



Borel functional calculus

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Let $B(H)$ be the <http://planetmath.org/Algebraalgebra> of bounded operators over a complex Hilbert space H and $T \in B(H)$ a normal operator.

The **Borel functional calculus** is a functional calculus which enables the expression

$$f(T)$$

to make sense as a bounded operator in H , for a <http://planetmath.org/Boundedbounded> Borel function f .

In particular, it allows the definition of operators $\chi_S(T)$ for any characteristic function χ_S , which are of significant importance on the of the of T .

The Borel functional calculus will be constructed by extending the continuous functional calculus for arbitrary bounded Borel functions.

1 Preliminary Facts

Let us set some notation first:

- $\sigma(T)$ will denote the <http://planetmath.org/Spectrum> spectrum of T .
- $C(\sigma(T))$ will denote the <http://planetmath.org/CAgebra> C^* -algebra of continuous functions $\sigma(T) \rightarrow \mathbb{C}$.
- $B(\sigma(T))$ will denote the C^* -algebra of bounded Borel functions $\sigma(T) \rightarrow \mathbb{C}$, endowed with the sup norm.

The continuous functional calculus for T allows the expression $f(T)$ to make sense for continuous functions $f \in C(\sigma(T))$, by the assignment of a unital $*$ -homomorphism

$$\begin{aligned}\pi : C(\sigma(T)) &\longrightarrow B(H) \\ f &\longmapsto f(T) := \pi(f)\end{aligned}$$

that sends the identity function to T . This unital $*$ -homomorphism is in fact uniquely determined by this property (see the entry on the <http://planetmath.org/ContinuousFu> functional calculus for more details).

The objective is to extend π to a unital $*$ -homomorphism $\tilde{\pi} : B(\sigma(T)) \longrightarrow B(H)$.

Since $B(\sigma(T))$ is a much larger C^* -algebra than $C(\sigma(T))$, there is no reason to presume that there is only one extension of π . Which extension would be the most natural then? It turns out that there is a unique extension that satisfies a good continuity property.

It is known that $*$ -homomorphisms between C^* -algebras are continuous (see <http://planetmath.org/HomomorphismsOfCAlgebrasAreContinuous> this entry), so that whenever a net $f_i \in B(\sigma(T))$ converges in the sup norm to a function $f \in B(\sigma(T))$ we will have that $f_i(T) \rightarrow f(T)$ in the operator norm. All extensions of π will automatically satisfy this continuity property, but this can be improved in a satisfactory manner.

Notation - Let X be a compact Hausdorff space, $M(X)$ the space of all finite <http://planetmath.org/OuterRegular> Borel measures in X and $B(X)$ the C^* -algebra of all bounded Borel functions in X . The weakest topology in $B(X)$ for which integration against any measure ν is continuous will be referred to as the μ -topology. This means that $f_i \rightarrow f$ in the μ -topology if and only if $\int f_i d\nu \rightarrow \int f d\nu$ for all $\nu \in M(X)$.

Notice that we can identify each function $f \in B(X)$ with a bounded <http://planetmath.org/Functionallinear> functional ω_f in $M(X)$, given by

$$\omega_f(\nu) := \int_X f d\nu, \quad \nu \in M(X)$$

and the μ -topology corresponds exactly to the weak- $*$ topology under this identification.

We will see in the next that there is an unique extension of π that is continuous from the μ -topology to the weak operator topology.

Just like the <http://planetmath.org/StoneWeierstrassTheoremComplexVersion> Stone-Weierstrass theorem allowed the passage from the polynomial functional calculus to the continuous functional calculus, the <http://planetmath.org/RieszRepresentationTh> representation theorem will allow the passage from the latter to the Borel functional calculus.

2 Definition

The following result is the key for the definition of the Borel functional calculus.

Theorem 1 - Let T be a normal operator in $B(H)$ and $\pi : C(\sigma(T)) \longrightarrow B(H)$ the unital $*$ -homomorphism corresponding to the continuous functional calculus for T . Then, π extends uniquely to a $*$ -homomorphism $\tilde{\pi} : B(\sigma(T)) \longrightarrow B(H)$ that is continuous from the μ -topology to the weak operator topology. Moreover, each operator $\pi(f)$ lies in <http://planetmath.org/OperatorTopologies> operator <http://planetmath.org/Closureclosure> of the unital $*$ -algebra generated by T .

: See <http://planetmath.org/ProofOfBorelFunctionalCalculus> this attached entry

We are now able to define the Borel functional calculus:

Definition - Let T be a normal operator in $B(H)$. Let $\tilde{\pi} : B(\sigma(T)) \longrightarrow B(H)$ be the unique $*$ -homomorphism defined in Theorem 1. This $*$ -homomorphism is denoted by

$$f \longmapsto f(T), \quad f \in B(\sigma(T))$$

and it is called the **Borel functional calculus** for T .

Since this functional calculus extends the polynomial functional calculus, we have that for any polynomial $p(z) := \sum c_{n,m} z^n \bar{z}^m$,

$$p(T) = \sum c_{n,m} T^n (T^*)^m$$

Moreover, since $f(T)$ lies in the strong operator closure of the unital $*$ -algebra generated by T , for any function $f \in B(\sigma(T))$, we see that $f(T)$ is the strong operator limit of polynomials $\sum c_{n,m} T^n (T^*)^m$.

3 Borel Calculus in von Neumann Algebras

The Borel functional calculus is in fact applicable for any normal operator T in any von Neumann algebra \mathcal{M} .

That is due to the fact, expressed in Theorem 1, that for every $f \in B(\sigma(T))$ the operator $f(T)$ belongs to the strong operator closure of the unital $*$ -algebra generated by T . Being a von Neumann algebra, \mathcal{M} is

<http://planetmath.org/ClosedSet>closed in the strong operator topology, and therefore all operators $f(T)$ belong to \mathcal{M} .

Thus, by restriction, we have in fact a *-homomorphism

$$\begin{aligned}\tilde{\pi} : B(\sigma(T)) &\longrightarrow \mathcal{M} \\ f &\longmapsto f(T)\end{aligned}$$

satisfying the properties of Theorem 1, i.e. we have a Borel functional calculus for normal operators of a von Neumann algebra.

References

- [1] W. Arveson, *A Short Course on Spectral Theory*, Graduate Texts in Mathematics, 209, Springer, New York, 2002
- [2] N. Weaver, *Mathematical Quantization*, Studies in Advanced Mathematics, Chapman & Hall/CRC, Boca Raton, FL, 2001