

1. Basic notions:

We investigate number theory in *integral domains* (ID), i.e. in commutative rings R with identity and without zero-divisors.

Units are the divisors of all elements.

Two elements differing only in a unit factor are called *associates*, this means that they are mutual divisors of each other.

An element p is *irreducible* if p is not zero and not a unit, and $p = ab \Rightarrow a$ or b is a unit.

An element q is a *prime* if q is not zero and not a unit, and $q \mid cd \Rightarrow q \mid c$ or $q \mid d$.

A *greatest common divisor* $\gcd\{a, b\}$ of a and b is a common divisor which is a multiple of all common divisors of a and b . The definition implies that any two gcd-s are associates.

An ID is a *unique factorization domain* (UFD), if every non-zero and non-unit element has a factorization into the product of irreducible elements and this is unique apart from associates and the order of the factors.

2. Connection with ideals

Theorem 1

- (i) $c \mid d \iff d \in (c) \iff (d) \subseteq (c)$;
- (ii) c and d are associates iff $(c) = (d)$;
- (iii) $(d) = (a, b) \Rightarrow d = \gcd\{a, b\}$;
- (iv) $(d) = (a, b) \iff [d = \gcd\{a, b\} \text{ and } d = au + bv \text{ for some } u, v \in R]$.

Proof:

(i) is clear from the definitions and (ii) follows from (i).

Turning to (iii), $a \in (a, b) = (d) \Rightarrow d \mid a$, and similarly $d \mid b$, so d is a common divisor of a and b . If c is any common divisor, then $c \mid a \Rightarrow a \in (c)$, and similarly $b \in (c)$, thus $(d) = (a, b) \subseteq (c)$, since (a, b) is the smallest ideal containing a and b . Hence, $c \mid d$. — Note that the converse is false, e.g. 2 and x are coprime in $\mathbf{Z}[x]$, but $(1) \neq (2, x)$.

Finally, in (iv), $d \in (d) = (a, b)$ implies $d = au + bv$ and we saw in (iii) that $d = \gcd\{a, b\}$. Conversely, $d = au + bv \Rightarrow d \in (a, b)$, so $(d) \subseteq (a, b)$. On the other hand, $d \mid a \Rightarrow a \in (d)$, similarly $b \in (d)$, so $(a, b) \subseteq (d)$.

3. UFD

Theorem 2

An integral domain R is a UFD iff

- (i) a strictly increasing sequence

$$(a_1) \subset (a_2) \subset \dots \subset (a_j) \subset \dots$$

of principal ideals cannot be infinite; and

- (ii) every irreducible element is a prime.

Proof: We prove first the sufficiency of conditions (i) and (ii).

Uniqueness follows from (ii): Let $(*) a = p_1 \dots p_k = q_1 \dots q_t$ where p_i and q_j are irreducible elements. We have to show that $k = t$ and reordering suitably the factors, p_i and q_i are associates for every i . If the latter is true for some but not all i , then we can cancel with these pairs (and the remaining unit factor can be absorbed into one of the remaining irreducible

factors). Hence, we may assume that no p_i and q_j are associates in $(*)$. Now, $p_1 \mid q_1 \dots q_t$, so by (ii), $p_1 \mid q_j$ for some j . But q_j is irreducible, thus p_1 is a unit or an associate of q_j , and both are impossible.

We shall use (i) to establish decomposability. Let a be an arbitrary element in R different from 0 and units. As a first step, we show that a has an irreducible divisor.

If a is irreducible, we are done. Otherwise, $a = a_1 b_1$, where none of a_1 and b_1 is a unit. Then $(a) \subset (a_1)$ by Theorem 1 with a strict containment, as b_1 is not a unit.

If a_1 is irreducible, then it is an irreducible divisor of a . Otherwise, $a_1 = a_2 b_2$, where none of a_2 and b_2 is a unit. Then $(a_1) \subset (a_2)$ (with a strict containment).

We show that continuing the procedure similarly, some a_i is necessarily irreducible. Indeed, if this were not the case, then

$$(a) \subset (a_1) \subset \dots \subset (a_j) \subset \dots$$

would be an infinite strictly ascending chain of principal ideals, contradicting thus (i). Herewith we have proved that a has an irreducible divisor.

Now we show that a can be written as the product of irreducible elements. If a is irreducible, then we are done. Otherwise, $a = p_1 c_1$, where p_1 is irreducible and c_1 is not a unit. Since p_1 is not a unit either, so $(a) \subset (c_1)$ (with a strict containment).

If c_1 is irreducible, then both factors in $a = p_1 c_1$ are irreducible and we are done. Otherwise, $c_1 = p_2 c_2$, where p_2 is irreducible and c_2 is not a unit. Thus $(c_1) \subset (c_2)$ (with a strict containment).

Continuing the procedure similarly, some c_i is necessarily a unit, since otherwise the infinite strictly ascending chain

$$(a) \subset (c_1) \subset \dots \subset (c_j) \subset \dots$$

contradicts condition (i). This means that we arrived at a decomposition of a into the product of irreducible elements.

Turning to necessity, assume that R is a UFD. To prove (ii), let p be irreducible and $p \mid cd$ i.e. $ph = cd$. Factoring h , c , and d into irreducible factors, we have to arrive at essentially the same factorization on the two sides of $ph = cd$. As p occurs in the factorization of the LHS, so its associate must appear also among the irreducible factors on the RHS. But these factors come from c and d , so p must divide (at least) one of c and d .

Finally, to prove (i) by contradiction, assume the existence of an infinite strictly increasing chain

$$(a_1) \subset (a_2) \subset \dots \subset (a_j) \subset \dots$$

of principal ideals. Here $a_2 \neq 0$, and a_3, a_4, \dots are infinitely many, pairwise non-associate divisors of a_2 . But this is impossible, since if $a_2 = p_1 \dots p_k$, where every p_i is irreducible, then unique factorization implies that every divisor of a_2 is either a unit, or an associate of the product of some factors p_i (and if a_2 is a unit, then so are all its divisors, too).

4. Principal ideal domain (PID)

R is a *principal ideal domain* (PID) if every ideal in R is a principal ideal.

Theorem 3

A PID is a UFD.

Note that the converse is false, e.g. $\mathbf{Z}[x]$ is a UFD but not a PID.

Proof: We verify that a PID satisfies conditions (i) and (ii) of Theorem 2.

(i) To achieve a contradiction, assume the existence of an infinite strictly increasing chain

$$(a_1) \subset (a_2) \subset \dots \subset (a_j) \subset \dots$$

of principal ideals. A simple calculation shows that $A = \bigcup_{j=1}^{\infty} (a_j)$ is an ideal. As R is a principal ideal domain, therefore also A is a principal ideal, $A = (b)$. Then

$$b \in A = \bigcup_{j=1}^{\infty} (a_j),$$

so $b \in (a_k)$, i.e. $(b) \subseteq (a_k)$ for some k . Thus

$$A = (b) \subseteq (a_k) \subset (a_{k+1}) \subset \bigcup_{j=1}^{\infty} (a_j) = A,$$

a contradiction.

(ii) We verify first that any two elements a and b have a greatest common divisor. Since also (a, b) is a principal ideal (d) , Theorem 1 implies $d = \gcd\{a, b\}$.

Let now p be irreducible and $p \mid ab$. Then $\gcd\{a, p\} = 1$ or p . In the latter case $p \mid a$. In the first case, $p \mid ab$ and $p \nmid a$ imply $p \mid pb$ and $p \mid \gcd\{ab, pb\} = b \cdot \gcd\{a, p\} = b$.

5. Euclidean domain (ED)

An integral domain R is a *Euclidean domain* (ED) if we can assign to every $c \in R \setminus \{0\}$ a non-negative integer $f(c)$ so that to every $a, b \in R$, $b \neq 0$ there exist $q, r \in R$ satisfying $(**)$ $a = bq + r$ and $f(r) < f(b)$ or $r = 0$.

Examples: In \mathbf{Z} , we can choose $f(c) = |c|$; in $F[x]$ where F is a field, we can take $f(c) = \deg c$; in the ring of Gaussian integers $f(c) = N(c)$ works.

Theorem 4

A ED is a PID, hence also a UFD.

Proof: We have to verify that every ideal I of R is a principal ideal.

If the only element in I is 0, then $I = (0)$. Otherwise, consider the values $f(c)$ assigned to the non-zero elements of I . These are non-negative integers, thus there must be a smallest among them, let this be $f(b)$ (here b is not unique in general). We prove $I = (b)$.

As $b \in I$, thus $(b) \subseteq I$. Conversely, let a be an arbitrary element in I . We have to show $a \in (b)$, i.e. $b \mid a$.

We apply the division algorithm for a and b : there exist $q, r \in R$ satisfying $(**)$. Since $a, b \in I$ and I is an ideal, so $r = a - bq \in I$. Further, $f(b)$ was minimal, so $f(r) < f(b)$ is impossible, hence $r = 0$, i.e. $b \mid a$, indeed.