# Lenguajes de Programación



First-class functions

Federico Olmedo Ismael Figueroa

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In the extended language, functions can be defined anywhere (within an expression), e.g.

$$\{+ 5 \{\{fun \{x\} x\} 10\}\}$$

function position of an application

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{+ 5 {{fun {x} x} 10}}

— function position of an application

{with {z {fun {x} x}} {+ 5 {z 10}}

— named expression position in a local definition

{with {y 3} {fun {x} {+ x y}}

— body of a local definition
```

```
    function position of an application

\{+ 5 \{\{fun \{x\} x\} 10\}\}

    named expression position in a local

{with {z \{fun \{x\} x\}\}\} \{+ 5 \{z 10\}\}\}
                                                        definition

    body of a local definition

{with {y 3} {fun {x} {+ x y}}}
{{ {fun {f} {fun {z} {f {f z}}}}} {fun {x} {+ x 1}} } 10}
                (apply-twice)
                                                            (add1)

    actual parameter position of an application
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$$\{\{\text{fun } \{x\} \ \{+ \ x \ 8\}\} \ 5\}$$

#### We can then:

- 1. Remove local definitions from the abstract syntax, and
- 2. Accept them at the concrete syntax level by parsing them as a function application

```
#|
<expr> ::= (num <num>)
          (add <expr> <expr>)
           (sub <expr> <expr>)
           (if0 <expr> <expr> <expr>)
           (id <sym>)
           (fun <sym> <expr>)
           (app <expr> <expr>)
|#
   Inductive definition of arithmetic
;; expressions with first-class functions.
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

```
<s-expr> ::= <num>
          (list '+ <s-expr> <s-expr>)
          (list '- <s-expr> <s-expr>)
          (list 'if0 <s-expr> <s-expr>)
          (list 'with (list <sym> <s-expr>) <s-expr>)
          (list 'fun (list <sym>) <s-expr>)
          (list <s-expr> <s-expr>)
|#
;; parse :: s-expr -> Expr
;; Converts s-expressions into Exprs.
(define (parse s-expr)
  (match s-expr
    [(? number? n) (num n)]
    [(? symbol? x) (id x)]
    [(list '+ l r) (add (parse l) (parse r))]
    [(list '- l r) (sub (parse l) (parse r))]
    [(list 'if0 c t f) (if0 (parse c) (parse t) (parse f))]
    [(list 'with (list (? symbol? x) e) b)
     (app (fun x (parse b)) (parse e))]
    [(list 'fun (list x) b) (fun x (parse b))]
    [(list f a) (app (parse f) (parse a))]))
```

```
<s-expr> ::= <num>
           (list '+ <s-expr> <s-expr>)
          (list '- <s-expr> <s-expr>)
          (list 'if0 <s-expr> <s-expr> <s-expr>)
          (list 'with (list <sym> <s-expr>) <s-expr>)
          (list 'fun (list <sym>) <s-expr>)
          (list <s-expr> <s-expr>)
|#
                                                  with expressions are
;; parse :: s-expr -> Expr
                                                  translated as internal function
;; Converts s-expressions into Exprs.
                                                  applications
(define (parse s-expr)
  (match s-expr
    [(? number? n) (num n)]
    [(? symbol? x) (id x)]
    [(list '+ l r) (add (parse l) (parse r))]
    [(list '- l r) (sub (parse l) (parse r))]
    [(list 'if0 c t f) (if0 (parse c) (parse t) (parse f))]
    [(list 'with (list (? symbol? x) e) b)
     (app (fun x (parse b)) (parse e))]
    [(list 'fun (list x) b) (fun x (parse b))]
    [(list f a) (app (parse f) (parse a))]))
```

```
<s-expr> ::= <num>
           (list '+ <s-expr> <s-expr>)
          (list '- <s-expr> <s-expr>)
          (list 'if0 <s-expr> <s-expr>)
          (list 'with (list <sym> <s-expr>) <s-expr>)
          (list 'fun (list <sym>) <s-expr>)
           (list <s-expr> <s-expr>)
|#
;; parse :: s-expr -> Expr
                                                 Function definition and application are
;; Converts s-expressions into Exprs.
                                                parsed as shown here
(define (parse s-expr)
  (match s-expr
    [(? number? n) (num n)]
    [(? symbol? x) (id x)]
    [(list '+ l r) (add (parse l) (parse r))]
    [(list '- l r) (sub (parse l) (parse r))]
    [(list 'if0 c t f) (if0 (parse c) (parse t) (parse f))]
    [(list 'with (list (? symbol? x) e) b)
     (app (fun x (parse b)) (parse e))]
    [(list 'fun (list x) b) (fun x (parse b))]
    [(list f a) (app (parse f) (parse a))]))
```

1. What are now values?

```
interp :: Expr Env -> ???
```

1. What are now values?

```
interp :: Expr Env -> ???
```

```
{+ 5 {{fun {x} x} 10}}
```

**→** 15

1. What are now values? interp :: Expr Env -> ???

{+ 5 {{fun {x} x} 10}}

1. What are now values?



**→** 15

1. What are now values?



1. What are now values?

{+ 5 {{fun {x} x} 10}}

```
interp :: Expr Env -> ???
                                     → 15
                                                                         NUMBER
{with {y 3} {fun {x} {+ x y}} \longrightarrow "{fun {x} {+ x 3}}"
```

1. What are now values?

{+ 5 {{fun {x} x} 10}}

```
→ 15
                                                                         NUMBER
{with {y 3} {fun {x} {+ x y}} \rightsquigarrow "{fun {x} {+ x 3}}"
```

interp :: Expr Env -> ???

1. What are now values?

interp :: Expr Env -> ???

Expressions now reduce to either numbers or functions...



In fact they reduce to something more complicated than plain "functions"....

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

2. How do we now reduce expressions?

— The cases of **num**, **add**, **sub**, **if0** and **id** remain the same as before

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

2. How do we now reduce expressions?

— The cases of **num**, **add**, **sub**, **if0** and **id** remain the same as before

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

2. How do we now reduce expressions?

— The cases of **num**, **add**, **sub**, **if0** and **id** remain the same as before

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

Isn't it possible to reduce the function's body?

2. How do we now reduce expressions?

— The cases of **num**, **add**, **sub**, **if0** and **id** remain the same as before

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

The interpretation of a function, i.e.(fun arg body), is the same function

Isn't it possible to reduce the function's body?

```
{+ 5 {{fun {x} x} 10}}
```

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

3. How do we now reduce expressions?

```
{+ 5 {{fun {x} x} 10}}
```

 As seen before, we must substitute the formal parameter in the body for the argument.

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

```
{+ 5 {{fun {x} x} 10}}
```

- As seen before, we must substitute the formal parameter in the body for the argument.
- Using deferred substitution, this means we have to extend an environment... but which one?

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

```
{+ 5 {{fun {x} x} 10}}
```

- As seen before, we must substitute the formal parameter in the body for the argument.
- Using deferred substitution, this means we have to extend an environment... but which one?
  - Extending an empty environment, we lose static scope

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

```
{+ 5 {{fun {x} x} 10}}
```

- As seen before, we must substitute the formal parameter in the body for the argument.
- Using deferred substitution, this means we have to extend an environment... but which one?
  - Extending an empty environment, we lose static scope
  - Extending the existing environment yields dynamic scope

```
(deftype Expr
  (num n)
  (add l r)
  (sub l r)
  (if0 c t f)
  (id x)
  (fun arg body)
  (app f arg))
```

Extending an empty environment, we lose static scope

— Extending an empty environment, we lose static scope

Initial environment is empty.

1 extend empty env with [x -> 3]

— Extending an empty environment, we lose static scope

- extend empty env with [x -> 3]
- extend empty env with [f -> fun 'y ...]

— Extending an empty environment, we lose static scope

- extend empty env with [x -> 3]
- extend empty env with [f -> fun 'y ...]
- extend empty env with [x -> 5]

— Extending an empty environment, we lose static scope

- extend empty env with [x -> 3]
- extend empty env with [f -> fun 'y ...]
- extend empty env with [x -> 5]
- interpret body with environment [x -> 5]

Extending an empty environment, we lose static scope

```
(app (fun 'x
(app (fun 'f
(app (fun 'x
(app (fun 'x
(add (id 'x) (app (id 'f) (num 4))))
(num 5)))
(fun 'y
(add (id 'x) (id 'y))))
(num 3))
```

- extend empty env with [x -> 3]
- extend empty env with [f -> fun 'y ...]
- extend empty env with [x -> 5]
- interpret body with environment [x -> 5]
- 5 Lookup for **f** fails.

— Extending the existing environment *yields dynamic scope* 

— Extending the existing environment *yields dynamic scope* 

Initial environment is empty.

extend empty env with [x -> 3]

— Extending the existing environment *yields dynamic scope* 

- extend empty env with [x -> 3]
- 2 extend existing env: [f -> fun 'y ...; x -> 3]

— Extending the existing environment *yields dynamic scope* 

- extend empty env with [x -> 3]
- extend existing env:  $[f \rightarrow fun 'y ...; x \rightarrow 3]$
- 3 extend existing env:  $[x \rightarrow 5; f \rightarrow fun 'y ...; x \rightarrow 3]$

— Extending the existing environment *yields dynamic scope* 

- extend empty env with [x -> 3]
- extend existing env: [f -> fun 'y ...; x -> 3]
- 3 extend existing env:  $[x \rightarrow 5; f \rightarrow fun 'y ...; x \rightarrow 3]$
- interpret body with environment  $[x \rightarrow 5; f \rightarrow fun 'y ...; x \rightarrow 3]$

— Extending the existing environment *yields dynamic scope* 

```
(app (fun 'x
(app (fun 'f
(app (fun 'x
(app (fun 'x
(add (id 'x) (app (id 'f) (num 4))))
(num 5)))
(fun 'y
(add (id 'x) (id 'y))))
(num 3))
```

- extend empty env with [x -> 3]
- extend existing env: [f -> fun 'y ...; x -> 3]
- 3 extend existing env: [x -> 5; f -> fun 'y ...; x -> 3]
- interpret body with environment  $[x \rightarrow 5; f \rightarrow fun 'y ...; x \rightarrow 3]$
- 5 Lookup for **f** succeeds, but evaluates x as 5 yielding dynamic scope

```
{with {x 3}
	{with {f {fun {y} {+ x y}}}
	{with {x 5}
	{+ x {f 4}}}}
} 
Lookup fail
```

Extending an empty environment, we lose static scope

```
{with {x 3}
	{with {f {fun {y} {+ x y}}}
	{with {x 5}
	{+ x {f 4}}}}

} \times Lookup fail
```

Extending the existing environment yields dynamic scope

— Extending an empty environment, we lose static scope

```
{with {x 3}
	{with {f {fun {y} {+ x y}}}
	{with {x 5}
	{+ x {f 4}}}}
} 
Lookup fail
```

Extending the existing environment yields dynamic scope

```
{with {x 3}

{with {f {fun {y} {+ x y}}}

{with {x 5}

{+ x {f 4}}}}

{+ 5 {+ 5 4}}
```

Extending an empty environment, we lose static scope

```
{with {x 3}
	{with {f {fun {y} {+ x y}}}
	{with {x 5}
	{+ x {f 4}}}}
} 
Lookup fail
```

Extending the existing environment yields dynamic scope

```
{with {x 3}

{with {f {fun {y} {+ x y}}}

{with {x 5}

{+ x {f 4}}}}

{+ 5 {+ 5 4}}
```

We need to keep static scope

— Extending an empty environment, we lose static scope

```
{with {x 3}
	{with {f {fun {y} {+ x y}}}
	{with {x 5}
	{+ x {f 4}}}}
} 
Lookup fail
```

Extending the existing environment yields dynamic scope

```
{with {x 3}

{with {f {fun {y} {+ x y}}}

{with {x 5}

{+ x {f 4}}}}

{+ 5 {+ 5 4}}
```

We need to keep static scope

— Key Insight: Static scoping binds an identifier with the closest binding occurrence, in the textual representation of the source code.

```
{with {x 3}

{with {f {fun {y} {+ x y}}}

{with {x 5}

{+ x {f 4}}}}

}
```

— Key Insight: Static scoping binds an identifier with the closest binding occurrence, in the textual representation of the source code.

```
{with {x 3}

{with {f {fun {y} {+ x y}}}

{with {x 5}

{+ x {f 4}}}}
```

— Key Insight: Static scoping binds an identifier with the closest binding occurrence, in the textual representation of the source code.

```
{with {x 3}

{with {f {fun {y} {+ x y}}}

{with {x 5}

{+ x {f 4}}}}
```

— To keep static scope, whenever we find a function definition we must "wrap" the function definition with the environment at that point during interpretation. This correctly records the pending substitutions at that moment.

— Key Insight: Static scoping binds an identifier with the closest binding occurrence, in the textual representation of the source code.

```
{with {x 3}

{with {f {fun {y} {+ x y}}}

{with {x 5}

{+ x {f 4}}}}
```

- To keep static scope, whenever we find a function definition we must "wrap" the function definition with the environment at that point during interpretation. This correctly records the pending substitutions at that moment.
- The structure that binds together a function definition and its environment at definition time is known as a **closure**.

function definition + definition location + definition location = closure of the function (pending substitutions when the function is being defined)

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                                (def (numV v1) n1)
;; Evaluates an expression in
                                                                (def (numV v2) n2)
  a given environment, using static scoping.
                                                               (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                              (define (num-zero? n)
                                                               (def (numV v) n)
                                                               (zero? v))
    [(num n)]
    [(fun id body)
    [(id x)]
    [(add l r)
    [(sub l r)
    [(if0 c t f)
    [(app f e)
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                                (def (numV v1) n1)
;; Evaluates an expression in
                                                                (def (numV v2) n2)
  a given environment, using static scoping.
                                                               (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                              (define (num-zero? n)
                                                               (def (numV v) n)
                                                               (zero? v))
    [(num n)]
    [(fun id body)
    [(id x)]
    [(add l r)
    [(sub l r)
    [(if0 c t f)
    [(app f e)
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                               (def (numV v1) n1)
;; Evaluates an expression in
                                                               (def (numV v2) n2)
  a given environment, using static scoping.
                                                               (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                             (define (num-zero? n)
                                                               (def (numV v) n)
                                                               (zero? v))
    [(num n) (numV n)]
    [(fun id body)
    [(id x)]
    [(add l r)
    [(sub l r)
    [(if0 c t f)
    [(app f e)
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                               (def (numV v1) n1)
;; Evaluates an expression in
                                                               (def (numV v2) n2)
  a given environment, using static scoping.
                                                               (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                             (define (num-zero? n)
                                                               (def (numV v) n)
                                                               (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x)]
    [(add l r)
    [(sub l r)
    [(if0 c t f)
    [(app f e)
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                               (def (numV v1) n1)
;; Evaluates an expression in
                                                               (def (numV v2) n2)
  a given environment, using static scoping.
                                                               (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                             (define (num-zero? n)
                                                               (def (numV v) n)
                                                               (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x) (env-lookup x env)]
    [(add l r)
    [(sub l r)
    [(if0 c t f)
    [(app f e)
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                              (def (numV v1) n1)
;; Evaluates an expression in
                                                              (def (numV v2) n2)
  a given environment, using static scoping.
                                                              (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                            (define (num-zero? n)
                                                              (def (numV v) n)
                                                              (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x) (env-lookup x env)]
    [(add l r) (num+ (interp l env) (interp r env))]
    [(sub l r)
    [(if0 c t f)
    [(app f e)
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                              (def (numV v1) n1)
;; Evaluates an expression in
                                                              (def (numV v2) n2)
  a given environment, using static scoping.
                                                              (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                            (define (num-zero? n)
                                                              (def (numV v) n)
                                                              (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x) (env-lookup x env)]
    [(add l r) (num+ (interp l env) (interp r env))]
    [(sub l r) (num- (interp l env) (interp r env))]
    [(if0 c t f)
    [(app f e)
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                              (def (numV v1) n1)
;; Evaluates an expression in
                                                              (def (numV v2) n2)
  a given environment, using static scoping.
                                                             (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                            (define (num-zero? n)
                                                              (def (numV v) n)
                                                             (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x) (env-lookup x env)]
    [(add l r) (num+ (interp l env) (interp r env))]
    [(sub l r) (num- (interp l env) (interp r env))]
    [(if0 c t f) (if (num-zero? (interp c env))
                      (interp t env)
                      (interp f env))]
    [(app f e)
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                             (def (numV v1) n1)
;; Evaluates an expression in
                                                             (def (numV v2) n2)
  a given environment, using static scoping.
                                                             (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                           (define (num-zero? n)
                                                             (def (numV v) n)
                                                             (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x) (env-lookup x env)]
    [(add l r) (num+ (interp l env) (interp r env))]
    [(sub l r) (num- (interp l env) (interp r env))]
    [(if0 c t f) (if (num-zero? (interp c env))
                      (interp t env)
                      (interp f env))]
    [(app f e) (def (closureV the-arg the-body closed-env) (interp f env))
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                             (def (numV v1) n1)
;; Evaluates an expression in
                                                             (def (numV v2) n2)
;; a given environment, using static scoping.
                                                             (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                           (define (num-zero? n)
                                                             (def (numV v) n)
                                                             (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x) (env-lookup x env)]
    [(add l r) (num+ (interp l env) (interp r env))]
    [(sub l r) (num- (interp l env) (interp r env))]
    [(if0 c t f) (if (num-zero? (interp c env))
                      (interp t env)
                      (interp f env))]
    [(app f e) (def (closureV the-arg the-body closed-env) (interp f env))
                (def new-env (extend-env the-arg (interp e env) closed-env))
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                             (def (numV v1) n1)
;; Evaluates an expression in
                                                             (def (numV v2) n2)
;; a given environment, using static scoping.
                                                             (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                           (define (num-zero? n)
                                                             (def (numV v) n)
                                                             (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x) (env-lookup x env)]
    [(add l r) (num+ (interp l env) (interp r env))]
    [(sub l r) (num- (interp l env) (interp r env))]
    [(if0 c t f) (if (num-zero? (interp c env))
                      (interp t env)
                      (interp f env))]
    [(app f e) (def (closureV the-arg the-body closed-env) (interp f env))
                (def new-env (extend-env the-arg (interp e env) closed-env))
                (interp the-body new-env)]))
```

```
(define (num+ n1 n2)
;; interp :: Expr Env -> Value
                                                              (def (numV v1) n1)
;; Evaluates an expression in
                                                              (def (numV v2) n2)
;; a given environment, using static scoping.
                                                              (numV (+ v1 v2)))
(define (interp expr env)
  (match expr
                                                            (define (num-zero? n)
                                                              (def (numV v) n)
                                                              (zero? v))
    [(num n) (numV n)]
    [(fun id body) (closureV id body env)]
    [(id x) (env-lookup x env)]
    [(add l r) (num+ (interp l env) (interp r env))]
                                                                Changing this to env
    [(sub l r) (num- (interp l env) (interp r env))]
                                                                yields an interpreter
    [(if0 c t f) (if (num-zero? (interp c env))
                                                                with dynamic scoping
                      (interp t env)
                      (interp f env))]
    [(app f e) (def (closureV the-arg the-body closed-env) (interp flenv))
                (def new-env (extend-env the-arg (interp e env) closed-env))
                (interp the-body new-env)]))
```

Interpreting a language with both first class functions and static scoping requires the use of function closures (which wrap functions up with the deferred substitutions at their definition location)

# **Takeaway**

#### Bibliography

Programming Languages: Application and Interpretation (1<sup>st</sup> Edition)
 Shriram Krishnamurthi [Download]
 Chapter 6

#### Source code

Arithmetical language with first class functions [Download]