

Algebraic Topology

Georges Khater

American University of Beirut, Math 314

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1 Bases of the Fundamental Group

1.1 Homotopy equivalence.

Definition 1.1. Let X be a topological space $f, g: X \rightarrow Y$ then we say that f is *homotopic* to g if there is a function $H: X \times I \rightarrow Y$ (called a homotopy) such that

$$H(x, 0) = f(x), \quad H(x, 1) = g(x)$$

Proposition 1.2. *Homotopy is an equivalence relation.*

Lemma 1.3 (Gluing Lemma). *Suppose that $X = A \cup B$ with A, B closed and take $f_1: A \rightarrow Y$, $f_2: B \rightarrow Y$. s.t $f_1(x) = f_2(x)$ for all $x \in A \cap B$. Then*

$$f_3: X \rightarrow Y, \quad f_3(x) = \begin{cases} f_1(x) & \text{if } x \in A \\ f_2(x) & \text{if } x \in B \end{cases}$$

is continuous.

Proof. Let C be a closed set in Y , then

$$\begin{aligned} f_3^{-1}(C) &= f_3^{-1}(C) \cap (A \cup B) \\ &= (f_3^{-1}(C) \cap A) \cup (f_3^{-1}(C) \cap B) \\ &= f_1^{-1}(C) \cup f_2^{-1}(C) \end{aligned}$$

which is closed in X . □

Example 1.4. Any two function into \mathbb{R}^n (convex set, star shaped set) are homotopic.

Indeed, take two such $f(x), g(x)$ then let

$$H: X \times Y \rightarrow \mathbb{R}^n, \quad H(x, t) = (1 - t)f(x) + tg(x)$$

Note: we call this *the straight line homotopy*.

Relative Homotopy

Definition 1.5. Let $f, g: X \rightarrow Y$ with $A \subseteq X$ and $f|_A = g|_A$ then we say that f is homotopic to g relative to A if there exists a homotopy $H: X \times I \rightarrow Y$ between f and g

$$H(x, t) = f(x) = g(x) \quad \forall t \in I, \forall x \in A$$

Remark 1.6. Homotopy is a special kind of relative homotopy (for $A = \emptyset$).

Proposition 1.7. *Relative homotopy is an equivalence relation.*

Definition 1.8. Let X a topological space and let $x_1, x_2 \in X$ then a path in X going from x_1 to x_2 is a function $\gamma: I \rightarrow X$ s.t

$$\gamma(0) = x_1, \quad \gamma(1) = x_2$$

Definition 1.9. Suppose that γ_1 and γ_2 are two paths in X whose start point and end point coincide, then we say that γ_1 is path homotopic to γ_2 if γ_1 is homotopic to γ_2 relative to $\{0, 1\}$.

Intuitively this means that we can deform γ_1 into γ_2 without moving the endpoints. i.e $H: I \times I \rightarrow X$ s.t

$$H(s, t) = \begin{cases} \gamma_1(s) & \text{if } t = 0 \\ \gamma_2(s) & \text{if } t = 1 \\ \gamma_1(0) = \gamma_2(0) & \text{if } s = 0 \\ \gamma_1(1) = \gamma_2(1) & \text{if } s = 1 \end{cases}$$

Corollary 1.10. *Path homotopy is an equivalence relation.*

Definition 1.11. $\gamma_1, \gamma_2: I \rightarrow X$ with

$$\gamma_1(1) = \gamma_2(0)$$

we define the *product* of these paths to be the path $\gamma_1 \cdot \gamma_2$ given by

$$\gamma_1 \cdot \gamma_2(s) = \begin{cases} \gamma_1(2s) & \text{if } 0 \leq s \leq 1/2 \\ \gamma_2(2s - 1) & \text{if } 1/2 \leq s \leq 1 \end{cases}$$

Note that this is continuous by the Gluing Lemma.

Proposition 1.12. *Path multiplication is compatible with path homotopy; i.e if*

$$\gamma_1 \sim \gamma'_1, \quad \gamma_2 \sim \gamma'_2$$

then

$$\gamma_1 \cdot \gamma_2 \sim \gamma'_1 \cdot \gamma'_2$$

Proof. Let $H_1: I \times I \rightarrow X$ and $H_2: I \times I \rightarrow X$ be the corresponding homotopies then define $H: I \times I \rightarrow X$ by

$$H(s, t) = \begin{cases} H_1(2s, t) & \text{if } 0 \leq t \leq 1/2 \\ H_2(2s - 1, t) & \text{if } 1/2 \leq t \leq 1 \end{cases}$$

We have to check that this is a valid path homotopy, TODO. □

1.2 The Fundamental group

Definition 1.13. A loop in X based at x_0 is a path whose end points are both x_0 .

Theorem 1.14. *Take X a top space and $x_0 \in X$ then the set of path homotopy equivalence classes of the loops based at x_0 is a group where multiplication, identity and inverses are defined as such:*

- $[\gamma_1] \cdot [\gamma_2] = [\gamma_1 \cdot \gamma_2]$
- Identity is $[x_0]$
- Inverse of $[\gamma]$ is $[\bar{\gamma}]$ where

$$\bar{\gamma}(s) = \gamma(1 - s)$$

This group is called the Fundamental group of X based at x_0 denoted by $\pi_1(X, x_0)$.

Remark 1.15. Note that multiplication is well defined, since homotopy behaves nicely with path product.

Lemma 1.16. *Let $\varphi: I \rightarrow I$ s.t $\varphi(0) = 0$ and $\varphi(1) = 1$. Then for any path γ we have that*

$$\gamma \varphi \sim \gamma$$

we call $\gamma \circ \varphi$ a reparametrization of γ .

Proof. Let $H(s, t) = \gamma((1 - t)s + t\varphi(s))$, then clearly

$$H(s, 0) = \gamma(s), \quad H(s, 1) = \gamma(\varphi(s))$$

$$H(0, t) = \gamma(0), \quad \gamma(1, t) = \gamma(1)$$

□

Proof of the theorem.

We have to show that this satisfies the axioms of groups:

- **Associativity.** Take $\gamma_1, \gamma_2, \gamma_3: I \rightarrow X$ s.t

$$\gamma_1(1) = \gamma_2(0), \quad \gamma_2(1) = \gamma_3(0)$$

Then we have that

$$(\gamma_1 \cdot \gamma_2) \cdot \gamma_3(s) = \begin{cases} \gamma_1(4s) & \text{if } 0 \leq s \leq 1/4 \\ \gamma_2(4s - 1) & \text{if } 1/4 \leq s \leq 1/2 \\ \gamma_3(2s - 1) & \text{if } 1/2 \leq s \leq 1 \end{cases}$$

and

$$\gamma_1 \cdot (\gamma_2 \cdot \gamma_3)(s) = \begin{cases} \gamma_1(2s) & \text{if } 0 \leq s \leq 1/2 \\ \gamma_2(4s - 2) & \text{if } 1/2 \leq s \leq 3/4 \\ \gamma_3(4s - 3) & \text{if } 3/4 \leq s \leq 1 \end{cases}$$

We want to find $\varphi: I \rightarrow I$ s.t

$$(\gamma_1 \cdot \gamma_2) \cdot \gamma_3(s) = \gamma_1 \cdot (\gamma_2 \cdot \gamma_3)(\varphi(s))$$

Indeed, define

$$\varphi(s) = \begin{cases} 2s & \text{if } 0 \leq s \leq 1/4 \\ s + 1/4 & \text{if } 1/4 \leq s \leq 1/2 \\ 1/2s + 1/2 & \text{if } 1/2 \leq s \leq 1 \end{cases}$$

Check that this is a valid reparametrization; i.e that the equality above holds.

- **Identity.** We will only show left-identity: right is completely analogous. Take $\gamma: I \rightarrow X$, let $x_0 = \gamma(0)$ and $x_0: I \rightarrow X$ s.t $x_0(s) = x_0$. Then

$$x_0 \cdot \gamma(s) = \begin{cases} x_0 = \gamma(0) & \text{if } 0 \leq s \leq 1/2 \\ \gamma(2s - 1) & \text{if } 1/2 \leq s \leq 1 \end{cases}$$

Then clearly $\gamma(\varphi(s)) = x_0 \cdot \gamma(s)$ via

$$\varphi(s) = \begin{cases} 0 & \text{if } 0 \leq s \leq 1/2 \\ 2s - 1 & \text{if } 1/2 \leq s \leq 1 \end{cases}$$

Check that the reparametrization is valid.

- **Inverse.** Suppose that $\gamma: I \rightarrow X$ is a path, fix $\alpha \in I$. Define the path

$$\gamma_\alpha(s) = \gamma(\alpha s)$$

We want to show that

$$\gamma \cdot \bar{\gamma} \sim \gamma(0)$$

Let $H: I \times I \rightarrow X$ be

$$H(s, t) = \gamma_{1-t} \cdot \overline{\gamma_{1-t}}(s)$$

Then notice that

$$H(s, 0) = \gamma_1 \overline{\gamma_1}(s), \quad H(s, 1) = \gamma_0 \cdot \overline{\gamma_0}(s) = \gamma(0)$$

and

$$H(0, t) = \gamma_{1-t}(0) = \gamma(0), \quad H(1, t) = \gamma(0)$$

Where the last equality comes from the fact that

$$H(s, t) = \begin{cases} \gamma_{1-t}(2s) & \text{if } 0 \leq s \leq 1/2 \\ \overline{\gamma_{1-t}}(2s - 1) = \gamma_{1-t}(2 - 2s) = \gamma((1 - t)(2 - 2s)) & \text{if } 1/2 \leq s \leq 1 \end{cases}$$

Note that H is continuous since it is clearly continuous on $[0, 1/2] \times I$ and $[1/2, 1] \times I$ + Gluing lemma.

□

Theorem 1.17. Let X be a topological space and let $x_0, x_1 \in X$; take η a path from $x_0 \rightarrow x_1$. Define $\beta_\eta: \pi_1(X, x_1) \rightarrow \pi_1(X, x_0)$ by

$$\beta_\eta[\gamma] = [\eta \cdot \gamma \cdot \overline{\eta}]$$

Then β_η is an isomorphism.

Proof. • **Well defined.** Let $[\gamma_1] = [\gamma_2]$ then

$$\begin{aligned} [\gamma_1] = [\gamma_2] &\implies \gamma_1 \sim \gamma_2 \\ &\implies \eta \cdot \gamma_1 \sim \eta \cdot \gamma_2 \\ &\implies \eta \cdot \gamma_1 \cdot \overline{\eta} \sim \eta \cdot \gamma_2 \cdot \overline{\eta} \\ &\implies [\eta \cdot \gamma_1 \cdot \overline{\eta}] = [\eta \cdot \gamma_2 \cdot \overline{\eta}] \end{aligned}$$

• **Homomorphism.**

$$\begin{aligned} \beta_\eta([\gamma_1] \cdot [\gamma_2]) &= \beta_\eta([\gamma_1 \cdot \gamma_2]) \\ &= [\eta \cdot \gamma_1 \cdot \gamma_2 \cdot \overline{\eta}] \\ &= [\eta \cdot \gamma_1 \cdot x_1 \cdot \gamma_2 \cdot \overline{\eta}] \\ &= [\eta \cdot \gamma_1 \cdot \overline{\eta}] \cdot [\eta \cdot \gamma_2 \cdot \overline{\eta}] \\ &= \beta_\eta[\gamma_1] \cdot \beta_\eta[\gamma_2] \end{aligned}$$

- **Isomorphism.** Notice that

$$\begin{aligned}
 \beta_\eta \circ \beta_{\bar{\eta}}[\gamma] &= [\beta_\eta(\bar{\eta}) \cdot \gamma \cdot \eta] \\
 &= [\eta \cdot \bar{\eta} \cdot \gamma \cdot \eta \cdot \bar{\eta}] \\
 &= [x_0 \cdot \eta \cdot x_0] \\
 &= [\gamma]
 \end{aligned}$$

Similarly $\beta_{\bar{\eta}} \circ \beta_\eta$ is also identity.

□

Corollary 1.18. *If X is path connected then $\pi_1(X, x_0)$ is independent of X .*

From now on we all space will be path connected (unless stated otherwise explicitly): in this case we will simply write $\pi_1(X)$.

Definition 1.19. If $\pi_1(X) = e$ we say that X is *simply connected*

Proposition 1.20. X is simply connected $\iff \forall x_0, x_1 \in X$ any two paths between x_0 and x_1 are homotopic.

Proof. \Leftarrow Take $x_0 = x_1$, then any two loops are homotopic, in particular every loop is homotopic to the constant loop.

\Rightarrow Let $x_0, x_1 \in X$ and take $\gamma, \gamma': x_0 \rightarrow x_1$. Note that $\gamma \cdot \bar{\gamma}'$ is a loop, therefore $\gamma \cdot \bar{\gamma}' \sim x_0$. Therefore multiplying both sides by γ' we get

$$\begin{aligned}
 (\gamma \cdot \bar{\gamma}') \cdot \gamma' &\sim x_0 \cdot \gamma' \\
 (\gamma \cdot \bar{\gamma}') &\sim \gamma' \\
 \gamma &\sim \gamma'
 \end{aligned}$$

□

Example 1.21. The following spaces are simply connected

- \mathbb{R}^n : Let γ be a loop based at 0, then let

$$H(s, t) = (1 - t)\gamma(s) + t \cdot x_0 = (1 - t)\gamma(s)$$

- Similarly, every convex set is simply connected.
- Similarly, every star-shaped set is simply connected.
- S^n is simply connected for $n \geq 2$ (proof later).

Notice that S^1 is not simply connected (proof later).

1.3 Homotopy of spaces

Let X, Y be topological spaces and $\varphi: X \rightarrow Y$ be a map. Fix $x_0 \in X$, suppose that γ is a loop based at x_0 , then $\varphi(\gamma)$ is a loop based at $\varphi(x_0)$. Moreover, if $\gamma \sim \gamma'$ via homotopy H , then $\varphi(\gamma) \sim \varphi(\gamma')$ via the homotopy $\varphi \circ H$.

Proof. $H: I \times I \rightarrow X$ s.t

$$H(0, t) = x_0 = H(1, t), \quad H(s, 0) = \gamma(s), \quad H(s, 1) = \gamma'(s)$$

Then

$$\varphi \circ H: I \times I \rightarrow Y$$

and

$$\varphi(H(0, t)) = \varphi(x_0) = \varphi(H(1, t)), \quad H(s, 0) = \varphi(\gamma(s)), \quad \varphi(H(s, 1)) = \varphi(\gamma'(s))$$

□

Therefore we get a well defined map from $\pi(X, x_0)$ to $\pi(Y, \varphi(x_0))$, denote by φ_* and defined by

$$\varphi_*([\gamma]) = [\varphi \circ \gamma]$$

Proposition 1.22. • φ_* is a Homomorphism.

- $(\psi \circ \varphi)_* = \psi_* \circ \varphi_*$.
- $(\mathbb{1})_* = \mathbb{1}_*$
- $\varphi \sim \psi$ relative to x_0 then $\varphi_* = \psi_*$.

Proof. • Take γ_1, γ_2 loops at x_0 , then

$$\varphi(\gamma_1 \cdot \gamma_2) = \varphi(\gamma_1) \cdot \varphi(\gamma_2)$$

because

$$\varphi(\gamma_1 \cdot \gamma_2(s)) = \begin{cases} \varphi(\gamma_1(2s)) & 0 \leq s \leq 1/2 \\ \varphi(\gamma_2(2s - 1)) & 1/2 \leq s \leq 1 \end{cases}$$

but

$$\varphi(\gamma_1) \cdot \varphi(\gamma_2)(s) = \begin{cases} \varphi(\gamma_1(2s)) & 0 \leq s \leq 1/2 \\ \varphi(\gamma_2(2s - 1)) & 1/2 \leq s \leq 1 \end{cases}$$

Therefore

$$\begin{aligned} \varphi_*([\gamma_1] \cdot [\gamma_2]) &= \varphi_*([\gamma_1 \cdot \gamma_2]) \\ &= [\varphi(\gamma_1 \cdot \gamma_2)] = [\varphi(\gamma_1) \cdot \varphi(\gamma_2)] \\ &= [\varphi(\gamma_1)] \cdot [\varphi(\gamma_2)] \\ &= \varphi_*([\gamma_1]) \cdot \varphi_*([\gamma_2]) \end{aligned}$$

- TODO
- TODO
- Let γ be a loop at x_0 and suppose that H is the homotopy between φ and ψ relative to x_0 , therefore $H: X \times I \rightarrow Y$ s.t

$$H(x, 0) = \varphi(x), \quad H(x, 1) = \psi(x), \quad H(x_0, t) = \varphi(x_0) = \psi(x_0)$$

Then consider the map

$$H' : I \times I \rightarrow Y, \quad H'(s, t) = H(\gamma(s), t)$$

then

$$H'(s, 0) = H(\gamma(s), 0) = \varphi(\gamma(s)), \quad H'(s, 1) = H(\gamma(s), 1) = \psi(\gamma(s))$$

and

$$H'(0, t) = H(x_0, t) = \varphi(x_0), \quad H'(1, t) = \psi(x_0)$$

Therefore for any γ

$$\varphi_*([\gamma]) = [\varphi(\gamma)] = [\psi(\gamma)] = \psi_*([\gamma])$$

draw the pictures here, it clears things up. □

Corollary 1.23. $X \cong Y \implies \pi_1(X) \cong \pi_1(Y)$.

Proof. Let $\varphi : X \rightarrow Y$, $\psi : Y \rightarrow X$ such that

$$\psi \circ \varphi = \mathbb{1}_X, \quad \varphi \circ \psi = \mathbb{1}_Y$$

Therefore

$$(\psi \circ \varphi)_* = \psi_* \circ \varphi_* = \mathbb{1}_*$$

similarly

$$\varphi_* \circ \psi_* = \mathbb{1}_*$$

Therefore

$$\pi_1(X) \cong \pi_1(Y)$$
□

Remark 1.24. Note that the proof above would still work if we only had that $\psi \circ \varphi \sim \mathbb{1}$ relative to x_0 and $\varphi \circ \psi \sim \mathbb{1}$ relative to $\varphi(x_0)$.

Definition 1.25. Let X, Y be two spaces, we say that X is *homotopic* to Y iff there exists some $\varphi : X \rightarrow Y$ and $\psi : Y \rightarrow X$ such that

$$\psi \circ \varphi \sim \mathbb{1}_X, \quad \varphi \circ \psi \sim \mathbb{1}_Y$$

Proposition 1.26. *Homotopy of spaces is an equivalence relation*

Proof. • **Reflexive.** Take $\varphi = \psi = \mathbb{1}$.

- **Symmetric.** Trivial.
- **Transitive.**

Lemma 1.27. Let $f : X \rightarrow Y$, $f' : X \rightarrow Y$, $g, g' : Y \rightarrow Z$ with

$$f \sim f', \quad g \sim g'$$

then

$$g \circ f \sim g' \circ f'$$

Proof. Let H be the homotopy between f and f' and H' is the homotopy between g and g' . Then

$$H'': X \times I \rightarrow Z$$

defined by

$$H''(x, t) = H'(H(x, t), t)$$

notice that

$$H''(0, t) = H'(H(x, 0), 0) = H'(f(x), 0) = g \circ f(x)$$

Similarly check the rest. □

Now suppose we have X, Y, Z spaces with

$$\varphi: X \rightarrow Y, \quad \varphi: Y \rightarrow Z$$

and

$$\psi: Y \rightarrow X, \quad \psi': Y \rightarrow Z$$

$$\begin{array}{ccccc} & \xleftarrow{\psi} & & \xleftarrow{\psi'} & \\ X & \xleftarrow{\varphi} & Y & \xleftarrow{\varphi'} & Z \end{array}$$

Then

$$\varphi' \circ \varphi: X \rightarrow Z, \quad \psi \circ \psi': Z \rightarrow X$$

s.t

$$\begin{aligned} \psi \circ \psi' \circ \varphi' \circ \varphi &\sim \psi \circ \mathbb{1} \circ \varphi \\ &\sim \mathbb{1} \end{aligned}$$

□

Terminology. If $X \sim \{\cdot\}$, then we say that X is contractible.

Example 1.28. \mathbb{R}^n is contractible; there is only one map $\varphi: \mathbb{R}^n \rightarrow \{\cdot\}$. Take the map $\psi: \cdot \rightarrow \mathbb{R}^n$ to be

$$\cdot \rightarrow 0$$

Indeed

$$\varphi \circ \psi: \cdot \rightarrow \cdot = \mathbb{1}$$

Moreover, $\psi \circ \varphi: \mathbb{R}^n \rightarrow \mathbb{R}^n$

$$\psi \circ \varphi(\alpha) = 0$$

let $H: \mathbb{R}^n \times I \rightarrow \mathbb{R}^n$ defined by

$$H(x, t) = t \cdot x$$

Similarly, we get that any convex subset of \mathbb{R}^n is contractible; any star-shaped set is also contractible.

Later on we will see that S^n is not contractible.

Definition 1.29. Let X be a space and $A \subseteq X$, then $r: X \rightarrow A$ is called a *retraction* iff

$$r|_A = \mathbb{1}_A$$

In this case we say that A is a *retract* of X .

Example 1.30. • Clearly the function $r: \mathbb{R}^n \rightarrow \{x_0\}$ is a retraction.

- $r: \mathbb{R}^n \setminus \{0\} \rightarrow S^{n-1}$, $x \rightarrow \frac{x}{|x|}$

Definition 1.31. X a space, $A \subseteq X$: we say that X deformation retracts to A (or that there is a deformation retraction from x to A) (or that A is a deformation retract of X) iff

- There is a retraction $r: X \rightarrow A$.
- Letting $\iota: A \rightarrow X$ be the inclusion map, we have

$$r \circ \iota = \mathbb{1}_A$$

and

$$\iota \circ r \sim \mathbb{1}_X \text{ relative to } A$$

Example 1.32. • \mathbb{R}^n , convex / star shaped subsets deformation retract into a point.

- \mathbb{R}^n deformation retract into D^n : let $r: \mathbb{R}^n \rightarrow D^n$ be defined by

$$r(x) = \begin{cases} x & \text{if } x \in D^n \\ \frac{x}{|x|} & \text{if } x \notin D^n \end{cases}$$

Let $H(x, t) = tr(x) + (1 - t)x$.

- $\mathbb{R}^n \setminus \{0\}$ deformation retracts to S^{n-1} : $r: \mathbb{R}^n \setminus \{0\} \rightarrow S^{n-1}$ by

$$r(x) = \frac{x}{|x|}$$

$H(x, t) = (1 - t)x + t\frac{x}{|x|}$ is the homotopy.

There is no deformation retraction from $\mathbb{R}^n \setminus \{0\}$ to any point.

- $I \times I$ (The closed rectangle) deformation retracts to a cup Exercise.

Proposition 1.33. If X deformation retracts to A and A to B , then X deformation retracts to B .

Proof. Exercise. □

Theorem 1.34. $X \sim Y \implies \pi_1(X) \cong \pi_1(Y)$

Lemma 1.35. Let X, Y topological spaces and $\varphi, \psi: X \rightarrow Y$ be two homotopic functions by a homotopy $H: X \times I \rightarrow Y$. Note that $H(x_0, \cdot)$ is a path in Y (denote it by η). Then the following diagram

commutes:

$$\begin{array}{ccc} \pi_1(X, x_0) & \xrightarrow{\psi_*} & \pi_1(Y, \psi(x_0)) \\ & \searrow \varphi_* & \downarrow \beta_\eta \\ & & \pi_1(Y, \varphi(x_0)) \end{array}$$

i.e

$$\beta_\eta \circ \psi_* = \varphi_*$$

Proof of lemma. Let $\gamma: I \rightarrow X$ be a loop based at x_0 , let

$$H'(s, t) = \eta_t(s) \cdot H(\gamma(s), t) \cdot \overline{\eta_t}(s)$$

Convince yourself that the endpoints match here; therefore by the Gluing Lemma H' is continuous.

- At $t = 1$, we get

$$\eta \cdot \psi(\gamma) \cdot \overline{\eta}$$

- At $t = 0$, we get

$$\varphi(x_0) \cdot \varphi(\gamma) \cdot \overline{\varphi(x_0)}$$

So we have that

$$\begin{aligned} \eta \cdot \psi(\gamma) \cdot \overline{\eta} &\sim \varphi(x_0) \cdot \varphi(\gamma) \overline{\varphi(x_0)} \\ &\sim \varphi(\gamma) \end{aligned}$$

and therefore

$$\begin{aligned} [\eta \cdot \psi(\gamma) \cdot \overline{\eta}] &= [\varphi(\gamma)] \\ \beta_\eta[\psi(\gamma)] &= \varphi_*([\gamma]) \\ \beta_\eta(\psi_*([\gamma])) &= \varphi_*([\gamma]) \end{aligned}$$

therefore

$$\varphi_* = \beta_\eta \circ \psi_*$$

□

Proof of the theorem.

$$(Y, y_0) \xrightarrow{\psi} (X, x_0) \xrightarrow{\varphi} (Y, y_1) \xrightarrow{\psi} (X, x_1)$$

we know that

$$\psi \circ \varphi \sim \mathbb{1}, \quad \varphi \circ \psi \sim \mathbb{1}$$

Therefore by the lemma

$$(\psi \circ \varphi)_* = \beta, \quad (\varphi \circ \psi)_* = \beta'$$

But β, β' are isomorphisms, therefore we get that

φ_* is injective and surjective

□

1.4 Fundamental group of all spheres

Theorem 1.36.

$$\pi_1(S^n) = \begin{cases} e & \text{if } n \geq 2 \\ \mathbb{Z} & \text{if } n = 1 \end{cases}$$

Proof. • $\pi_1(S^2)$; there is a homeomorphism between $S^2 \setminus \{N\}$ and \mathbb{R}^2 (N is the North pole) called the stereographic projection. i.e $t \rightarrow (0, 0, 1) + t(x, y, z) = (tx, ty, tx + 1)$ since $1 + tz = 0 \implies t = \frac{t}{1-z}$ we get the map Σ given by

$$\Sigma(x, y, z) = \left(\frac{x}{1-z}, \frac{y}{1-z} \right)$$

For the inverse map $t \rightarrow (0, 0, 1) + t(\alpha, \beta, -1) = (t\alpha, t\beta, 1 - t)$; on the sphere

$$t^2\alpha^2 + t^2\beta^2 + (1 - t)^2 = 1 \implies t = \frac{2}{\alpha^2 + \beta^2 + 1}$$

so its inverse is given by

$$\Sigma^{-1}\left(\frac{2}{\alpha^2 + \beta^2 + 1}, \frac{2\beta}{\alpha^2 + \beta^2 + 1}, 1 - \frac{2}{\alpha^2 + \beta^2 + 1}\right)$$

TODO, do the same for \mathbb{R}^n .

We shall take our loops to be based at the south pole S . Let $\gamma: I \rightarrow S^2$ be such a loop. If it happens that γ never passes through N ; $\Sigma \circ \gamma$ is a loop in \mathbb{R}^2 based at 0. Thus $H: I \times I \rightarrow S^2$ given by

$$H(s, t) = \Sigma^{-1}((1 - t)\Sigma \circ \gamma(s))$$

gives a homotopy between γ and the constant loop at the south pole.

Now suppose that we have any loop $\gamma: I \rightarrow S^2$. Consider the preimage by γ of the open Northern hemisphere, this is open in I since γ is continuous; in fact it is open in $(0, 1)$ (and hence an open subset of \mathbb{R}). Now any open subset of \mathbb{R} is the union of countably many disjoint open intervals. Consider now the preimage of N , it must be closed in $[0, 1]$ and hence must be compact. Therefore since the preimage of the open Northern hemisphere contains that of N ; the preimage of N is an open cover of the preimage of N . So it has a finite subcover. Thus there are finitely many disjoint open intervals which contain the preimage of N . Consider one of these intervals (a, b) . Note that $\gamma((a, b)) \subseteq N$; since γ is continuous we can conclude that $\gamma(a), \gamma(b) \in \overline{N}$ (the closed Northern hemisphere). Note that $\gamma(a), \gamma(b)$ must be on the equator; since if they were in the Northern hemisphere we would have that a belongs to one of the other *disjoint* intervals to (a, b) . Note that the closed Northern hemisphere is homeomorphic to D^2 by $(x, y, z) \rightarrow (x, y)$. but note that

$\partial D^2 = S^1$ which is path connected; since we can find some path $\eta: I \rightarrow S^1$ going from $\gamma(a) \rightarrow \gamma(b)$ with η homotopic $\gamma|_{[a,b]}$.

Now we can write a homotopy between γ and some loop that skips N ; see the picture we are using the homotopy above on all (a, b) like above. This is continuous by the gluing lemma according to our homotopy. So γ is homotopic to a loop that skips N and so homotopic to the constant loop.

- We will think of S^1 as

$$\{z \in \mathbb{C}: |z| = 1\}$$

We will base our loops at the point $z = 1$. We define

$$\Phi: \mathbb{Z} \rightarrow \pi_1(S^1), \quad \Phi(n) = [e^{2\pi i n s}]$$

We also define

$$p: \mathbb{R} \rightarrow S^1, \quad p(x) = e^{2\pi i x}$$

Note that $[p(ns)] = \Phi(n)$. We will show that Φ is a homomorphism

$$\begin{aligned} \Phi(n) \cdot \Phi(m) &= [p(ns)] \cdot [p(ms)] \\ &= [p(ns) \cdot p(ms)] \\ &= [p(ns) \cdot p(n + ms)] \\ &= [p(ns \cdot (n + ms))] \end{aligned}$$

Note that $ns \cdot (n + ms)$ is a path $0 \rightarrow m + n$, but \mathbb{R} is simply connected, hence

$$ns \cdot (n + ms) \sim (n + m)s \implies p(ns \cdot (n + ms)) \sim p((n + m) \cdot s)$$

Therefore

$$\Phi(n) \cdot \Phi(m) = [p(n + m)s] = \Phi(n + m)$$

Claim 1. For any path γ in S^1 starting at 1, there is a unique path $\tilde{\gamma} \in \mathbb{R}$ starting at 0, s.t

$$p(\tilde{\gamma}) = \gamma$$

we say that $\tilde{\gamma}$ is the *lift* of γ starting at 0.

Claim 2. Suppose that γ_1, γ_2 are two paths in S^1 starting at 1, which are homotopic. Then their lifts starting at 0 are also homotopic.

Φ is surjective. Let $[\gamma] \in \pi_1(S^1)$, then we know that there is a unique $\tilde{\gamma}$ in \mathbb{R} s.t

$$\tilde{\gamma}(0) = 0, \quad p(\tilde{\gamma}) = \gamma$$

Especially $p(\tilde{\gamma}(1)) = \gamma(1) = 1$, hence

$$\tilde{\gamma}(1) = n \in \mathbb{Z} \implies \tilde{\gamma} \sim ns$$

Therefore

$$p(\tilde{\gamma}) \sim p(ns) \implies \gamma \sim p(ns) \implies [\gamma] = [p(ns)] = \Phi(n)$$

Φ is **injective**. Suppose that $\Phi(n) = \Phi(m)$ therefore

$$[p(ns)] = [p(ms)]$$

We have that the lift of $p(ns)$ is ns and the lift of $p(ms)$ is ms but

$$p(ns) \sim p(ms) \implies ns \sim ms \implies n = m$$

We will prove the two claims in the following setting: \tilde{X}, X two spaces, $p: \tilde{X} \rightarrow X$ s.t $\forall p \in X, \exists U \ni p$ open then $p^{-1}(U)$ is a disjoint union of open sets s.t each one of them (say V) satisfies

$$p|_V \text{ is a homeomorphism onto } U$$

Such a U is called an elementary neighborhood or an evenly covered neighborhood. p is called the projection covering map and

$$(\tilde{X}, p) \text{ is called a covering space of } X$$

The example to take in mind here is $X = S^1, \tilde{X} = \mathbb{R}$ with p "wrapping" \mathbb{R} around S^1 . Indeed consider $U_1 = S^1 \setminus \{1\}$, then $p^{-1}(U_1) = \mathbb{R} \setminus \mathbb{Z}$; and $U_2 = S^1 \setminus \{-1\}$ then $p^{-1}(U_2) = \mathbb{R} \setminus (1/2\mathbb{Z})$, so (\mathbb{R}, p) is indeed a covering space of S^1 .

Claim 3. Suppose that (\tilde{X}, p) is a covering space of X , and let $f: Y \times I \rightarrow X$ and suppose we have some $\tilde{f}: Y \times \{0\} \rightarrow \tilde{X}$ s.t

$$p \circ \tilde{f} = f$$

then \tilde{f} can be extended *uniquely* to $Y \times I$ s.t $p \circ \tilde{f} = f$ (draw the picture).

Proof that claim 3 implies claim 1 and 2. For claim 1, let $Y = \{\cdot\}$, in this case

$$\{\cdot\} \times I \cong I$$

so we have that $f: I \rightarrow X$ and $\tilde{f}: 0 \rightarrow \tilde{X}$ s.t \tilde{f} can be uniquely extended to I ; which is precisely claim 1 where we are given a path in X and the starting point of the lift is \tilde{X} . For claim 2, take $Y = I$ and f to be the homotopy between the two paths in X ; from claim 1 we can lift the homotopy at the initial time; claim 3 lifts the homotopy to \tilde{X} . By uniqueness of lifts the sides of the homotopy lift to the constant paths and the top of the homotopy gives a lift of the second path starting where the lift of the first path started.

Proof of claim 3. Let $y \in Y, \forall t \in I$ there is an open neighborhood O_t of t in I and an open neighborhood N_t of y in Y s.t

$$f(N_t \times O_t) \subseteq \text{an elementary neighborhood}$$

$\{y\} \times I$ is compact and $\{N_t \times O_t\}_{t \in I}$ is an open cover, therefore $\exists t_1, \dots, t_k \in I$ s.t

$$\{y\} \times I \subseteq (N_{t_1} \times O_{t_1}) \cup \dots \cup (N_{t_k} \times O_{t_k})$$

Let $N = \bigcap_{i=1}^k N_{t_i}$, and let $\delta > 0$ be the Lebesgue number of the cover O_{t_1}, \dots, O_{t_k} . Therefore any closed interval of length $\leq \delta$ is contained in one of the O_t 's. Consider $N \times [0, \delta]$ we know that $f(N \times [0, \delta]) \subset U$ an elementary neighborhood. But $p \circ \tilde{f} = f$, therefore $\tilde{f}(N \times 0) \subset p^{-1}(U)$, let \tilde{U} be the "part" of $p^{-1}(U)$ which contains $\tilde{f}(y, 0)$. Now by taking the preimage of \tilde{U} by \tilde{f} we get some open subset of Y which is also an open subset of N ; replace N with this smaller subset call it N . Now p is a homeomorphism between \tilde{U} and U , so it has an inverse $p^{-1}: U \rightarrow \tilde{U}$, define

$$\tilde{f} = p^{-1} \circ f$$

This way, we have extended \tilde{f} to $N \times [0, \delta]$. Now do the same for $N \times [\delta, 2\delta]$ by looking at $\tilde{f}(N \times \{\delta\})$ and making sure that it maps to only one of the preimages of the new elementary neighborhood, we shrink N again and extend \tilde{f} by letting it be $p^{-1} \circ f$. Note that by construction we have that $p \circ \tilde{f} = f$, and it is continuous by the Gluing lemma. Hence we know that $\forall y \in Y, \exists N_y$ s.t \tilde{f} is extended continuously to include $N_y \times I$ with $p \circ \tilde{f} = f$. Let us show that lifts of paths are unique; indeed suppose that we have $\gamma: I \rightarrow X$ a path in X , and let $\tilde{\gamma}_1, \tilde{\gamma}_2$ be two lifts of γ (i.e $p \circ \tilde{\gamma}_1 = p \circ \tilde{\gamma}_2 = \gamma$ and they both have the same start point). Therefore $\exists \delta > 0$ s.t any closed interval of length δ is mapped by γ into an elementary neighborhood. Consider the interval $[0, \delta]$, we know that

$$\gamma([0, \delta]) \subset \text{an elementary neighborhood } U$$

This implies that $\tilde{\gamma}_1([0, \delta]) \subset p^{-1}(U)$ and $\tilde{\gamma}_2([0, \delta]) \subset p^{-1}(U)$; since $[0, \delta]$ is connected, we know that

$$\gamma_1([0, \delta]), \gamma_2([0, \delta]) \subset \text{a single } \tilde{U}_1, \tilde{U}_2$$

since $\tilde{\gamma}_1(0) = \tilde{\gamma}_2(0)$ we get $\tilde{U}_1 = \tilde{U}_2$. But since $p \circ \tilde{\gamma}_1 = p \circ \tilde{\gamma}_2$ and since p is a homeomorphism, then

$$\tilde{U}_1 = \tilde{U}_2 \rightarrow 0$$

Proceeding similarly, we get that $\tilde{\gamma}_1 = \tilde{\gamma}_2$.

Note that restricting f to a line like $\{y\} \times I$ gives a path in X , and restricting \tilde{f} to this line gives us a lift of this path. So if we use \tilde{f} 's coming from different $N_y \times I$'s, then they must coincide on the intersection because the intersection is made of lines as above. So \tilde{f} and \tilde{f} is unique. And \tilde{f} is continuous using the gluing lemma for open sets. □

Theorem 1.37 (Fundamental theorem of Algebra). *Let $p(z) = z^n + a_{n-1}z^{n-1} + \dots + a_0$ then*

$$p(z_0) = 0 \text{ for some } z_0 \in \mathbb{C}$$

Proof. Assume that $p(z) \neq 0$ consider

$$H_1(s, t) = \frac{\frac{p(re^{2\pi is})}{|p(re^{2\pi is})|}}{\frac{p(tr)}{|p(tr)|}}$$

Note that this gives a homotopy between the constant loop in S^1 at 1 and $\frac{p(re^{2\pi is})}{\frac{p(r)}{|r|}}$. Choose $r > 0$ to be s.t $r > 1$ and $r > |a_{n-1}| + \dots + |a_0|$, then notice that if z is restricted to the circle of radius r , we have that

$$\begin{aligned} |z|^n &= r^n = r^{n-1} \cdot r > r^{n-1}(|a_{n-1}| + \dots + |a_0|) \\ &> r^{n-1}|a_{n-1}| + \dots + r^{n-1}|a_0| \\ &> r^{n-1}|a_{n-1}| + r^{n-2}|a_{n-2}| + \dots + |a_0| \\ &= |a_{n-1}z^{n-1}| + \dots + |a_0| \\ &\geq |a_{n-1}z^{n-1} + \dots + a_0| \end{aligned}$$

it follows that the following polynomials depending on t never vanish on the circle of radius r :

$$q_t(z) = z^n + t(a_{n-1}z^{n-1} + \dots + a_0)$$

This is because for $|z| = r$ we have that

$$q_t(z) \geq |z^n| - |t(a_{n-1}z^{n-1} + \dots + a_0)| > 0$$

Now consider $H_2(s, t)$ to be

$$H_2(s, t) = \frac{\frac{q_t(re^{2\pi is})}{|q_t(re^{2\pi is})|}}{\frac{q_t(r)}{|p(r)|}}$$

Note that this gives a homotopy between the loop which we just created (write it out TODO); and

$$\frac{\frac{r^n e^{2\pi ins}}{r^n}}{r^n / r^n} = e^{2\pi ins}$$

Therefore using both H_1 and H_2 we have a homotopy between the constant loop and $e^{2\pi ins}$ by contradiction. \square

Theorem 1.38 (Brouwer's fixed point). $f: D^n \rightarrow D^n$ then f has a fixed point, i.e $\exists p_0 \in D^n$ s.t

$$f(p_0) = p_0$$

Proof. • **Case $n = 1$.** Let $f: D^1 \rightarrow D^1$, if $f(-1) = -1$ we are done same if $f(1) = 1$. Otherwise, we get that $f(-1) > -1$, $f(1) < 1$ therefore $f(x) - x$ is > 0 at -1 and < 0 at 1 . Therefore by the IVT, $\exists x_0 \in (-1, 1)$ s.t

$$f(x_0) - x_0 = 0$$

- **Case** $n = 2$. Assume that $f: D^2 \rightarrow D^2$ s.t $f(x) \neq x$ for all $x \in D^2$. Define $g: D^2 \rightarrow S^1$ as follows: for every $x \in D^2$, take the line from $f(x)$ to x then $g(x)$ is the intersection between this line and S^1 . Note that g is continuous and it is also a retraction from $D^2 \rightarrow S^1$. Take the loop $e^{2\pi is}$ in D^2 , then $e^{2\pi is} \sim$ constant in D^2 . But

$$g(e^{2\pi is}) = e^{2\pi is} \not\sim \text{constant loop in } S^1$$

Contradiction.

Another way of saying this is that

$$g_*: \pi_1(D^2) \rightarrow \pi_1(S^1)$$

but $\pi_1(D^2)$ is trivial, but its image is not the identity.

□

Corollary 1.39. *There is no retraction $D^2 \rightarrow S^1$.*

Theorem 1.40 (Borsuk-Ulam Theorem). *Let $f: S^n \rightarrow \mathbb{R}^n$ then there $\exists x \in S^n$ s.t*

$$f(x) = f(-x)$$

Proof. • $n = 1$. We have $f: S^1 \rightarrow \mathbb{R}$, if $f(1) = f(-1)$ we are done. Otherwise wlog assume $f(1) > f(-1)$; consider

$$g(x) = f(x) - f(-x)$$

then g is continuous with $g(1) > 0$ and $g(-1) < 0$ therefore by the IVT applied to the upper hemisphere, $\exists x_0 \in S^1 \cap \{(x, y) \mid y \geq 0\}$ s.t $g(x_0) = 0$.

- $n = 2$. Suppose that $f: S^2 \rightarrow \mathbb{R}^2$ with $f(x) \neq f(-x)$ for all x , let $g: S^2 \rightarrow S^1$ be defined by

$$g(x) = \frac{f(x) - f(-x)}{|f(x) - f(-x)|}$$

note that $g(-x) = -g(x)$. Also wlog we are going to assume that

$$g(1, 0, 0) = 1$$

Consider now the loop

$$\gamma': I \rightarrow S^2, \quad \gamma'(s) = (\cos(2\pi s), \sin(2\pi s), 0)$$

Let $\gamma: I \rightarrow S^1$ be $g \circ \gamma'$ we know that $\pi^1(S^2) = e$ therefore

$$\gamma' \sim \text{constant}$$

and hence

$$\gamma \sim \text{constant in } S^1$$

Note that $\gamma'(s + 1/2) = -\gamma'(s)$ so it follows that $\gamma(s + 1/2) = -\gamma(s)$. Consider the lift $\tilde{\gamma}$ of

γ starting at 0. Then (do math), we get that

$$\tilde{\gamma}(s + 1/2) - \tilde{\gamma}(s) = \frac{n(s)}{2}$$

Where $n(s)$ is odd; but since LHS is continuous $n(s)$ must be a constant n . i.e

$$\tilde{\gamma}(s + 1/2) = \tilde{\gamma}(s) + n/2$$

Hence

$$\tilde{\gamma}(1) = \tilde{\gamma}(1/2) + n/2 = \tilde{\gamma}(0) + n = n$$

Since γ is homotopic to the constant, $\gamma(1) = 0$ but n is odd: contradiction.

□

1.5 First Algebraic Detour

Let $\{G_\alpha\}_{\alpha \in \mathcal{A}}$ be a collection of groups, we can make a group out of the product in two ways

1. $\times_{\alpha \in \mathcal{A}} G_\alpha$ is the set of all elements in the set theoretic product with multiplication defined pointwise.
2. **The weak product.** $\pi_{\alpha \in \mathcal{A}} G_\alpha$ is the subgroup of the product s.t for each element only finitely many components are nontrivial.

Theorem 1.41 (Universal Property of the Weak Product of Abelian Groups). *Suppose that we have a collection $\{G_\alpha\}$ all abelian, then $\prod_\alpha G_\alpha$ satisfies the following property: Suppose we have homomorphisms $f_\alpha: G_\alpha \rightarrow A$ where A is abelian then $\exists! f: \prod_\alpha G_\alpha \rightarrow A$ a homomorphism s.t the following diagram commutes for every α :*

$$\begin{array}{ccc} G_\alpha & \xrightarrow{\iota_\alpha} & \prod_\beta G_\beta \\ & \searrow f_\alpha & \downarrow f \\ & & A \end{array}$$

Where

$$\iota_\alpha(g) = \{g_\beta\} = \begin{cases} g & \text{if } \alpha = p \\ e & \text{if } \alpha \neq p \end{cases}$$

Proof. Let $f(\{g_\alpha\}) = \prod_\alpha f_\alpha(g_\alpha)$. Note that this product is well defined since only finitely many $f_\alpha(g_\alpha)$'s are nontrivial. Moreover, the order is irrelevant since A is Abelian. Clearly we have that f satisfies the diagram. We also have

$$f(\{g_\alpha\} \{h_\alpha\}) = f(\{g_\alpha h_\alpha\}) = \prod_\alpha f_\alpha(g_\alpha h_\alpha) = \prod_\alpha f_\alpha(g_\alpha) \prod_\alpha f_\alpha(h_\alpha)$$

Where the last step is justified since A is abelian.

Note that $\prod_{\alpha} G_{\alpha}$ is generated by the $i_{\alpha}(G_{\alpha})$'s, but note that any f' that satisfies the diagram must agree with our f on each of these G_{α} 's (both give us $f_{\alpha}(G_{\alpha})$) therefore $f' = f$. \square

Remark 1.42. Note that the universal property of the weak product defines it (up to isomorphism). Indeed, suppose that we have a collection $\{G_{\alpha}\}$ all abelian, and some abelian G that satisfies the following property: For any A and any homomorphisms $f_{\alpha}: G_{\alpha} \rightarrow A$ and homomorphisms $\iota_{\alpha}: G_{\alpha} \rightarrow G$ where A is abelian and $\exists! f: G \rightarrow A$ a homomorphism s.t the following diagram commutes for every α :

$$\begin{array}{ccc} G_{\alpha} & \xrightarrow{\iota_{\alpha}} & G \\ & \searrow f_{\alpha} & \downarrow f \\ & & A \end{array}$$

Then $G \cong \prod_{\alpha \in \mathcal{A}} G_{\alpha}$.

Proof. Draw the commutative diagram. \square

Definition 1.43. Let S be a set. The *free abelian group on S* is an abelian group $F(S)$ together with a map

$$\iota: S \rightarrow F(S)$$

such that for every abelian group A and every map $\chi: S \rightarrow A$ there exists a unique group homomorphism

$$\varphi: F(S) \rightarrow A$$

making the following diagram commute:

$$\begin{array}{ccc} S & \xrightarrow{\iota} & F(S) \\ & \searrow \chi & \downarrow \varphi \\ & & A \end{array}$$

Example 1.44. Let $S = \{x\}$, let $F(S) = \mathbb{Z}$ and $j: \{x\} \rightarrow \mathbb{Z}$ be defined by $j(x) = 1$. Pick any A abelian, let $\chi: S \rightarrow A$ let $a = \chi(x)$ and define

$$\varphi: \mathbb{Z} \rightarrow A, \quad \varphi(n) = a^n$$

indeed, $\varphi(j(x)) = a = \chi(x)$ and φ is unique since it $\varphi'(1) = a$ and 1 generates \mathbb{Z} .

Generally we write elements of $F(\{x\})$ as nx .

Theorem 1.45. The free abelian group of S is unique up to isomorphism.

Proof. Draw the picture; final element is unique. \square

Theorem 1.46. Suppose that $S = \bigcup_{\alpha \in \mathcal{A}} S_{\alpha}$ with $\alpha \neq \alpha' \implies S_{\alpha} \cap S_{\alpha'} = \emptyset$, then

$$F(S) \cong \prod_{\alpha} F(S_{\alpha})$$

Proof.

$$\begin{array}{ccccc}
 S_\alpha & \xrightarrow{j_{S_\alpha}} & F(S_\alpha) & \xrightarrow{\iota_\alpha} & \prod_{\beta \in \mathcal{A}} F(S_\beta) \\
 \downarrow i_\alpha & & \downarrow \varphi_\alpha & & \downarrow \Phi \\
 S & \xrightarrow{j_S} & F(S) & \xrightarrow{\bar{\chi}} & A \\
 & \searrow \chi & & \nearrow &
 \end{array}$$

□

Corollary 1.47. For any S , note that

$$F(S) \cong \prod_{x \in S} F(\{x\}) \cong \prod_{x \in S} \mathbb{Z}$$

We write elements in $F(S)$ as

$$n_1 x_1 + \cdots + n_k x_k$$

This notation is useful because multiplication in $F(S)$ corresponds to addition of coefficients.

Theorem 1.48. Any abelian group A is the homomorphic image of a free abelian group.

Proof. Let $S = A$ and let $\chi: S \rightarrow A = 1$; draw the diagram the proof is done. □

Example 1.49. Let \mathbb{Z}_2 , take $A = \mathbb{Z}_2$ and find the $\varphi: F(A) \rightarrow A$. But also let $\varphi: \mathbb{Z} \rightarrow \mathbb{Z}_2$ defined by

$$\varphi(n) = n \pmod{2}$$

Definition 1.50. let A be an abelian group suppose that $F(S)$ is free abelian on S and

$$\varphi: F(S) \rightarrow A \quad \text{surjective}$$

Every nontrivial element of the kernel of φ is said to be a non-trivial relation on the generators of A . Moreover if r_1, \dots, r_k are nontrivial relation and $r_{k+1} \in \langle r_1, \dots, r_k \rangle$, then we say that r_{k+1} is a consequence of r_1, \dots, r_k . If r_1, \dots, r_k generate the whole kernel, we say that we have a presentation of A in terms of generators and relations.

Example 1.51. In the example above write $\mathbb{Z} = F(\{x\})$, think of A as being generated by x with the following relations imposed:

$$x + x = 0, \quad x + x + x + x = 0, \quad -x - x = 0, \dots$$

Note that $x + x + x + x$ is a consequence of $x + x$. Indeed, since all relations are a consequence of $x + x = 0$, therefore \mathbb{Z}_2 is generated by a single element with a single relation.

Free Groups

Definition 1.52 (Free group). Let S be a set. A *free group* on S , denoted $F^*(S)$ (often written $F(S)$), is a group together with a function

$$j : S \rightarrow F^*(S)$$

such that for every group G and every function

$$\chi : S \rightarrow G$$

there exists a unique group homomorphism

$$\varphi : F^*(S) \rightarrow G$$

making the following diagram commute:

$$\begin{array}{ccc} S & \xrightarrow{j} & F^*(S) \\ & \searrow \chi & \downarrow \varphi \\ & & G \end{array}$$

i.e. $\varphi \circ j = \chi$.

Equivalently, $(F^*(S), j)$ is the initial object in the category of groups equipped with a map from S .

Theorem 1.53 (Uniqueness). *The free group on S is unique up to isomorphism.*

Proof. Let (F_1, j_1) and (F_2, j_2) be two free groups on S . By the universal property, there exist unique homomorphisms

$$\phi : F_1 \rightarrow F_2, \quad \psi : F_2 \rightarrow F_1$$

such that $\phi \circ j_1 = j_2$ and $\psi \circ j_2 = j_1$. Then $\psi \circ \phi : F_1 \rightarrow F_1$ is a homomorphism satisfying $(\psi \circ \phi) \circ j_1 = j_1$. By uniqueness it must be the identity, hence $\psi \circ \phi = \text{id}$. Similarly $\phi \circ \psi = \text{id}$, so $F_1 \cong F_2$. \square

Example 1.54. If $S = \{x\}$ then $F^*(S) \cong \mathbb{Z}$.

Proof. Define $j(x) = 1 \in \mathbb{Z}$. Given any group G and map $\chi : S \rightarrow G$, write $\chi(x) = g$. Define

$$\varphi : \mathbb{Z} \rightarrow G, \quad \varphi(n) = g^n.$$

This is the unique homomorphism with $\varphi \circ j = \chi$, hence \mathbb{Z} satisfies the universal mapping property. \square

Free Products

Definition 1.55 (Free product). Let $\{G_\alpha\}_{\alpha \in \mathcal{A}}$ be a family of groups. The *free product*

$$\prod_{\alpha \in \mathcal{A}}^* G_\alpha$$

is a group together with homomorphisms

$$i_\alpha : G_\alpha \rightarrow \prod_{\alpha}^* G_\alpha$$

such that for any group G and any family of homomorphisms

$$f_\alpha : G_\alpha \rightarrow G$$

there exists a unique homomorphism

$$f : \prod_{\alpha}^* G_\alpha \rightarrow G$$

making the diagrams commute:

$$\begin{array}{ccc} G_\alpha & \xrightarrow{i_\alpha} & \prod_{\alpha}^* G_\alpha \\ & \searrow f_\alpha & \downarrow f \\ & & G \end{array}$$

i.e. $f \circ i_\alpha = f_\alpha$ for all α .

Free Groups as Free Products

Theorem 1.56. Suppose $S = \bigsqcup_{\alpha} S_\alpha$ is a disjoint union of sets. Then

$$F^*(S) \cong \prod_{\alpha}^* F^*(S_\alpha).$$

Proof. Both sides satisfy the same universal mapping property for maps from S . Hence they are isomorphic by uniqueness of universal objects. \square

Corollary 1.57. Let S be any set. Then

$$F^*(S) \cong \prod_{s \in S}^* F^*({s}) \cong \prod_{s \in S}^* \mathbb{Z}.$$

Corollary 1.58. Every group is a homomorphic image of a free group.

Proof. Let G be a group and take $S = G$ as a set. The identity map $S \rightarrow G$ extends uniquely to a homomorphism

$$F^*(S) \rightarrow G$$

which is surjective. \square

Generators and Relations

Definition 1.59. Let G be a group and suppose

$$f : F^*(S) \twoheadrightarrow G$$

is a surjective homomorphism.

- The elements of S (via their images in G) are called generators.
- The nontrivial elements of $\ker(f)$ are called relations.
- If $r_1, \dots, r_k \in F^*(S)$ then r_{k+1} is a consequence of them if

$$r_{k+1} \in \langle\langle r_1, \dots, r_k \rangle\rangle,$$

the smallest normal subgroup containing r_1, \dots, r_k .

Existence of Free Products

Theorem 1.60. *Free products exist.*

Construction via reduced words. Let $\mathcal{A} = \bigsqcup_{\alpha} G_{\alpha}$ be the disjoint union of the underlying sets.

Words. A word is a finite sequence

$$w = g_1 g_2 \cdots g_n \quad \text{with } g_i \in G_{\alpha_i}.$$

A word is *reduced* if:

1. $g_i \neq e$ for all i ,
2. adjacent letters come from different groups: $\alpha_i \neq \alpha_{i+1}$.

The empty word is denoted by e .

Multiplication. Define multiplication by:

- concatenation of words,
- followed by reduction:
 - multiply adjacent elements from the same group,
 - remove identities,
 - cancel inverse pairs.

Group axioms.

- Identity: the empty word.
- Inverse:

$$(g_1 \cdots g_n)^{-1} = g_n^{-1} \cdots g_1^{-1}.$$

- **Associativity.** Let W denote the set of reduced words. For each letter g (i.e. an element of some G_α), define the map

$$L_g : W \rightarrow W, \quad L_g(w) = g \cdot w,$$

where the product on the right means concatenation followed by reduction.

Let $w = h_1 \cdots h_n$ be a reduced word. Then

$$L_{g^{-1}} \circ L_g(h_1 \cdots h_n) = L_{g^{-1}}(gh_1 \cdots h_n).$$

We consider cases:

- If g and h_1 belong to different groups, no reduction occurs, and

$$L_{g^{-1}}(gh_1 \cdots h_n) = h_1 \cdots h_n.$$

- If g and h_1 belong to the same group, two subcases arise:

- * If $h_1 \neq g^{-1}$, then multiplication inside the group replaces gh_1 by a single element, and applying $L_{g^{-1}}$ restores $h_1 \cdots h_n$ after reduction.
- * If $h_1 = g^{-1}$, cancellation occurs and

$$L_{g^{-1}}(gh_1 \cdots h_n) = g^{-1}h_2 \cdots h_n = h_1h_2 \cdots h_n.$$

Hence $L_{g^{-1}} \circ L_g = \text{id}$, so L_g is a bijection with inverse $L_{g^{-1}}$.

Now let $w = g_1 \cdots g_n$ be a reduced word and define

$$L_w := L_{g_1} \circ \cdots \circ L_{g_n}.$$

Then L_w is a bijection as a composition of bijections.

Moreover, the map

$$w \mapsto L_w$$

is injective. Indeed, if $w_1 \neq w_2$, then

$$L_{w_1}(e) = w_1 \neq w_2 = L_{w_2}(e),$$

where e denotes the empty word.

Suppose

$$w_1 = g_1 \cdots g_n h_1 \cdots h_m, \quad w_2 = h_m^{-1} \cdots h_1^{-1} k_1 \cdots k_\ell,$$

so that reduction gives

$$w_1 \cdot w_2 = g_1 \cdots g_n k_1 \cdots k_\ell.$$

Then

$$L_{w_1 \cdot w_2} = L_{g_1} \circ \cdots \circ L_{g_n} \circ L_{k_1} \circ \cdots \circ L_{k_\ell}.$$

On the other hand,

$$L_{w_1} \circ L_{w_2} = L_{g_1} \circ \cdots \circ L_{g_n} \circ L_{h_1} \circ \cdots \circ L_{h_m} \circ L_{h_m^{-1}} \circ \cdots \circ L_{h_1^{-1}} \circ L_{k_1} \circ \cdots \circ L_{k_\ell},$$

and the middle terms cancel since $L_{h_i} \circ L_{h_i^{-1}} = \text{id}$. Thus

$$L_{w_1} \circ L_{w_2} = L_{w_1 \cdot w_2}.$$

Finally,

$$L_{(w_1 \cdot w_2) \cdot w_3} = (L_{w_1} \circ L_{w_2}) \circ L_{w_3} = L_{w_1} \circ (L_{w_2} \circ L_{w_3}) = L_{w_1 \cdot (w_2 \cdot w_3)}.$$

Since the map $w \mapsto L_w$ is injective, we conclude

$$(w_1 \cdot w_2) \cdot w_3 = w_1 \cdot (w_2 \cdot w_3),$$

establishing associativity.

We still need to show that this group respects the UMP of the Free product. Indeed, we define

$$i_\alpha: G_\alpha \rightarrow W, \quad i_\alpha(g) = g$$

This is a valid homomorphism since

$$i_\alpha(g \cdot h) = (gh) = g \cdot h = i_\alpha(g) \cdot i_\alpha(h)$$

Suppose that we have G and

$$f_\alpha: G_\alpha \rightarrow G$$

Let $f: w \rightarrow G$ be defined as follows:

$$f(g_1 \cdots g_n) = f_{\alpha_1}(g_1) f_{\alpha_2}(g_2) \cdots f_{\alpha_n}(g_n)$$

Note that f does make the diagram commute, take $g \in G_\alpha$ we get

$$f_\alpha(g) = f(i_\alpha(g))$$

We still need to show that it is a homomorphism, let $w = g_1 \cdots g_n h_1 \cdots h_m$ and $w_2 = h_m^{-1} \cdots h_1^{-1} k_1 \cdots k_\ell$ s.t $w_1 \cdot w_2 = g_1 \cdots g_n k_1 \cdots k_\ell$ then

$$\begin{aligned} f(w_1) f(w_2) &= f_{\alpha_1}(g_1) \cdots f_{\alpha_n}(g_n) f_{\beta_1}(h_1) \cdots f_{\beta_m}(h_m) f_{\beta_m}(h_m^{-1}) \cdots f_{\beta_1}(h_1) f_{\gamma_1}(k_1) \cdots f_{\gamma_\ell}(k_\ell) \\ &= f(w_1 \cdot w_2) \end{aligned}$$

Note that any homomorphism that makes the diagram commute must agree with f on the one letter words, which generate the product. Therefore f is indeed unique. \square

2 The Van Kampen Theorem

Let X be a space with

$$X = \bigcup_{\alpha \in \mathcal{A}} A_\alpha, \quad \bigcap_{\alpha \in \mathcal{A}} A_\alpha \neq \emptyset$$

Fix $x_0 \in \bigcap_{\alpha \in \mathcal{A}} A_\alpha$, therefore all the fundamental groups below will be based at x_0 . Let $\iota_\alpha: A_\alpha \rightarrow X$ be the usual inclusion map. So we get

$$\iota_\alpha^*: \pi_1(A_\alpha) \rightarrow \pi_1(X)$$

Thus we get a unique homomorphism

$$\Phi: \prod_{\alpha \in \mathcal{A}}^* \pi_1(A_\alpha) \rightarrow \pi_1(X)$$

such that the following diagram commutes:

$$\begin{array}{ccc} \pi_1(A_\alpha, x_0) & \xrightarrow{i_\alpha} & \prod_{\beta \in \mathcal{A}}^* \pi_1(A_\beta, x_0) \\ & \searrow \iota_\alpha & \downarrow \Phi \\ & & \pi_1(X, x_0) \end{array}$$

Theorem 2.1 (The Van Kampen Theorem). *Suppose we have the setting above, and A_α 's are open, $A_\alpha \cap A_\beta$ is path connected, $A_\alpha \cap A_\beta \cap A_\gamma$ is path connected. Then $\Phi: \prod_{\alpha} \pi_1(A_\alpha) \rightarrow \pi_1(X)$ is surjective and $\ker \Phi$ is the smallest normal subgroup generated by elements of the form*

$$\left[i_\alpha \left(\iota_{\alpha\beta}^*([\gamma]) \right) \right]^{-1} \left[i_\beta \left(\iota_{\beta\alpha}^*([\gamma]) \right) \right]$$

Where

$$[\gamma] \in \pi_1(A_\alpha \cap A_\beta)$$

and

$$\iota_{\alpha\beta}: A_\alpha \cap A_\beta \rightarrow A_\alpha \quad \text{inclusion}$$

Remark 2.2. Note that

$$\begin{aligned} \Phi \left[i_\alpha \left(\iota_{\alpha\beta}^*([\gamma]) \right) \right] &= \iota_\alpha^* \left(\iota_{\beta\alpha}^*([\gamma]) \right) \\ &= (\iota_\beta \circ \iota_{\beta\alpha})^*([\gamma]) \end{aligned}$$

Similarly

$$\begin{aligned} \Phi \left[i_\beta \left(\iota_{\beta\alpha}^*([\gamma]) \right) \right] &= \iota_\beta^* \left(\iota_{\alpha\beta}^*([\gamma]) \right) \\ &= (\iota_\alpha \circ \iota_{\alpha\beta})^*([\gamma]) \end{aligned}$$

Since $\iota_\beta \circ \iota_{\beta\alpha} = \iota_\alpha \circ \iota_{\alpha\beta}$ we have

$$(\iota_\beta \circ \iota_{\beta\alpha})^* = (\iota_\alpha \circ \iota_{\alpha\beta})^*$$

So a priori we have that if H is the smallest normal subgroup generated by these, we have that (in general)

$$H \subset \ker \Phi$$

The theorem gives us

$$\ker \phi = H$$

We will now demonstrate that all the conditions above are necessary:

Example 2.3 (Double intersection). Take S_1 as the open union of two arcs (more than half-arcs). Note that their intersection is not path connected. Well

$$\pi_1(S_1) = \mathbb{Z}, \pi_1(P_1) = \pi_1(P_2) = e$$

Example 2.4 (Triple intersection). View picture, lots of drawing.

Example 2.5 (A_α open). Hawaiian earrings; i.e take circles of radius $1/n$ centered at $(1/n, 0)$. Let A_α 's be the circles, if SVK applies, then the fundamental group would be

$$\prod_{\text{circles}}^* \mathbb{Z}$$

This would imply that any loop in this space is homotopic to a loop contained in finitely many circles. However, it is easy to see that this is not the case (we will show this in an assignment).

Proof. To show surjectivity, we need to show that for any loop $\gamma \in X$ we can find finitely many loops $\gamma_1, \dots, \gamma_n$ each one in some A_{α_i} . Such that $\gamma \sim \gamma_1 \cdots \gamma_n$. Since the A_α 's are open, we have that $\gamma^{-1}(A_\alpha)$ is open in I . Therefore

$$\{\gamma^{-1}(A_\alpha)\}_{\alpha \in \mathcal{A}} \text{ is an open cover of } I$$

Let $\delta > 0$ be a Lebesgue number of this cover, i.e any closed interval of length δ is contained in some $\gamma^{-1}(A_\alpha)$. i.e for any closed interval of length δ , its image by γ is contained in some A_α . We are going to use the fact that if the domain of a path is decomposed into intervals, then the original path is homotopic to the product of the paths obtained by restricting the original path to these intervals. In our case, we get that $\gamma \sim \gamma_1 \cdot \gamma_2 \cdots \gamma_n$, where each γ_i is contained in some A_{α_i} . Let $x_i = \gamma_i(1)$, since $A_\alpha \cap A_\beta$ is path connected, we can take some path η_i from $x_0 \rightarrow x_i$ contained in $A_{\alpha_i} \cap A_{\alpha_{i+1}}$. Notice that

$$\gamma \sim (\gamma_1 \cdot \bar{\eta}_1) \cdot (\eta_1 \cdot \gamma_2 \cdot \bar{\eta}_2) \cdots (\gamma_{n-1} \cdot \gamma_n)$$

Where the (\bullet) are loops in the A_α 's. Now by combining adjacent loops which live in the same A_α 's and removing those that are nullhomotopic in A_α , we get what we want.

To show that the kernel of Φ is N (the normal subgroup described in the theorem), it is enough to show that

$$\Phi(w_1) = \Phi(w_2) \implies w_2^{-1}w_1 \in N$$

Let $w_1 \in K$ and $w_2 = e$ therefore

$$\Phi(e) = \Phi(w_1)$$

hence $ew_1 \in N$.

Given a loop γ in X , a factorization of γ is a not necessarily reduced word from the alphabet $\bigcup_{\alpha \in \mathcal{A}} \pi_1(A_\alpha)$, i.e a finite string of the form

$$[\gamma_1][\gamma_2] \cdots [\gamma_n], \quad [\gamma_i] \in \pi_1(A_{\alpha_i})$$

such that

$$\gamma \sim \gamma_1 \cdot \gamma_2 \cdots \gamma_n$$

by the surjectivity above, we get that every loop has a factorization.

Moreover, we say that two factorization of any loop are equivalent if they can be related by a finite sequence of the following operations:

1. Adding or removing identities.
2. combining or splitting adjacent letters if they come from the same group.
- 3.

$$[\gamma_1] \cdots [\gamma_i]_\alpha \cdots [\gamma_n] \rightleftharpoons [\gamma_1] \cdots [\gamma_i]_\beta \cdots [\gamma_n]$$

where if $\gamma_i \in A_\alpha \cap A_\beta$, we replace $\gamma_i \in A_\alpha$ to its inclusion to A_β . i.e we are changing

$$\iota_{\alpha\beta}^*[\gamma_i] \rightleftharpoons \iota_{\beta\alpha}^*[\gamma_i]$$

Claim. Two factorizations are equivalent \implies the corresponding reduces words are in the same coset of N .

Proof of claim. Moves 1 and 2 do not change the reduced words. Consider two words that are related by move 3, therefore

$$\begin{aligned} [\gamma_n]^{-1} \cdots [\gamma_i]_\alpha^{-1} \cdots [\gamma_1]^{-1} [\gamma_1] \cdots [\gamma_i]_\beta \cdots [\gamma_n] &= [\gamma_n]^{-1} \cdots [\gamma_i]_\alpha^{-1} [\gamma_i]_\beta^{-1} \cdots [\gamma_n] \\ &= (\cdots [\gamma_n])^{-1} [\gamma_i]_\alpha^{-1} [\gamma_i]_\beta (\cdots [\gamma_n]) \in N \end{aligned}$$

(Since $[\gamma_i]_\alpha^{-1} [\gamma_i]_\beta$ is a generator of N , by definition).

So suppose that we have $w_1 = [\gamma_1] \cdots [\gamma_n]$, $w_2 = [\gamma'_1] \cdots [\gamma'_m]$ s.t $\Phi(w_1) = \Phi(w_2)$; i.e

$$[\gamma_1] \cdots [\gamma_n] \sim [\gamma'_1] \cdots [\gamma'_m]$$

So $[\gamma_1] \cdots [\gamma_n]$ and $[\gamma'_1] \cdots [\gamma'_m]$ are two factorization of the same loop. Since

$$\gamma_1 \cdots \gamma_n \sim \gamma'_1 \cdots \gamma'_m$$

we have a homotopy

$$H: I \times I \rightarrow X$$

Note that $\{H^{-1}(A_\alpha)\}_\alpha$ is an open cover of $I \times I$, therefore $\exists \delta > 0$ s.t any closed square of sides $\leq 2\delta$ is contained in one of these those sets. i.e its image by H is contained in one of the A_α 's. Take $I \times I$, divide it into squares of size $\leq \delta \times \delta$ wlog we can assume that the endpoints of the γ 's are in the grid already.

By construction associate to each of the rectangles and A_α to which it goes by H . By moving the vertical edges of every other row, we can make sure that at no point is shared by more than three rectangles, note that not to move the first and last make sure that we have odd number of rows. Now for every corner of every rectangle, we take a path from x_0 to the image of that corner by H in the intersection of the A_α 's sharing that corner. For the points on the lowest and highest edges, also require that these paths are in A_α 's in which the corresponding γ_i ' lives. \square