



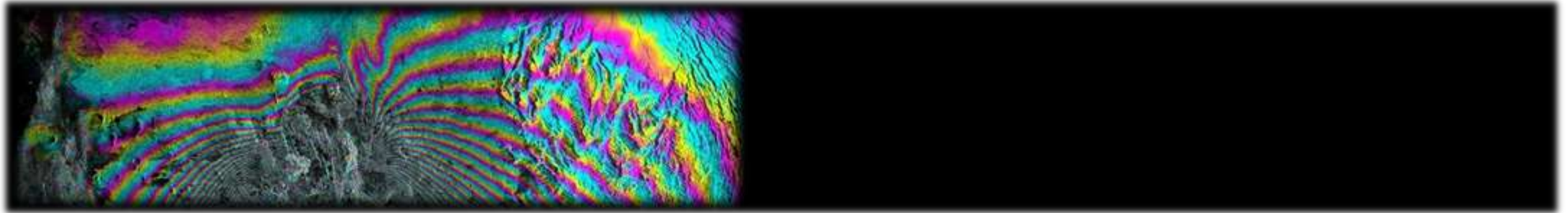
# GEOS 639 – INSAR AND ITS APPLICATIONS

## GEODETIC IMAGING AND ITS APPLICATIONS IN THE GEOSCIENCES

### Lecturer:

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### Lecture 6: Interferometric SAR Techniques – Topographic Mapping



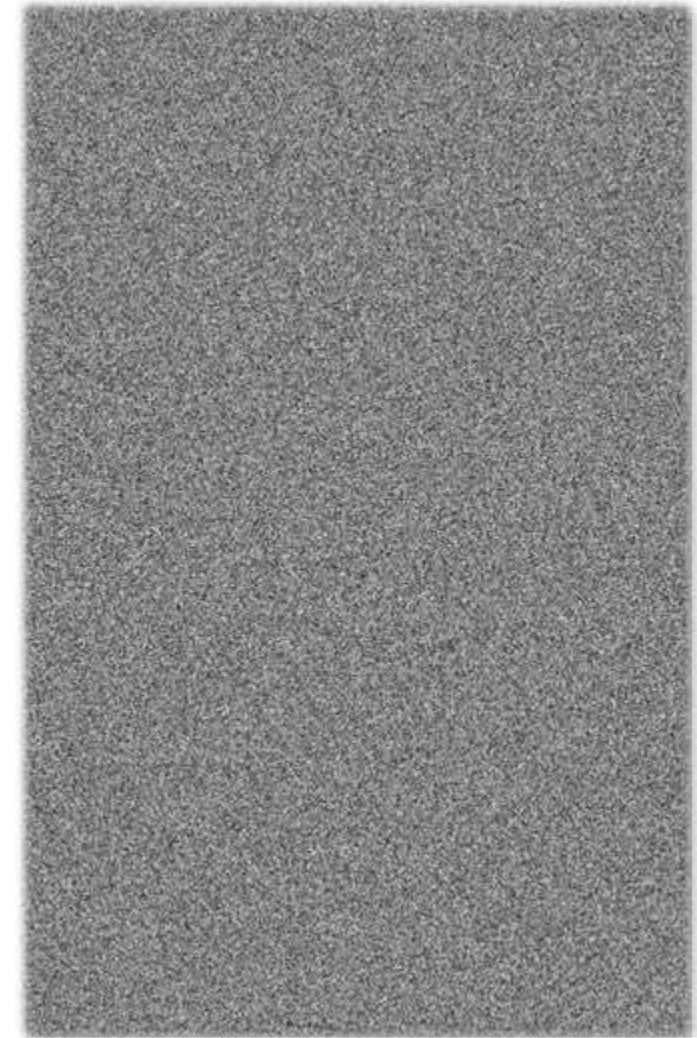
# INSAR FOR TOPOGRAPHIC MAPPING: CONCEPT





## For InSAR to Work, we Require Accurate Co-Registration between the InSAR Image Partners:

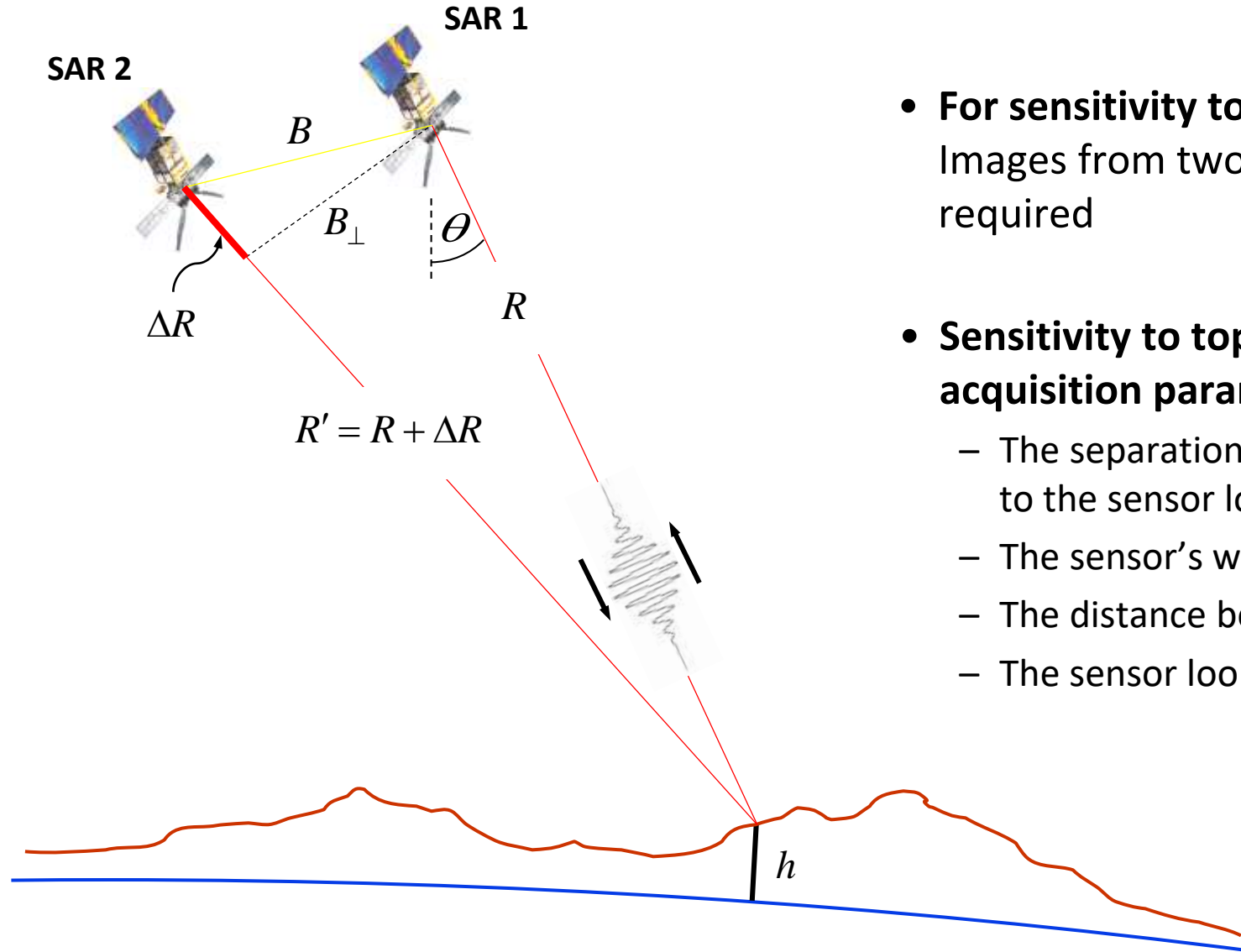
- **Q1:** Using the image to your right as a visual clue, why is highly accurate co-registration required?
- **Q2:** How accurate do you think the co-registration needs to be?
  - Within 10s of pixels
  - At about the pixel level
  - At 1/100 of a pixel or better



Phase signature of a single SAR image



# Across-Track InSAR Geometry To Enable Topographic Mapping



- **For sensitivity to topography:**  
Images from two slightly different vantage points are required
- **Sensitivity to topography depends on these acquisition parameters:**
  - The separation of the acquisition locations perpendicular to the sensor look direction  $B_{\perp}$
  - The sensor's wavelength  $\lambda$
  - The distance between satellite and ground  $R$
  - The sensor look angle  $\theta$



# Measuring Topography using InSAR

How to measure topographic height from the InSAR phase:  $\phi_{topo} = \frac{4 \pi}{\lambda} \frac{B_{\perp}}{R \sin \theta} h$

How well can we measure height:  $\sigma_h = \frac{\lambda}{4 \pi} \frac{R \sin \theta}{B_{\perp}} \cdot \sigma_{\phi}$

example ALOS PALSAR:  $\lambda \approx 25 \text{ cm}$

$R \approx 800 \text{ km}$

$\theta = 30^{\circ} \rightarrow \sin \theta = 0.5$

baseline	height for 1 phase cycle ( $2\pi$ )
50 m	$\approx 1000 \text{ m}$
100 m	$\approx 500 \text{ m}$
200 m	$\approx 250 \text{ m}$

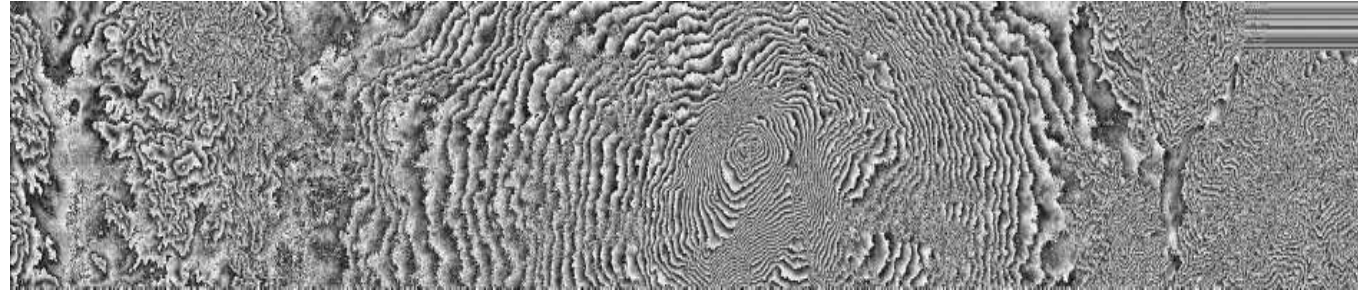




# Interferometric Sensitivity as a Function of Wavelength

Three simultaneously acquired Interferograms with identical  $B_{\perp}$ ,  $R$ , and  $\theta$  but varying  $\lambda$

X-band  
 $\lambda \approx 3.1\text{cm}$



C-band  
 $\lambda \approx 5.6\text{cm}$



L-band  
 $\lambda \approx 24.0\text{cm}$



Mt. Etna  
data: SRL-2





# Think – Pair – Share



## Try to answer the following questions:

- **Q1:** What is the Height difference between Points 1 and 2?

Height per phase  
cycle (fringe):

$$h_{2\pi} = \frac{\lambda}{2} \frac{R \sin \theta}{B_{\perp}}$$

Parameters:

$$B = 400m$$

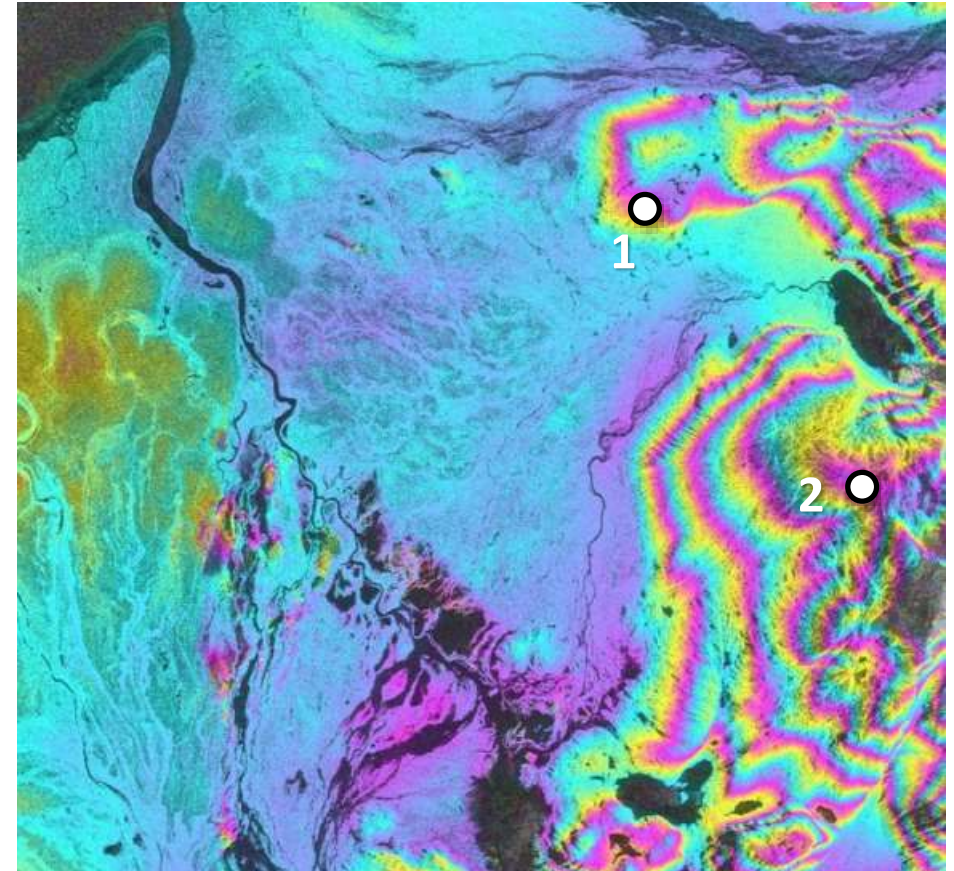
$$R = 800,000m$$

$$\sin \theta = 0.5$$

$$\lambda = 0.25m$$

Height per fringe:  $h_{2\pi} =$

About 4 fringes  $\rightarrow \Delta h_{1-2} =$

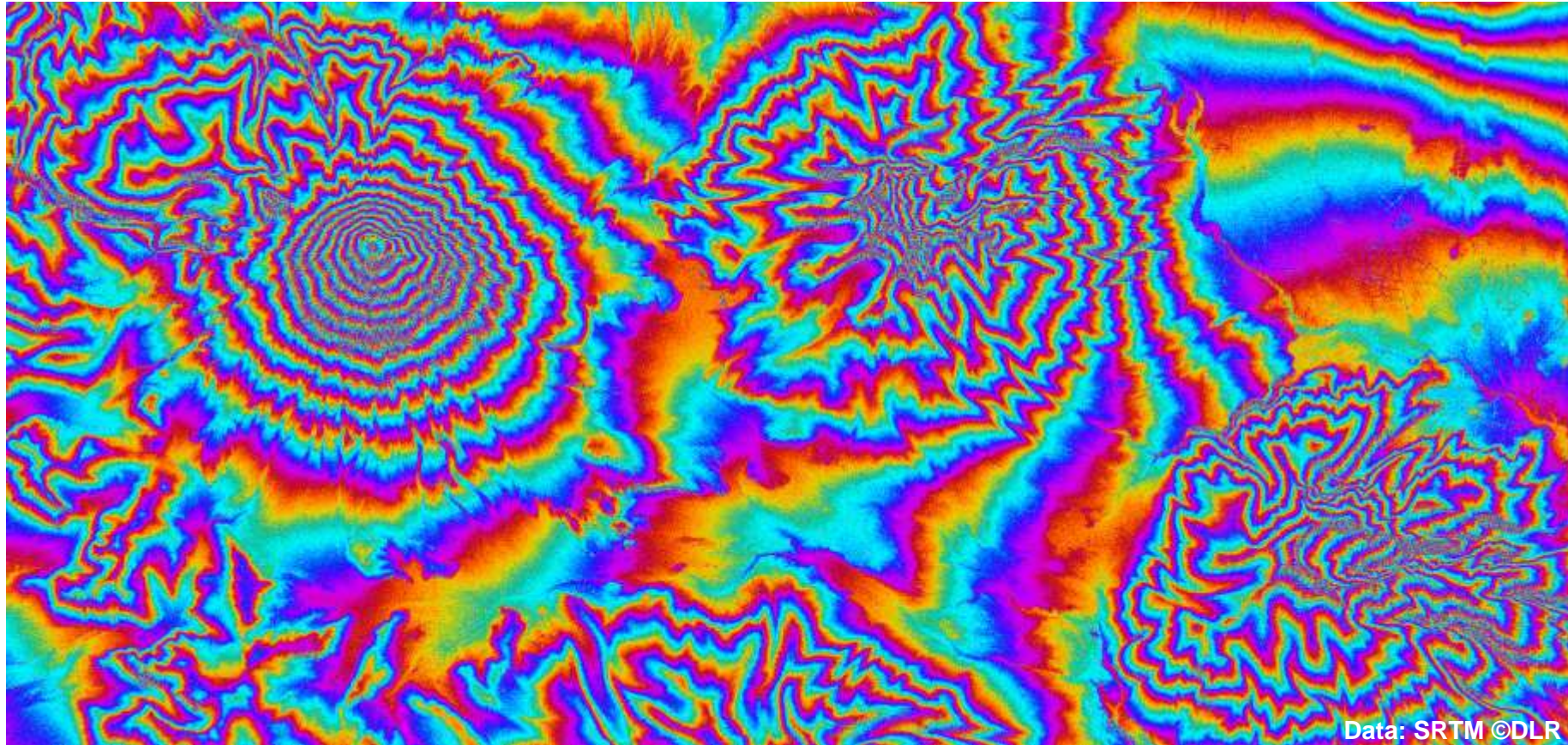


- **Q2:** What is the absolute Height at Point 2?





# Problem of InSAR: Interferometric Phase is Ambiguous



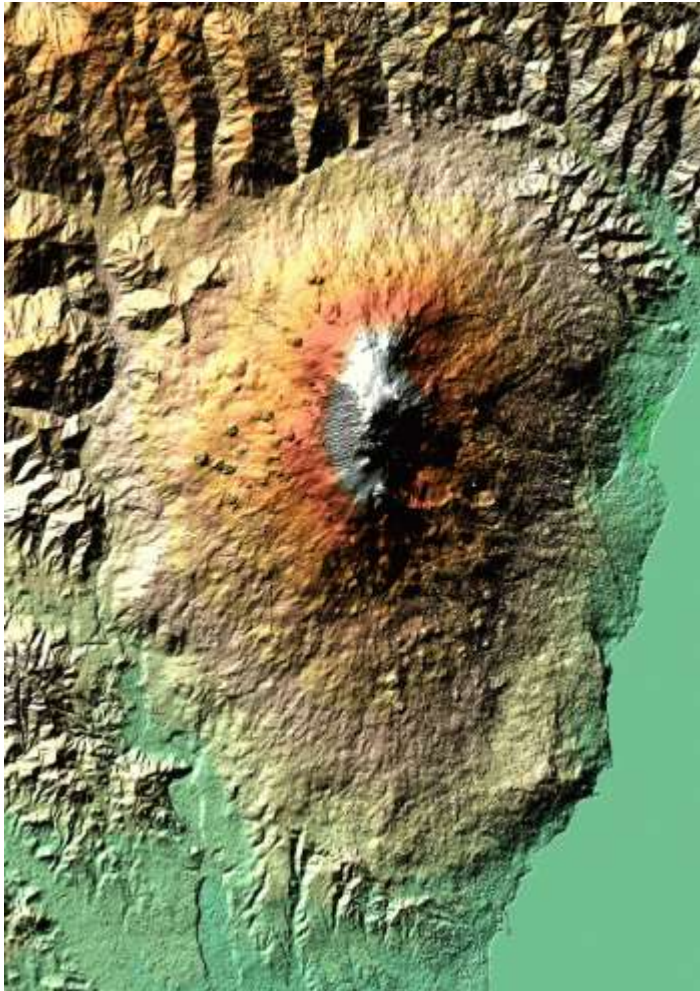
A specific interferometric phase value matches several topographic height values!



# Phase Unwrapping: Find “Most Likely” Absolute Phase Given Measured Ambiguous Phase

- Phase Unwrapping algorithms find mathematical ways of describing that ...

this is much more likely ...



... than this



# A Typical InSAR Processing Workflow

1. **Select and order InSAR-capable SAR data** from a data server
2. **Import SAR data into an InSAR processing system**
3. **Calculate spatial baseline & apply spectral (wavenumber) shift filtering** (not discussed in the lectures – applied automatically by most available tools)
4. **Determine co-registration parameters:**
  - cross-correlate >100 image chips spread over image
  - use over-sampling and interpolation to locate correlation peaks
  - apply regression to parameterize co-registration (e.g. affine transform)
5. **Co-register images:**
  - Resample slave image(s) to match master image
  - Required accuracy:  $\ll 1/10$  resolution element
  - More on required co-registration accuracy on Slides 20 & 21





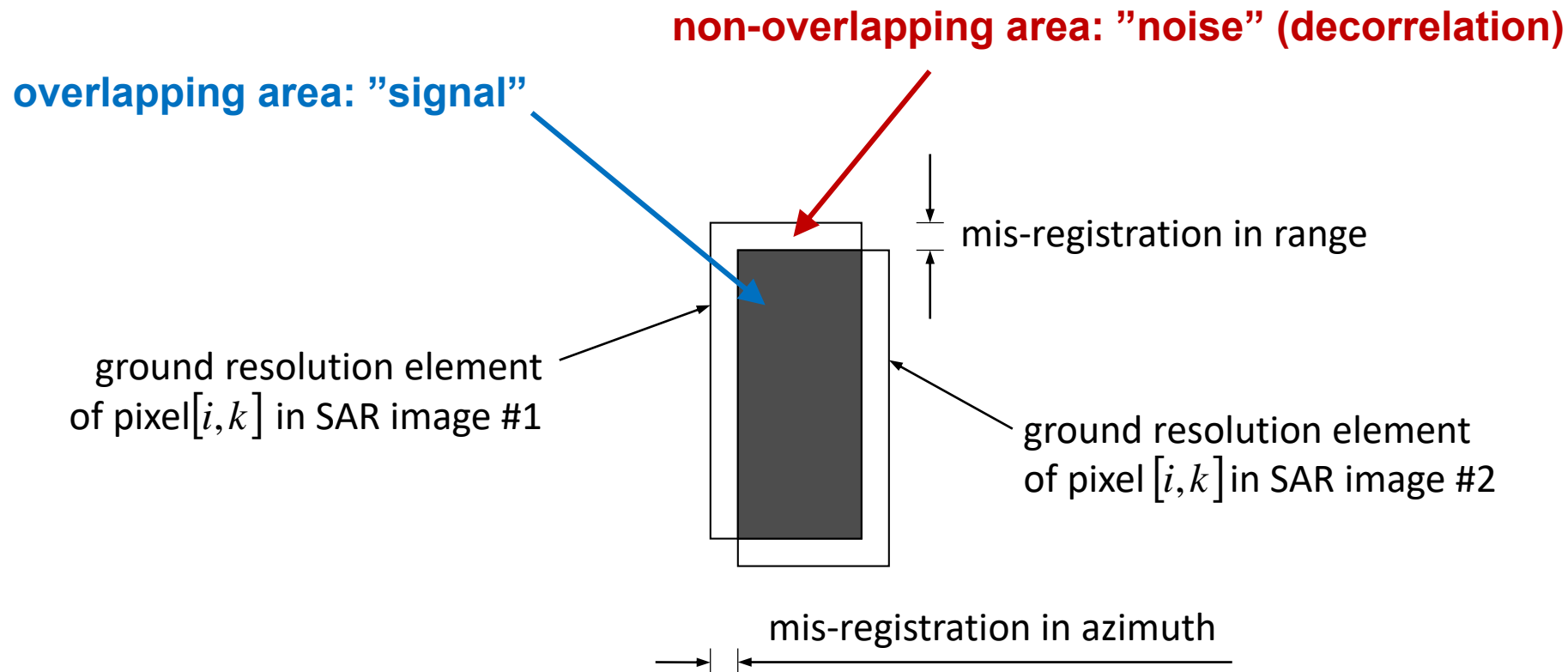
# A Typical InSAR Processing Workflow

6. [Optional Orbit improvement: If precise orbit information is available.]
7. **Interferogram formation:**  $I = u_1 \cdot u_2^*$ ; optional multi-looking may be applied.
8. **Flat Earth phase removal:** Simulate and subtract phase trend due to the geometry changes from near range to far range.
9. **Coherence Calculation:** Coherence is calculated as described in Lecture 12.
10. **For differential InSAR (d-InSAR):** Using a DEM, simulate and subtract interferogram replicating topography-related phase.
11. **Apply phase filter:** A phase filter is applied to reduce InSAR phase noise and reduce phase unwrapping complexity (see next section).
12. **Phase Unwrapping:** Turns originally ambiguous interferometric phase into unambiguous absolute phase.
13. **Geocoding and Terrain Correction:** Note that flat earth phase needs to be added before geocoding to obtain absolute phase.



# Effects of Coregistration Errors on Coherence

- How accurately must the two SAR images be co-registered before interferogram formation?



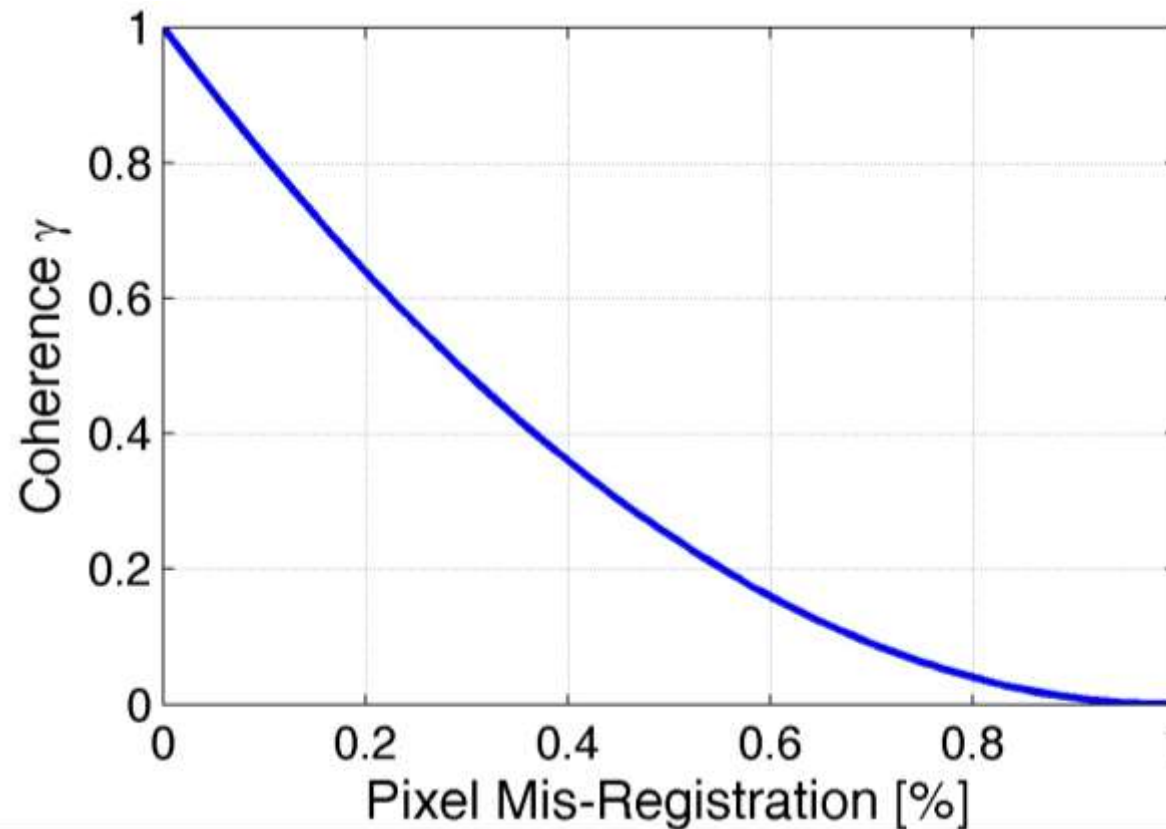
**Stripmap rule-of-thumb:** 1/10 of a resolution element mis-registration is usually acceptable





# Coherence Reduction Caused by Image Mis-Registration

- Here a plot how coherence drops with pixel misregistration:
  - **Assumption:** Mis-registration is only cause of de-correlation
  - Mis-registration expressed in fractions of pixels



## How to select a suitable image pair for successful InSAR processing

- **Required conditions:**

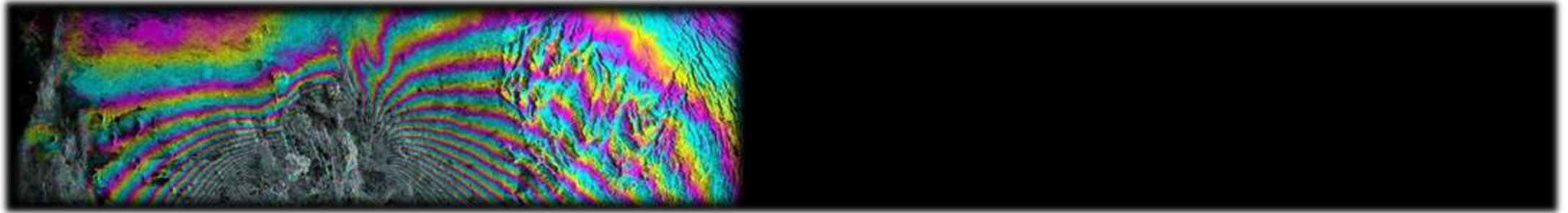
- Images from identical orbit direction (both ascending or both descending)
- Images with identical incidence angle and beam mode
- Images with identical resolution and wavelength (usually: same sensor)
- Images with same viewing geometry (same track/frame combination)

- **Recommended conditions:**

- For topographic mapping: Limited time separation between images (temporal baseline)
- For topographic mapping: Image pairs with sufficient spatial baseline
- Images from similar seasons / growth / weather conditions







# INSAR FOR TOPOGRAPHIC MAPPING: MISSIONS



# Shuttle Radar Topography Mission

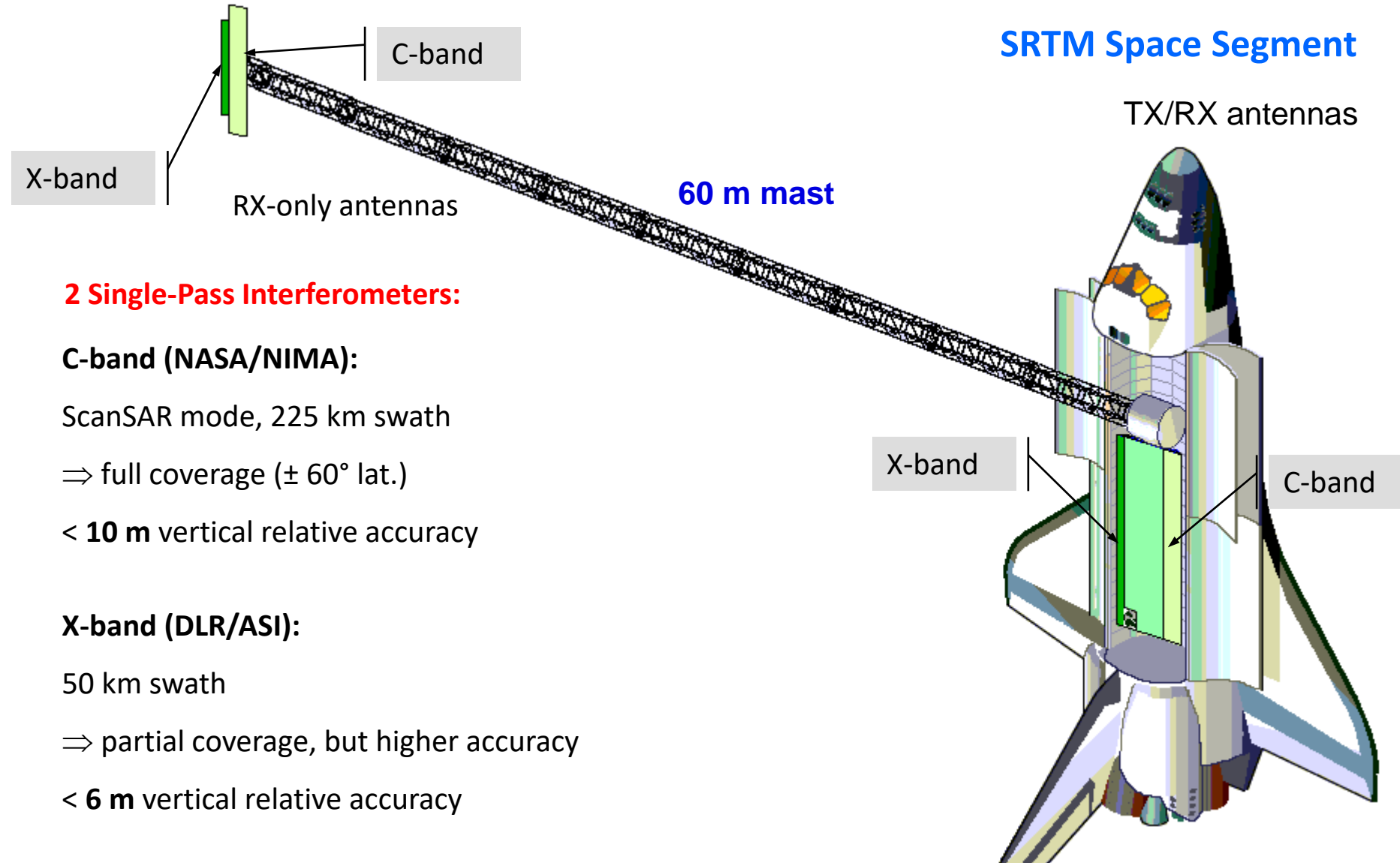
A Global 30 Meter  
Digital Elevation Model in 11 Days

February 11 - 22, 2000





# SRTM – A Dedicated Topographic Mapping Mission



## 2 Single-Pass Interferometers:

### C-band (NASA/NIMA):

ScanSAR mode, 225 km swath

⇒ full coverage ( $\pm 60^\circ$  lat.)

< **10 m** vertical relative accuracy

### X-band (DLR/ASI):

50 km swath

⇒ partial coverage, but higher accuracy

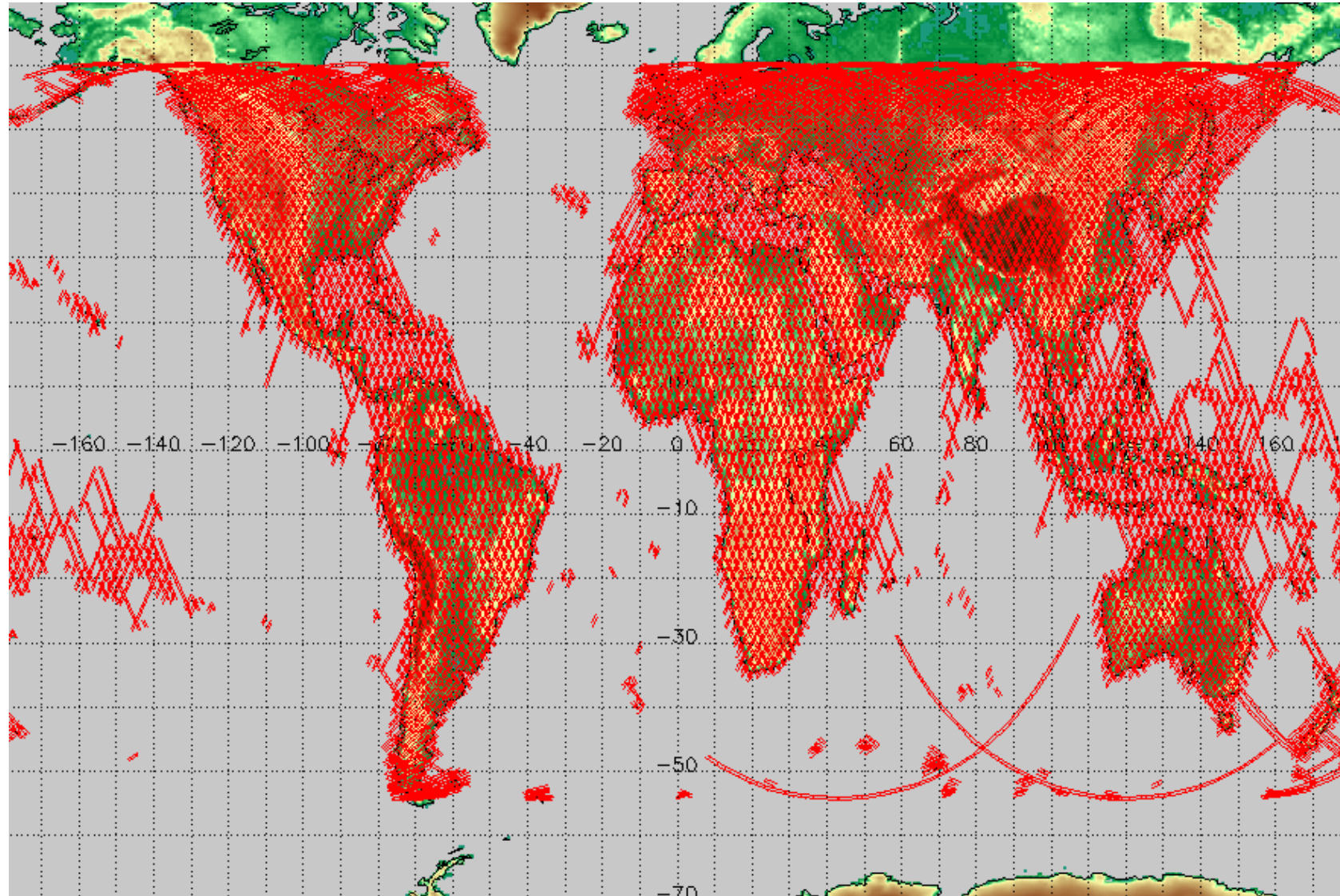
< **6 m** vertical relative accuracy

# SRTM – Deployment of Mast



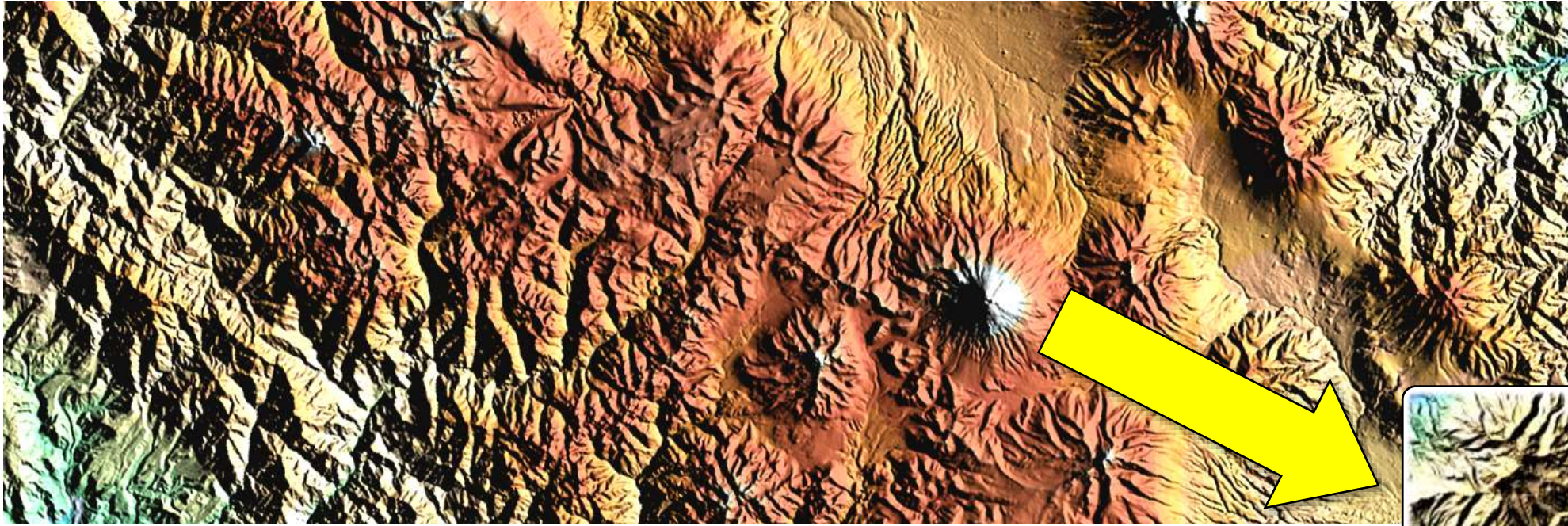


# SRTM Coverage



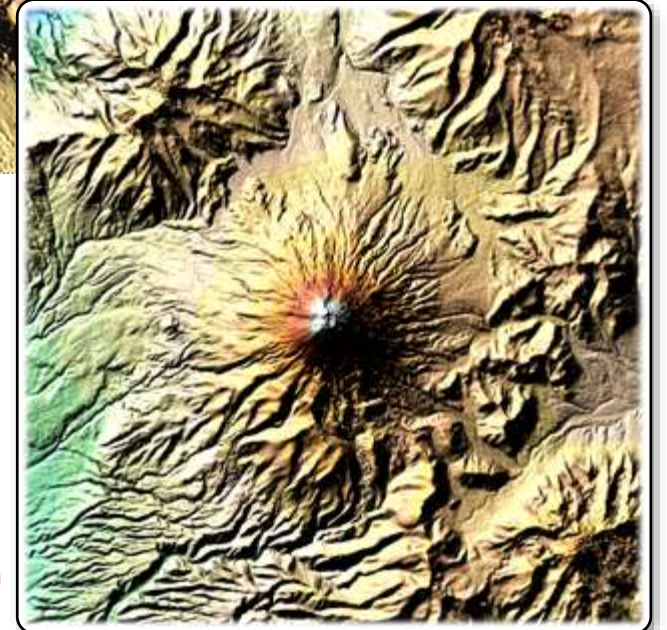


# SRTM Example, Cotopaxi Volcano, Ecuador



Cotopaxi Volcano  
Ecuador

SRTM/X-SAR



Digital Elevation Model (DEM)



# TanDEM-X - An X-Band Mission for Global Topographic Mapping

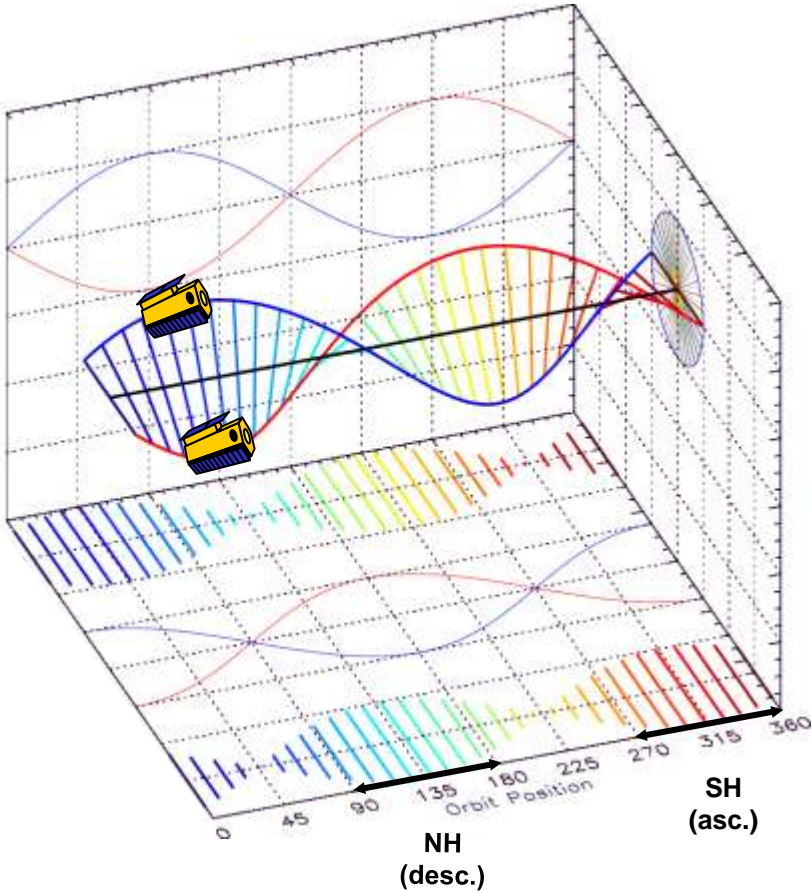
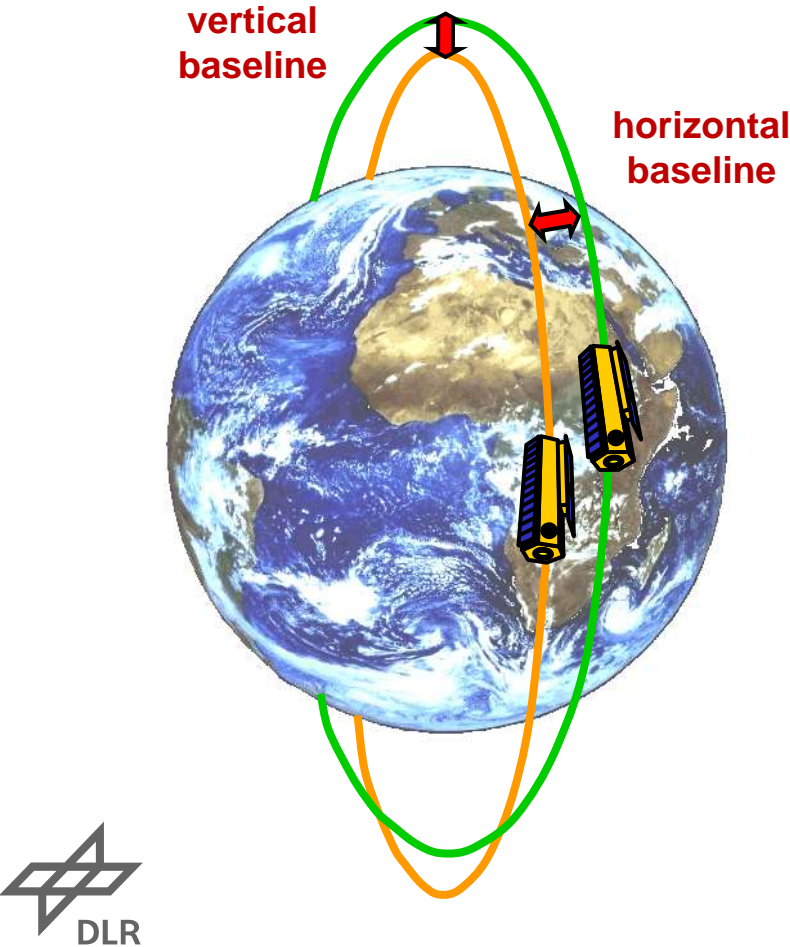
- **Mission Goals:**

- Acquisition of a global DEM according to HRTI-3 standard
- Generation of Local DEMs with HRTI-4 quality
- Demonstration of innovative bistatic imaging techniques and applications





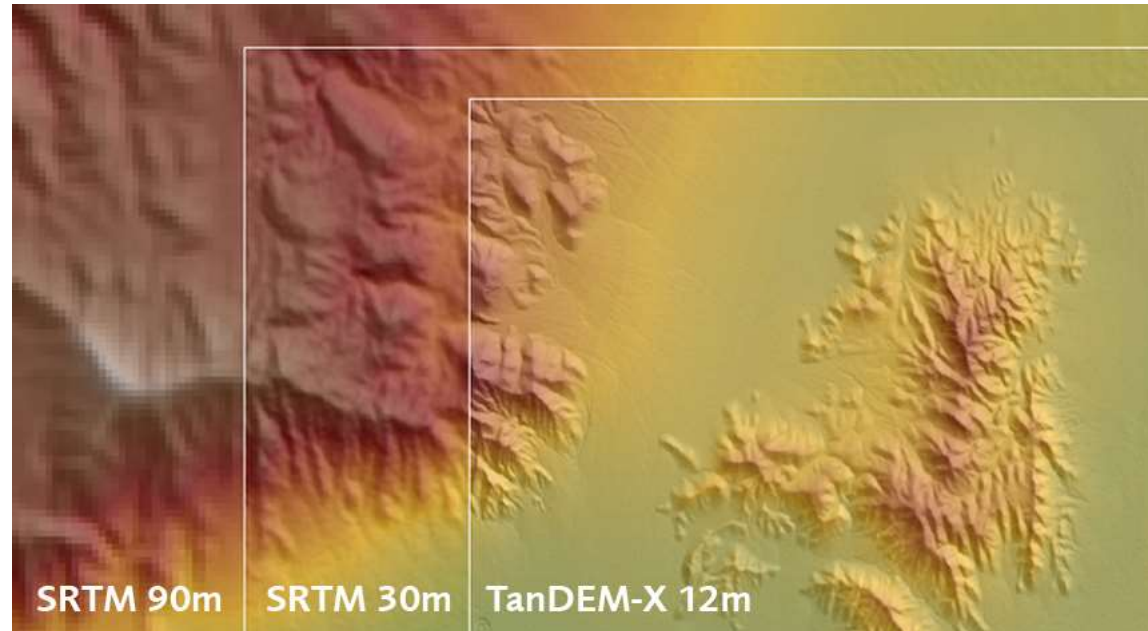
# Helix Orbit of TanDEM-X



	Spatial Resolution	Absolute Vertical Accuracy (90%)	Relative Vertical Accuracy (point-to-point in 1° cell, 90%)
DTED-1	90 m x 90 m	< 30 m	< 20 m
DTED-2	30 m x 30 m	< 18 m	< 12 m
TanDEM-X	12 m x 12 m	< 10 m	< 2 m
Level-4	6 m x 6 m	< 5 m	< 0.8 m

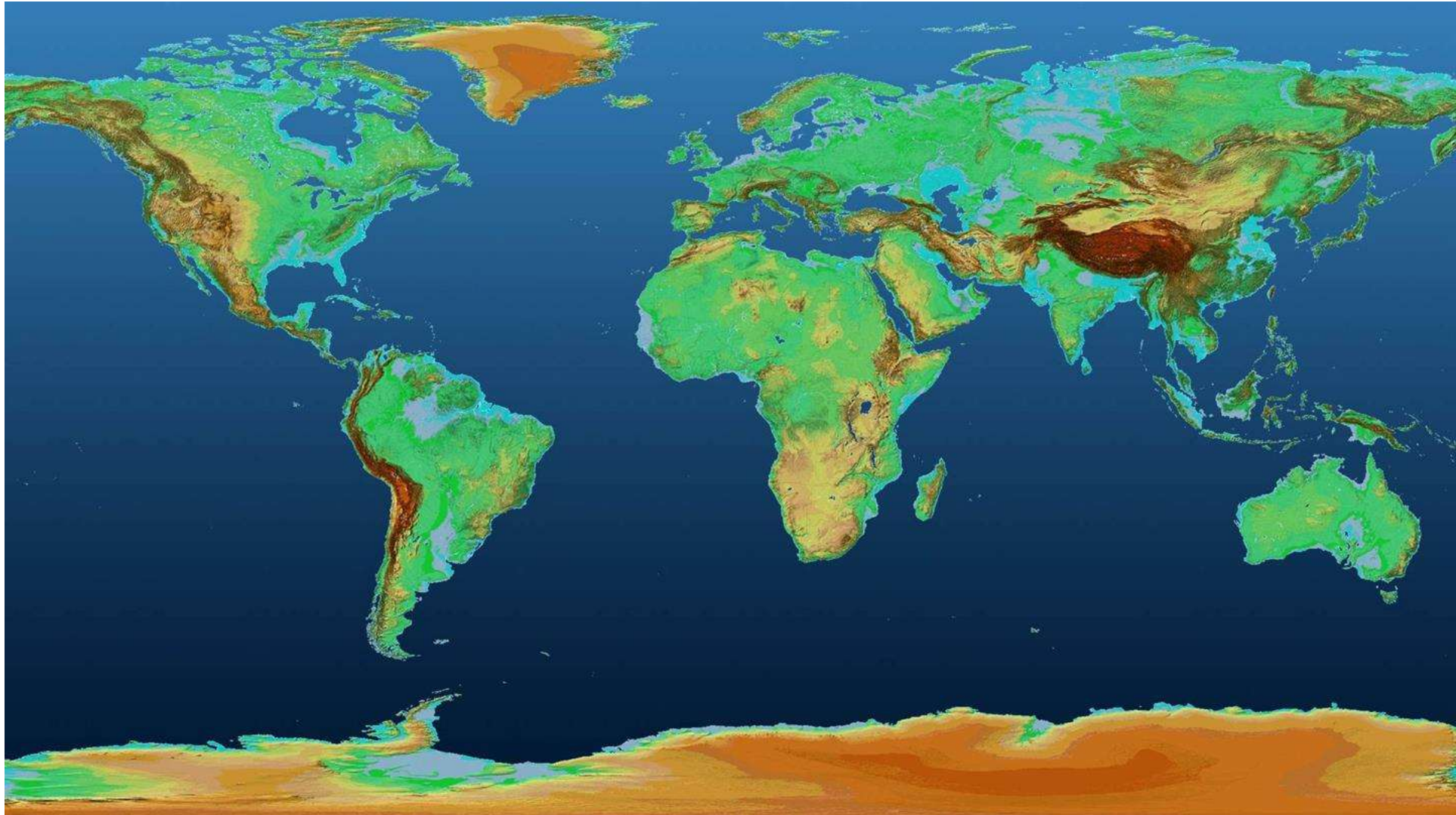
**Visualization of improved DEM quality:**

TanDEM-X vs. SRTM DEMs





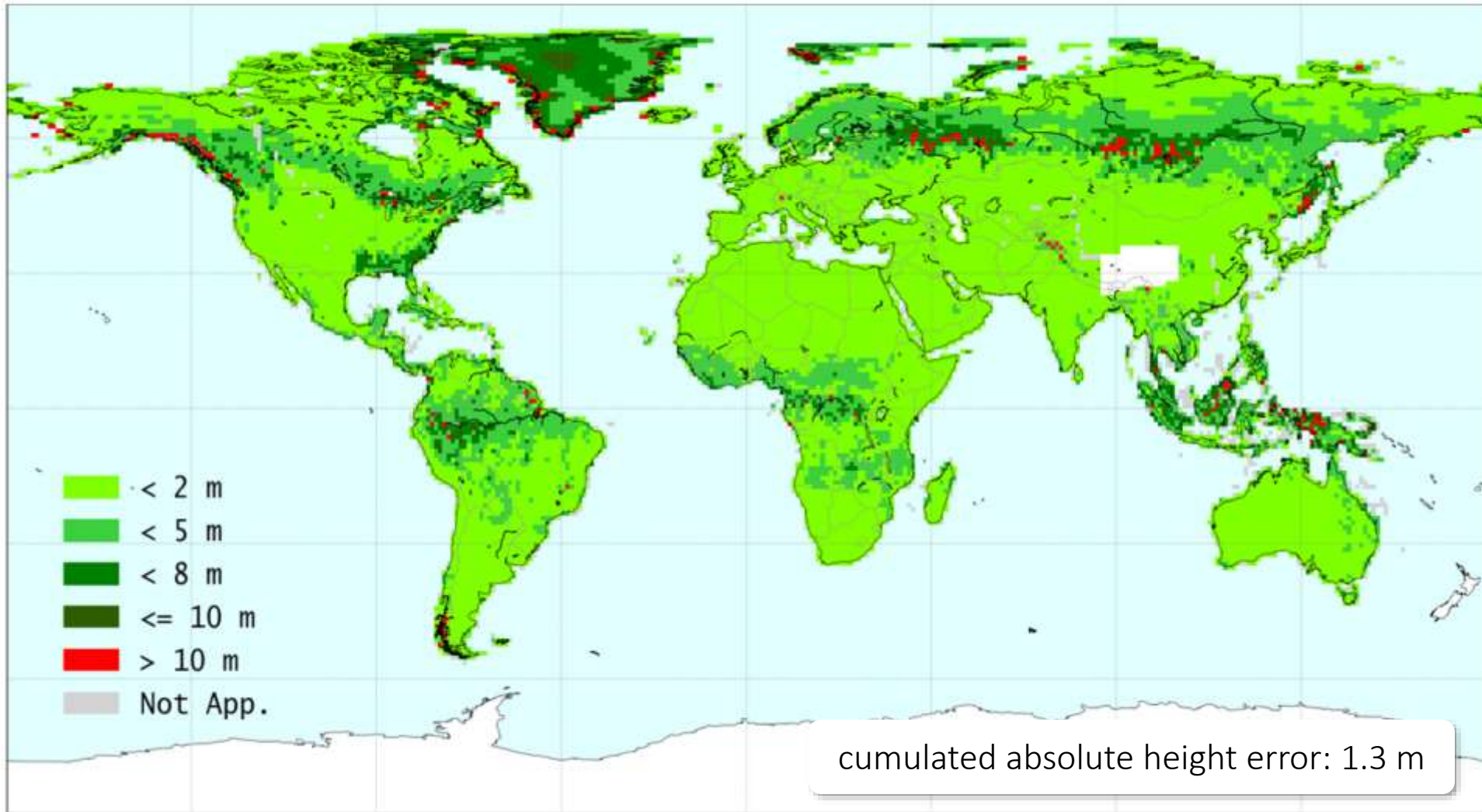
# Global TanDEM-X DEM





# Global TanDEM-X DEM

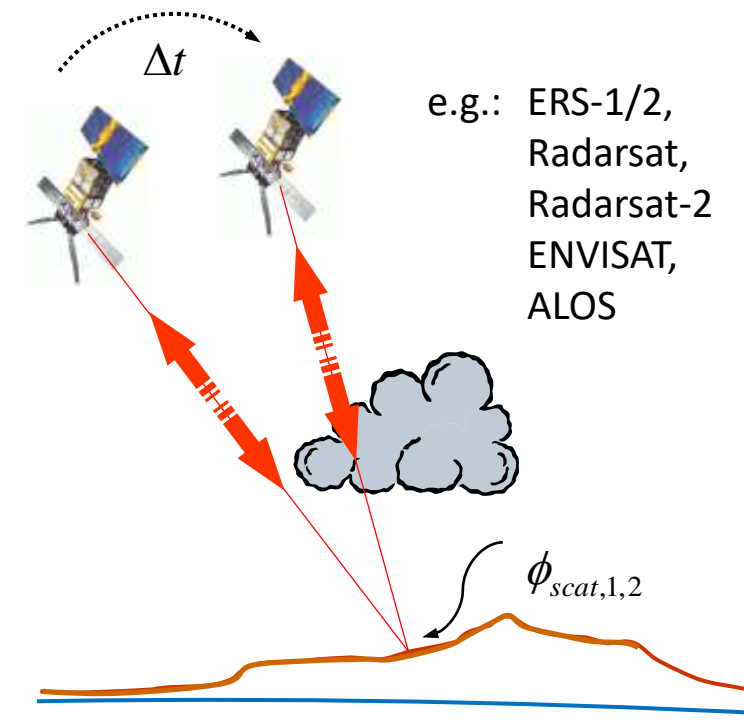
## Absolute Height Error



Zink, Manfred, et al. "TanDEM-X mission status: the complete new topography of the Earth." *2016 IEEE International Geoscience and Remote Sensing Symposium (IGARSS)*. IEEE, 2016.

# Repeat-Pass vs. Single-Pass Interferometry

**BEST FOR DEFORMATION MAPPING (NEXT LECTURE)**



The diagram illustrates Repeat-Pass Interferometry. Two satellite images of the same area are shown, separated by a time interval  $\Delta t$ . Red arrows represent the radar signals from each satellite to a point on the ground surface. The ground surface is depicted as a brown line with a cloud. The phase of the scattered waves is labeled  $\phi_{scat,1,2}$ . Below the ground surface, a blue line represents the reference surface. Text indicates 'atmospheric delay variations' and 'temporal decorrelation ( $\phi_{scat,1} \neq \phi_{scat,2}$ )'. A large grey arrow points down to the bullet points.

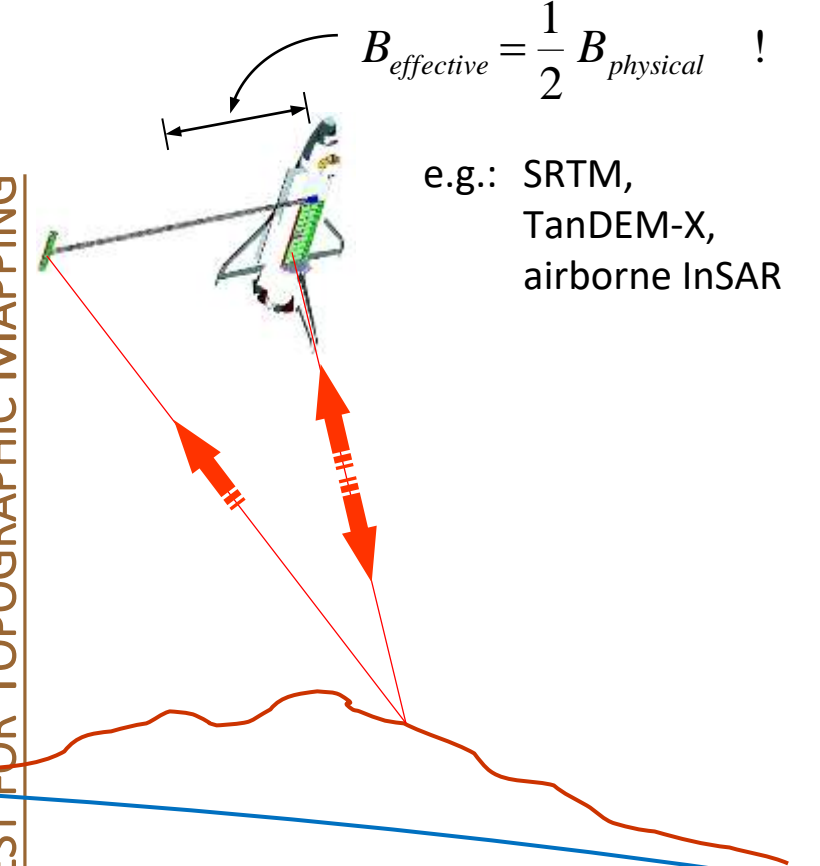
e.g.: ERS-1/2,  
Radarsat,  
Radarsat-2  
ENVISAT,  
ALOS

$\phi_{scat,1,2}$

atmospheric delay variations  
temporal decorrelation ( $\phi_{scat,1} \neq \phi_{scat,2}$ )

- reduced & variable quality
- sensitive to surface deformation

**BEST FOR TOPOGRAPHIC MAPPING**



The diagram illustrates Single-Pass Interferometry. A single satellite is shown with two antennas separated by a baseline  $B_{physical}$ . Red arrows represent the radar signals from each antenna to a point on the ground surface. The ground surface is depicted as a brown line with a cloud. The effective baseline is labeled  $B_{effective} = \frac{1}{2} B_{physical}$ . Below the ground surface, a blue line represents the reference surface. Text indicates 'e.g.: SRTM, TanDEM-X, airborne InSAR'. A large grey arrow points down to the bullet points.

$B_{effective} = \frac{1}{2} B_{physical}$  !

e.g.: SRTM,  
TanDEM-X,  
airborne InSAR

- high and constant quality DEMs
- not sensitive to surface deformation

# What's Next?

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- This is what awaits next:
  - Lab on DEM generation using InSAR

