\hat{\underline{e}})=0$ . Then  $|\hat{\underline{e}}|=\langle\rangle$ . By Proposition 2, t=0, and by Theorem 3,  $\hat{\underline{e}}\downarrow$ holds. So by Theorem 1 and Theorem 2, we have  $\hat{\underline{e}} \hookrightarrow^* \hat{\underline{v}}$  for some a-value  $\hat{\underline{v}}$ of a-type  $\underline{\hat{\tau}}$ . Clearly,  $|\underline{\hat{v}}| = \langle \rangle$  as  $bit(\underline{\hat{v}}) \leq bit(\underline{\hat{e}}) = 0$ .
- $-bit(\underline{\hat{e}}) = 1$ . We present an interesting case where  $\underline{\hat{e}} = (\langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle)^1$ . Since  $|\underline{\hat{e}}| =$  $\langle |\hat{\underline{e}}_1|, |\hat{\underline{e}}_2| \rangle$  is a value, both  $|\hat{\underline{e}}_1|$  and  $|\hat{\underline{e}}_2|$  are values. By induction hypothesis,  $\underline{\hat{e}}_1 \hookrightarrow^* \underline{\hat{v}}_1$  and  $\underline{\hat{e}}_2 \hookrightarrow^* \underline{\hat{v}}_2$  for some a-values  $\underline{\hat{v}}_1$  and  $\underline{\hat{v}}_2$  such that  $|\underline{\hat{v}}_1| = |\underline{\hat{e}}_1|$ and  $|\underline{\hat{v}}_2| = |\underline{\hat{e}}_2|$ . Let  $\underline{\hat{v}} = \langle \underline{\hat{v}}_1, \underline{\hat{v}}_2 \rangle$ , and we have  $\underline{\hat{e}} \hookrightarrow^* \underline{\hat{v}}$  and  $|\underline{\hat{v}}| = |\underline{\hat{e}}|$ . All other cases can be handled similarly.

We now prove (2) by structural induction on the derivation  $\mathcal{D}$  of  $\emptyset \vdash^t \underline{\hat{e}}_1 : \underline{\hat{\tau}}$ .

– The derivation  $\mathcal{D}$  is of the following form:

$$\frac{\emptyset \vdash^{t_1} \underline{\hat{e}}_1 : \underline{\hat{r}}_1 \quad \emptyset \vdash^{t_2} \underline{\hat{e}}_2 : \underline{\hat{r}}_2 \quad t_1 \oplus t_2 \leq b}{\emptyset \vdash^{t_1 \oplus t_2} (\langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle)^b : (\langle \underline{\hat{r}}_1, \underline{\hat{r}}_2 \rangle)^b} \ \ \textbf{(ty-tup)}$$

where  $\underline{\hat{e}} = (\langle \underline{\hat{e}}_1, \underline{\hat{e}}_2 \rangle)^b$ . Clearly, b = 1 since  $|\underline{\hat{e}}|$  cannot be  $\langle \rangle$ . There are two

- $|\underline{\hat{e}}_1|$  is a value. Then by (1), there exists  $\underline{\hat{v}}_1$  such that  $\underline{\hat{e}}_1 \hookrightarrow^* \underline{\hat{v}}_1$  and  $|\hat{\underline{v}}_1| = |\hat{\underline{e}}_1|. \text{ Clearly, } |\hat{\underline{e}}| \hookrightarrow \langle |\hat{\underline{e}}_1|, e_2' \rangle \text{ for some } e_2' \text{ such that } |\hat{\underline{e}}_2| \hookrightarrow e_2' \text{ holds.}$ By induction hypothesis,  $\hat{\underline{e}}_2 \hookrightarrow^+ \hat{\underline{e}}_2'$  for some  $\hat{\underline{e}}_2' \text{ such that } |\hat{\underline{e}}_2| = e_2'.$  Let  $\hat{\underline{e}}' = (\langle \hat{\underline{v}}_1, \hat{\underline{e}}_2' \rangle)^1$ , and we have  $\hat{\underline{e}} \hookrightarrow^+ \hat{\underline{e}}'$  and  $|\hat{\underline{e}}'| = \langle |\hat{\underline{v}}_1|, |\hat{\underline{e}}_2'| \rangle = \langle |\hat{\underline{e}}_1|, e_2' \rangle.$ •  $|\hat{\underline{e}}_1|$  is not a value. Then  $|\hat{\underline{e}}| \hookrightarrow \langle e_1', |\hat{\underline{e}}_2| \rangle$  for some  $e_1'$  such that  $|\hat{\underline{e}}_1| \hookrightarrow e_1'$ . By induction hypothesis,  $\hat{\underline{e}}_1 \hookrightarrow^+ \hat{\underline{e}}_1'$  for some  $\hat{\underline{e}}_1'$  such that  $|\hat{\underline{e}}_1'| = e_1'$ . Let  $\hat{\underline{e}}' = \langle |\hat{\underline{e}}_1'|, |\hat{\underline{e}}_2'| \rangle$  and we are done.
- $\underline{\hat{e}}' = (\langle \underline{\hat{e}}'_1, \underline{\hat{e}}_2 \rangle)^1$ , and we are done.

The rest of the cases can be handled similarly.

By Theorem 4 and Theorem 5, we know that the erasure of a well-type aexpression  $\hat{e}$  preserves the dynamic semantics of  $\hat{e}$  w.r.t. the erasure function. The attributive types in  $\mathcal{L}_0$  are precisely introduced to establish this property.

$$\frac{\varSigma \vdash \tau_1 : type_{b_1} \quad \varSigma \vdash \tau_2 : type_{b_2}}{\varSigma \vdash \tau_1 * \tau_2 : type_{b_1 \oplus b_2}} \quad \textbf{(srt-tup)}$$
 
$$\frac{\varSigma \vdash \tau_1 : type_{b_1} \quad \varSigma \vdash \tau_2 : type_{b_2} \quad t \leq b_2}{\varSigma \vdash \tau_1 \to t_2 : type_{b_2}} \quad \textbf{(srt-fun)}$$
 
$$\frac{\varSigma \vdash B : bool \quad \varSigma \vdash \tau : type_b}{\varSigma \vdash B \supset \tau : type_b} \quad \textbf{(srt-}\supset) \qquad \frac{\varSigma \vdash B : bool \quad \varSigma \vdash \tau : type_b}{\varSigma \vdash B \land \tau : type_b} \quad \textbf{(srt-}\land)}{\frac{\varSigma, a : \sigma \vdash \tau : type_b}{\varSigma \vdash \forall a : \sigma. \ \tau : type_b}} \quad \textbf{(srt-}\lor)$$

**Fig. 6.** Some sorting rules for  $\mathcal{L}_0^{\forall,\exists}$ 

### 3 Extension

The type system of  $\mathcal{L}_0$ , which is based on simple types, is not expressive enough to support interesting and realistic style of programming with theorem proving. In this section, we mention an extension of  $\mathcal{L}_0$  to  $\mathcal{L}_0^{\forall,\exists}$  with dependent types as well as polymorphic types. Formalizing an extension as such is just a common routine in the framework of Applied Type System [Xi04], and we have coined a word *predicatization* to refer to such a routine. Please see [Xi04,CX05] for more details that are not presented here for the sake of brevity.

The language  $\mathcal{L}_0^{\forall,\exists}$  consists of a static component (statics) and a dynamic component (dynamics). The statics itself is a simply typed language and a type in it is referred to as a *sort*. We assume the existence of the following basic sorts: bool, int, type<sub>0</sub> and type<sub>1</sub>, and we may write prop and type for type<sub>0</sub> and type<sub>1</sub>, respectively; bool is the sort for truth values, and int is the sort for integers, and prop is the sort for props, and type is the sort for types. We use a for static variables and s for static terms, and write  $\Sigma \vdash s : \sigma$  to mean that s can be given the sort  $\sigma$  under the context  $\Sigma$ . The additional forms of types in  $\mathcal{L}_0^{\forall,\exists}$  (over those in  $\mathcal{L}_0$ ) are given below:

types 
$$\tau ::= \dots \mid \delta(\overline{s}) \mid B \supset \tau \mid B \land \tau \mid \forall a : \sigma. \tau \mid \exists a : \sigma. \tau$$

where  $\overline{s}$  stands for a sequence of static terms. We use  $B \supset T$  for a guarded type and  $B \land T$  for an asserting type, where B and T refer to static expressions of sorts *bool* and *type*, respectively. As an example, the following type is for a function from natural numbers to negative integers:

$$\forall a_1 : int. \ a_1 \geq 0 \supset (\mathbf{int}(a_1) \rightarrow \exists a_2 : int. \ (a_2 < 0) \land \mathbf{int}(a_2))$$

where  $\mathbf{int}(I)$  is a singleton type for the integer equal to I. The guard  $a_1 \geq 0$  indicates that the function can only be applied to an integer that is greater than or equal to 0; the assertion  $a_2 < 0$  means that each integer returned by the function is negative.

Some of the rules for assigning sorts to static terms are given in Figure 6. In addition, we have the following sorting rule:

$$\frac{\varSigma \vdash \tau : type_1}{\varSigma \vdash \tau : type_0}$$

which allows a type to be used as a prop. It is this simple rule that initiates the study on attributive types.

Let us use a judgment of the form  $\Sigma \vdash \tau : type_b \Rightarrow \hat{\underline{\tau}}$ , where  $bit(\hat{\underline{\tau}}) = b$  is assumed, to mean that  $\Sigma \vdash \tau : type_b$  is derivable and  $\tau$  is transformed into  $\hat{\underline{\tau}}$  based the derivation of  $\Sigma \vdash \tau : type_b$ . Then we can readily turn the rules in Figure 6 into the ones for deriving judgments of this form. For instance, the following rule is derived from the rule (srt-fun):

$$\frac{\varSigma \vdash \tau_1 : type_{b_1} \Rightarrow \underline{\hat{\tau}}_1 \quad \varSigma \vdash \tau_2 : type_{b_2} \Rightarrow \underline{\hat{\tau}}_2 \quad t \leq b_2}{\varSigma \vdash \tau_1 \mathrel{-\!-}^t \tau_2 : type_{b_2} \Rightarrow (\underline{\hat{\tau}}_1 \mathrel{-\!-}^t \underline{\hat{\tau}}_2)^{b_2}}$$

It should be obvious to see how the other rules in Figure 6 are handled, and we leave out the details. Note that using the sorting rules to attach erasure bits to types is particularly important in practice as requiring the programmer to do so manually would seem too unwieldy if not completely impractical..

A static term s in  $\mathcal{L}_0^{\forall,\exists}$  is either a static boolean term B of sort bool, or a static integer I of sort int, or a prop P of sort prop, or a type T of sort type. In practice, we allow the programmer to introduce new sorts through datasort declarations, which are rather similar to datatype declarations in ML. We assume some primitive functions  $c_B$  and  $c_I$  when forming static terms of sorts bool and int; for instance, we can form terms such as  $I_1 + I_2$ ,  $I_1 - I_2$ ,  $I_1 \leq I_2$ ,  $\neg B$ ,  $B_1 \wedge B_2$ , etc. We use  $\overline{B}$  for a sequence of static boolean terms and  $\Sigma; \overline{B} \models B$  for a constraint that means for any substitution  $\Theta: \Sigma$  (meaning  $\Theta(a)$  can be assigned the sort  $\Sigma(a)$  for every  $a \in \mathbf{dom}(\Theta) = \mathbf{dom}(\Sigma)$ ), if each static boolean term in  $\overline{B}[\Theta]$  equals true then so does  $B[\Theta]$ . In practice, such a constraint relation is often determined by some automatic decision procedure.

is often determined by some automatic decision procedure. A typing judgment in  $\mathcal{L}_0^{\forall,\exists}$  is of the form  $\Sigma; \overline{B}; \Gamma \vdash \underline{\hat{e}} : \underline{\hat{\tau}}$ , and we omit the typing rules for  $\mathcal{L}_0^{\forall,\exists}$ . The developed theory in Section 2 can be readily carried over to  $\mathcal{L}_0^{\forall,\exists}$ . To establish program (or proof) termination more effectively in practice, we employ an approach that allows the programmer to supply termination metrics for automatic termination verification [Xi02]. We will explain some uses of this approach in Section 4.

# 4 An Example

We now use an example to illustrate how the form of attributive types developed in this paper can be used to support programming with theorem proving.

In Figure 7, we declare a type constructor **tree** that takes a type T and two integers  $I_1$  and  $I_2$  to form the type  $\mathbf{tree}(T, I_1, I_2)$  for binary trees of height  $I_1$  and size  $I_2$  in which each element is of type T. We use  $\max(h_1, h_2)$  for the

Fig. 7. A dependent datatype for binary trees and a dataprop for encoding power of 2

maximum of  $h_1$  and  $h_2$ . Clearly, for any binary tree of height  $I_1$  and size  $I_2$ , we have  $2^{I_1} < I_2$ . To establish this property, we declare a prop constructor **POW2** in Figure 7 to encode the power function with base 2: Given integers  $I_1$  and  $I_2$ , if **POW2** $(I_1, I_2)$  is inhabited, the  $2^{I_1} = I_2$  holds.

```
// [pow2] computes powers of two
fun pow2 {p:nat} .. (p: int p): [n:nat] (POW2 (p, n) | int n) =
  if p igt 0 then let
    val (pf | n) = pow2 (ipred p)
     (POW2ind pf | n iadd n)
  end else begin
    (POW2bas | 1)
  end
// [prfun] means that a proof function is declared; instead of
// of type, a prop is assigned to a proof function
prfun lemma {a:type} {h,s:nat} .<h>.
  (t: tree (a, h, s)): [n: nat \mid s < n] POW2 (h, n) = case+ t of
  | E () => POW2bas ()
  | B (t1, _, t2) => let

    prval pf1 = lemma t1 and pf2 = lemma t2

    val (pf | _) = pow2 (ipred (height t))
      prval () = pow2\_inc (pf1, pf) and () = pow2\_inc (pf2, pf)
    in
      POW2ind pf
    end
```

Fig. 8. A proof construction involving total functions

We implement a function pow2 and a proof function lemma in Figure 8, which are assigned the following type and prop, respectively:

```
\begin{array}{lll} \textit{pow2} & : & \forall \iota_1. \ \iota_1 \geq 0 \supset (\textbf{int}(\iota_1) \stackrel{\mathcal{A}}{\rightarrow} \exists \iota_2. \ \underline{\textbf{POW2}}(\iota_1, \iota_2) * \textbf{int}(\iota_2) \\ \textit{lemma} & : & \forall \alpha. \forall \iota_1. \forall \iota_2. \ \textbf{tree}(\alpha, \iota_1, \iota_2) \stackrel{\mathcal{A}}{\rightarrow} \exists \iota. \ (\iota_2 < \iota) \land \underline{\textbf{POW2}}(\iota_1, \iota) \end{array}
```

Note that given an integer I, we use  $\mathbf{int}(I)$  for the singleton type containing the only integer of value I. Clearly, this prop means that  $I_2 < 2^{I_1}$  if there exists a tree

of height  $I_1$  and size  $I_2$ . In the definition of and pow2 and lemma, the functions iadd, ipred, igt and height, and the proof function  $pow2\_inc$  are assigned the following types and prop:

```
\begin{array}{rcl} iadd & : & \forall \iota_{1}\forall \iota_{2}. \ (\mathbf{int}(\iota_{1})*\mathbf{int}(\iota_{2})) \rightarrow^{0} \mathbf{int}(\iota_{1}+\iota_{2}) \\ ipred & : & \forall \iota. \ \mathbf{int}(\iota) \rightarrow^{0} \mathbf{int}(\iota-1) \\ igt & : & \forall \iota_{1}\forall \iota_{2}. \ (\mathbf{int}(\iota_{1})*\mathbf{int}(\iota_{2})) \rightarrow^{0} \mathbf{bool}(\iota_{1}>\iota_{2}) \\ height & : & \forall \alpha.\forall \iota_{1}.\forall \iota_{2}. \ (\iota_{1}\geq 0 \land \iota_{2}\geq 0) \supset \mathbf{tree}(\alpha,\iota_{1},\iota_{2}) \rightarrow^{0} \mathbf{int}(\iota_{1}) \\ pow2\_inc & : & \forall \iota_{1}.\forall \iota'_{1}.\forall \iota_{2}.\forall \iota'_{2}. \ (\iota_{1}\geq 0 \land \iota_{2}\geq 0 \land \iota_{1}\leq \iota_{2}) \supset \\ & & (\underline{\mathbf{POW2}}(\iota_{1},\iota'_{1})*\underline{\mathbf{POW2}}(\iota_{2},\iota'_{2}) \rightarrow^{0} \ (\iota'_{1}\leq \iota'_{2}) \land \mathbf{1}) \end{array}
```

Note that we use **bool**(B) for the singleton type containing the only boolean of value B. The functions *iadd*, *ipred* and *igt* are primitive ones with the obvious meaning. The function *height* computes the height of a given tree and the proof function  $pow2\_inc$  essentially proves that  $2^{I_1} \leq 2^{I_2}$  holds for any natural number  $I_1$  and  $I_2$  satisfying  $I_1 \leq I_2$ . For brevity, we omit the actual code that implements height and  $pow2\_inc$ .

#### 5 Related Work and Conclusion

In an attempt to advance the type system of ML, Dependent ML (DML) is developed to support a restricted form of dependent types where type indexes are required to be drawn only from a chosen index language [Xi07,XP99]. In DML, type-checking a sufficiently annotated program can be reduced to solving constraints from this chosen index language, which is often handled through a fully automatic but limited decision procedure. In ATS [Xi], a paradigm of programming with theorem proving is introduced [CX05], making it possible for the programmer to handle (difficult) constraints by constructing explicit proofs. Consequently, the need for proof erasure appears.

The approach to proof erasure in this paper draws primary inspiration from the design of program extraction (from proofs) in Coq [PM89,Let03]. In particular, the sorts *prop* and *type* here roughly corresponds to the kinds *Prop* and *Set* in Coq.

The notion of proof erasure in this paper is also casually related to a modal type theoretical study on proof irrelevance [Pfe01]. The approach taken in [Pfe01] is fundamentally different from the one in [PM89] as the former does not support, a priori, a separation between props and types. Instead, whether an object can be classified as a program (i.e., intentional expression) or a proof only depends on some conditions on its free variables. We, however, do not make use of such conditions when formulating the typing rules for  $\mathcal{L}_0$ .

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