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An LPWAN MAC protocol for agricultural applications

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Abstract

Low Power Wide Area Networks (LPWAN) are getting very popular these days in Internet of Things (IoT) applications thanks to their capability of both consuming low amounts of power and of covering long distances. This technology is widely used in the 4th industrial era for manufacturing, health care, and automation in general.

This thesis has the objective to propose a Media Access Control (MAC) protocol called Bacco. It is based on LoRa modulation and has a narrow focus on agricultural applications, where achieving high power efficiency is crucial due to the lack of reliable power sources. Another aspect taken into consideration is the cost effectiveness of the devices required to develop a functional network.

First, the thesis establishes an introduction of LoRa and LoRaWAN; then the requirements for a MAC protocol used in LPWANs will be discussed. After that, there will be a description of the Bacco protocol itself, alongside with some example applications of it.

Sommario

Le reti Low Power Area Network (LPWAN) stanno prendendo piede oggi giorno nel mondo dell'Internet of Things (IoT) grazie al loro basso consumo energetico e alle ampie distanze che possono coprire. Questa tecnologia è un caposaldo dell'industria di quarta generazione, soprattutto negli ambiti di manifattura, assistenza sanitaria e in generale dell'automazione.

Questa tesi ha l'obiettivo di proporre un protocollo Media Access Control (MAC), chiamato Bacco. Questo sfrutta la modulazione LoRa e si rivolge a applicazioni in ambito agricolo, dove è cruciale raggiungere un'alta efficienza energetica data la mancanza di fonti energetiche affidabili. Un altro aspetto che viene considerato è il costo dei dispositivi richiesti per sviluppare una rete funzionale.

Inizialmente la tesi si occuperà di dare una breve introduzione a LoRa e LoRaWAN, per poi discutere i requisiti di un protocollo MAC per LPWAN. Successivamente, verrà data una descrizione del funzionamento di Bacco, accompagnata da alcuni esempi applicativi.

Glossary

GSM Acronym for "Global System for Mobile Communications", it's a 2nd generation mobile communication standard, see [1] for more information.

LTE Acronym for "Long Term Evolution", it's a 4th generation mobile communication standard, see [2] for more information.

FTP Acronym for "File Transfer Protocol", built on top of TCP, see [3] for more information.

VHF Acronym for "Very High Frequency", it refers to the radio frequency band between 30 and 300 MHz.

PHY Stands for physical layer protocol specification.

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Chapter 1

Introduction

Place introduction here

Chapter 2

Bacco protocol

The goal of this chapter is to give a description of the *Bacco* protocol and to discuss the implementation choices that were made in order to deploy it. This is achieved using a top-down ordering for the level of detail, meaning that an overview of the network is presented before the specifics of the MAC protocol.

2.1 Network overview

I will start by describing a simple network that makes use of the protocol to better understand the integration of the Bacco protocol into an LPWAN. The network is built upon 4 categories of devices:

- **SENDER NODE** - collects data and sends it to the gateway using LoRa
- **REPEATER NODE** - listens to the incoming LoRa messages from Senders and sends them to a Gateway ¹
- **GATEWAY NODE** - collects data coming from the sender nodes and sends it to the web server. In the example shown, this will be achieved with the FTP protocol over a mobile network such as GSM or LTE. This node has also the role of coordinating and synchronizing Sender nodes. It can also be optionally configured to perform pre-processing operations (e.g. filtering, smoothing, interpolation ...) on the incoming data
- **WEB SERVER** - receives data coming from the Gateways, elaborates it and makes it available through a web application

¹The use of Repeaters where physical obstacles compromise the integrity of the signals is of very high relevance in agricultural contexts, since natural barriers such as hills can easily block VHF radio signals.

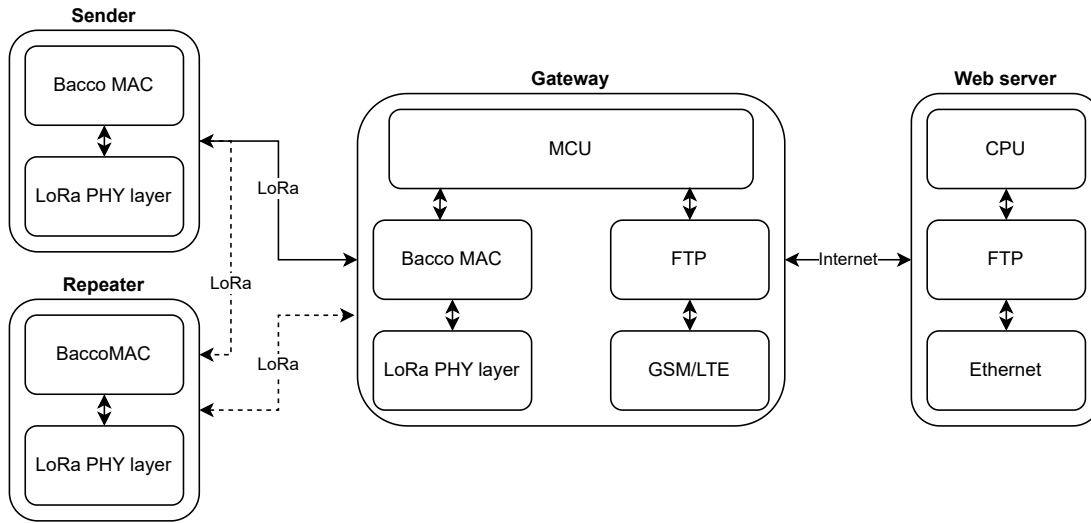


Figure 2.1: Schematic representation of the example network

2.2 Topology

The network has a star-of-stars topology, in which the zeroth level is occupied by the Web server, the first level by the Gateways and Repeaters, and the second level contains the Senders. Figure 2.2 shows the type of devices that are involved and their communication schema.

The structure is equivalent to a tree, hence we can define a hierarchy of nodes. The root node is the central web server and its children nodes are the Gateways. All sender nodes are children of either a Gateway or a Repeater and have to children, so they correspond to the leaves of the tree.

2.3 Addressing

It is crucial to identify each Sender node in order to contextualize the messages coming to the Gateway node. This is achieved by assigning them a unique identifier, represented by a natural number in the range $[0, 255]$. This fact limits the number of Sender nodes connected to a single Gateway to 256^2 . If necessary, the network can scale up by using additional Gateways. Note that since repeaters do not modify the forwarded messages (see **DESCRIZIONE DEI RIPETITORI?**

²This choice is influenced by the current Italian regulations **CITAZIONE PARAGRAFO REGOLAMENTAZIONE** [4] on duty cycle for the 868MHz band and the fact that most agricultural contexts do not require a huge amount of sensors

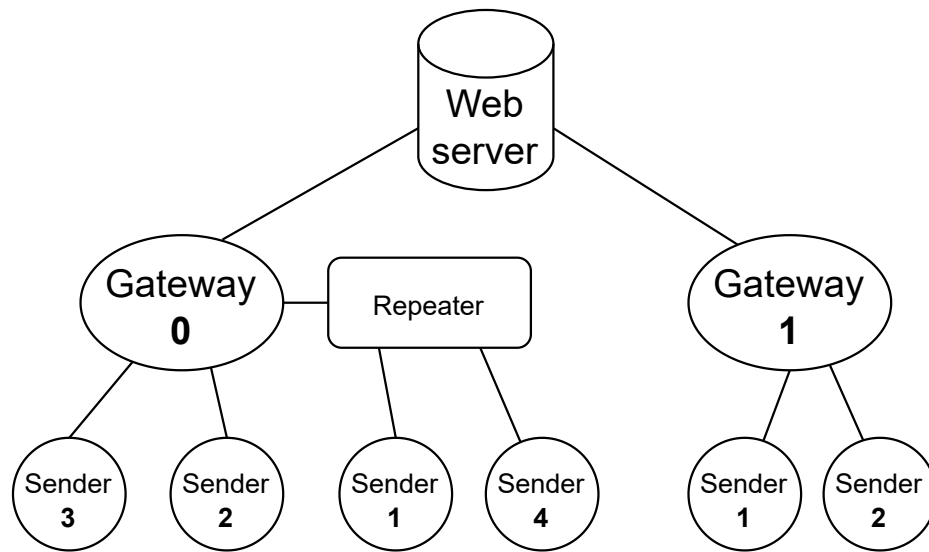


Figure 2.2: Example network topology

for a detailed description), they are transparent to the other nodes and thus they will not be given an identifier.

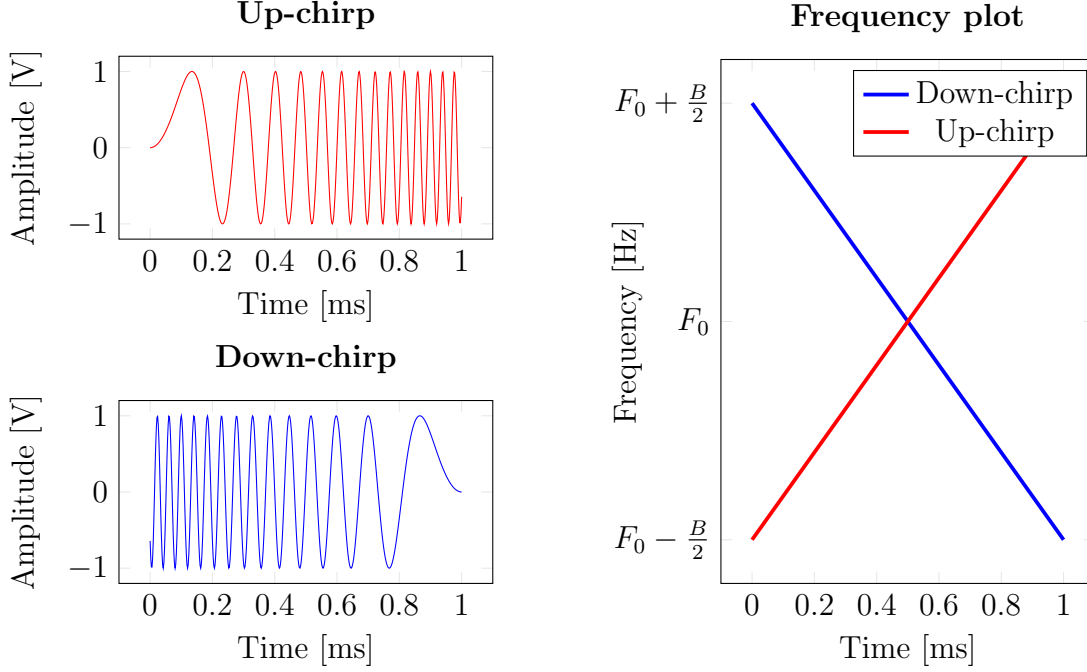
2.4 Interference mitigation

The LoRa PHY protocol specification does not fully cover the matter of sharing the communication link between multiple devices, thus it is necessary to define methods for doing so, in order to minimize interference and achieve a reliable exchange of information. Different techniques are applied in the domain of both time and frequency

2.4.1 Channel activity detection

Channel Activity Detection, or CAD, is a feature available for most LoRa transceivers[5]. In this mode, the LoRa node listens for any transmission on a specific frequency; if it detects a signal, it returns an interrupt to the MCU. This presents a possible Carrier Sense Multiple Access mechanism, also called CSMA.

Bacco does not make use of CAD for data packets, but it enables it in specific situations such as network discovery (discussed in section 2.5).



2.4.2 IQ inversion

IQ inversion is a LoRa primitive that makes it possible to have 2 modes of transmissions that are easily distinguishable for a receiver. The name stands for *In-phase / Quadrature*, and it usually refers to signals that are out of phase from each other by $\frac{\pi}{4}$ rad. Despite of that, LoRa uses that term to describe 2 signal with inverted chirp direction, namely up-chirp and down-chirp. Bacco uses this technique to discriminate between uplink messages (i.e. from Sender to Gateway/Repeater) and downlink messages (i.e. from Gateway to Sender/Repeater). This implies that devices belonging to the same category are not able to communicate with each other (e.g. a Sender would not detect other Senders' transmissions).

2.4.3 Subnetting

The LoRa protocol supports a wide range of carrier frequencies in the VHF spectrum³. This feature makes it possible to apply various techniques to mitigate interference.

Bacco exploits it to build sub-networks that operate at different frequencies, and thus achieve a communication with very low interference between different sub-

³For reference, the SX1262[6] transceiver features a continuous frequency coverage from 150 MHz to 960 MHz

nets. Specifically, all the Sender nodes connected to a Repeater and the Repeater itself operate at a frequency that's different from the frequency used by the Gateway and other Repeaters. Figure 2.4 shows a schematic representation.

It is necessary to define a set of possible frequencies at which Repeaters and Gateways operate. This is done

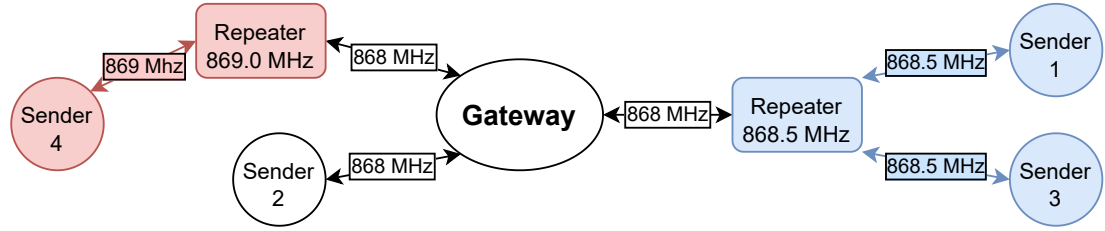


Figure 2.4: Example network with repeaters in subnets

2.4.4 Distribution of transmission activity

The Bacco protocol distributes the activity on the channel over time using defined frames reserved for each Sender, using an approach that was first introduced by the AlohaNet[7] protocol. The frames are equally distributed between all the Senders and the start of each transmission frame is function of the identifier. The time delay between 2 consecutive transmissions from a same Sender is a constant value and it is called cycle. At the end of a cycle, some time is reserved for the Gateway to upload the collected data. Figure 2.5 shows a schematic representation of the time slot management used by Bacco. The cycle time is a user-defined parameter, and all the other values are calculated based on it as shown in table 2.1.

Parameter	Value
Gateway frame time	$0.2 \cdot C$
Sender frame time	$\frac{0.6 \cdot C}{N}$
Silence frame time	$\frac{0.2 \cdot C}{N}$

Table 2.1: Time parameters calculation, where C is the cycle time and N is the number of Senders

Time syncing on clock drift

All the devices in the network need to transmit during their assigned frame for the protocol to be effective; this means that all the clocks are required to have

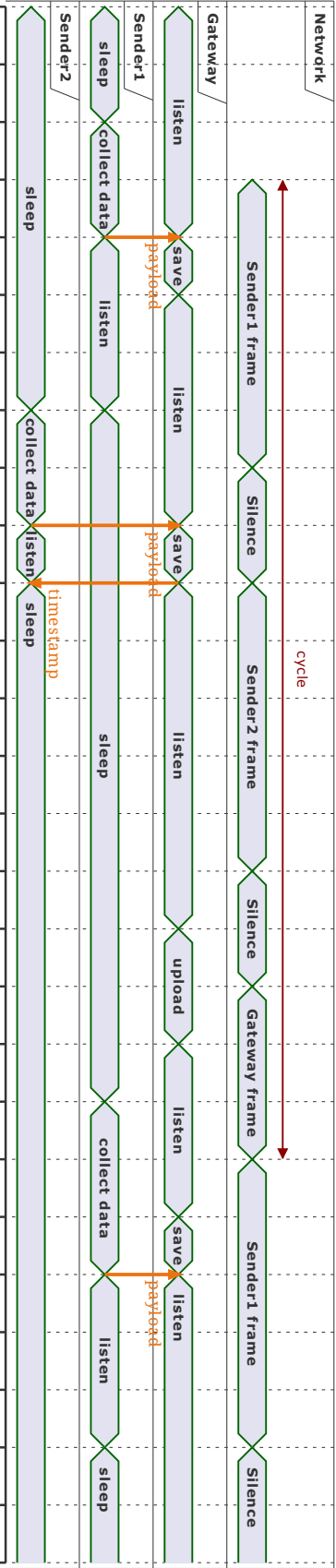


Figure 2.5: Timing diagram

a certain degree of synchronization. This is hard to achieve in practice, because the commercial clock oscillators do not provide a constant frequency source due to manufacturing imprecisions, temperature gradient etc... .

In order to deal with this problem, Bacco assigns the Gateway node the role of coordinating the network timings through the dispatch of downlink messages containing the network timestamp when a Sender node transmits out of its assigned frame. The downlink message is sent as soon as the Gateway receives the uplink message. Figure 2.5 shows this behavior during the transmission involving Sender2.

2.5 Network discovery

2.6 Network joining

When a Sender node is first connected to a Gateway node, it does not yet have an identifier nor is in sync with the network. The process of assigning such nodes an identifier and syncing its clock is called the network joining process. The first case that we will cover is the simplest one, that occurs when the network has no Repeater nodes; in this case the Sender nodes send a *join request* message to the Gateway on the 868 MHz default channel, and then wait for a response for 2 seconds. The process is repeated until the Sender receives a *join accepted* message or a *join rejected* message. If a join accepted message is received, then the Sender goes to sleep and tries to join after 1 hour.

2.7 Transmission power adaption

2.8 Packet format

Uplink packet format

Downlink packet format

Chapter 3

Performance

The goal of this chapter is ...

3.1 Time on air

3.1.1 Regulations

3.1.2 Lab tests

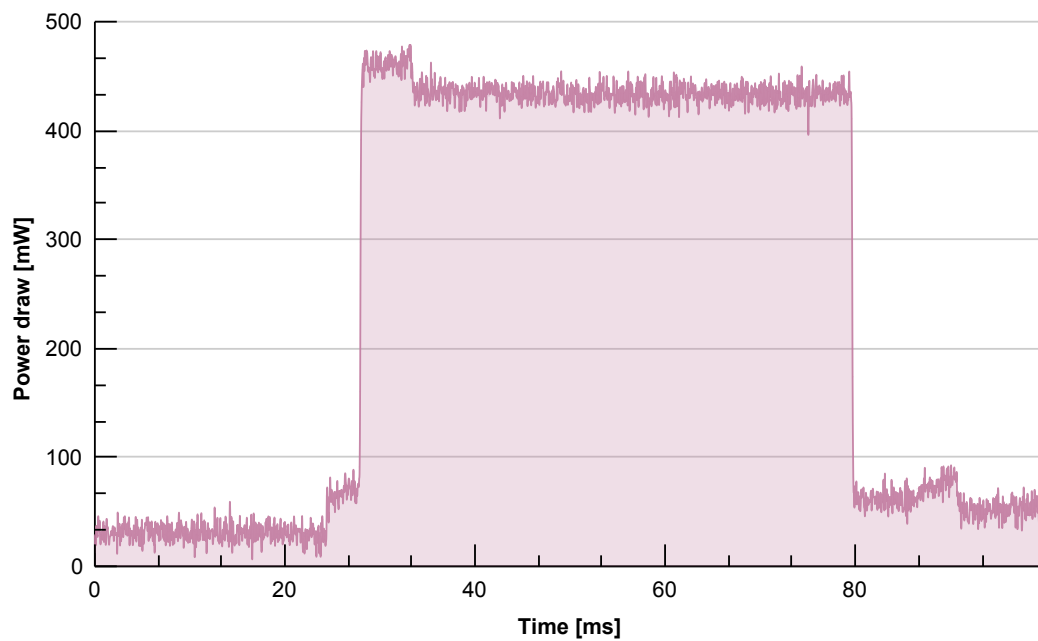


Figure 3.1: Power draw during transmission of a Bacco packet with payload size of 15 bytes, using SF7, 14dBm, 125kHz bandwidth

delta time is 51.6ms and total energy is 21.3mJ

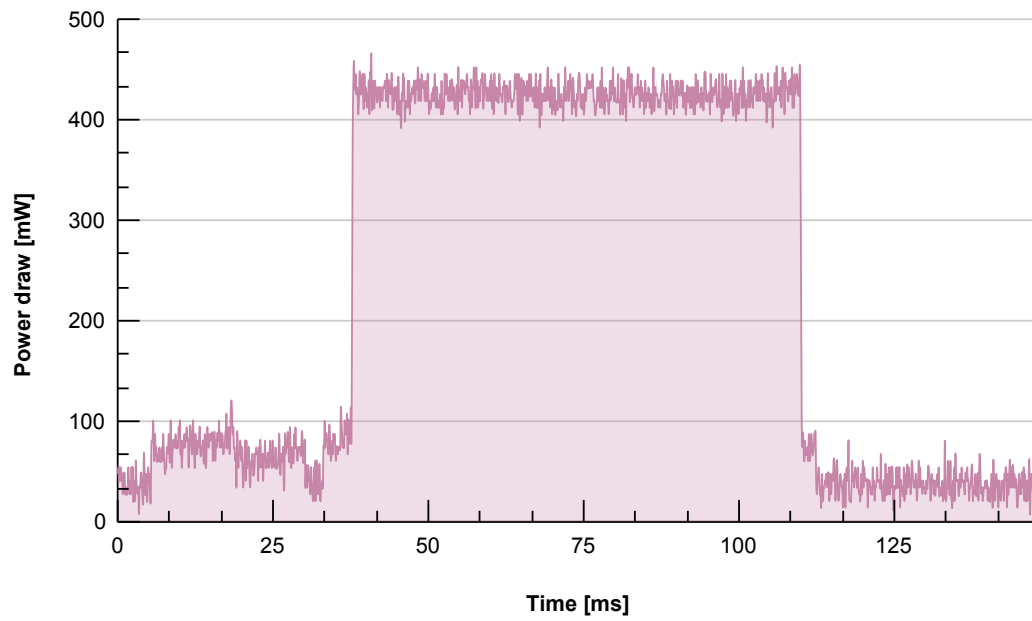


Figure 3.2: Power draw during transmission of a LoRaWAN packet with payload size of 15 bytes, using SF7, 14dBm, 125kHz bandwidth

delta time is 71.8ms and total energy is 30.8mJ

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