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second fundamental form

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In classical differential geometry the *second fundamental form* is a symmetric bilinear form defined on a differentiable surface  $M$  embedded in  $\mathbb{R}^3$ , which in some sense measures the curvature of  $M$  in space.

To construct the second fundamental form requires a small digression. After the digression we will discuss how it relates to the curvature of  $M$ .

## Construction of the second fundamental form

Consider the tangent planes  $T_p M$  of the surface  $M$  for each point  $p \in M$ . There are two unit normals to  $T_p M$ . Assuming  $M$  is orientable, we can choose one of these unit <http://planetmath.org/MutualPositionsOfVectorsnormals>,  $n(p)$ , so that  $n(p)$  varies smoothly with  $p$ .

Since  $n(p)$  is a unit vector in  $\mathbb{R}^3$ , it may be considered as a point on the sphere  $S^2 \subset \mathbb{R}^3$ . Then we have a map  $n: M \rightarrow S^2$ . It is called the *normal map* or *Gauss map*.

The *second fundamental form* is the tensor field  $\mathcal{II}$  on  $M$  defined by

$$\mathcal{II}_p(\xi, \eta) = -\langle D n_p(\xi), \eta \rangle, \quad \xi, \eta \in T_p M, \quad (1)$$

where  $\langle, \rangle$  is the dot product of  $\mathbb{R}^3$ , and we consider the tangent planes of surfaces in  $\mathbb{R}^3$  to be subspaces of  $\mathbb{R}^3$ .

The linear transformation  $D n_p$  is in reality the tangent mapping  $D n_p: T_p M \rightarrow T_{n(p)} S^2$ , but since  $T_{n(p)} S^2 = T_p M$  by the definition of  $n$ , we prefer to think of  $D n_p$  as  $D n_p: T_p M \rightarrow T_p M$ .

The tangent map  $D n$ , is often called the *Weingarten map*.

**Proposition 1.** *The second fundamental form is a symmetric form.*

*Proof.* This is a computation using a coordinate chart  $\sigma$  for  $M$ . Let  $u, v$  be the corresponding names for the coordinates. From the equation

$$\left\langle n, \frac{\partial \sigma}{\partial v} \right\rangle = 0,$$

differentiating with respect to  $u$  using the product rule gives

$$\begin{aligned}\left\langle n, \frac{\partial^2 \sigma}{\partial u \partial v} \right\rangle &= - \left\langle \frac{\partial n}{\partial u}, \frac{\partial \sigma}{\partial v} \right\rangle \\ &= - \left\langle D n \left( \frac{\partial \sigma}{\partial u} \right), \frac{\partial \sigma}{\partial v} \right\rangle \\ &= \mathcal{II} \left( \frac{\partial \sigma}{\partial u}, \frac{\partial \sigma}{\partial v} \right) .\end{aligned}\tag{2}$$

(The second equality follows from the definition of the tangent map  $D n$ .) Reversing the roles of  $u, v$  and repeating the last derivation, we obtain also:

$$\left\langle n, \frac{\partial^2 \sigma}{\partial u \partial v} \right\rangle = \left\langle n, \frac{\partial^2 \sigma}{\partial v \partial u} \right\rangle = \mathcal{II} \left( \frac{\partial \sigma}{\partial v}, \frac{\partial \sigma}{\partial u} \right) .\tag{3}$$

Since  $\partial \sigma / \partial u$  and  $\partial \sigma / \partial v$  form a basis for  $T_p M$ , combining (??) and (??) proves that  $\mathcal{II}$  is symmetric.  $\square$

In view of Proposition ??, it is customary to regard the second fundamental form as a quadratic form, as it done with the first fundamental form. Thus, the second fundamental form is referred to with the following expression<sup>1</sup>:

$$L du^2 + 2M dudv + N dv^2 .$$

Compare with the tensor notation

$$\mathcal{II} = L du \otimes du + M du \otimes dv + M dv \otimes du + N dv \otimes dv .$$

Or in matrix form (with respect to the coordinates  $u, v$ ),

$$\mathcal{II} = \begin{pmatrix} L & M \\ M & N \end{pmatrix} .$$

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<sup>1</sup>Unfortunately the coefficient  $M$  here clashes with our use of the letter  $M$  for the surface (manifold), but whenever we write  $M$ , the context should make clear which meaning is intended. The use of the symbols  $L, M, N$  for the coefficients of the second fundamental form is standard, but probably was established long before anyone thought about manifolds.

## Curvature of curves on a surface

Let  $\gamma$  be a curve lying on the surface  $M$ , parameterized by arc-length. Recall that the curvature  $\kappa(s)$  of  $\gamma$  at  $s$  is  $\gamma''(s)$ . If we want to measure the curvature of the surface, it is natural to consider the component of  $\gamma''(s)$  in the normal  $n(\gamma(s))$ . Precisely, this quantity is

$$\langle \gamma''(s), n(\gamma(s)) \rangle,$$

and is called the *normal curvature* of  $\gamma$  on  $M$ .

So to study the curvature of  $M$ , we ignore the component of the curvature of  $\gamma$  in the tangent plane of  $M$ . Also, physically speaking, the normal curvature is proportional to the acceleration required to keep a moving particle on the surface  $M$ .

We now come to the motivation for defining the second fundamental form:

**Proposition 2.** *Let  $\gamma$  be a curve on  $M$ , parameterized by arc-length, and  $\gamma(s) = p$ . Then*

$$\langle \gamma''(s), n(p) \rangle = \mathcal{II}(\gamma'(s), \gamma'(s)).$$

*Proof.* From the equation

$$\langle n(\gamma(s)), \gamma'(s) \rangle = 0,$$

differentiate with respect to  $s$ :

$$\begin{aligned} \langle n(\gamma(s)), \gamma''(s) \rangle &= - \left\langle \frac{d}{ds} n(\gamma(s)), \gamma'(s) \right\rangle \\ &= - \langle D n(\gamma'(s)), \gamma'(s) \rangle \\ &= \mathcal{II}(\gamma'(s), \gamma'(s)). \quad \square \end{aligned}$$

It is now time to mention an important consequence of Proposition ??: the fact that  $\mathcal{II}$  is symmetric means that  $-D n$  is *self-adjoint* with respect to the inner product  $\mathcal{I}$  (the first fundamental form). So, if  $-D n$  is expressed as a matrix with orthonormal coordinates (with respect to  $\mathcal{I}$ ), then the matrix is symmetric. (The minus sign in front of  $D n$  is to make the formulas work out nicely.)

Certain theorems in linear algebra tell us that,  $-D n_p$  being self-adjoint, it has an orthonormal basis of eigenvectors  $e_1, e_2$  with corresponding eigenvalues  $\kappa_1 \leq \kappa_2$ . These eigenvalues are called the *principal curvatures* of  $M$  at  $p$ . The eigenvectors  $e_1, e_2$  are the *principal directions*. The terminology is justified by the following theorem:

**Theorem 1** (Euler's Theorem). *The normal curvature of a curve  $\gamma$  has the form*

$$\langle \gamma''(s), n(p) \rangle = \kappa_1 \cos^2 \theta + \kappa_2 \sin^2 \theta, \quad p = \gamma(s).$$

*It follows that the minimum possible normal curvature is  $\kappa_1$ , and the maximum possible is  $\kappa_2$ .*

*Proof.* Since  $e_1, e_2$  form an orthonormal basis for  $T_p M$ , we may write

$$\gamma'(s) = \cos \theta e_1 + \sin \theta e_2$$

for some angle  $\theta$ . Then

$$\begin{aligned} \langle \gamma''(s), n(p) \rangle &= \mathcal{II}(\gamma'(s), \gamma'(s)) \\ &= \langle -D n_p(\gamma'(s)), \gamma'(s) \rangle \\ &= \langle \kappa_1 \cos \theta e_1 + \kappa_2 \sin \theta e_2, \cos \theta e_1 + \sin \theta e_2 \rangle \\ &= \kappa_1 \cos^2 \theta + \kappa_2 \sin^2 \theta. \end{aligned} \quad \square$$

## Matrix representations of second fundamental form and Weingarten map

At this point, we should find the explicit prescriptions for calculating the second fundamental form and the Weingarten map.

Let  $\sigma$  be a coordinate chart for  $M$ , and  $u, v$  be the names of the coordinates. For a test vector  $\xi \in T_p M$ , we write  $\xi_u$  and  $\xi_v$  for the  $u, v$  coordinates of  $\xi$ .

We compute the matrix  $W$  for  $-D n$  in  $u, v$ -coordinates. We have

$$\begin{aligned} (\xi_u \quad \xi_v) \begin{pmatrix} L & M \\ M & N \end{pmatrix} \begin{pmatrix} \xi_u \\ \xi_v \end{pmatrix} &= \mathcal{II}(\xi, \xi) = \langle -D n(\xi), \xi \rangle \\ &= \left( Q \begin{pmatrix} \xi_u \\ \xi_v \end{pmatrix} \right)^T Q W \begin{pmatrix} \xi_u \\ \xi_v \end{pmatrix} \\ &= (\xi_u \quad \xi_v) (Q^T Q) W \begin{pmatrix} \xi_u \\ \xi_v \end{pmatrix}, \end{aligned}$$

where  $Q$  is the matrix that changes from  $u, v$ -coordinates to orthonormal coordinates for  $T_p M$  — this is necessary to compute the inner product. But

$$Q^T Q = \begin{pmatrix} E & F \\ F & G \end{pmatrix} = \mathcal{I} \quad (\text{the first fundamental form}),$$

because  $Q$  is the matrix with columns  $\partial\sigma/\partial u$  and  $\partial\sigma/\partial v$  expressed in orthonormal coordinates.

(More to be written...)

## References

- [1] Michael Spivak. *A Comprehensive Introduction to Differential Geometry*, volumes I and II. Publish or Perish, 1979.
- [2] Andrew Pressley. *Elementary Differential Geometry*. Springer-Verlag, 2003.