

Ve 280

Programming and Introductory Data Structures

Fibonacci Heap

Midterm Exam

- Time: Oct. 25th, in class.
- Location: see Canvas announcement.
- A written exam.
 - Like our written assignments.
 - Pseudo-code OK (but make sure we can **understand** it!)
- Closed book and closed notes.
- Only basic calculator is allowed.
 - No other electronic devices, including laptops and cell phones.
 - We will show a clock on the screen.
- Abide by the **Honor Code**!

Midterm Topics

- Asymptotic Algorithm Analysis
- Sorting
 - Comparison Sort
 - Non-comparison Sort
- Linear-time Selection
- Hashing (universal hashing not required)
- Bloom Filter
- Tree and Binary Tree Traversal
- Priority Queue and Binary Heap

Announcement

- Programming Assignment 3 released
 - On priority queue
 - Due by midnight of Nov. 7
- Will have a make-up lecture this Friday (Oct. 27) 2:00 – 3:40 pm
 - Classroom: East Middle Hall 2-101

Fibonacci Heap

- A **mergeable heap**, which supports the following operations
 - **insert**: insert a new item into the heap
 - **getMin**: get item with **min** key
 - **extractMin**: remove and return an item with **min** key
 - **makeHeap**: create a new empty heap
 - **union** (H_1, H_2) : create and return a new heap that contains all the elements of heaps H_1 and H_2 . Heaps H_1 and H_2 are destroyed by this operation
- Additionally, Fibonacci heap supports
 - **decreaseKey** (**Node** x , **Key** k) : decrease the key of node x to a smaller value k and restore the heap property

Runtime Complexity Comparison

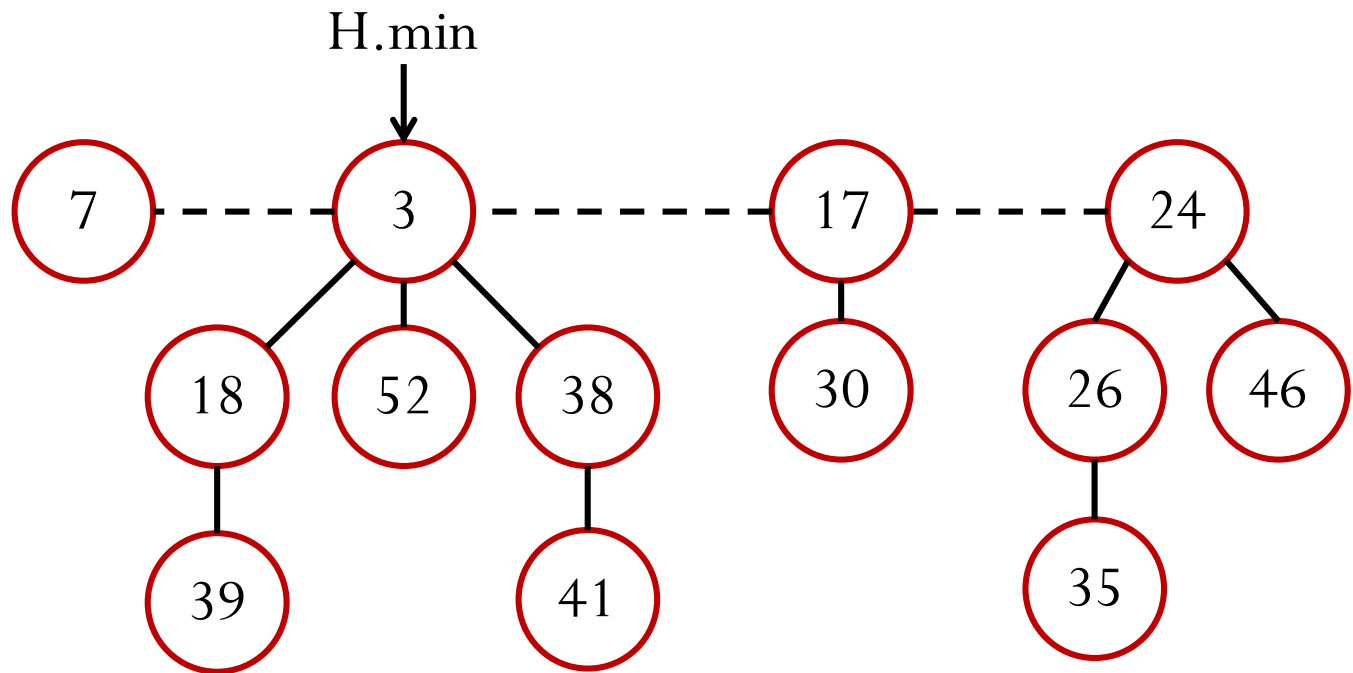
Operation	Binary Heap (worst case)	Fibonacci Heap (amortized analysis)
insert	$\Theta(\log n)$	$\Theta(1)$
extractMin	$\Theta(\log n)$	$O(\log n)$
getMin	$\Theta(1)$	$\Theta(1)$
makeHeap	$\Theta(1)$	$\Theta(1)$
union	$\Theta(n)$	$\Theta(1)$
decreaseKey	$\Theta(\log n)$	$\Theta(1)$

Application

- Fast algorithms for problems such as computing minimum spanning trees and finding single-source shortest paths make essential use of Fibonacci heaps
- For example, in single-source shortest path problem, we need to extract minimum and decrease key.

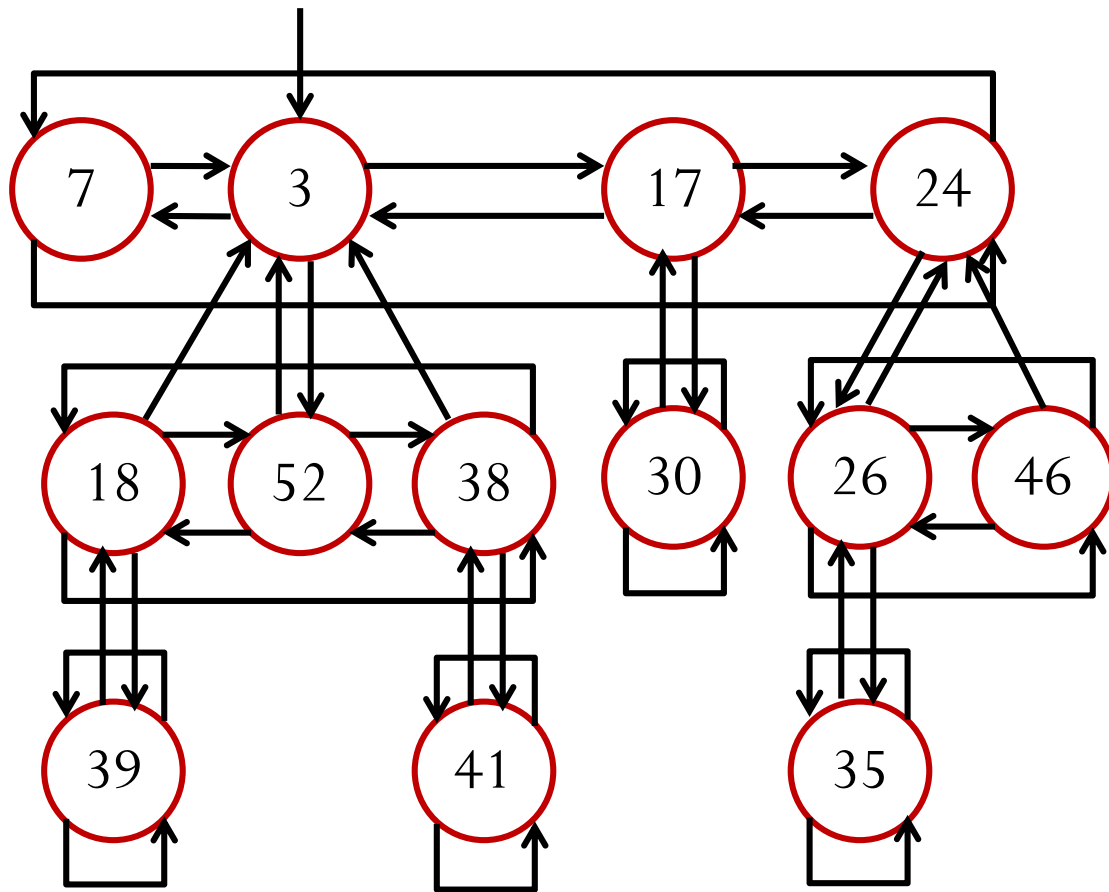
Fibonacci Heap: First Look

- A collection of rooted trees, each as a min heap
 - However, the min heap here can have degree > 2



Fibonacci Heap: Implementation Details

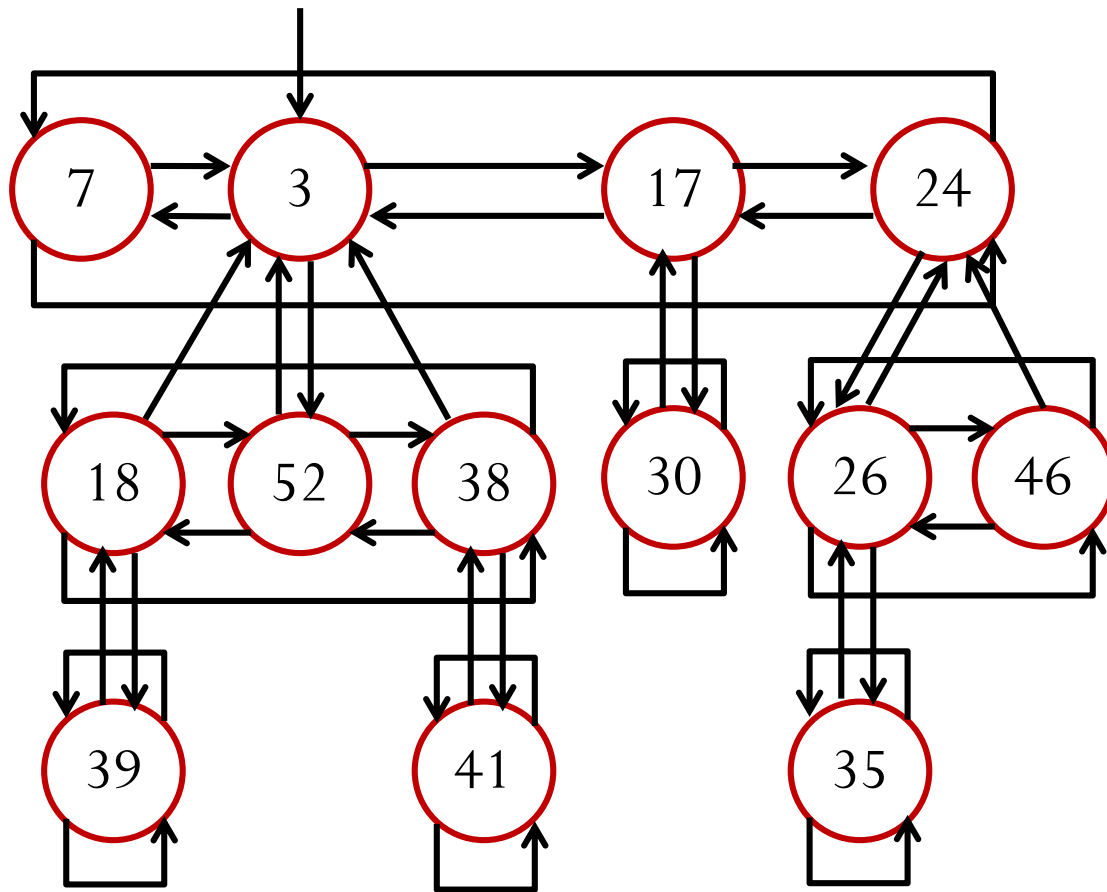
H.min



- Each node has
 - a pointer to its parent
 - a pointer to one of its children
 - degree (# of children)
- Children are linked by circular, doubly linked list
 - If y is the only child, then $y.\text{prev} = y.\text{next} = y$
 - Why circular, doubly linked list? $O(1)$ for node insertion, node removal, and list concatenation

Fibonacci Heap: Implementation Details

H.min

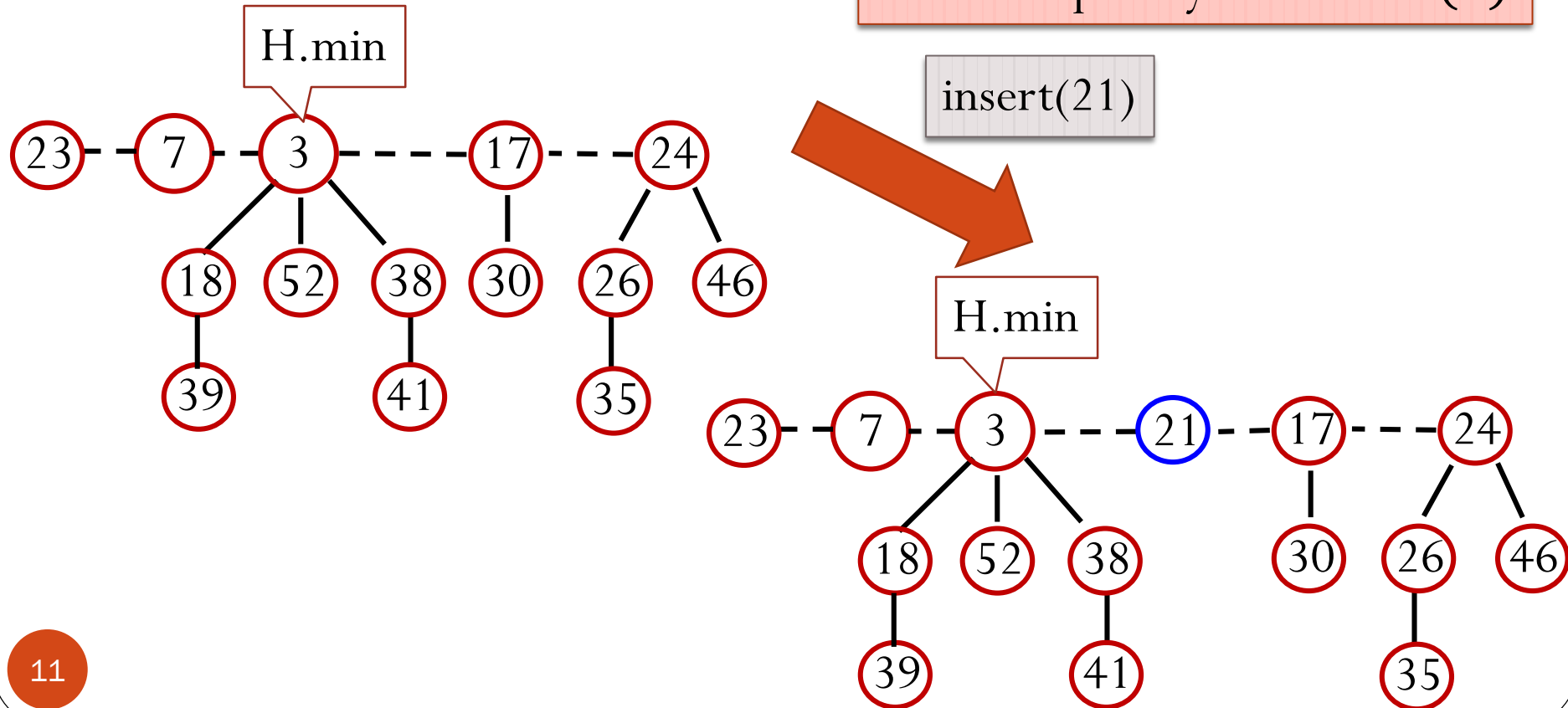


- Roots of the trees are also connected as a circular, doubly linked list
 - called **root list**
- H.min points to the minimum root
- H.n stores the number of nodes in H

Implementation of Some Operations

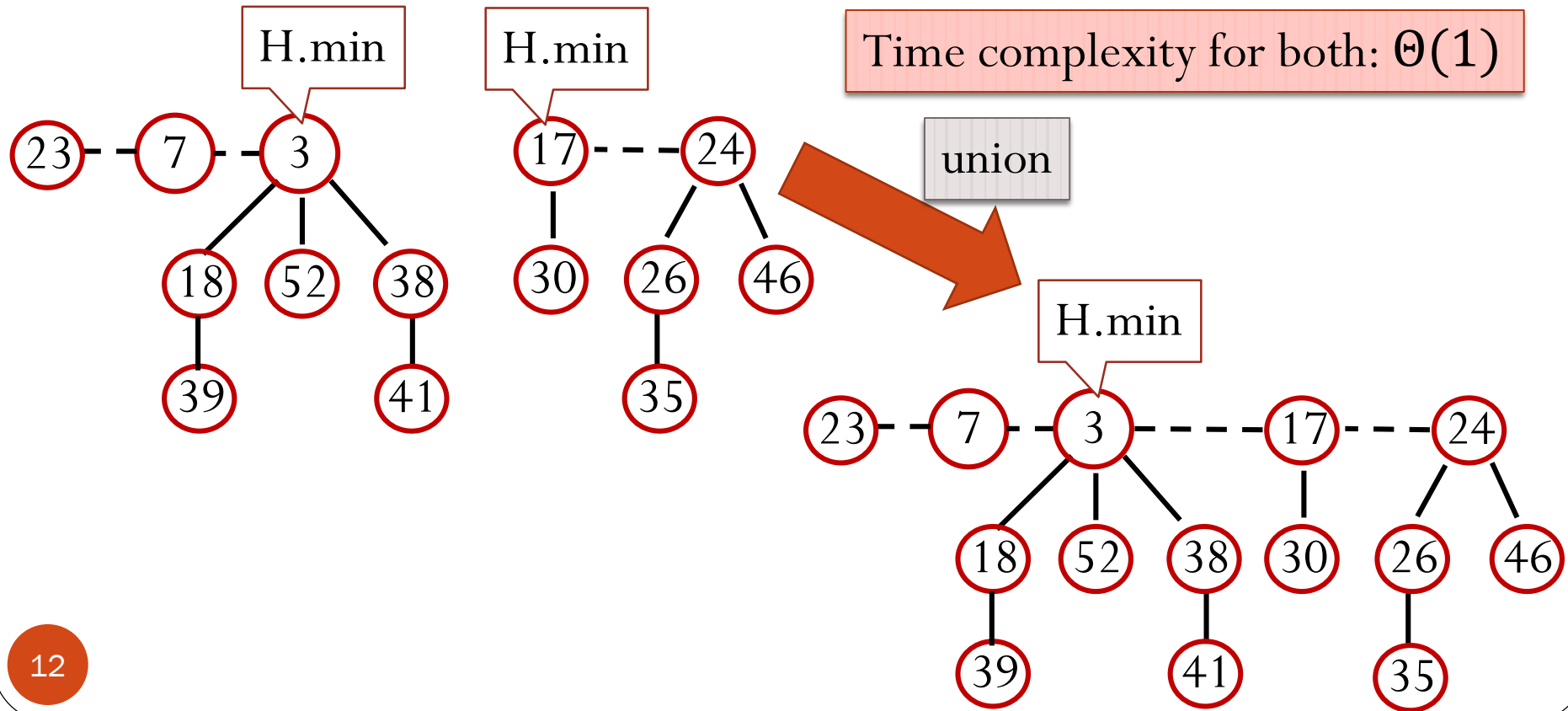
- **makeHeap**: set $H.min = \text{NULL}$ and $H.n = 0$
- **insert**: Simply put the node into the **root list**
 - Update the $H.min$ if needed

Time complexity for both: $\Theta(1)$



Implementation of Some Operations

- **getMin**: return H.min
- **union** (H_1, H_2) : concatenate the root lists of H_1 and H_2 and then determine the new minimum node

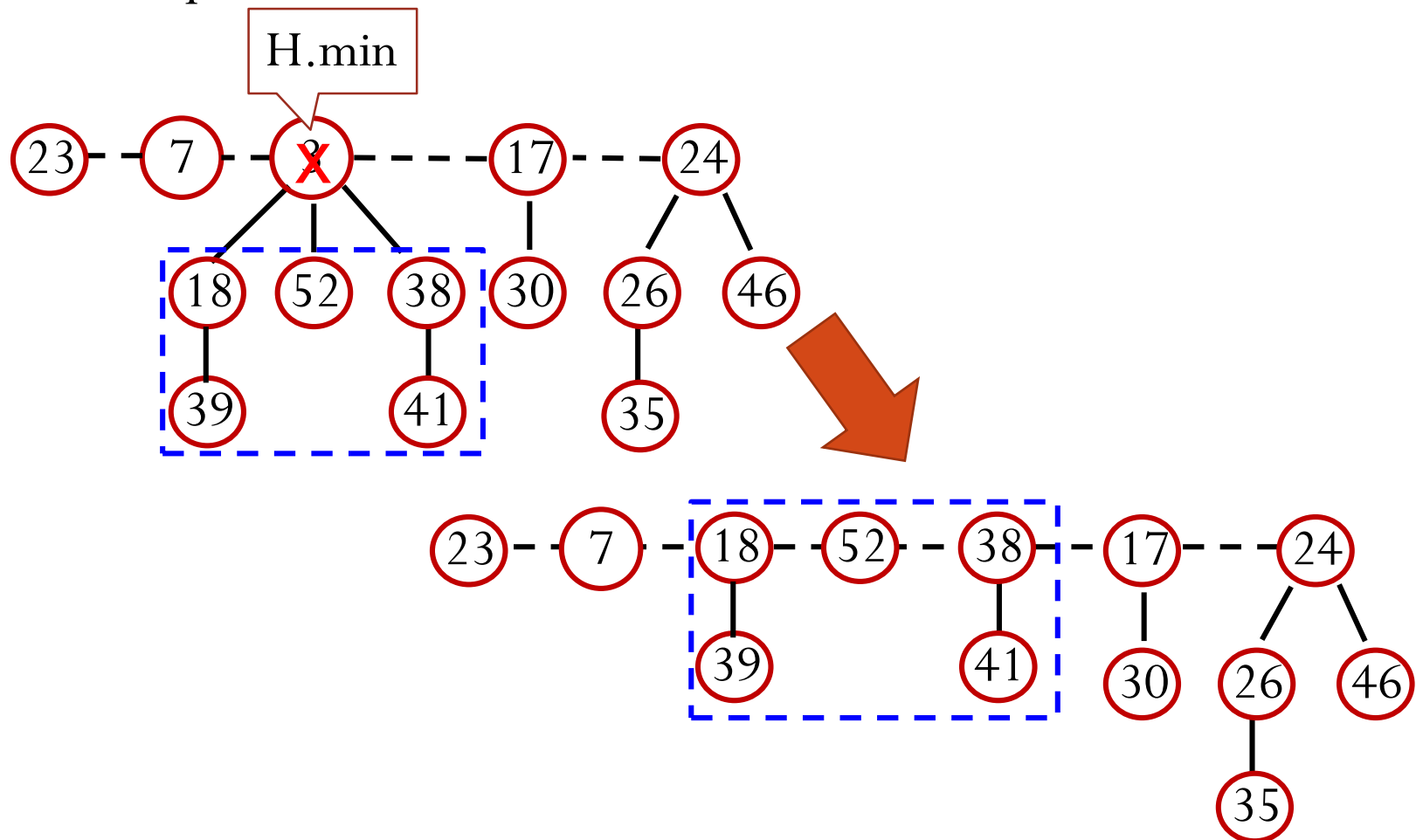


insert and extractMin

- If we start with an empty Fibonacci heap and then insert k items, the Fibonacci heap would consist of just a root list of k nodes
 - If nothing else is done, this degrades to an array
- Fortunately, when we perform a `extractMin` operation, it will go through the entire root list and consolidate nodes to reduce the size of the root list
- Overall idea: the operations on Fibonacci heaps delay work as long as possible

extractMin

- Step 1: remove min and concatenate its children into root list



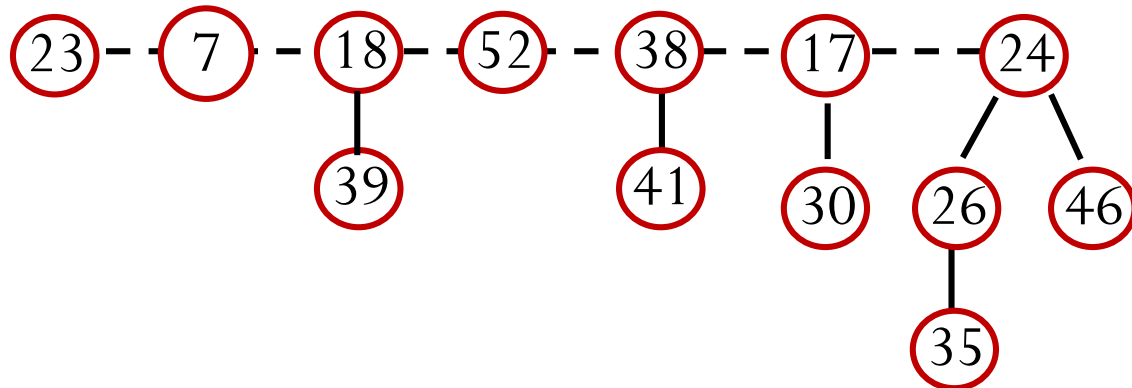
extractMin

- Step 2: **consolidate** the root list
 - Target: merge trees until every root in the root list has a **distinct** degree
- Consolidation iterates over all roots in the root list
 - If find two roots x and y with the same degree and assume $x.key \leq y.key$, remove y from the root list and make it a child of x
- Use an auxiliary array A , where $A[i]$ is either null or storing a root with degree i
 - Size of A is $D(n) + 1$, where $D(n)$ is the maximum degree of any node in an n -node Fibonacci heap.
 - $D(n) = \lfloor \log_{\phi} n \rfloor$, where $\phi = (1 + \sqrt{5})/2 \approx 1.618$

Consolidating Illustration

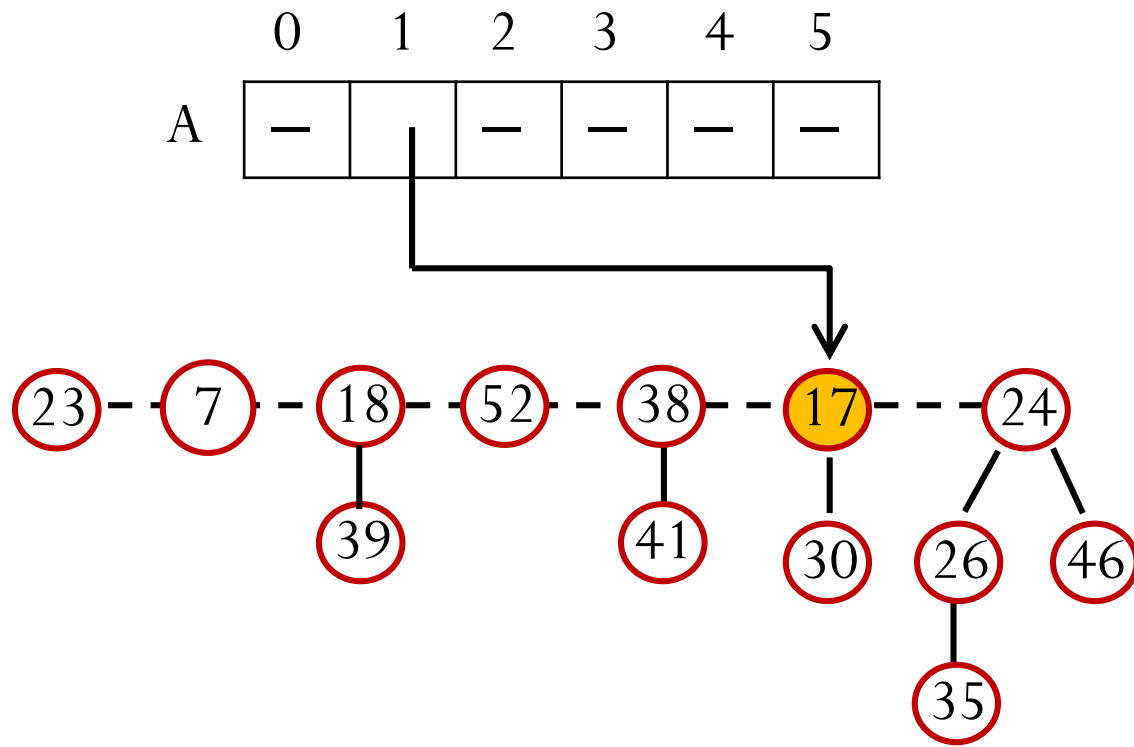
- $D(n) = \lfloor \log_{\phi} 13 \rfloor = 5$

	0	1	2	3	4	5
A	—	—	—	—	—	—



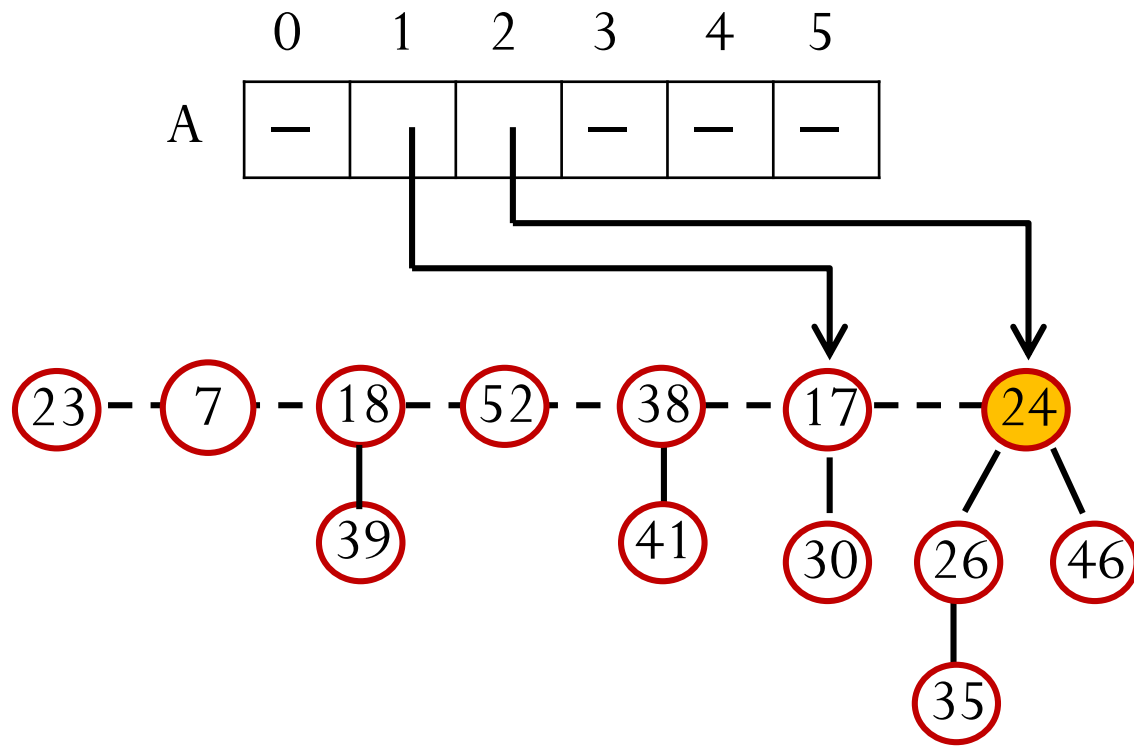
Consolidating Illustration

- Start from the right node of the original H.min, i.e., root 17



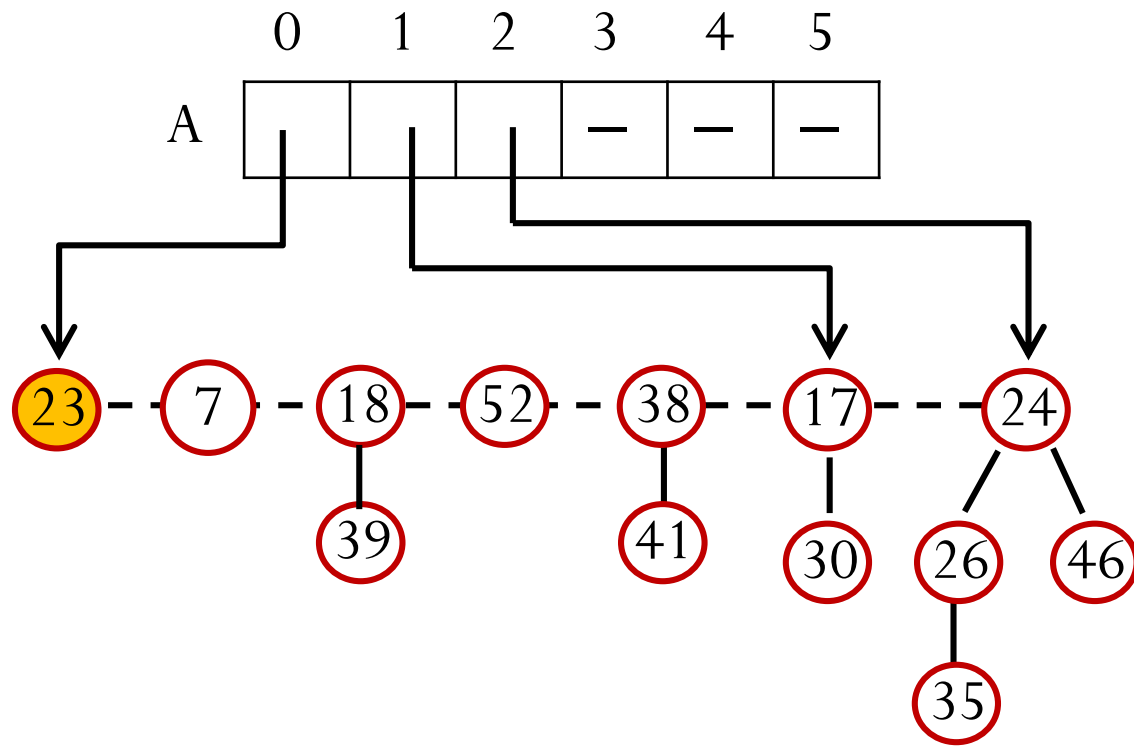
Consolidating Illustration

- Next root to check is 24



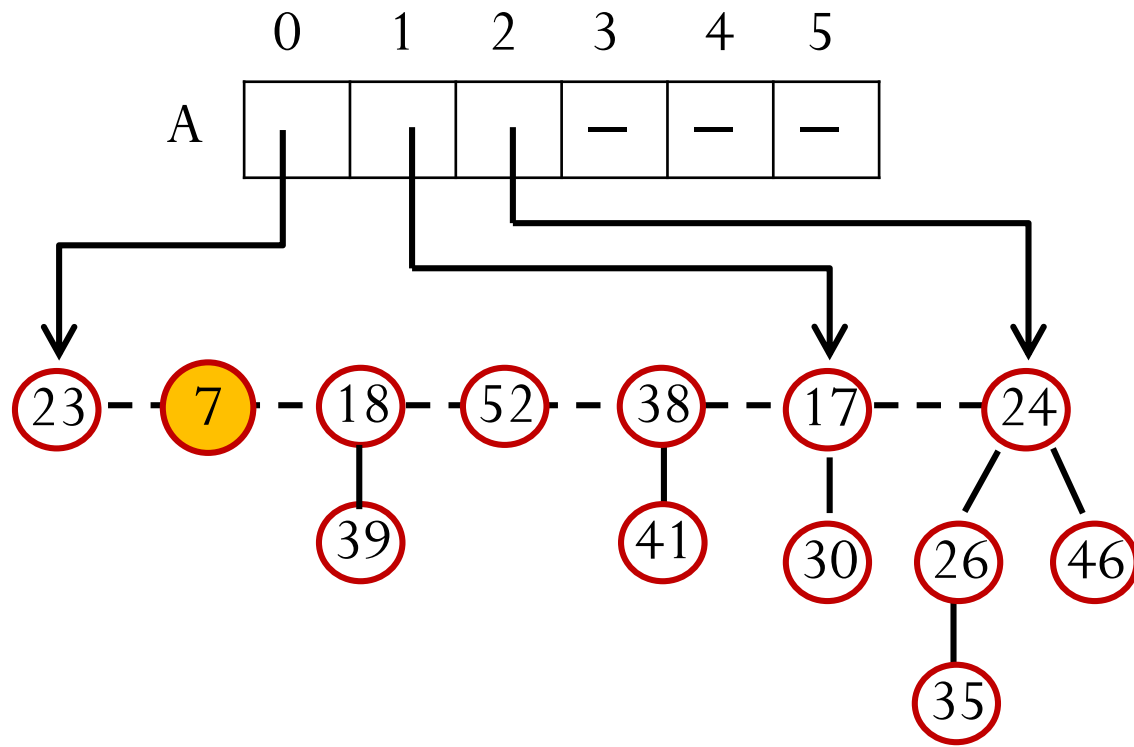
Consolidating Illustration

- Next root to check is 23



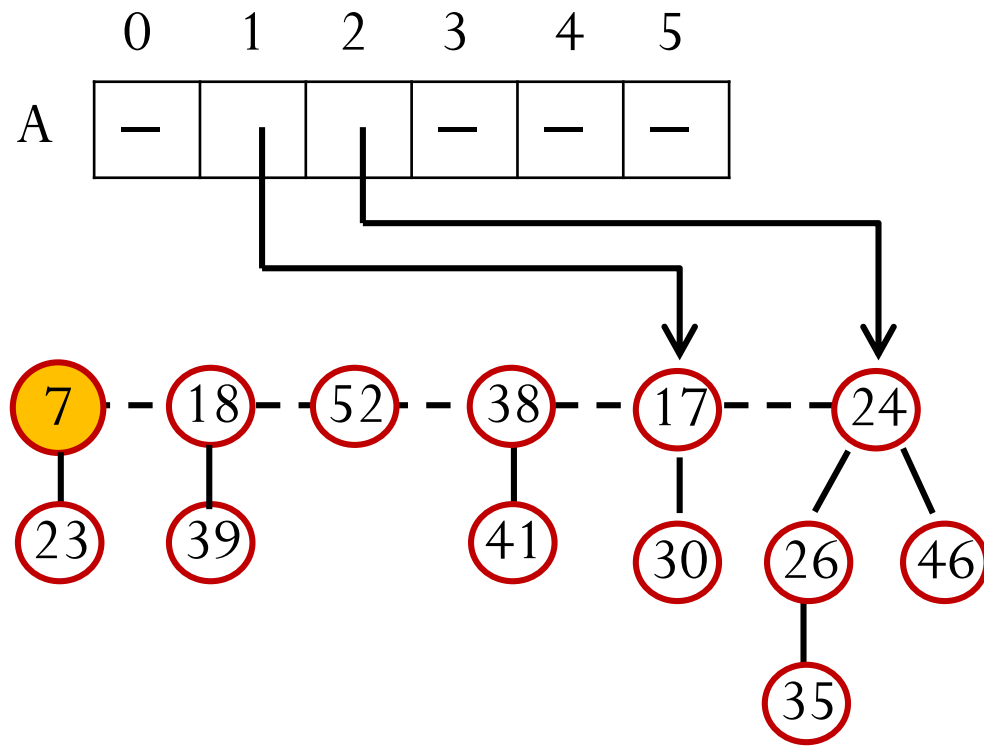
Consolidating Illustration

- Next root to check is 7, with degree 0
 - but we already have a root with degree 0, i.e., 23. So, merge



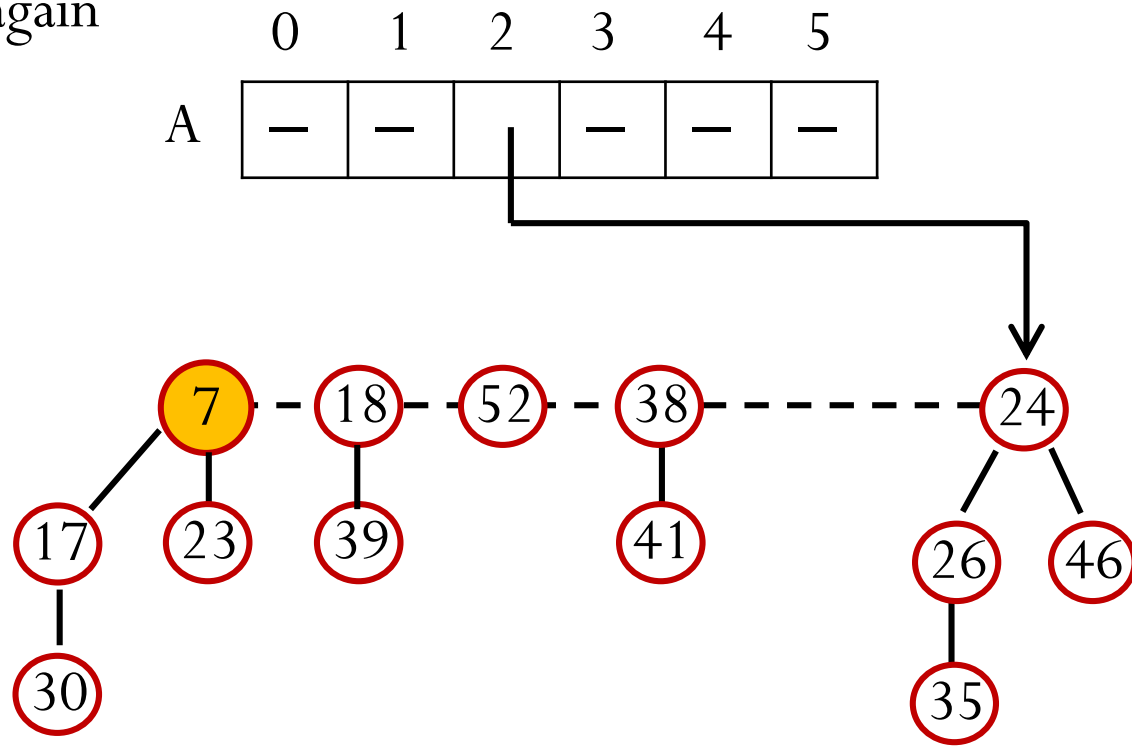
Consolidating Illustration

- Merge tree 23 with tree 7. This creates a root of degree 1
 - but we already have a root with degree 1, i.e., 17. So, merge again



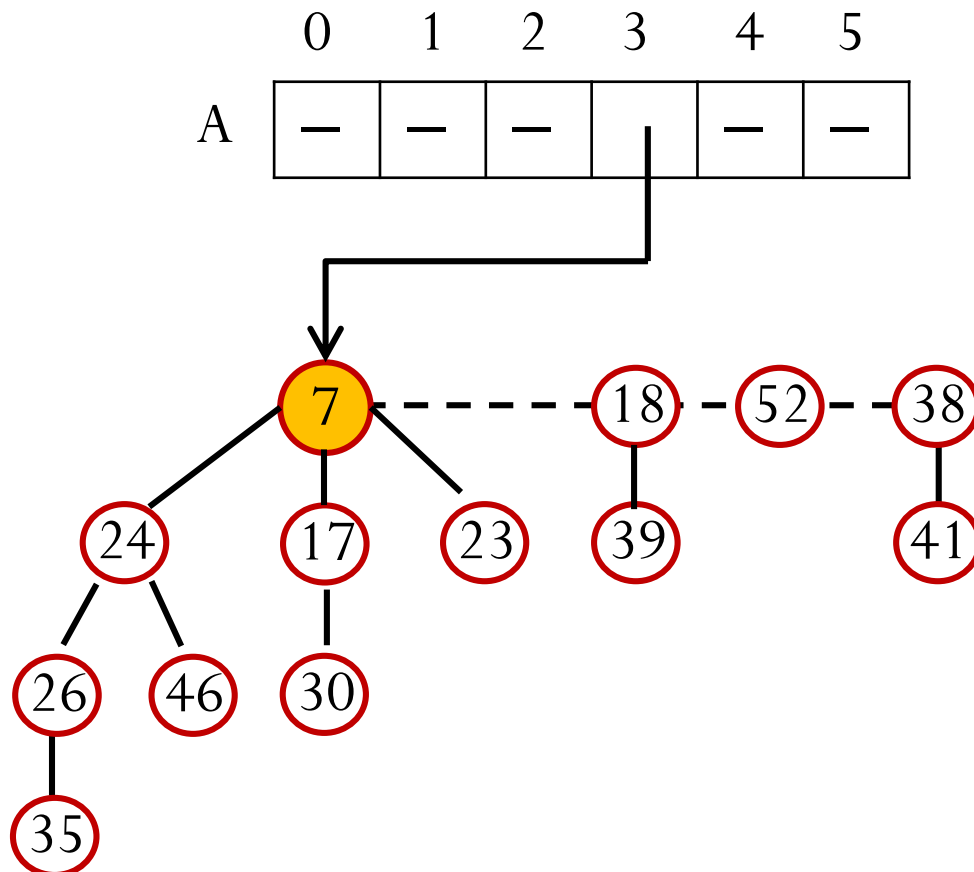
Consolidating Illustration

- Merge tree 7 with tree 17. This creates a root of degree 2
 - but we already have a root with degree 2, i.e., 24. So, merge again



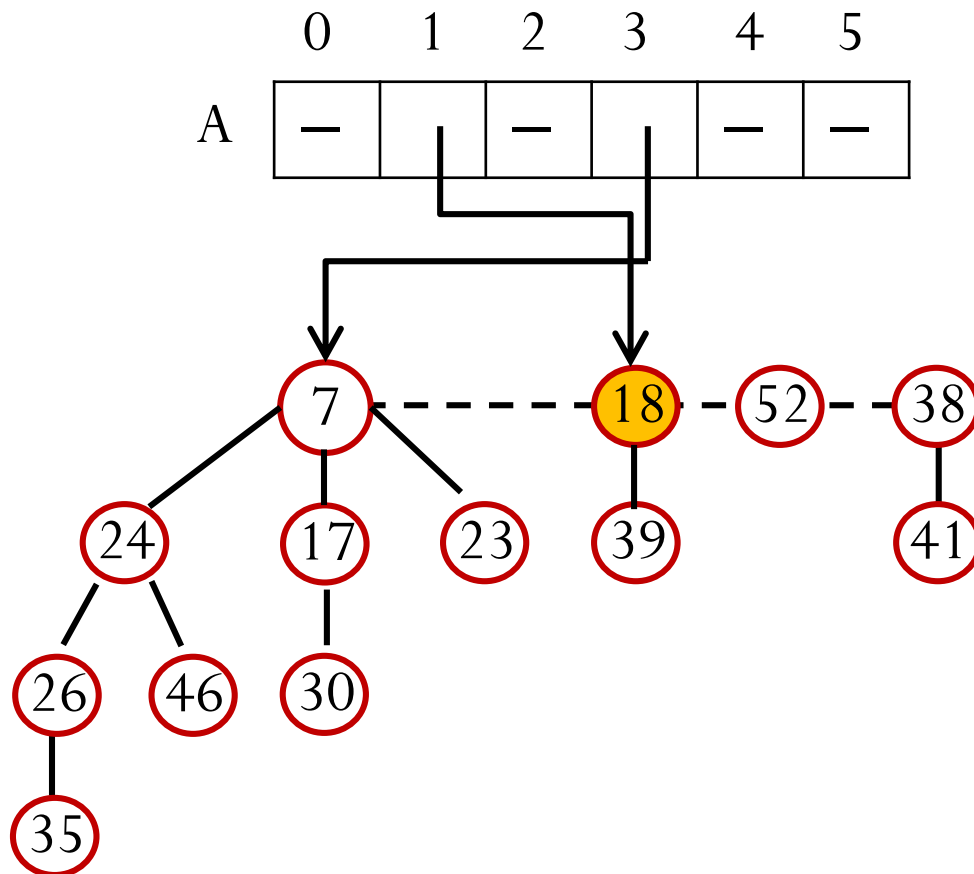
Consolidating Illustration

- Merge tree 7 with tree 24. This creates a root of degree 3
 - It is unique. So, we put the new root into A[3]



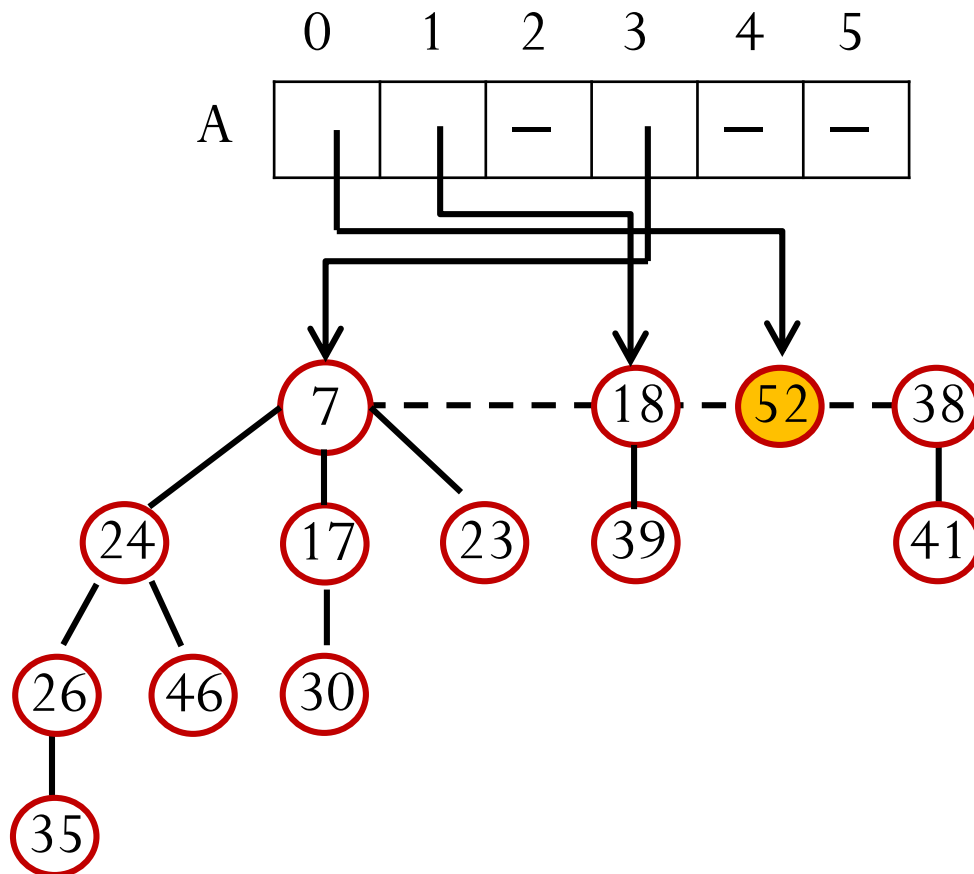
Consolidating Illustration

- Next root to check is 18, with degree 1



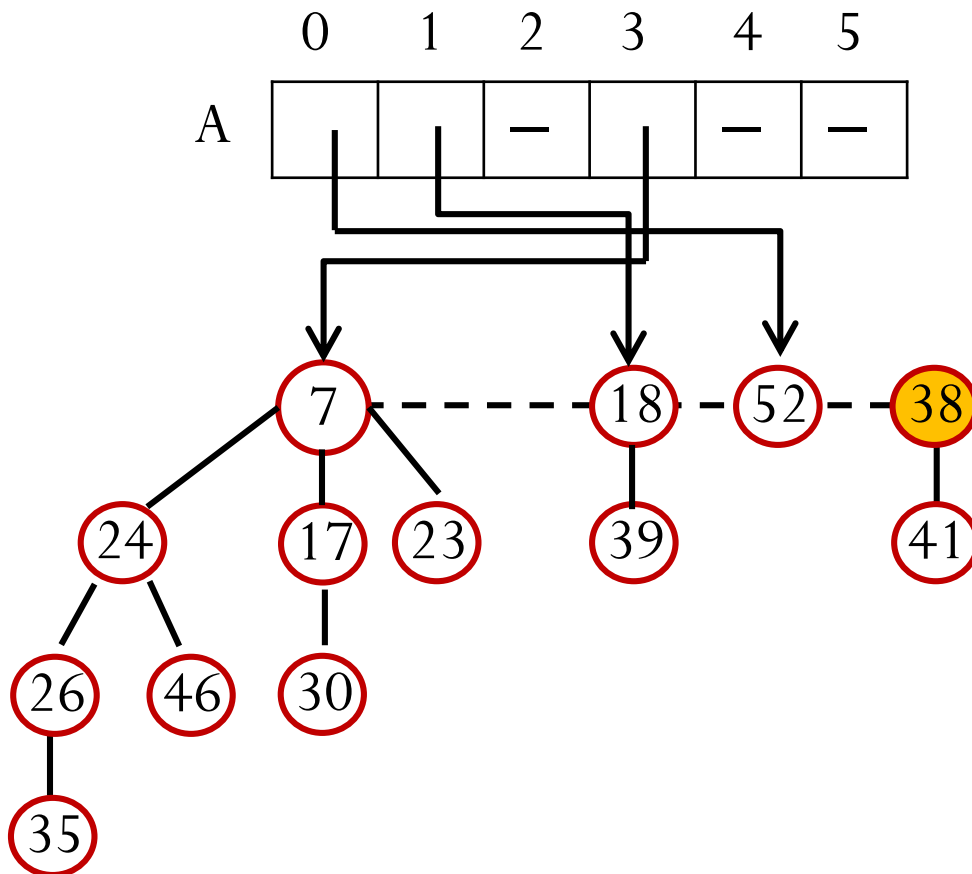
Consolidating Illustration

- Next root to check is 52, with degree 0



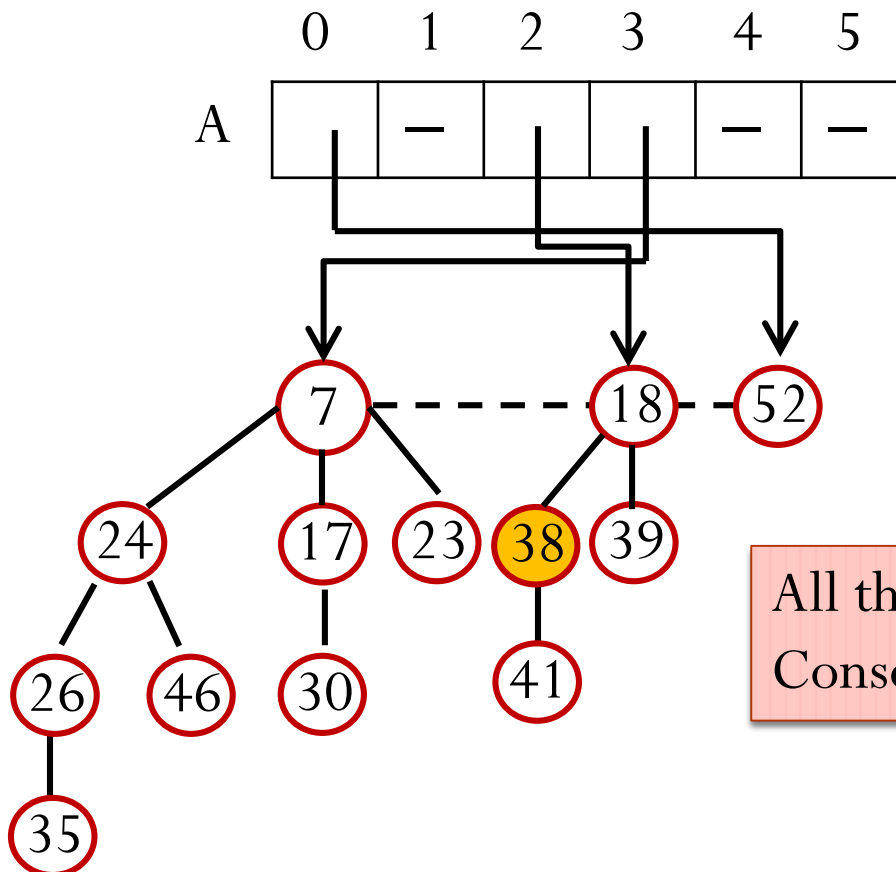
Consolidating Illustration

- Next root to check is 38, with degree 1
 - but we already have a root with degree 1, i.e., 18. So, merge



Consolidating Illustration

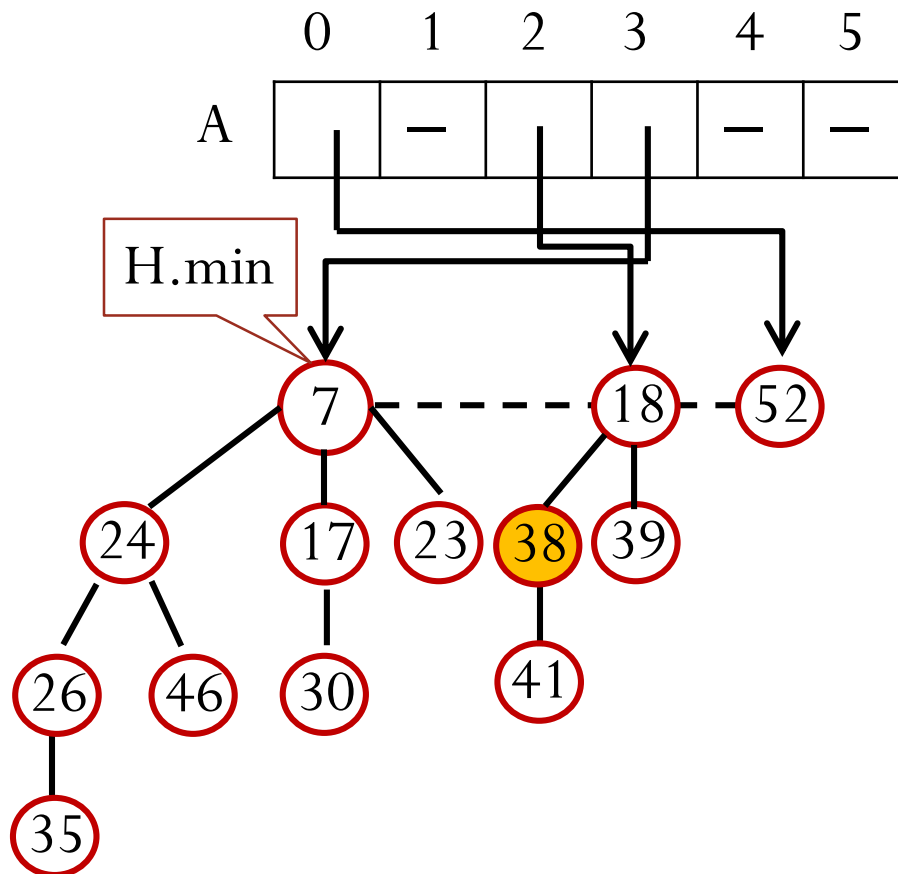
- Merge tree 38 with tree 18. This creates a root of degree 2
 - It is unique. So, we put the new root into A[2]



All the roots have been visited.
Consolidation completes

extractMin

- Step 3: link all the roots in array A together; update H.min

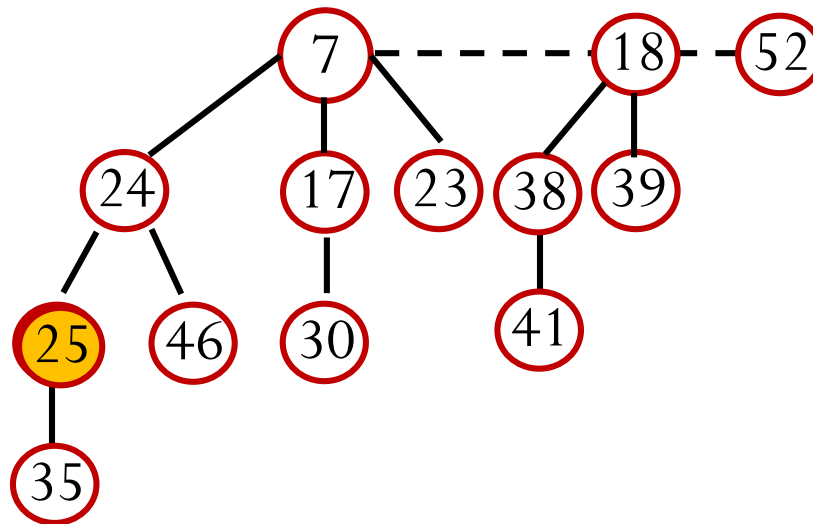


extractMin: Summary

- Step 1: remove min and concatenate its children into root list
- Step 2: consolidate the root list
 - Target: merge trees until every root in the root list has a distinct degree
- Step 3: link all the roots in array A together; update H.min
- Amortized time complexity: $O(\log n)$

decreaseKey

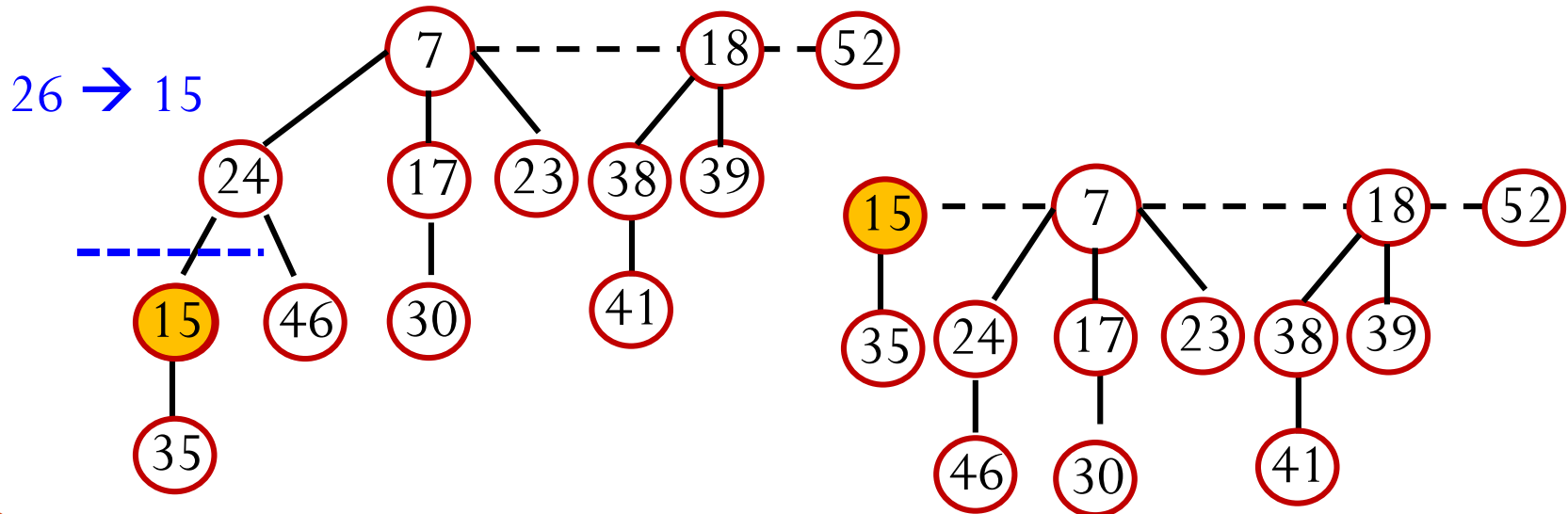
- Case 1: min heap property not violated
 - Only need to change H.min pointer if necessary



26 → 25

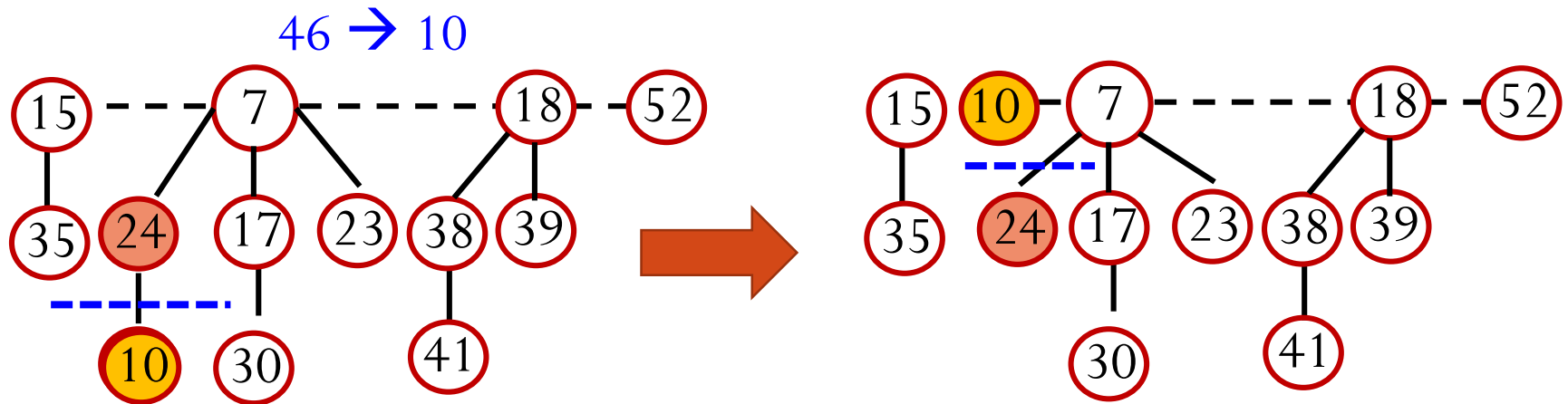
decreaseKey

- Case 2: min heap property **violated**
- Solution
 - Step 1: Cut between the node and its parent
 - Step 2: Move the subtree to the root list
 - Change H.min pointer if necessary



decreaseKey

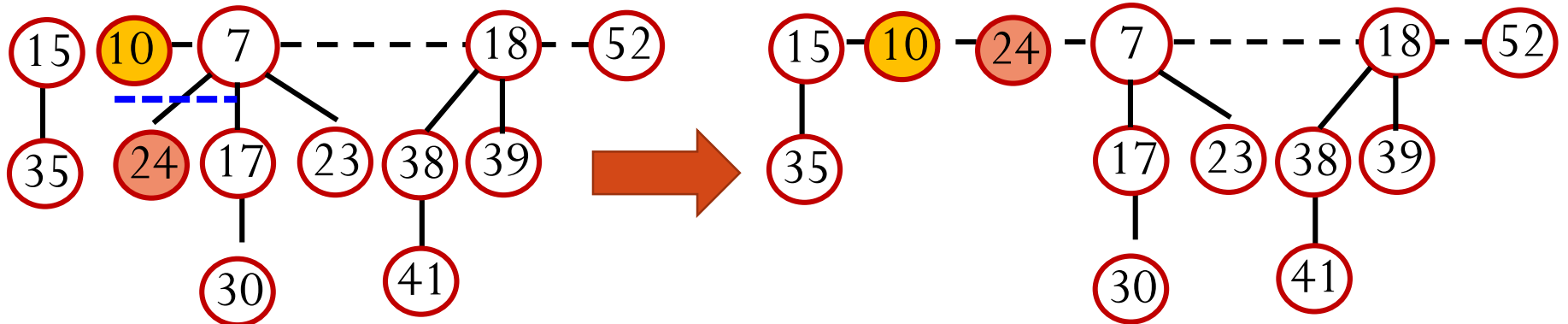
- A special note: if a node n not in the root list has lost a child for the **second time**, the subtree rooted at n should also be cut from n 's parent and move to the root list



24 has already lost one child

Now 24 has lost child for the second time, we need to cut it

decreaseKey



- Note 1: in general case, it may recurse, since the parent may also lose child for the second time
- Note 2: to indicate whether a node has lost child for the second time, a **mark** flag could be used
 - First time losing a child, set mark to **true**
 - When a child is lost and **mark is true**, this indicates losing child for the second time
- Note 3: amortized time $O(1)$