

# Deep learning

## Deep dual learning<sup>1</sup>

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<sup>1</sup>Some slides are adopted from Tao Qin, Sreeja R Thoom et al. slides.

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# Introduction

# Three Pillars of Deep Learning

## 1 Three Pillars of Deep Learning

- **Big data:** web pages, search logs, social networks, and new mechanisms for data collection: conversation and crowd-sourcing.
- **Big models:** 1000+ layers, tens of billions of parameters
- **Big computing:** CPU clusters, GPU clusters, TPU clusters, FPGA farms, provided by Amazon, Azure, Ali etc.

# Some Challenges of Deep Learning

## 1 Big-Data Challenge

- Todays deep learning highly relies on huge amount of human-labeled training data

Task	Typical training data
Image classification	Millions of labeled images
Speech recognition	Thousands of hours of annotated voice data
Machine translation	Tens of millions of bilingual sentence pairs

- Human labeling is in general very expensive, and it is hard, if not impossible, to obtain large-scale labeled data for rare domains

# Machine translation

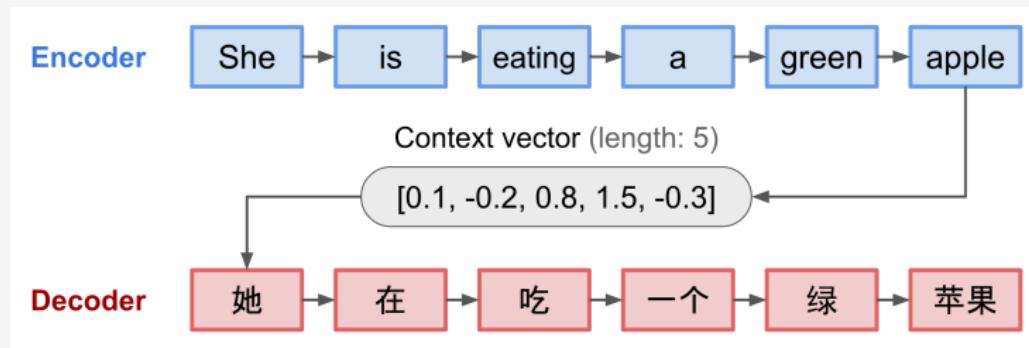
1 How translate from a source language to a destination language?

2 Main problems

- How translate words from the source language to the destination language?
- How order words in the destination language?
- How measure goodness of translation?
- What type of corpus is needed? (monolingual or bilingual)
- How build a sequence of translators? (Persian → English → French)

# Neural machine translation (NMT)

- 1 In NMT<sup>2</sup>, recurrent neural networks such as LSTM or GRU units are used.



<sup>2</sup>Dzmitry Bahdanau, Kyunghyun Cho, and Yoshua Bengio. "Neural machine translation by jointly learning to align and translate." ICLR 2015.

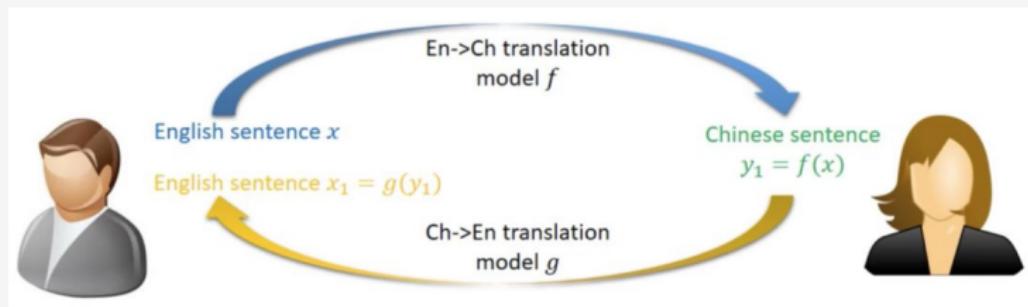
# Neural machine translation (NMT)

- 1 A critical disadvantage of this fixed-length context vector design is incapability of remembering long sentences.
- 2 The attention mechanism was proposed to help memorize long source sentences in NMT
- 3 Another critical disadvantage of this model is training set. We need a large bilingual corpus.
- 4 Dual learning was introduced to overcome the need for a large bilingual corpus.

## Dual learning

# Duality in Machine Translation

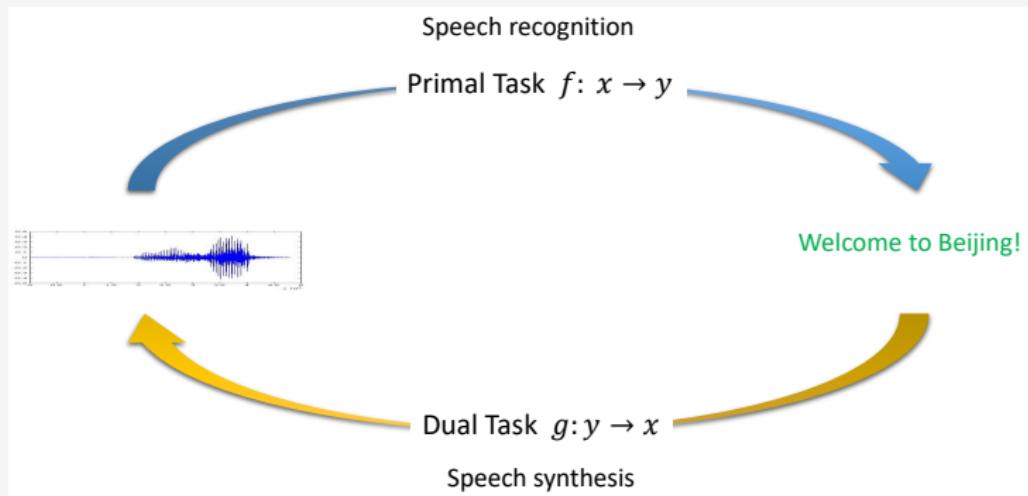
- 1 Dual learning is a auto-encoder like mechanism to utilize the monolingual datasets<sup>3</sup>.



<sup>3</sup>Y. Xia, D. He, T. Qin, L. Wang, N. Yu, T.-Y. Liu, and W.-Y. Ma. [Dual learning for machine translation](#). NIPS 2016.

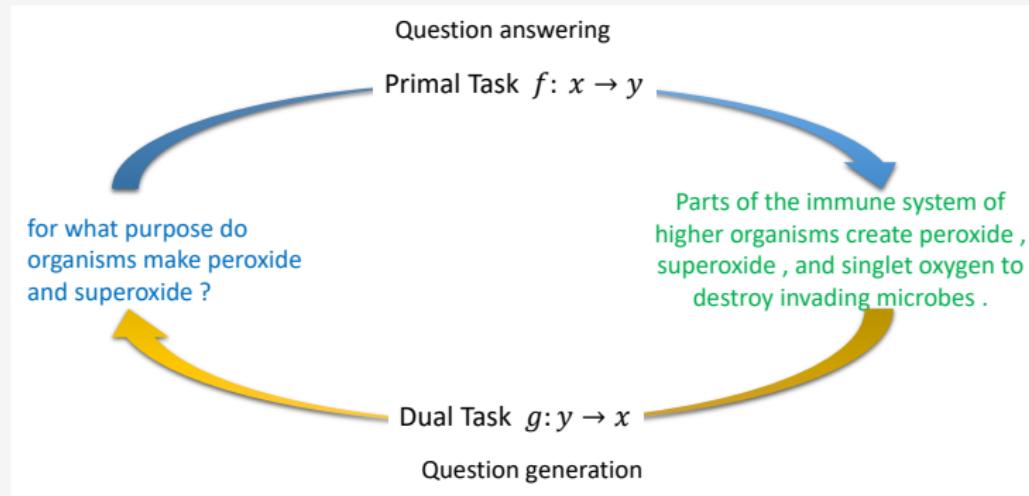
# Duality in Speech Processing

## 1 Duality in Speech Processing.



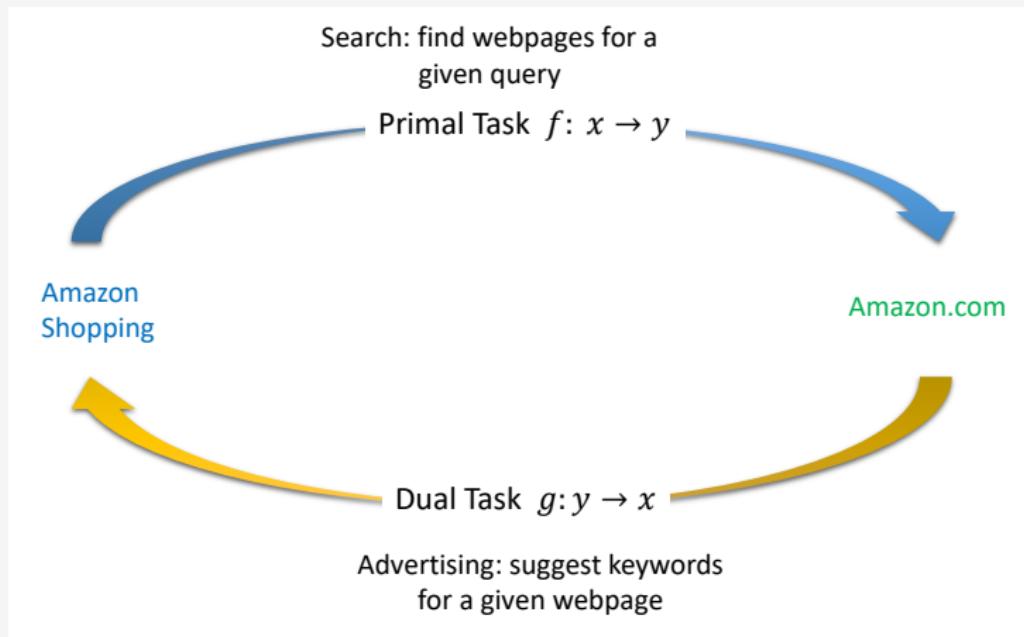
# Duality in Question Answering and Generation

## 1 Duality in Question Answering and Generation.



# Duality in Search and Advertising

## 1 Duality in Search and Advertising.



# Structural Duality in AI

Structural duality is very common in artificial intelligence

AI Tasks	$X \rightarrow Y$	$Y \rightarrow X$
Image classification	Translation from EN to CH	Translation from CH to EN
Speech processing	Speech recognition	Text to speech
Image understanding	Image captioning	Image generation
Conversation	Question answering	Question generation
Search engine	Query-document matching	Query/keyword suggestion

Currently most machine learning algorithms do not exploit structure duality for training and inference.

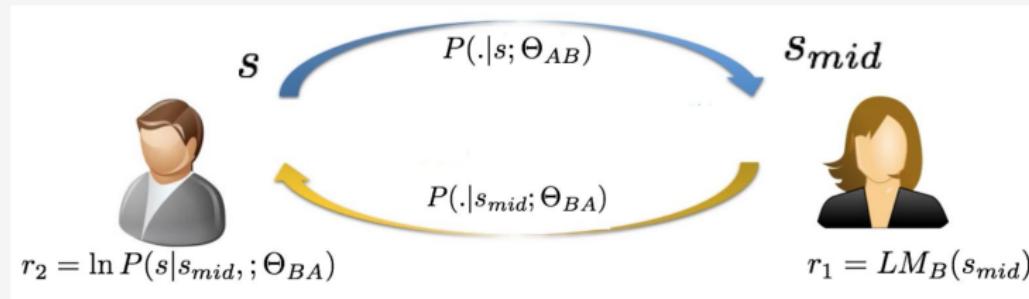
# Dual Learning

- 1 A new learning framework that leverages the **symmetric (primal-dual) structure of AI tasks** to obtain effective feedback or regularization signals to enhance the learning/inference process.
- 2 If you don't have enough labeled data for training, can we use unlabeled data?
- 3 Dual Unsupervised Learning can leverage structural duality to learn from unlabeled data.

# Dual learning (Definition)

1 Let us to define<sup>4</sup>

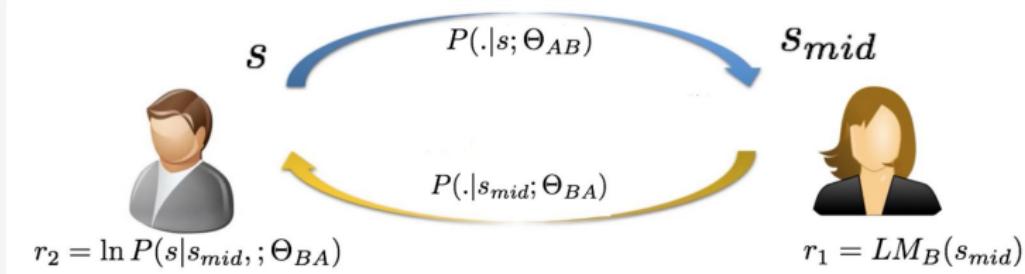
- $D_A$  Corpus of language A.
- $D_B$  Corpus of language B.
- $P(.|s; \theta_{AB})$  translation model from A to B.
- $P(.|s; \theta_{BA})$  translation model from B to A.
- $LM_A(\cdot)$  learned language model of A.
- $LM_B(\cdot)$  learned language model of B.



<sup>4</sup>Y. Xia, D. He, T. Qin, L. Wang, N. Yu, T.-Y. Liu, and W.-Y. Ma. [Dual learning for machine translation](#). NIPS 2016.

# Dual learning (Algorithm)

- 1 We have



- 2 Generate  $K$  translated sentences

$s_{mid,1}, s_{mid,2}, \dots, s_{mid,K}$

from  $P(.|s, \theta_{AB})$

- 3 Compute intermediate rewards

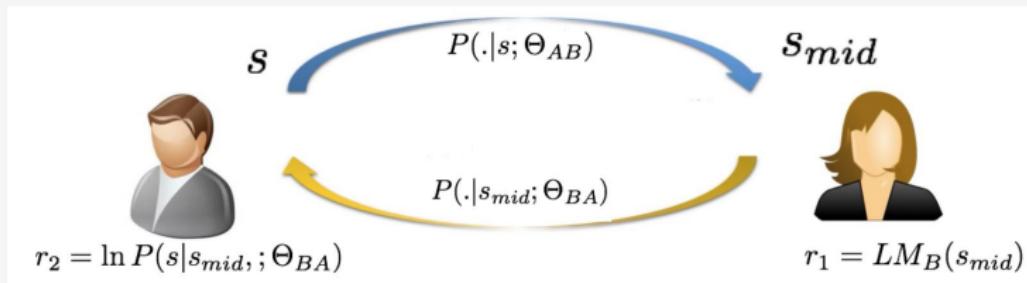
$r_{1,1}, r_{1,2}, \dots, r_{1,K}$

from  $LM_B(s_{mid,K})$  for each sentence as

$r_{1,k} = LM_B(s_{mid,k})$

# Dual learning (Algorithm)

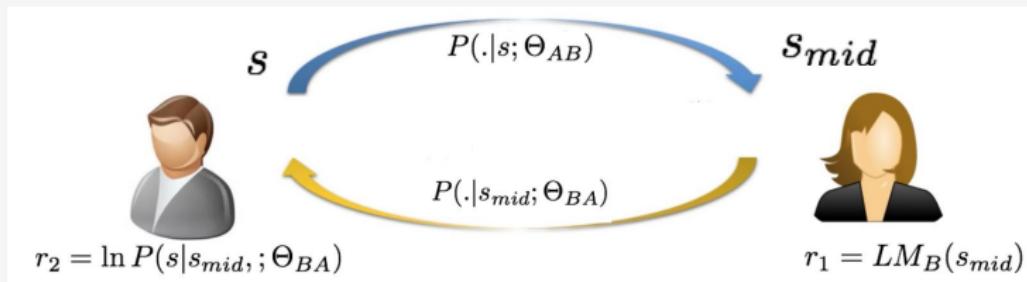
- 1 We have



- 2 Compute communication rewards  $r_{2,1}, r_{2,2}, \dots, r_{2,K}$  for each sentence as  $r_{2,k} = \ln P(s|s_{mid}, ; \theta_{BA})$
- 3 Set the total reward of  $k$ th sentence as  
$$r_k = \alpha r_{1,k} + (1 - \alpha)r_{2,k}$$

# Dual learning (Algorithm)

1 We have



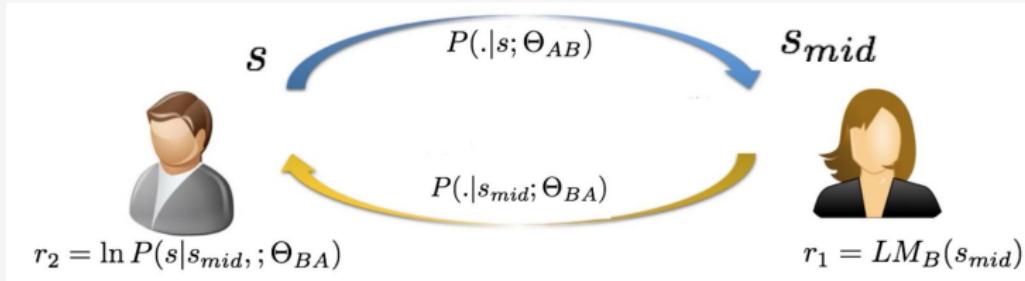
2 Compute the stochastic gradient of  $\theta_{AB}$  and  $\theta_{BA}$

$$\nabla_{\theta_{AB}} \mathbb{E}[r] = \frac{1}{K} \sum_{k=1}^K r_k \nabla_{\theta_{AB}} \ln P(s_{mid,k}|s, \theta_{AB})$$

$$\nabla_{\theta_{BA}} \mathbb{E}[r] = \frac{1}{K} \sum_{k=1}^K (1 - \alpha) \nabla_{\theta_{BA}} \ln P(s_{mid,k}|s, \theta_{BA})$$

# Dual learning (Algorithm)

1 We have



2 Update the mode parameters  $\theta_{AB}$  and  $\theta_{BA}$

$$\theta_{AB} \leftarrow \theta_{AB} + \gamma_1 \nabla_{\theta_{AB}} \mathbb{E}[r]$$

$$\theta_{BA} \leftarrow \theta_{BA} + \gamma_2 \nabla_{\theta_{BA}} \mathbb{E}[r]$$

# Dual learning algorithm (pseudo code))

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**Algorithm 1** The dual-learning algorithm

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- 1: **Input:** Monolingual corpora  $D_A$  and  $D_B$ , initial translation models  $\Theta_{AB}$  and  $\Theta_{BA}$ , language models  $LM_A$  and  $LM_B$ ,  $\alpha$ , beam search size  $K$ , learning rates  $\gamma_{1,t}, \gamma_{2,t}$ .
- 2: **repeat**
- 3:      $t = t + 1$ .
- 4:     Sample sentence  $s_A$  and  $s_B$  from  $D_A$  and  $D_B$  respectively.
- 5:     Set  $s = s_A$ .  $\triangleright$  Model update for the game beginning from A.
- 6:     Generate  $K$  sentences  $s_{mid,1}, \dots, s_{mid,K}$  using beam search according to translation model  $P(\cdot|s; \Theta_{AB})$ .
- 7:     **for**  $k = 1, \dots, K$  **do**
- 8:         Set the language-model reward for the  $k$ th sampled sentence as  $r_{1,k} = LM_B(s_{mid,k})$ .
- 9:         Set the communication reward for the  $k$ th sampled sentence as  $r_{2,k} = \log P(s|s_{mid,k}; \Theta_{BA})$ .
- 10:         Set the total reward of the  $k$ th sample as  $r_k = \alpha r_{1,k} + (1 - \alpha)r_{2,k}$ .
- 11:     **end for**
- 12:     Compute the stochastic gradient of  $\Theta_{AB}$ :

$$\nabla_{\Theta_{AB}} \hat{E}[r] = \frac{1}{K} \sum_{k=1}^K [r_k \nabla_{\Theta_{AB}} \log P(s_{mid,k}|s; \Theta_{AB})].$$

- 13:     Compute the stochastic gradient of  $\Theta_{BA}$ :

$$\nabla_{\Theta_{BA}} \hat{E}[r] = \frac{1}{K} \sum_{k=1}^K [(1 - \alpha) \nabla_{\Theta_{BA}} \log P(s|s_{mid,k}; \Theta_{BA})].$$

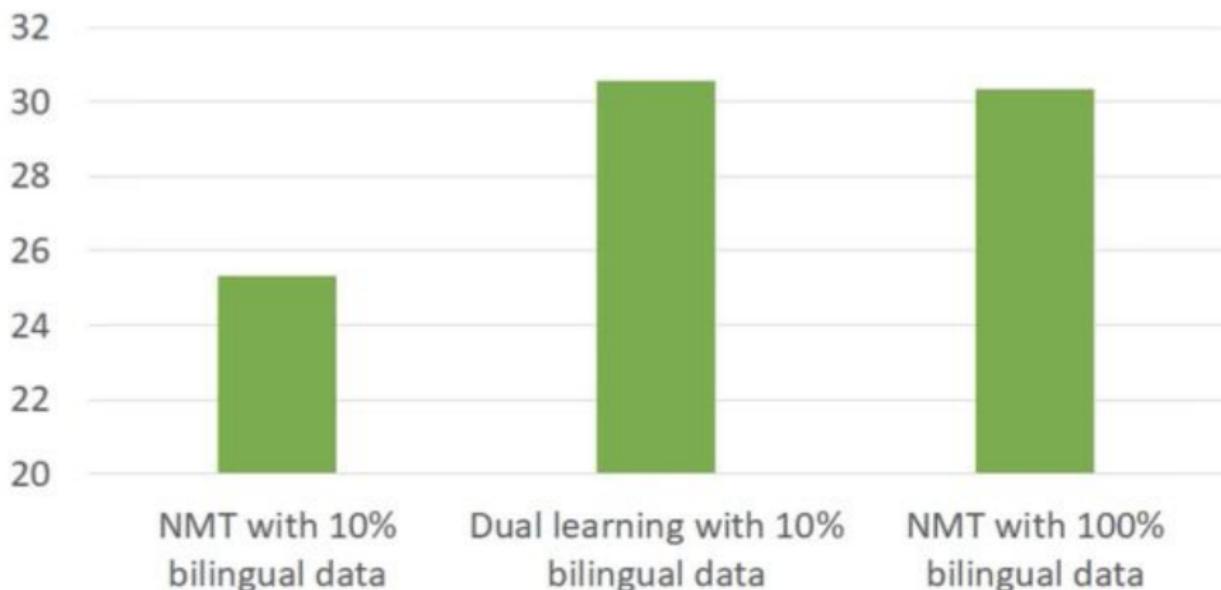
- 14:     Model updates:

$$\Theta_{AB} \leftarrow \Theta_{AB} + \gamma_{1,t} \nabla_{\Theta_{AB}} \hat{E}[r], \Theta_{BA} \leftarrow \Theta_{BA} + \gamma_{2,t} \nabla_{\Theta_{BA}} \hat{E}[r].$$

- 15:     Set  $s = s_B$ .  $\triangleright$  Model update for the game beginning from B.
  - 16:     Go through line 6 to line 14 symmetrically.
  - 17: **until** convergence
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# Experimental results

BLEU score: French->English



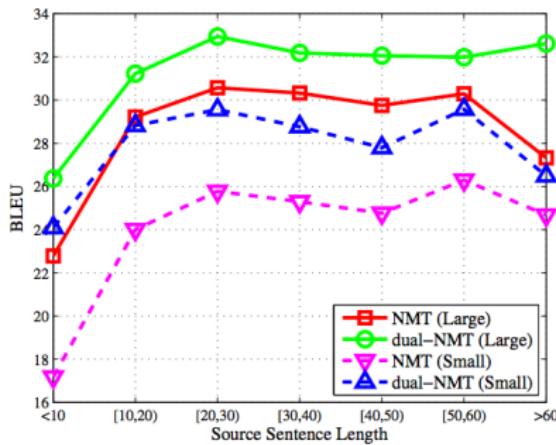
# Experimental results

- 1 Reconstruction performance (BLEU: geometric mean of  $n$ -gram precision)

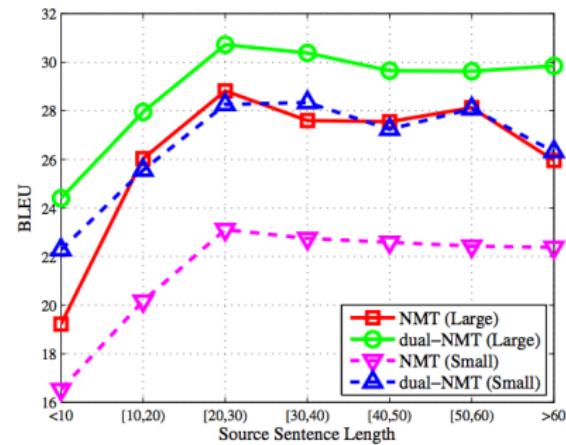
	En→Fr→En (L)	Fr→En→Fr (L)	En→Fr→En (S)	Fr→En→Fr (S)
NMT	39.92	45.05	28.28	32.63
pseudo-NMT	38.15	45.41	30.07	34.54
dual-NMT	<b>51.84</b>	<b>54.65</b>	<b>48.94</b>	<b>50.38</b>

# Experimental results

- 1 For different source sentence length (**Improvement is significant for long sentences**)



(a) En→Fr



(b) Fr→En

# Experimental results

## 1 Reconstruction examples

	Translation-back-translation results before dual-NMT training	Translation-back-translation results after dual-NMT training
Source (En)	The majority of the growth in the years to come will come from its liquefied natural gas schemes in Australia.	
En→Fr	La plus grande partie de la croissance des années à venir viendra de ses systèmes de gaz naturel liquéfié en Australie .	La majorité de la croissance dans les années à venir viendra de ses régimes de gaz naturel liquéfié en Australie .
En→Fr→En	Most of the growth of future years will come from its liquefied natural gas systems in Australia .	The majority of growth in the coming years will come from its liquefied natural gas systems in Australia .
Source (Fr)	Il précise que " les deux cas identifiés en mai 2013 restent donc les deux seuls cas confirmés en France à ce jour " .	
Fr→En	He noted that " the two cases identified in May 2013 therefore remain the only two confirmed cases in France to date " .	He states that " the two cases identified in May 2013 remain the only two confirmed cases in France to date "

## Dual Supervised Learning

# Supervised learning

- 1 Given  $m$  training pairs  $\{(x_1, y_1), \dots, (x_m, y_m)\}$  sampled from the space  $\mathcal{X} \times \mathcal{Y}$ .
- 2 Learn the bi-directional relationship of  $(x, y)$ , in two independent supervised learning tasks (primal  $f$  and dual  $g$ ):

$$\min_{\theta_{xy}} \frac{1}{m} \sum_i^m L_1(f(x_i; \theta_{xy}), y_i)$$

$$\min_{\theta_{yx}} \frac{1}{m} \sum_i^m L_2(f(y_i; \theta_{yx}), x_i)$$

- 3 If the learned primal and dual models are perfect, for all  $x$  and  $y$ , we should have

$$P(x)P(y|x; \theta_{xy}) = P(y)P(x|y; \theta_{yx})$$

# Deep supervised learning

## 1 Incorporate joint distribution matching in supervised learning

$$\min_{\theta_{xy}} \frac{1}{m} \sum_i^m L_1(f(x_i; \theta_{xy}), y_i)$$

$$\min_{\theta_{yx}} \frac{1}{m} \sum_i^m L_2(f(y_i; \theta_{yx}), x_i)$$

$$P(x)P(y|x; \theta_{xy}) = P(y)P(x|y; \theta_{yx})$$

## 2 Empirical marginal distributions $\hat{P}(x)$ and $\hat{P}(y)$

$$L_{duality} = \left( \log \hat{P}(x) + \log \hat{P}(y|x; \theta_{xy}) \right) - \left( \log \hat{P}(y) + \log \hat{P}(x|y; \theta_{yx}) \right)$$

# Supervised Dual learning Algorithm

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**Algorithm 1** Dual Supervise Learning Algorithm
 

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**Input:** Marginal distributions  $\hat{P}(x_i)$  and  $\hat{P}(y_i)$  for any  $i \in [n]$ ; Lagrange parameters  $\lambda_{xy}$  and  $\lambda_{yx}$ ; optimizers  $Opt_1$  and  $Opt_2$ ;

**repeat**

Get a minibatch of  $m$  pairs  $\{(x_j, y_j)\}_{j=1}^m$ ;

Calculate the gradients as follows:

$$\begin{aligned} G_f &= \nabla_{\theta_{xy}} (1/m) \sum_{j=1}^m [\ell_1(f(x_j; \theta_{xy}), y_j) \\ &\quad + \lambda_{xy} \ell_{\text{duality}}(x_j, y_j; \theta_{xy}, \theta_{yx})]; \\ G_g &= \nabla_{\theta_{yx}} (1/m) \sum_{j=1}^m [\ell_2(g(y_j; \theta_{yx}), x_j) \\ &\quad + \lambda_{yx} \ell_{\text{duality}}(x_j, y_j; \theta_{xy}, \theta_{yx})]; \end{aligned} \tag{4}$$

Update the parameters of  $f$  and  $g$ :

$$\theta_{xy} \leftarrow Opt_1(\theta_{xy}, G_f), \theta_{yx} \leftarrow Opt_2(\theta_{yx}, G_g).$$

**until** models converged

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# Supervised Dual learning Algorithm results

Tasks	RNNSearch	DSL	$\Delta$
En→Fr	29.92	31.99	2.07
Fr→En	27.49	28.35	0.86
En→De	16.54	17.91	1.37
De→En	20.69	20.81	0.12
En→Zh (MT08)	15.45	15.87	0.42
Zh→En (MT08)	31.67	33.59	1.92
En→Zh (MT12)	15.05	16.10	1.05
Zh→En (MT12)	30.54	32.00	1.46

# Some extensions

- 1 Yingce Xia, Tao Qin, Wei Chen, Jiang Bian, Nenghai Yu, Tie-Yan Liu, Dual Supervised Learning, ICML 2017.
- 2 Yijun Wang, Yingce Xia, Li Zhao, Jiang Bian, Tao Qin, Guiquan Liu and Tie-Yan Liu, Dual Transfer Learning for Neural Machine Translation with Marginal Distribution Regularization, AAAI 2018.