

## Survey of lightweighting methods of huge 3D models for online Web3D visualization

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**Abstract: Background** With the rapid development of Web3D technologies, the online Web3D visualization, particularly for complex models or scenes, has been in a great demand. Owing to the major conflict between the Web3D system load and resource consumption in the processing of these huge models, the huge 3D model lightweighting methods for online Web3D visualization are reviewed in this paper. **Methods** By observing the geometry redundancy introduced by man-made operations in the modeling procedure, several categories of lightweighting related work that aim at reducing the amount of data and resource consumption are elaborated for Web3D visualization. **Results** By comparing perspectives, the characteristics of each method are summarized, and among the reviewed methods, the geometric redundancy removal that achieves the lightweight goal by detecting and removing the repeated components is an appropriate method for current online Web3D visualization. Meanwhile, the learning algorithm, still in improvement period at present, is our expected future research topic. **Conclusions** Various aspects should be considered in an efficient lightweight method for online Web3D visualization, such as characteristics of original data, combination or extension of existing methods, scheduling strategy, cache management, and rendering mechanism. Meanwhile, innovation methods, particularly the learning algorithm, are worth exploring.

**Keywords:** Huge 3D model; Lightweighting; Web3D; Visualization; Shape descriptor

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### 1 Introduction

Currently, to access or interact with the world at home has become a popular lifestyle, and its great facility has stimulated enormous demands in every industry sector. With various related applications emerging, the Web3D-based systems that allow us to visualize, share, and interact with the 3D virtual environments through the internet have been the most popular due to their possibility of immersing, no installation required, and security of information. However, a significant amount of literature or research has addressed a few issues<sup>[1–4]</sup>.

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The online Web3D visualization of 3D virtual models (or scenes) has become an imperative means of data accessing and sharing in the future, and a wide range of implements are available in online games, tourism, education, engineering, construction, etc. Meanwhile, there is a further increase in demand for more data type and heavier data volume. However, with the user demand for a more refined model and the increasing complexity of the model itself, the resource conflict between the model processing requirement and the capabilities of the Web3D system has evolved into a serious problem. Consequently, the online Web3D visualization has become a tough and challenging task. The huge 3D models (or scenes) are always man-made models developed using the modeling software such as 3ds Max and Solid Works. During the modeling procedure, many operations such as copy/paste and drag and drop can bring numerous repeated patterns into the final model based on translation, rotation, and scaling. Various research aiming at detecting and removing these redundancies based on repeated patterns have been conducted<sup>[5,6]</sup>.

Based on our previous work, the lightweight approach that reduces the amount of data or its consumption for the visualization system using the information hiding and geometric slimming strategy<sup>[7]</sup> will be reviewed in this paper. Furthermore, considering the main resource limitation of Web3D browsers in the occupied cache buffer, network consumption, and online operation complexity, we will endeavor to present and summarize some of the most popular geometrically lightweighting work for online Web3D visualization. As far as we are concerned, this approach is more effective and functional in practical projects. Meanwhile, owing to our limited attempts and experiments, we believe that there still exist numerous other methods or potential solutions beyond the review presented in this paper.

The remainder of this paper is organized as follows. The popular lightweighting methods are firstly elaborated in section 2. The characteristics of the reviewed method are described in section 3 from a comparative perspective. The conclusion and future work are presented in section 4.

## 2 Lightweighting methods

The geometrically lightweighting method for processing geometry model, especially the geometric mesh here, has long existed. More specific phases such as “rigid” and “articulated” that represent the model feature have been introduced<sup>[8–11]</sup>. In this paper, considering the current operational capability of Web3D browsers, we pay more attention to the methods for rigid model and elaborate some of the most popular research: mesh compression, progressive mesh, geometric redundancy removal, and parametric representation, etc.

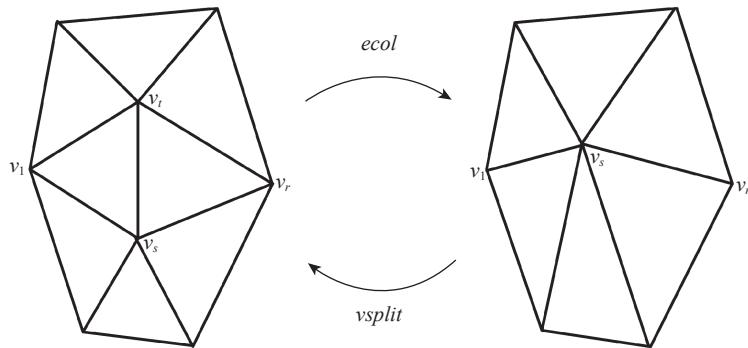
### 2.1 Mesh compression

Compression algorithm<sup>[12]</sup> is a classic method to reduce the size of data file using a coding strategy, and two reverse procedures are required in this algorithm. The earlier survey on mesh compression was introduced by Peng et al. in which this algorithm was classified into single and multiple resolution compression according to the mesh geometry characteristics<sup>[13]</sup>. In the compression algorithm, an encoding procedure converts raw data into a new one with a smaller amount, and a reverse decoding procedure is employed when raw data is needed to be recovered. The mesh compression method in this subsection is an extension of the compression algorithm in graphics area. The connectivity of vertices with the geometry of the mesh models is selected for the encoding procedure by constructing a code index through spanning tree<sup>[14]</sup>, octree<sup>[15]</sup>, or other data structure<sup>[16,17]</sup>.

Therefore, the mesh compression method can reduce the mesh amount in conventional applications. However, due to its two procedures, encoding and decoding<sup>[15]</sup>, it also requires more device resources that are fatal for the Web3D visualization systems. Meanwhile, these two procedures also raise a time delay problem that cannot be ignored.

## 2.2 Progressive mesh

Based on the coding strategy of mesh compression, an improved method named progressive mesh (PM) is proposed by Hoppe<sup>[18]</sup>. The major contribution of PM is that a new mesh simplification algorithm is proposed. With this simplification, an arbitrary mesh can be encoded into a simple base mesh  $M^0$  along with a sequence of detail records based on a streaming idea. First, by applying a sequence of mesh transformation such as edge collapse (represented as *ecol* in Figure 1), the original mesh can be stored as a much coarser mesh, called base mesh  $M^0$  along with a sequence of detail records. Second, by using a sequence of inverse mesh transformation such as vertex split (represented as *vsplit* in Figure 1), the sequence of detail records can then be used to incrementally refine  $M^0$  back into the original mesh when required<sup>[18]</sup>. It can be observed from the figure that each detail record stores the information of a vertex split and an elementary mesh transformation, which can be used later to add split vertex back to the mesh.



**Figure 1** Illustration of edge collapse transformation<sup>[18]</sup>.

Using PM, the complex original mesh can be stored as a simple base mesh along with the sequence of much smaller detail records, and when the mesh is requested in the visualization system, the simple base mesh with small data amount can be first preloaded. Next, as the interaction time increases, the detail records can be added to refine the base mesh progressively until the original mesh is recovered. The progressive lossless mesh representation addresses several practical problems in graphics, especially in the Web3D-based applications, such as smooth geomorphing with a minor time delay, progressive transmission for relatively few network resources, and mesh compression based on a streaming idea. However, we also found that the PM method, based on streaming idea, is more suitable to reduce the load amount at a certain moment. The details such as streaming velocity or interactive control have not been mentioned. Meanwhile, it also creates a new problem that the whole amount of data of base mesh, along with the sequence of detail records, is much larger than the original mesh. Increasing cache data with data loading is very likely to exceed the resource limitation of Web3D systems, resulting in a system crash.

With further improvements, Peng et al. introduced a more upgraded PM method: coding combined with lossless PM<sup>[19]</sup>. Zheng et al. proposed a non-redundant directed acyclic graph (DAG) hierarchy for view-dependent multiresolution mesh to browse online mesh models, and it enabled the model to be visualized as soon as the viewpoint moves without affecting the quality of user experience<sup>[20]</sup>. Zhao et al. presented a network congestion aware PM, named bandwidth adaptation PM, which focused on the preview streaming architecture and framework, and suggested five methods, stop-and-wait, reduce-speed, reduce-quality, key-view-aware, and adaptive-zoom, to handle bandwidth variation<sup>[21]</sup>. Meanwhile, the texture model supported by PM<sup>[22,23]</sup> combines progressive texture streaming with an adaptive number of refinement levels without pixel retransmission, and the geometric structure simplification-based PM<sup>[24]</sup> for building models enables us to

address the challenges of building a model with various structures. As demand changes, further improvements are being made, and extended PM is being implemented in various industry sectors, particularly in Web3D-based applications.

### 2.3 Geometric redundancy removal

Based on the geometric redundancy introduced by repeated components, the lightweight goal of the geometric redundancy removal precisely relies on the repeated components in a geometric view. By directly locating and removing the geometric repeated components, the original model is lightweighted using only unique components left. Meanwhile, the geometric transformation between repeated and unique components is recorded to restore the original model and future application.

To detect the repeated components, different components should be first compared and evaluated under the same space to obtain their geometry similarity. Until now, an effective and efficient way for this is to employ a shape descriptor (SD) that can be viewed as a mapping because it can preserve as much information as possible, but with a result vector possibly in a low dimension. As a rapidly developing field, several SDs are being reported, and the most up-to-date related reviews are provided in various research<sup>[9,10,25–28]</sup>. In this section, we will roughly present a comparison of existed SDs and their corresponding lightweighted methods in the following categories: 2D image-based method, shape distribution-based method, geometry feature-based method, spatial map-based method, graph-based method, and other recent methods.

#### 2.3.1 2D image-based method

The 2D image-based method is a visual attention aware method in which the similarities between 3D models are obtained by measuring the visual similarities. The main idea of the 2D image-based method is that repeated 3D components appear similar from other viewpoints at different viewing angles. Therefore, hundreds of orthogonal projection images of a 3D component are always captured for descriptor construction. The image processing method, which is developed earlier than 3D technology, is then implemented to analyze the visual similarity of above images. One of the representative works<sup>[29–31]</sup> in this image processing is to encode both Zernike moments and Fourier descriptors to detect similar 3D components (Figure 2).

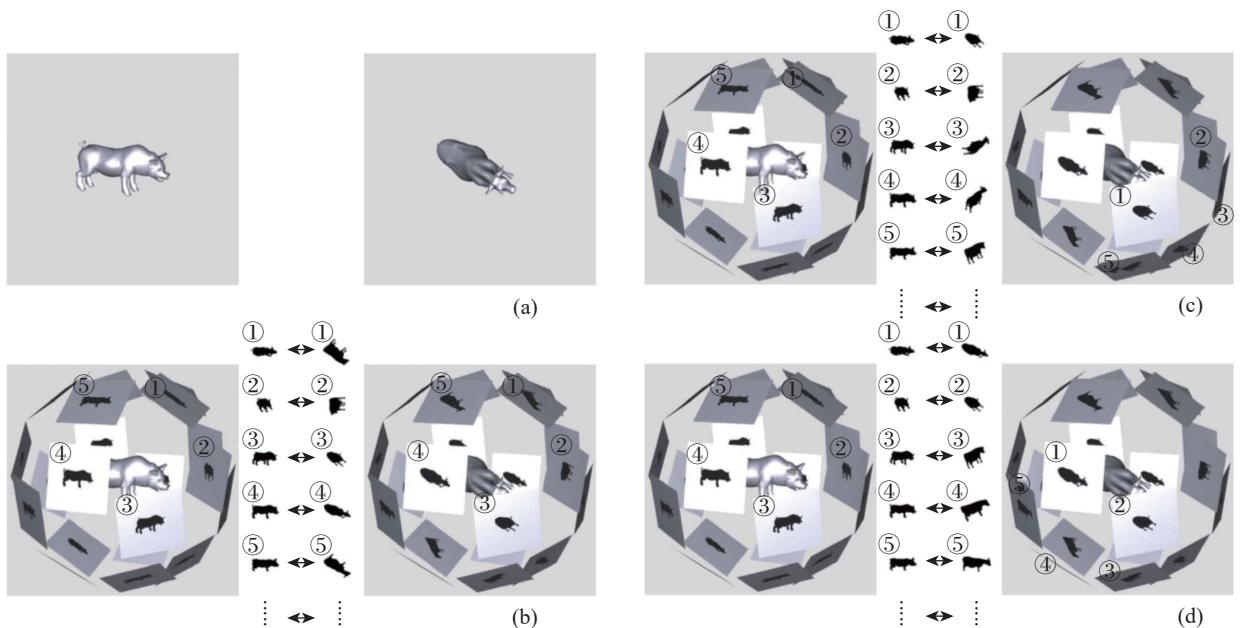


Figure 2 Comparing LightField descriptors of two 3D models<sup>[29]</sup>.

### 2.3.2 Shape distribution-based method

The shape distribution-based method implemented by Osada et al. aims at computing 3D shape signatures and dissimilarity measures for arbitrary geometry model, including degenerate 3D polygonal models<sup>[32]</sup>. Using a shape function to measure global geometric properties of a model, a corresponding distribution histogram can be constructed for a geometry model. Furthermore, the difficult comparison between two geometry models is reduced to a simple comparison between two probability distributions. Meanwhile, several predefined shape distribution functions (**Table 1**) are provided in this paper; early experiences show that these functions are fast to compute, easy to understand, and invariant to rigid motions.

In addition to the above-mentioned studies, there are also other methods with a distribution idea<sup>[33]</sup>. For instance, Ankerst et al. used shells and sectors around the centroid of a 3D model as a basic space decomposition in which geometric statistical studies are conducted to describe shape distribution using discrete histograms<sup>[34]</sup>. Körtgen et al. proposed a distribution of sampled points, called the shape context, in which the relative positions and edge angles are summarized as a shape descriptor to measure shape similarity<sup>[35]</sup>. Akgül et al. also considered the distribution of point features that were represented in three functions, namely the radial shape function, the tangent plane function, and the cross-product function<sup>[36]</sup>.

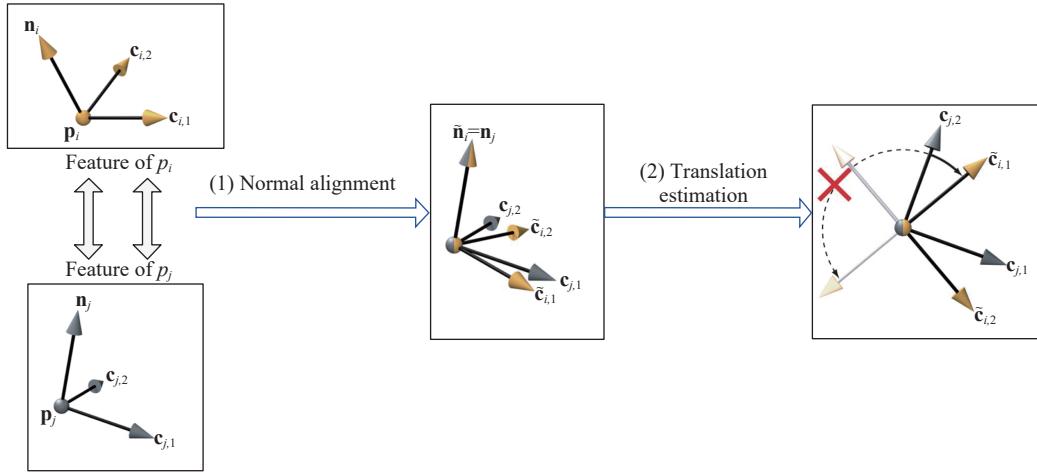
**Table 1** Shape distribution functions<sup>[32]</sup>

Shape distribution function	Diagram	Function illustration
A3		Measures the angle between three random points on the surface of a 3D model
D1		Measures the distance between a fixed point and a random point on the surface; We use the centroid of the boundary of the model as the fixed point.
D2		Measures the distance between two random points on the surface
D3		Measures the square root of the area of the triangle between three random points on the surface
D4		Measures the cube root of the volume of the tetrahedron between four random points on the surface

### 2.3.3 Geometry feature-based method

The features utilized in the geometry feature-based method mainly include some basic properties such as position, normal and curvature, or some arithmetic combinational characteristics of these basic ones. A representative work in this category is presented by Mitra et al.<sup>[37]</sup>, in which the geometry signature at each sample point  $p$  is computed using the principal curvatures, principal directions  $c_1, c_2$ , and normal vector  $n = c_1 \times c_2$ . By estimating the translation between a point pair  $(p_i, p_j)$ , as shown in [Figure 3](#), a clustering procedure is then employed to map local surface patches onto each other to detect symmetry components.

Meanwhile, the weighted point set (WPS)<sup>[38]</sup> and heat kernel signature (HKS)<sup>[39]</sup> methods also employ feature vectors representing geometry properties of points. In WPS, the points with high gauss curvature values are selected to create its shape descriptor, and in HKS, the heat kernels of points are selected based on the concept of heat diffusion.

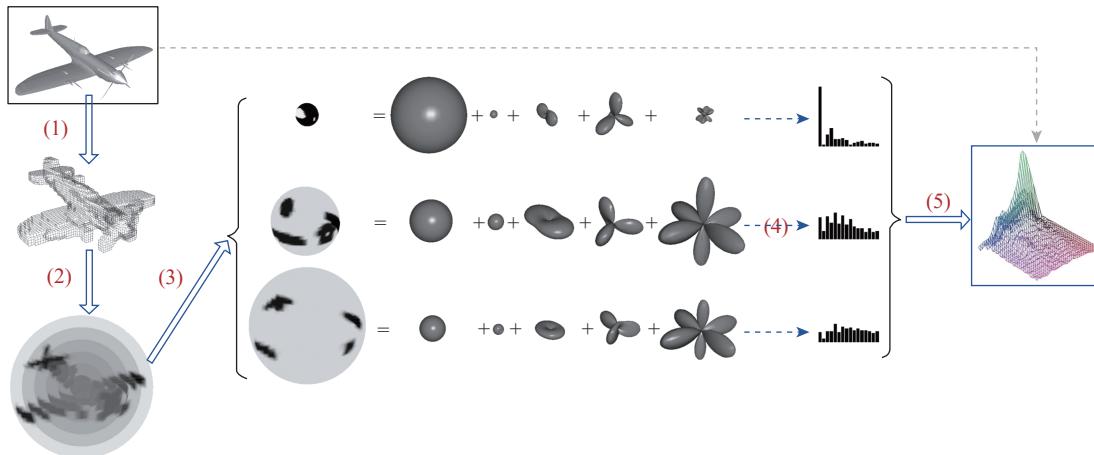


**Figure 3** Idea of translation estimation between a point pair  $(p_i, p_j)$ <sup>[37]</sup>.

### 2.3.4 Spatial map-based method

Spatial maps are the representations that capture the spatial location of geometry models. Generally, the distinctive features of this method are always within the frequency domain for a simple representation through which the primary characteristics are preserved simultaneously. Thus, the geometry data is first mapped from the spatial domain to the frequency domain.

The spherical harmonics implemented by Kazhdan et al. classified the model similarity using a harmonic shape representation computing idea (Figure 4)<sup>[40]</sup>. (1) A given geometry model was rasterized into a  $64 \times 64 \times 64$  voxel grid in which the boundary voxel was assigned to 1 and the others to 0; (2) The voxel grid was treated as a function defined in three-space and decomposed into 32 spherical functions by restricting radii 1 to 32; (3) Each sphere was decomposed as a sum of its first 16 harmonic components described in (4), and in (5), a  $32 \times 16$  signature combined with above functions is obtained to define a computational shape representation. Similar to the spherical harmonic decomposition-based mapping, other spatial map-based methods also existed in 3D Fourier transform<sup>[41]</sup>, spherical wavelet transform<sup>[42]</sup>, etc., and performed well in their experiments.



**Figure 4** Workflow of harmonic shape representation computing idea<sup>[40]</sup>.

### 2.3.5 Graph-based method

Graph-based method aims to achieve a geometric meaning, e.g., skeleton, topology, or other structure-oriented

properties of the original model. The descriptors in this method are considered to be more complex. It can encode geometry data and other deduced topology properties using the graph nodes and edges, and reduces the problem of geometry similarity computing to graph comparison.

Skeleton graph is a common graph used for shape descriptors of geometry models, especially for articulated shapes. A skeleton graph<sup>[43]</sup> (Figure 5) is always obtained from a voxelized solid model and described using a set of the most representative voxels. The Reeb graph presented by Hilaga et al.<sup>[44]</sup> also represented a topological skeleton under a scalar function of a geometry model, and a series of cross sections of the model were employed to determine the nodes and arcs of the graph.

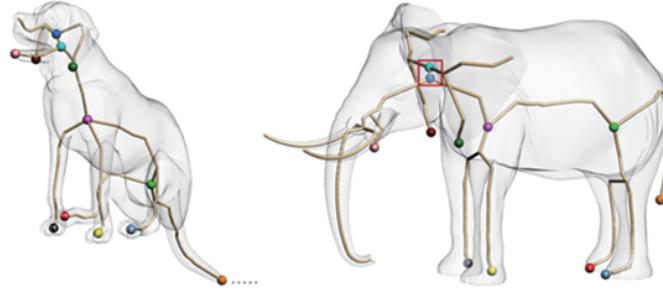


Figure 5 3D skeleton graph examples<sup>[43]</sup>.

With further research, graphs with more information are designed for some special tasks or models<sup>[45,46]</sup>. The polynomial local shape descriptor proposed by Quan et al.<sup>[47]</sup> provided an interesting case in which the idea of the attributed relational graph (ARG) was implemented. Initially, many specified points were extracted as the graph nodes using the idea of minimum geodesic distance, and edges were added for every two nodes. Meanwhile, a piecewise polynomial of the geodesic iso-contours for the node point, called the local shape polynomial (LSP), is computed as the property of this node and the Euclidean distance of two nodes for the edge between them (Figure 6). The repeated model search is then realized using an ARG matching procedure.

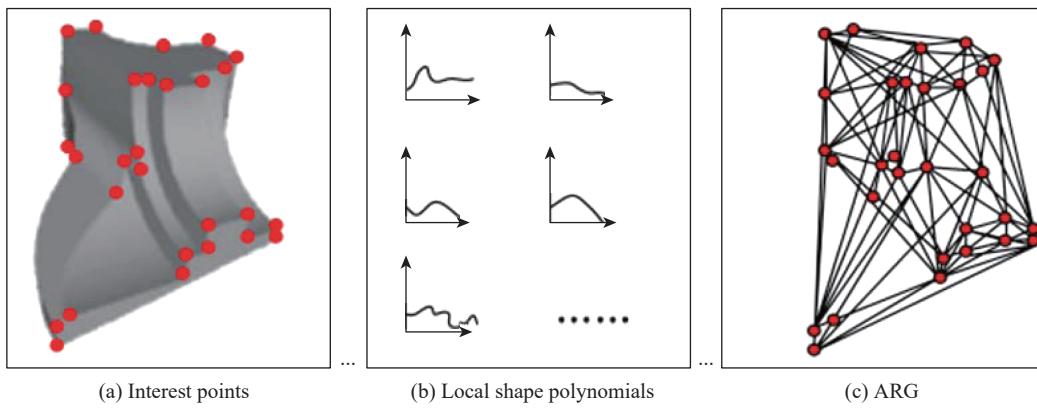


Figure 6 Polynomial local shape descriptor of interest points<sup>[47]</sup>.

## 2.4 Parametric representation

Mesh parameterization is a powerful geometry processing method used in numerous computer graphics applications<sup>[48]</sup>. In the current industrial design field, it is widely utilized in geometry modeling. An appropriate parametric representation of geometry mesh can greatly reduce the amount of model data. However, despite of many extended parametric surfaces, such as explicit surfaces<sup>[49,50]</sup>, the traditional mesh parameterization is only applicable for some special features, such as primitive surface and isometric mesh<sup>[51]</sup>, due

to the complexity of the model in practical cases.

Another method based on parameterization is procedural modeling<sup>[52,53]</sup> in which a special language, named computer generated architecture (CGA), and language grammar were designed to describe the modeling procedure. With the recorded modeling parameter, the resulting geometry model in CGA language is more analogous to a computer program. Meanwhile, we can create many different geometry models using the same model in CGA language purely by modifying its parameters. In other words, a CGA model can generate several different geometry models that represent an obvious lightweight idea. Owing to its background, the CGA model is more implemented to describe building models. However, other than CGA, there also exists another notable language, named L-system<sup>[54]</sup>, which can be employed to construct tree models.

## 2.5 Others

In addition to the above-mentioned methods, there also exist many other geometry model lightweighting methods such as semantic information involved<sup>[55]</sup>, prior knowledge driven<sup>[56]</sup>, mesh segmentation preprocessed<sup>[57–60]</sup>, and shape part-based<sup>[61]</sup>. These methods always make it possible to make the most of model information for feature extraction. For instance, the mesh segmentation preprocessed method tends to be sensitive to small perturbations in the model, and the model segment can always be treated as a shape part (or component) to detect repeated components. Moreover, with the passage of time, new types of methods based on some innovation approach have also appeared such as hybrid feature and leaning based methods. For the content-based 3D shape retrieval, Tangelder et al.<sup>[30]</sup> constructed a hybrid feature and 3D geometry learning<sup>[58–60,62–64]</sup> methods, which are still improving being a recent hot research trend, and have also been extended for 3D geometry model processing. Our previous work<sup>[1,65]</sup> also concentrated on some hybrid features to build models, particularly for building information modeling (BIM) models for Web3D visualization.

## 3 Results and comparisons

As elaborated above, the huge 3D model lightweighting methods for online Web3D visualization aim to reduce the resource consumption without or with a small amount of impact on the quality of user experience. Generally, each method reviewed above has its own characteristics, particularly for online Web3D visualization (Table 2).

**Table 2 Comparison of huge 3D model lightweighting methods**

Category of methods	Occupied cache buffer	Network consumption	Online operation	Operation challenge	Time delay for first sight
Mesh compression	★★★★★	★★★★★	Compression decoding	★★★★★	★★★★★
Progressive mesh	★★★★★	★★★★★	Mesh refinement	★★★★★	★★★★★
Geometric redundancy removal	★★★★★	★★★★★	Instanced rendering	★★★★★	★★★★★
Parametric representation	★★★★★	★★★★★	Parametric parsing	★★★★★	★★★★★

In comparison, the mesh compression method that can directly encode the original model without adding additional auxiliary data makes it possible to reduce the amount of data at a high level, resulting in an effective transmission and data caching. However, data that have been received online need additional decoding process before online Web3D visualization, and many unstable events, such as lack of resources, serious time delays, and even system crash, can occur.

The biggest advantage of the PM method is the promptness for the first sight because a coarse geometry model, called base mesh, can be transmitted first. However, in the subsequent streaming transmission, the vertex split containing auxiliary data should also be transmitted to restore the original model. With all these

data accumulated to a certain amount, the amount of the transmitted data also exceeds, resulting in an overload risk to the web browsers. While dealing with the vertex split packet loss and efficient stream control, procedures such as view-dependent approach should be comprehensively considered.

In geometric redundancy removal, numerous lightweight methods are proposed based on the geometry characteristics of a model. Each repeated component is substituted with a  $4 \times 4$  transform matrix from the unique component. Meanwhile, the instanced rendering function of rendering engine could be directly called to save resource using the transform matrix and unique component. Therefore, from our perspectives, despite difficulties in finding appropriate methods based on the geometry characteristics of a model, the geometric redundancy removal is an effective lightweight method for online Web3D visualization.

For parametric representation, although work on CGA and L-system has been implemented in some fields, the automatic inverse parametric procedure for different types of geometry models still possesses a great challenge. Moreover, online parametric parsing, which is resource consuming, will be required.

The learning algorithm in other methods, which is still in the improvement period, is our expected future research for geometry model lightweighting. In an era of artificial intelligence, we believe that it can automatically recognize redundancies efficiently and provide us with adequate information for the geometry model lightweighting.

## 4 Conclusion and future work

With the increasing volume of geometry models or scenes for online Web3D visualization, several popular lightweighting methods to reduce the amount of data have been reviewed in this paper. To relieve the conflict between the resource consumption of geometry model visualization and the resource limitation of web browsers, we mainly categorized the lightweighting-related research into mesh compression, progressive mesh, geometric redundancy removal, and parametric representation. Meanwhile, the geometric redundancy removal is illustrated in five methods. Based on the characteristics of geometry redundancy due to man-made operations in the modeling procedure, it is summarized that the geometric redundancy removal that achieves the lightweight goal by detecting and removing the repeated components is an appropriate method for current online Web3D visualization. Meanwhile, the learning algorithm that is being applied in more practical fields is our future research topic for lightweighting.

With this comparison and summary, we conclude that various aspects should be considered in an efficient lightweight method for online Web3D visualization, including the characteristics of the original data, combination or extension of existing methods, scheduling strategy, cache management, and rendering mechanism. Moreover, some new advanced innovation methods, particularly the learning algorithm, are worth exploring.

### Declaration of competing interest

We declare that we have no conflict of interest.

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