

UNIVERSITY OF CALIFORNIA  
Los Angeles

Towards Quantum State Resolved Ion-Molecule Chemistry

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of the requirements for the degree  
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by

Gary Chen

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ABSTRACT OF THE DISSERTATION

Towards Quantum State Resolved Ion-Molecule Chemistry

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Doctor of Philosophy in Physics

University of California, Los Angeles, 2019

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*Noodle and Nugget*

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## PREFACE



## CURRICULUM VITAE

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# CHAPTER 1

## Introduction

This thesis chronicles the experimental work done to realize an apparatus for cold ion-molecule chemistry of species of astrochemical interest.

### 1.1 Thesis Outline

## CHAPTER 2

### The Cryogenic Buffer Gas Beam (CBGB)

To reach reaction temperatures in the regime of 10K from a beam of molecules with trapped ions, a cryogenic buffer gas beam (CBGB) of neon with entrained water is employed. Numerous methods of creating cold beams of molecules exist, from Zeeman decelerators [31], Stark decelerators, to cryogenic buffer gas beams (CBGB). CBGB's in particular have the benefit of being species agnostic, where the resultant beam properties are not dependent on the target species at hand, rather, the buffer gas species.

By holding a cell filled with a noble gas above its vapor pressure, a volume of gas can be held at cryogenic temperatures. Other species of molecules or atoms may be introduced into the buffer gas cell via ablation, fill line, etc. The target species particles are then sympathetically cooled via collisions with the cold buffer gas. An aperture at one end of the cell allows for the extraction of the buffer gas and entrained target species into a ballistic beam. Holding the buffer gas cell temperature to above 17K for neon, and 4K for helium, in high vacuum allows us to accumulate an appreciable stagnation number density within the cell to produce a beam.

The Reynolds number is typically used to characterize the flow regime of the buffer gas beam. At the aperture of the buffer gas cell, the Reynolds number can be written as:

$$\begin{aligned} Re &\approx \frac{2d_{aperture}}{\lambda} \\ &\approx \frac{8\sqrt{2}\dot{N}\sigma}{d_{aperture}\bar{v}} \end{aligned} \quad (2.1)$$

Where  $d_{aperture}$  is the diameter of the aperture and  $\lambda$  is the mean free path of the buffer gas

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particles.[22] When the Reynolds number is low,  $Re < 1$ , we find that there are on average  $> 1$  collisions at the aperture, meaning the particles escape with little to no interactions with other particles and is called the effusive regime. At high Reynolds numbers,  $Re > 100$ , in the supersonic regime, there are many collisions and forward velocity boosting as well as internal velocity distribution narrowing occurs. In between, we find the intermediate regime, where we observe the onset of hydrodynamic entrainment of target species with mild forward velocity boosting. In all cases, the gasses inside the cell at thermal equilibrium follow the Maxwell-Boltzmann distribution.

$$f(v) = \left(\frac{m}{2\pi kT}\right)^{3/2} 4\pi v^2 e^{-\frac{mv^2}{2kT}} \quad (2.2)$$

Where the mean velocity is:

$$\bar{v} = \sqrt{\frac{8k_B T}{\pi m}} \quad (2.3)$$

## 2.1 Design Considerations

The CBGB apparatus design is separated by various stages, from a room temperature 300K outer aluminum vacuum can, a nominally 40K aluminum radiation shield, and an inner 4K copper cryopumping surface. A Cryomech PT415 Pulse Tube Refrigerator (PTR) with a remote head option was inserted into the chamber to provide the cooling surfaces. A large bellows attachment connected the pulse tube cooler head to the chamber itself to isolate the chamber from the mechanical vibrations caused by the pulse tube cooler itself.

The PTR itself has 2 cooling stages, and a room temperature stage that mounts to the top plate of the chamber, which in turn houses all of the external connectors into the volume from gas inlets to electrical connections. A 40K cooling stage has 40W of cooling power, while the lowest 4K stage has only 4W. The low cooling power of the lowest stage means that extra care is needed to minimize the heat transfer to the stage from the higher temperature regions. The aluminum radiation shield blocks the vast majority of 300K black

body radiation, while providing windows to view the cell and beam line. The copper region has the experimental cell, encompassed by a copper enclosure that acts as a cryopumping surface at the appropriate temperatures. Because it is heat sunk into the same cooling stage as the experimental cell, the copper enclosure does not act as a radiation shield.

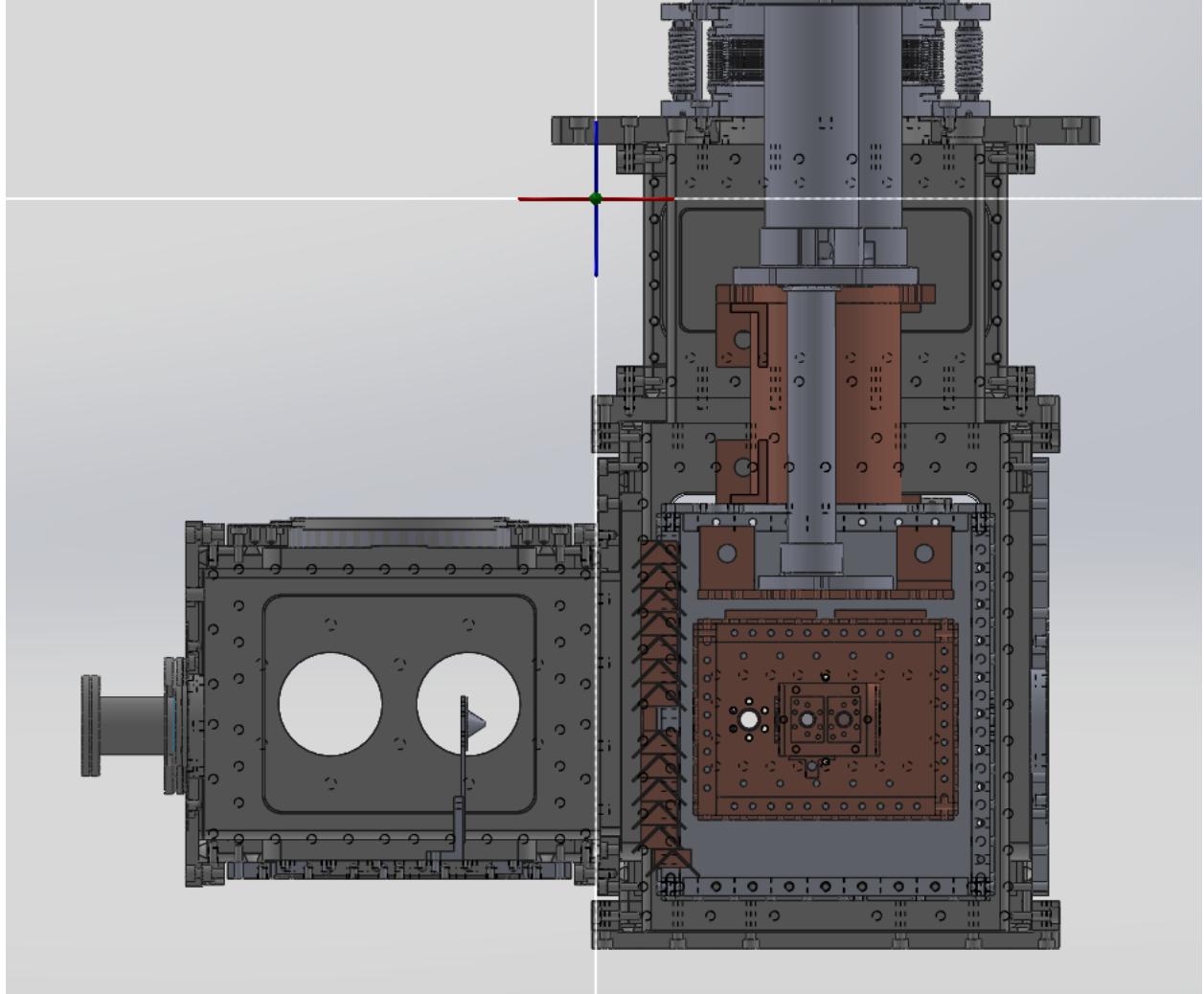


Figure 2.1: Cross sectional view of CBGB in solidworks.

The mounting of the inner components was of particular importance due to the fragility of the PTR as well as the desire to minimize thermal conductivity to the experimental region. All the shield components were mounted to the top mounting plate

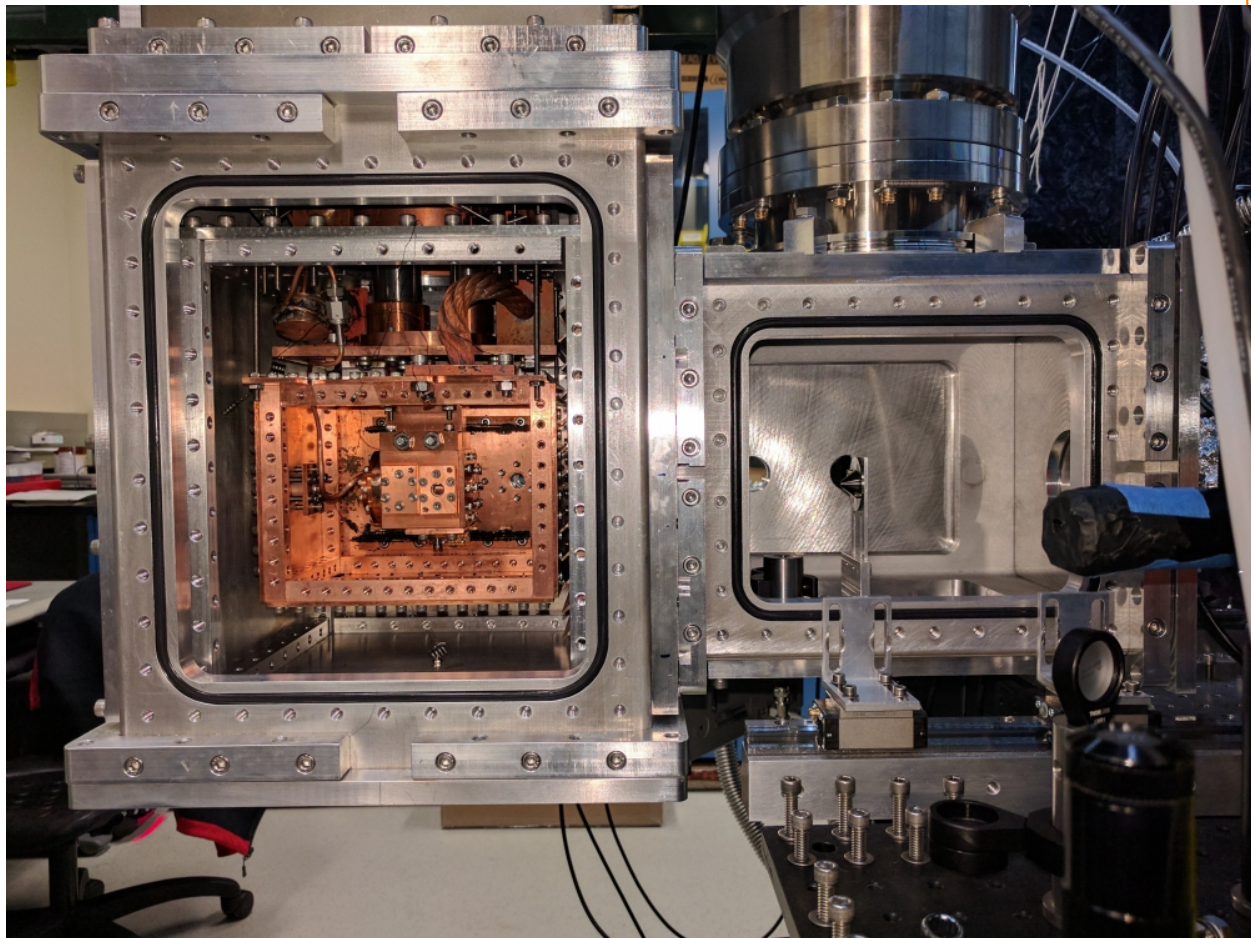


Figure 2.2: Cross sectional view of CBGB with side walls removed from the outer vacuum chamber, 40K aluminum radiation shield, and inner 4K cryopumping shield exposing the inner experimental cell. A skimmed region is mounted in the stem region.





Figure 2.3: The water fill line, sealed by an ultratorr fitting and heated by nichrome wire. A shut off valve and vernier valve are used to regulate the flow of water into the buffer gas cell.

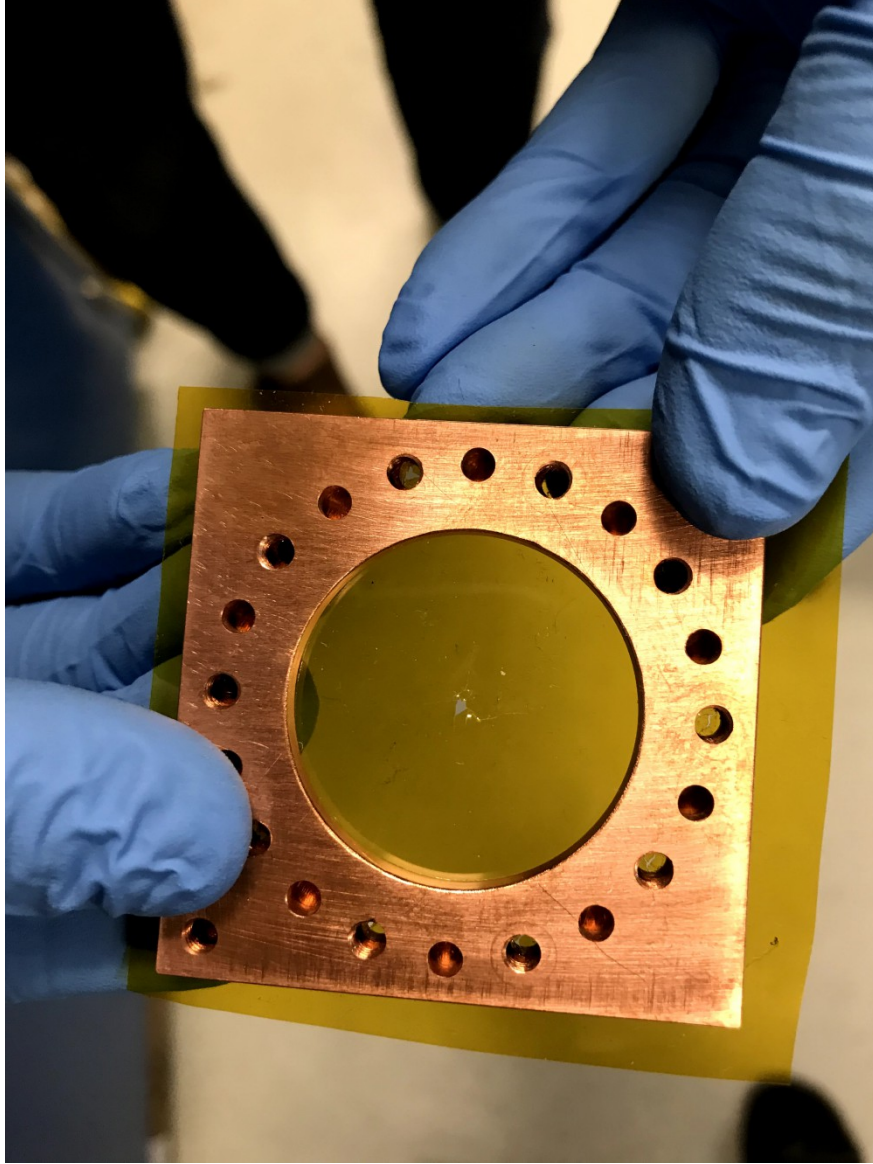


Figure 2.4: A kapton film serves as the back wall of the buffer gas cell with a hole for the insertion of the water fill line. The kapton surface resists ice formation and allows for continuous operation with water for over 10 hours.

## 2.2 Beam Density and Extraction

The stagnation density inside the buffer gas cell is a function of the physical dimensions of the cell and the number flow rate into the cell. High stagnation densities allows for high



densities of reactants at the ion trap center, but can push the beam into an unwanted flow regime where the beam properties are not what one wants. Experimentally, it's preferable to use volumetric flow rates when operating the apparatus, but for calculations, that needs to translate to number flow rate using the ideal gas law:

$$\dot{N} = \frac{Pf}{k_B T}$$

where  $P$  is pressure and  $f$  is the volumetric flow rate, this translates to about  $4 \times 10^{17}$  particles/s $^{-1}$  for 1SCCM of gas flow. By solving for the number density in the flow out of an aperture with molecular flow, we find that the stagnation density within the cell can be shown as:

$$n_b = \frac{4\dot{N}}{A_{aperture}\bar{v}}$$

In general, buffer gas beams operate with stagnation densities around  $10^{15} - 10^{17}$  cm $^{-3}$ . Outside of the cell, we can describe the density of the beam as a function of distance. [33]

$$n(z) = \frac{n_0}{2} \left( 1 - \frac{z}{\sqrt{z^2 + a^2}} \right) \quad (2.4)$$

Where  $z$  is the distance from the aperture into the vacuum side,  $n_0$  is the initial number density,  $a$  is the radius of the aperture. In the far-field, this goes to:

$$n(z) = \frac{n_0 a^2}{4z^2}$$

But there is something that we must consider, that is that we aren't seeing the full aperture while we are at all locations, we are actually seeing an appended area due to the inclusion of apertures and skimmers in the way. So in the calculation for  $n(z)$ , only  $n_0$  has a dependence on the aperture size of the cell,  $n(z)$  itself will have a set value defined by the smallest aperture in the beam path. For us, although our cell aperture is  $\approx 9$ mm in diameter, we have multiple apertures and skimmers in the way, of which, a skimmer from with a diameter of 2mm is used.

skimmer  
model

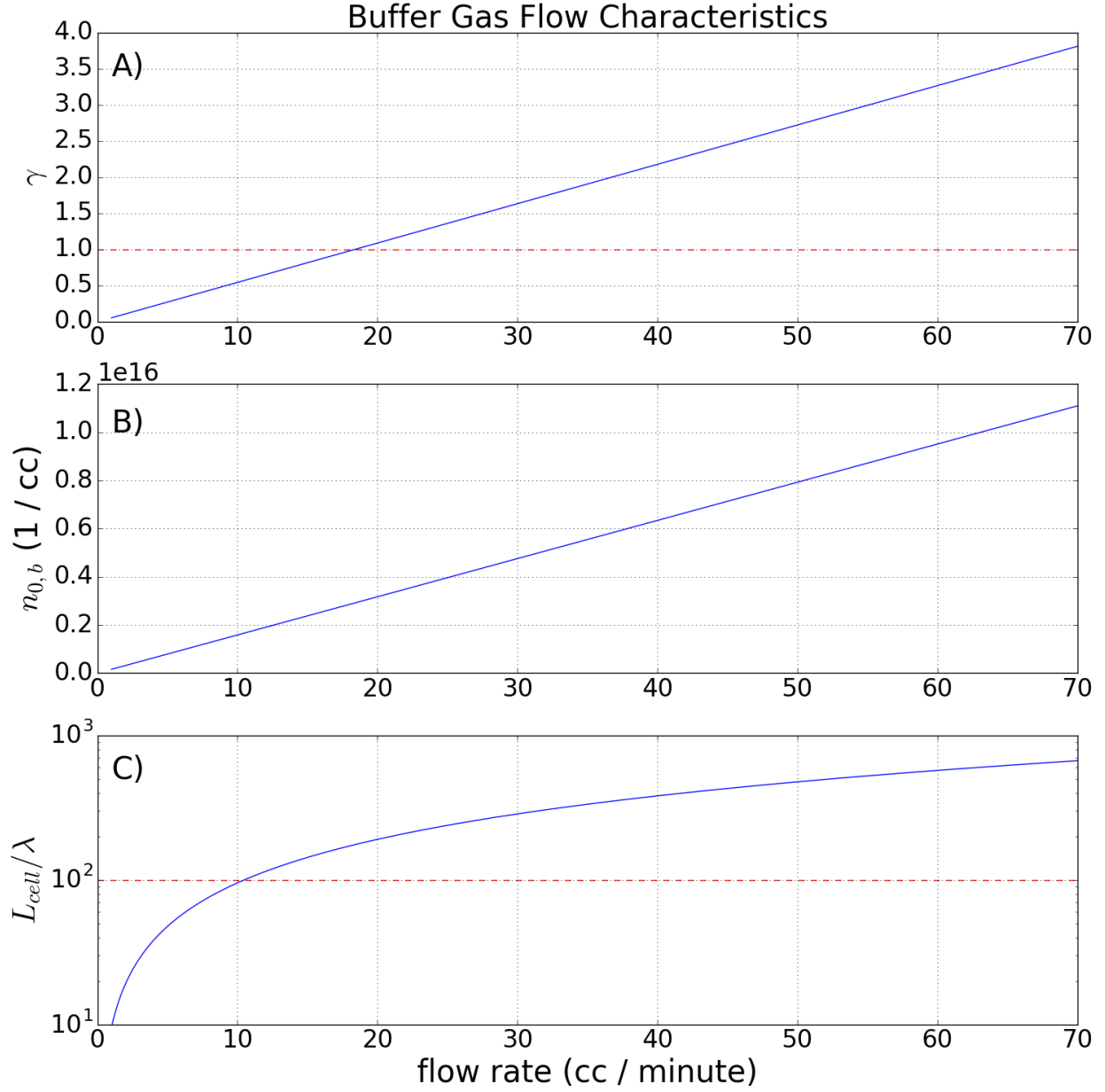


Figure 2.5: A)  $\gamma$  extraction ratio, dotted red line indicates  $\gamma = 1$  where hydrodynamic entrainment begins. B) Theoretical number density of buffer gas species within buffer gas cell. The density of target species introduced should stay under 1% of the buffer gas density. C) Number of collisions a target species particle would expect before extraction out of the cell, the dotted red line indicates 100 collisions before extraction when rotational degrees of freedom are characteristically thermalized.

Sympathetic cooling occurs through collisions between the hot target species being introduced and the cryogenic buffer gas particles. The thermalization of the target species with the buffer gas particles is derived via momentum conservation of hard sphere collisions, where  $\approx 10$  and  $\approx 100$  collisions are needed to relax translational and rotational states to within a factor of 2 of the buffer gas temperature. Vibrational degrees of freedom may take upwards of  $10^4$  collisions to fully thermalize if the elastic collision energy is much lower than the internal vibrational level. By finding the mean free path, we can consider the characteristic length the particles travel to be thermalized with the buffer gas, this is then compared to the characteristic length of the cell to determine the effectiveness of the cooling.

$$\lambda = \frac{A_{aperture}\bar{v}}{4f\sigma\sqrt{m_s/m_b}}$$

If a species is introduced into the buffer gas cell that has a lower vapor pressure than that is allowed at the current temperature, it will be lost when it comes in contact with the cell walls. The rate of this loss can be described as the characteristic time of diffusion of a particle in the buffer gas to the physical dimensions of the cell set the diffusion time constant:

$$\tau_{diff} = \frac{16}{9\pi} \frac{A_{cell}n_{0,b}\sigma}{\bar{v}} \quad (2.5)$$

where  $\sigma$  represents the collisional cross section for the buffer gas with the target species. On the other hand, we have the characteristic pump out time given by the conductance of a cell aperture:

$$\tau_{pump} = \frac{4V_{cell}}{\bar{v}A_{aperture}} \quad (2.6)$$

By combining equations eqs. (2.5) and (2.6), we can get a dimensionless ratio,  $\gamma$  that characterizes the extraction fraction out of the cell.

$$\gamma = \frac{\tau_{diff}}{\tau_{pump}} = \frac{\sigma f}{L_{cell}\bar{v}} \quad (2.7)$$

Notice that the  $\gamma$  factor does not depend on aperture size, this is generally true, but increasing the aperture size will lower your number density within the cell, which then influences the characteristic length scale of thermalization. Larger apertures thus run the risk of not allowing your particles to fully thermalize in rotational/vibrational states. But decreasing the aperture size can make alignment as well as controlling the number density more difficult, as finer control over the flow rate is necessary for equivalent flow regimes.

We have utilized a residual gas analyzer (RGA) to determine the density of the beam in the ballistic regime upstream from the ion trap. Inserting the RGA into the beam path allows us to estimate the density of water in the beam as a function of the nominal buffer gas flow rate, as shown in figure 2.6. Using that fit, we find good agreement with the theoretical calculations showing our flow to be near the supersonic regime, while staying in the hydrodynamic regime with a linear extraction efficiency dependence with the flow rate expressed in 2.7.

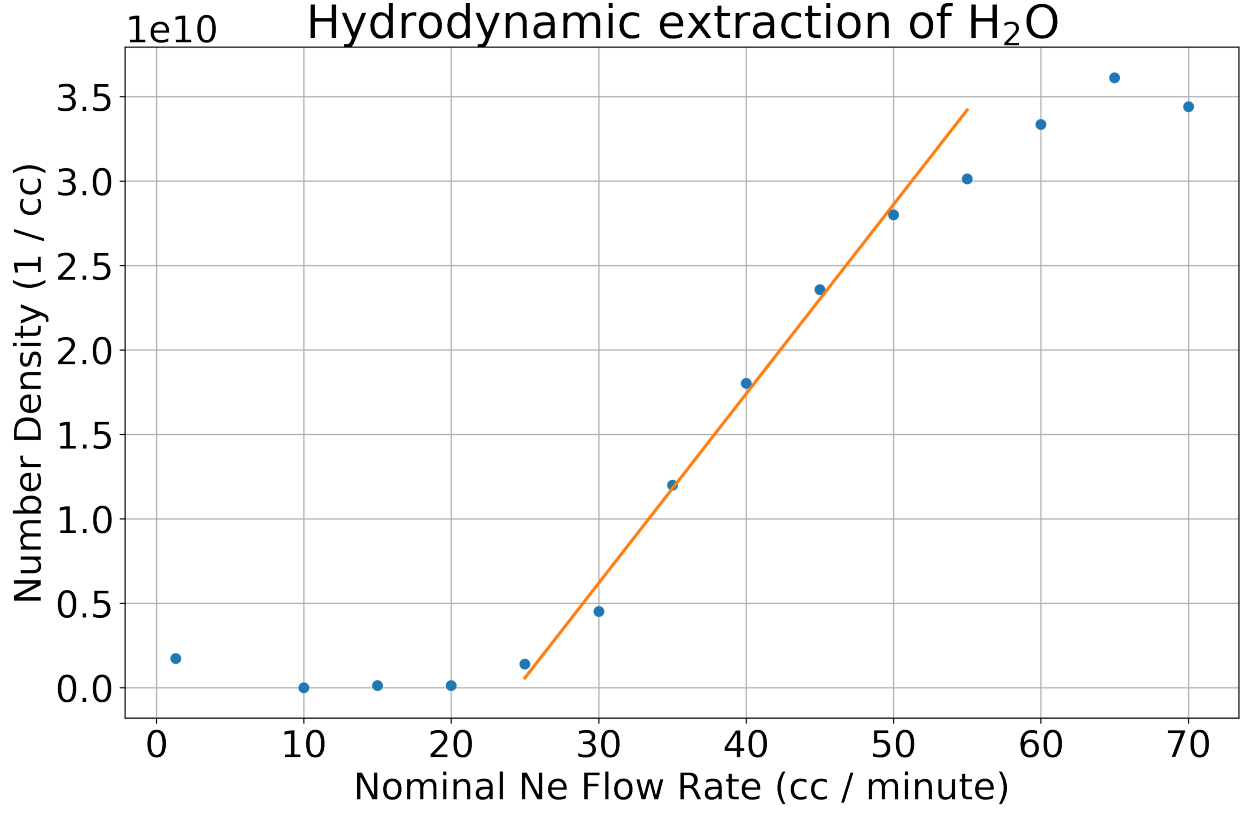


Figure 2.6:  $1.12 \times 10^9 x + -2.75 \times 10^{10}$

We know have previously calculated the possible number densities of the buffer gas with varying aperture sizes and flow rates. But now we can utilize those equations with the data on the target species to plot out the range of densities that we may see at the trap center as a function of the various apertures that we may utilize.

Knowing from our previous calculations:

$$\bar{v} = \sqrt{\frac{8k_B T}{m\pi}}$$

$$n_{0,b} = \frac{4f}{A_{aperture}\bar{v}}$$

$$n(z) = \frac{n_o}{2} \left( 1 - \frac{z}{\sqrt{z^2 + a^2}} \right)$$

We can put it all together to get:

$$n(z) = \alpha \frac{f}{A_{aperture} \bar{v}} \left( 1 - \frac{z}{\sqrt{z^2 + a^2}} \right)$$

But what we really care about is the region in which the number density is linearly dependent to the buffer gas flow rate, not over all possible ranges; we've seen that the target species only behaves linearly once it has been entrained in the buffer gas. This means that we should be equating the density function with the linear fit performed on the data for the parameters the data was taken at,  $n(z) = mf + b$ .

$$\alpha = \frac{m}{\beta} + \frac{b}{\beta f}$$

Where we let  $\beta = \frac{1}{A_{aperture,0} \bar{v}_0} \left( 1 - \frac{z_0}{\sqrt{z_0^2 + a_0^2}} \right)$ . Thus, we may get a final form that incorporates the linear slope's dependence on the other variables of the system as well as the overall experimentally derived scaling factor from the data.

$$n(z) = \frac{mf + b}{A_{aperture} \bar{v} \beta} \left( 1 - \frac{z}{\sqrt{z^2 + a^2}} \right)$$

There is a mass dependence in the thermal velocity equation, which leads us to conclude that the choice of the species is a statement of the efficacy of the beam itself. If we choose to calculate the thermal velocity of the target species found in the beam due to the theoretical thermal velocity of the buffer gas, that indicates that the beam properties are still dominated by the buffer gas species. This may not be the case, as we see in our data, we have a ratio of about 10:1, this is pushing the boundaries of the assumption that the buffer gas far outnumbered the target species. At these ratios, we may start to see the effects of the target species on the beam properties.

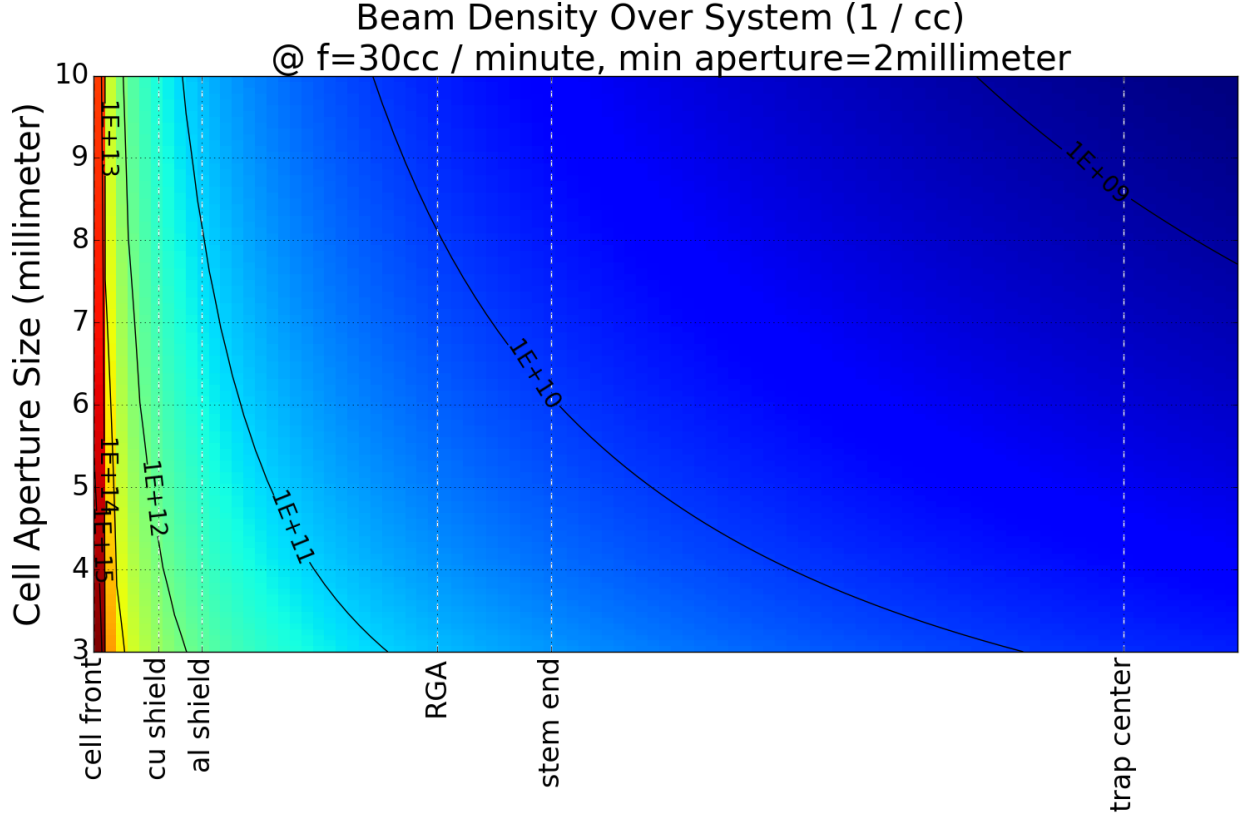


Figure 2.7: Projected buffer gas beam densities with a Ne flow rate of 30SCCM with various distances of interest within the chamber. Optimal densities of entrained gasses hover around 0.1% of the buffer gas value shown here.

Extrapolating the fit and estimated density from figure 2.6, we can estimate the density of the water at various locations along the beam line as shown in figure 2.7. We find that we should be able to produce an appreciable number density of water down at the trap center.

From the RGA, we were able to open and close a shutter in the beam path and see an extinction of the water signal, but a more accurate representation would be from the ions in the trap themselves. We know that the trapped  $Be^+$  ions will reaction with  $H_2O$  to predominately produce  $BeOH$ , which we see as a drop in the fluorescence. Figure 2.2 shows fits of the fluorescence decay as a beam from the CBGB is suddenly blocked by our shutter in the beam line. Comparing the fitted reaction rates, we find that they agree with

the background rates found as shown in figure 2.2. This indicates to us that we indeed have a beam of cryogenic water coming from the CBGB, as seen by the sudden extinction of the  $Be^+ + H_2O$  reaction.

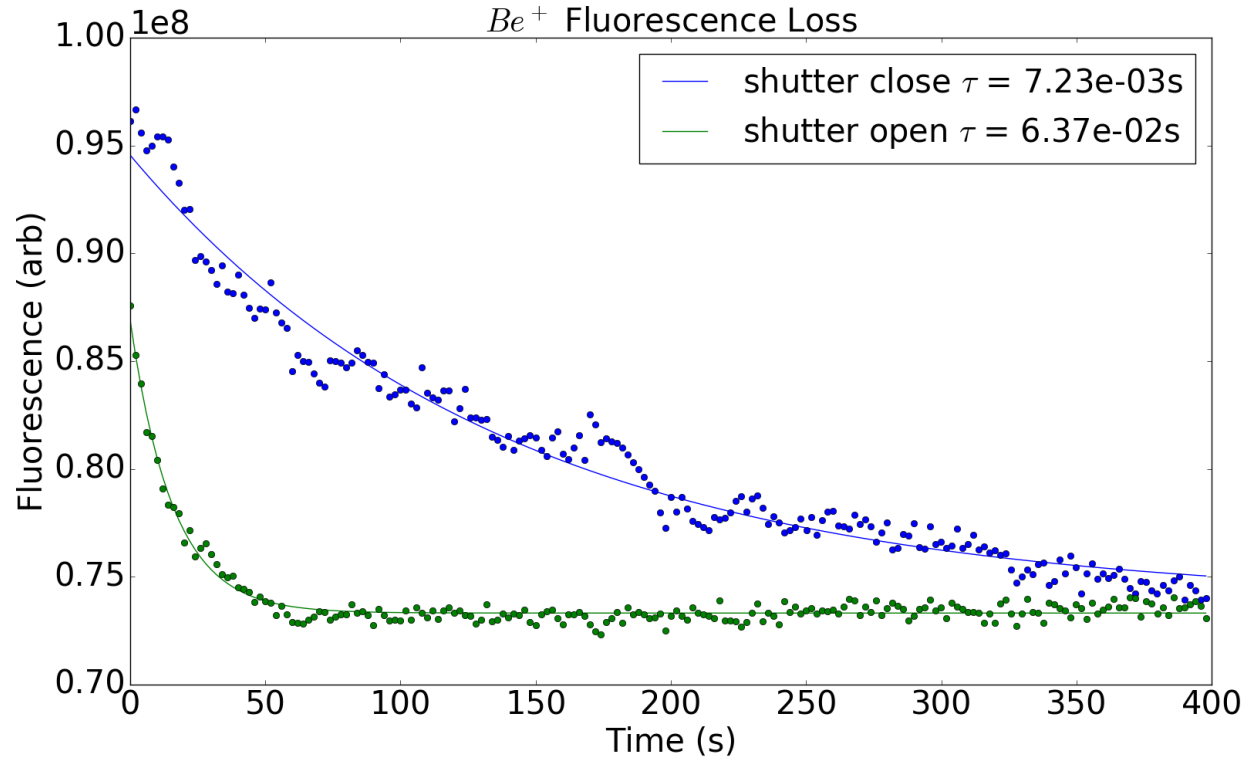


Figure 2.8:



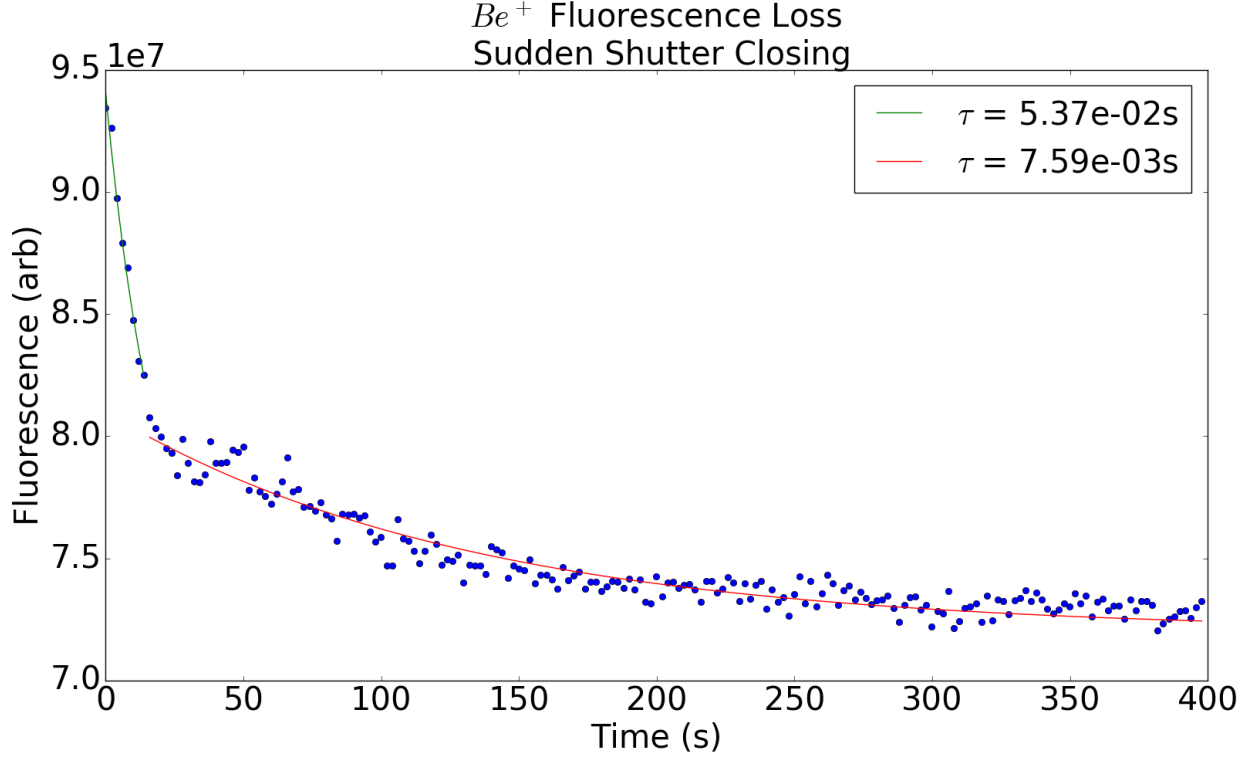


Figure 2.9:

## 2.3 Beam Velocity

We may rewrite the equation 2.2 as a function of the mean velocity  $\bar{v}$  into a simpler form .

$$f(v) = \frac{32}{\pi^2} \frac{v^2}{\bar{v}^3} e^{-4v^2/\pi\bar{v}^2} \quad (2.8)$$

To get the velocity distribution in the beam, we can calculate the distribution of particles incident on an aperture in the cell.

$$\begin{aligned} f_{beam}(v) &= \frac{v}{\bar{v}} f(v) \\ &= \frac{32}{\pi^2} \frac{v^3}{\bar{v}^4} e^{-4v^2/\pi\bar{v}^2} \end{aligned}$$

For low Reynold's numbers ( $\text{Re} < 1$ ) the flow at the aperture is purely molecular, which means that there are few to no collisions. This allows us to continue to use the Maxwell-Boltzmann distribution to describe the forward velocity [23].

$$\bar{v}_{\parallel} = \int_0^{\infty} v f(v) dv \approx 1.2\bar{v} \quad (2.9)$$

The spread of the forward velocity of an effusive beam is the full width half max (FWHM) of the Maxwell-Boltzmann distribution:  $\Delta\bar{v} \approx 1.5\bar{v}$ . As the Reynolds number increases, one can reach the supersonic regime ( $\text{Re} > 100$ ) where the forward velocity reaches  $1.4\bar{v}$  and the distribution drastically narrows.[23, 33]

But as the flow regime nears the supersonic regime, forward collisions around the aperture cause boosting of the average velocity as well as a decrease in the velocity spread. Changing the flow regime may also change the ratio of species in the beam as well.

A helium buffer gas held at 4K will have a slower forward velocity than that of a neon gas held at 17K. Despite this, it is preferable to use neon as a buffer gas due to its ideal cryopumping properties. Helium requires large amounts of activated charcoal, also held at low temperatures, to effectively cryopump. These volumes of charcoal can then become saturated and require purging, limiting one's operating time (few hours). Neon on the other hand, only requires a metal surface lower than 17K to create neon ice. The neon ice surface will then act as a cryopump for more neon gas as well, allowing for many hours of continuous operation with no appreciable build up of background gas. Our experiment uses neon as a buffer gas for its technical simplicity, the lower achievable temperature with the helium does not yield dramatic gains in the final reaction temperature.

To better understand the reaction temperatures we will be able to reach, we need a characterization of the beam's velocity, more specifically, the velocity of the target species entrained within the buffer gas. By ablating ytterbium into the neon buffer gas, we find that the ytterbium is entrained within the neon and sympathetically cooled to the cell's temperature. As long as the target species number density is a trace amount in comparison to the bulk buffer gas number density (1:1000), the flow characteristics are dominated by

the buffer gas species [22]. The forward velocity of the beam is not only parameterized by the temperature of the buffer gas species, it is also dependent on the flow regime. As we increase the flow of neon into the cell, figure 2.6 shows a linear increase in the  $H_2O$  signal from a downstream RGA. This coincides with the beam operating within the intermediate flow regime, where there are few collisions at the cell aperture, resulting in a slight forward boosting and increased extraction efficiency of the target species. At higher flow regimes, entering the supersonic regime, we would see a "freeze out" where the forward velocity reaches  $1.4\bar{v}$  and we would not see appreciable gains in species extraction [22]. We chose to operate at a nominal neon flow rate of 30 sccm based upon the reaction rate of the ions downstream.

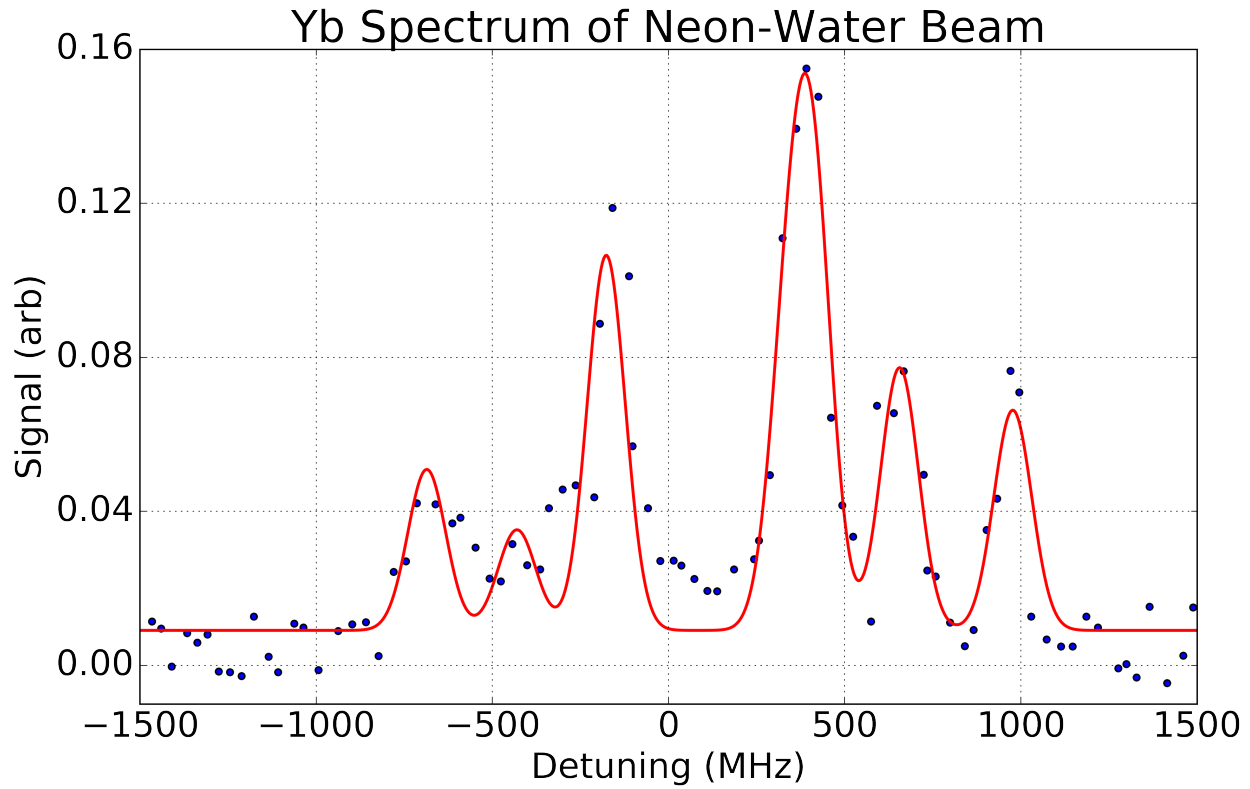


Figure 2.10:

## CHAPTER 3

### Linear Quadrupole Trap (LQT)

#### 3.1 Ion Trapping

#### 3.2 Dual Species Loading

#### 3.3 Time of Flight Mass Spectrometer (TOF-MS)

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