

**sed and awk**

# Last lecture

- Regular Expressions
  - grep
  - egrep

# Today

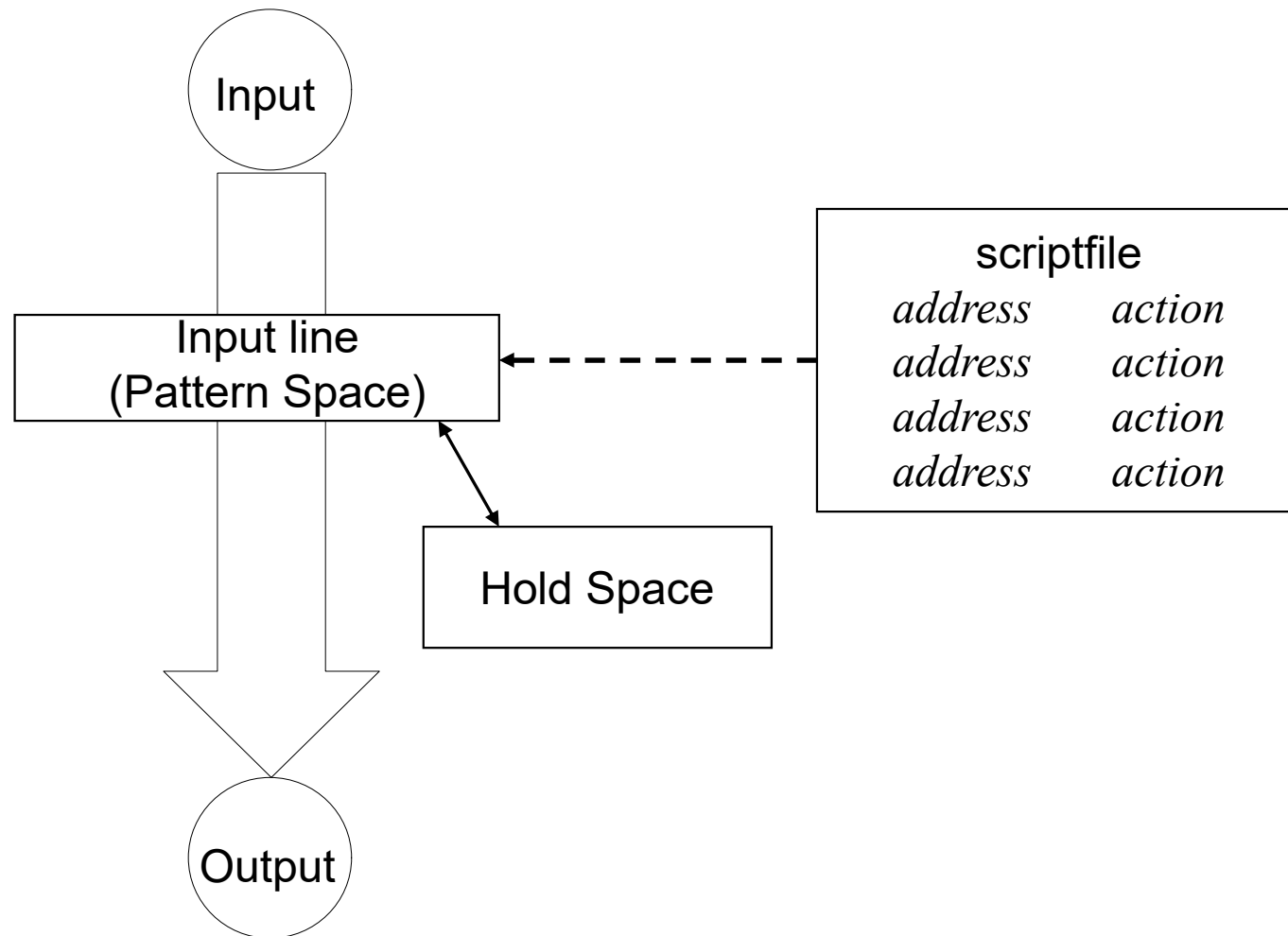
- Sed
- Awk

# **Sed: Stream-oriented, Non-Interactive, Text Editor**

- Look for patterns one line at a time, like **grep**
- *Change* lines of the file
- Non-interactive text editor
  - Editing commands come in as *script*
  - There is an interactive editor *ed* which accepts the same commands
- A Unix filter
  - Superset of previously mentioned tools

From last week:

# Sed Architecture



# Scripts

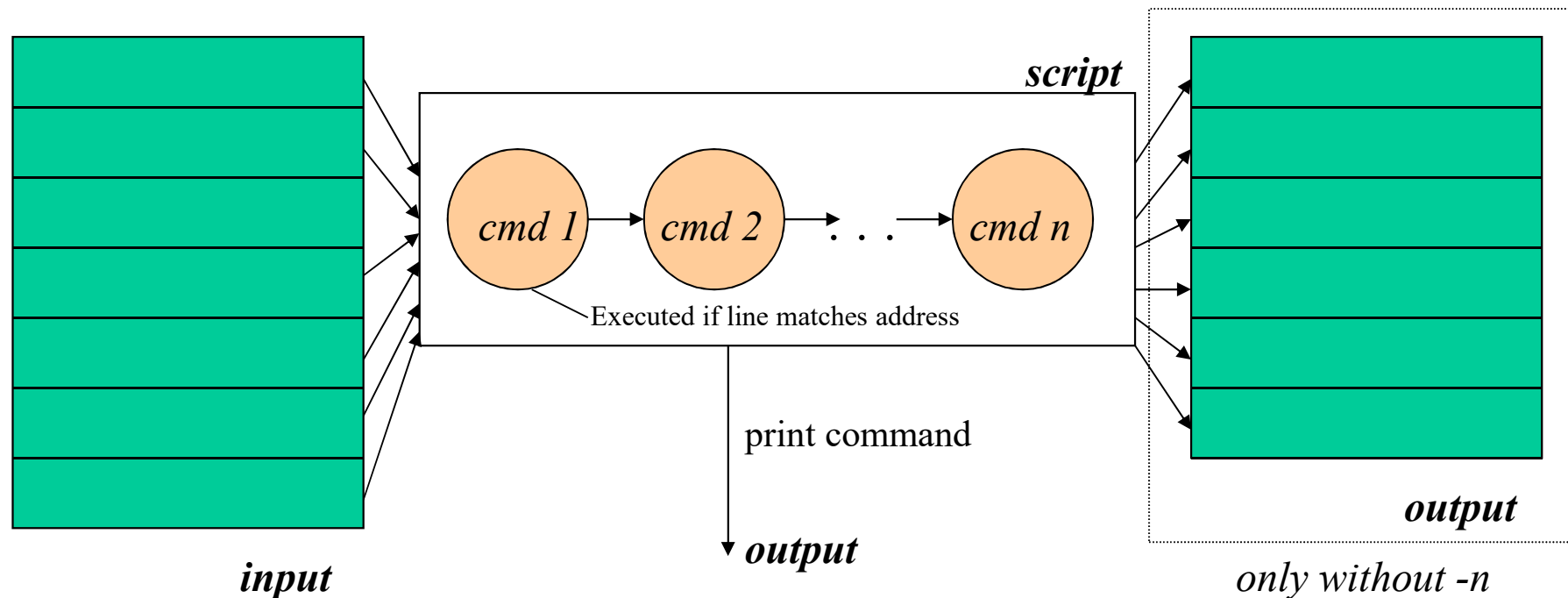
- A script is nothing more than a file of commands
- Each command consists of up to two *addresses* and an *action*, where the *address* can be a regular expression or line number.

<i>address</i>	<i>action</i>	<i>command</i>
<i>address</i>	<i>action</i>	
<i>address</i>	<i>action</i>	
<i>address</i>	<i>action</i>	
<i>address</i>	<i>action</i>	

*script*

# Sed Flow of Control

- *sed* then reads the next line in the input file and restarts from the beginning of the script file
- All commands in the script file are compared to, and potentially act on, all lines in the input file



# sed Syntax

- Syntax: *sed [-n] [-e] ['command'] [file...]*  
*sed [-n] [-f scriptfile] [file...]*
  - **-n** - only print lines specified with the print command (or the 'p' flag of the substitute ('s') command)
  - **-f scriptfile** - next argument is a filename containing editing commands
  - **-e command** - the next argument is an editing command rather than a filename, useful if multiple commands are specified
  - If the first line of a scriptfile is "**#n**", sed acts as though **-n** had been specified



# sed Commands

- sed commands have the general form
  - *[address[, address]][!]  
command [arguments]*
- *sed* copies each input line into a *pattern space*
  - If the address of the command matches the line in the *pattern space*, the command is applied to that line
  - If the command has no address, it is applied to each line as it enters *pattern space*
  - If a command changes the line in *pattern space*, subsequent commands operate on the modified line
- When all commands have been read, the line in *pattern space* is written to standard output and a new line is read into *pattern space*

# Addressing

- An address can be either a line number or a pattern, enclosed in slashes ( */pattern/* )
- A pattern is described using *regular expressions* (BREs, as in **grep**)
- If no pattern is specified, the command will be applied to **all** lines of the input file
- To refer to the last line: **\$**

# Addressing (continued)

- Most commands will accept two addresses
  - If only one address is given, the command operates only on that line
  - If two comma separated addresses are given, then the command operates on a range of lines between the first and second address, inclusively
- The ! operator can be used to negate an address, ie; *address!command* causes *command* to be applied to all lines that do ***not*** match *address*

# Commands

- *command* is a single letter
- Example: Deletion: **d**
- **[address1] [, address2] d**
  - Delete the addressed line(s) from the pattern space; line(s) not passed to standard output.
  - A new line of input is read and editing resumes with the first command of the script.

# Address and Command Examples

- `d` deletes the all lines
- `6d` deletes line 6
- `/^$/d` deletes all blank lines
- `1,10d` deletes lines 1 through 10
- `1,/^$/d` deletes from line 1 through the first blank line
- `/^$/ , $d` deletes from the first blank line through the last line of the file
- `/^$/ , 10d` deletes from the first blank line through line 10
- `/^ya*y/ , / [0-9]$/d` deletes from the first line that begins with yay, yaay, yaaay, etc. through the first line that ends with a digit

# Multiple Commands

- Braces { } can be used to apply multiple commands to an address

```
[/pattern/[,/pattern/]] {  
  command1  
  command2  
  command3  
}
```

- Strange syntax:
  - The *opening brace* must be the last character on a line
  - The *closing brace* must be on a line by itself
  - Make sure there are no spaces following the braces

# Print

- The Print command (**p**) can be used to force the pattern space to be output, useful if the **-n** option has been specified
- Syntax: [**address1** [, **address2**] ]**p**
- Note: if the **-n** option has not been specified, **p** will cause the line to be output twice!
- Examples:
  - 1,5p** will display lines 1 through 5
  - /^\$/,\$p** will display the lines from the first blank line through the last line of the file

# Substitute

- Syntax: *[address(es)]s/pattern/replacement/[flags]*
  - *pattern* - search pattern
  - *replacement* - replacement string for pattern
  - *flags* - optionally any of the following
    - **n** a number from 1 to 512 indicating which occurrence of *pattern* should be replaced
    - **g** global, replace all occurrences of *pattern* in pattern space
    - **p** print contents of pattern space



# Substitute Examples

- **s/Puff Daddy/P. Diddy/**
  - Substitute P. Diddy for the first occurrence of Puff Daddy in *pattern space*
- **s/Tom/Dick/2**
  - Substitutes Dick for the second occurrence of Tom in the *pattern space*
- **s/wood/plastic/p**
  - Substitutes plastic for the first occurrence of wood and outputs (prints) *pattern space*

# Replacement Patterns

- Substitute can use several special characters in the *replacement* string
  - **&** - replaced by the entire string matched in the regular expression for pattern
  - **\n** - replaced by the *n*th substring (or subexpression) previously specified using “\ (“ and “\)”
  - **\** - used to escape the ampersand (&) and the backslash (\)

# Replacement Pattern Examples

```
"the UNIX operating system ..."
```

```
sed 's/.NI./wonderful &/'
```

```
"the wonderful UNIX operating system ..."
```

---

```
cat test1
```

```
first:second
```

```
one:two
```

```
sed 's/\(.*\) : \(.*\) /\2:\1/' test1
```

```
second:first
```

```
two:one
```

---

# Append, Insert, and Change

- Syntax for these commands is a little strange because they **must** be specified on multiple lines
- **append**                    *[address]a\*  
                                  *text*
- **insert**                    *[address]i\*  
                                  *text*
- **change**                    *[address(es)]c\*  
                                  *text*
- append/insert for single lines only, not range

# Append and Insert

- Append places *text* after the current line in pattern space
- Insert places *text* before the current line in pattern space
  - Each of these commands requires a \ following it.  
*text* must begin on the next line.
  - If text begins with whitespace, sed will discard it unless you start the line with a \
- Example:

*/<Insert Text Here>/i\*

*Line 1 of inserted text\*

*\           Line 2 of inserted text*

*would leave the following in the pattern space*

*Line 1 of inserted text*

*Line 2 of inserted text*

*<Insert Text Here>*

# Change

- Unlike Insert and Append, Change can be applied to either a single line address or a range of addresses
- When applied to a range, the entire range is replaced by text specified with change, not each line
  - *Exception*: If the Change command is executed with other commands enclosed in { } that act on a range of lines, **each line** will be replaced with *text*
- No subsequent editing allowed

# Change Examples

- Remove mail headers, ie; the address specifies a range of lines beginning with a line that begins with From until the first blank line.

```
/^From /,/^$/c\  
<Mail Headers Removed>
```

- The first example replaces all lines with a single occurrence of <Mail Header Removed>.
- The second example replaces each line with <Mail Header Removed>

```
/^From /,/^$/ {  
s/^From //p  
c\  
<Mail Header Removed>  
}
```

# Using !

- If an address is followed by an exclamation point (!), the associated command is applied to all lines that don't match the address or address range
- Examples:
  - 1,5!d** would delete all lines except 1 through 5
  - /black/!s/cow/horse/** would substitute “horse” for “cow” on all lines except those that contained “black”
  - “The brown cow” -> “The brown horse”
  - “The black cow” -> “The black cow”



# Transform

- The Transform command (**y**) operates like **tr**, it does a one-to-one or character-to-character replacement
- Transform accepts zero, one or two addresses
- **[address [ , address ] y/abc/xyz/**
  - every *a* within the specified address(es) is transformed to an *x*. The same is true for *b* to *y* and *c* to *z*
  - **y/abcdefghijklmnopqrstuvwxyz/ABCDEFGHIJKLMNOPQRSTUVWXYZ/** changes **all** lower case characters on the addressed line to upper case
  - If you only want to transform specific characters (or a word) in the line, it is much more difficult and requires use of the *hold space*

# Quit

- Quit causes **sed** to stop reading new input lines and stop sending them to standard output
- It takes at most a single line address
  - Once a line matching the address is reached, the script will be terminated
  - This can be used to save time when you only want to process some portion of the beginning of a file
- Example: to print the first 100 lines of a file (like *head*) use:
  - **sed '100q' filename**
  - sed will, by default, send the first 100 lines of *filename* to standard output and then quit processing

# Sed Advantages

- Regular expressions
- Fast
- Concise

# Sed Drawbacks

- Hard to remember text from one line to another
- Not possible to go backward in the file
- No way to do forward references like `/.../+1`
- No facilities to manipulate numbers
- Cumbersome syntax

# **Awk**

## Programmable Filters

# Why is it called AWK?



*Aho*



*Weinberger*



*Kernighan*

# Awk Introduction

- **awk**'s purpose: A general purpose programmable filter that handles text (strings) as easily as numbers
  - This makes **awk** one of the most powerful of the Unix utilities
- **awk** processes *fields* while **sed** only processes lines
- **nawk** (new **awk**) is the new standard for **awk**
  - Designed to facilitate large **awk** programs
  - **gawk** is a free **nawk** clone from GNU
- **awk** gets its input from
  - files
  - redirection and pipes
  - directly from standard input

# AWK Highlights

- A programming language for handling common data manipulation tasks with only a few lines of code
- **awk** is a *pattern-action* language, like **sed**
- The language looks a little like *C* but automatically handles input, field splitting, initialization, and memory management
  - Built-in string and number data types
  - No variable type declarations
- **awk** is a great prototyping language
  - Start with a few lines and keep adding until it does what you want



# **Awk Features over Sed**

- Convenient numeric processing
- Variables and control flow in the actions
- Convenient way of accessing fields within lines
- Flexible printing
- Built-in arithmetic and string functions
- C-like syntax

# Structure of an AWK Program

- An **awk** program consists of:
  - An optional BEGIN segment
    - For processing to execute prior to reading input
  - pattern - action pairs
    - Processing for input data
    - For each pattern matched, the corresponding action is taken
  - An optional END segment
    - Processing after end of input data

```
BEGIN {action}  
pattern {action}  
pattern {action}  
.  
.  
.  
pattern { action}  
END {action}
```

# Running an AWK Program

- There are several ways to run an Awk program
  - *awk 'program' input\_file(s)*
    - program and input files are provided as command-line arguments
  - *awk 'program'*
    - program is a command-line argument; input is taken from standard input (yes, awk is a filter!)
  - *awk -f program\_file input\_files*
    - program is read from a file

# Patterns and Actions

- Search a set of files for *patterns*.
- Perform specified *actions* upon lines or fields that contain instances of patterns.
- Does not alter input files.
- Process one input line at a time
- This is similar to **sed**

# Pattern-Action Structure

- Every program statement has to have a *pattern* **or** an *action* **or** both
- Default *pattern* is to match all lines
- Default *action* is to print current record
- Patterns are simply listed; actions are enclosed in { }
- **awk** scans a sequence of input *lines*, or *records*, one by one, searching for lines that match the pattern
  - Meaning of match depends on the pattern

# Patterns

- Selector that determines whether *action* is to be executed
- *pattern* can be:
  - the special token **BEGIN** or **END**
  - regular expression (enclosed with //)
  - relational or string match expression
  - **!** negates the match
  - arbitrary combination of the above using **&&** **|**
    - **/NYU/** matches if the string “NYU” is in the record
    - **x > 0** matches if the condition is true
    - **/NYU/ && (name == "UNIX Tools")**

# **BEGIN and END patterns**

- **BEGIN** and **END** provide a way to gain control before and after processing, for initialization and wrap-up.
  - **BEGIN**: actions are performed before the first input line is read.
  - **END**: actions are done after the last input line has been processed.

# Actions

- *action* may include a list of one or more C like statements, as well as arithmetic and string expressions and assignments and multiple output streams.
- *action* is performed on every line that matches *pattern*.
  - If *pattern* is not provided, *action* is performed on every input line
  - If *action* is not provided, all matching lines are sent to standard output.
- Since *patterns* and *actions* are optional, *actions* must be enclosed in braces to distinguish them from *pattern*.



# An Example

```
ls | awk '  
  BEGIN { print "List of html files:" }  
  /\.html$/ { print }  
  END { print "There you go!" }  
'
```

---

```
List of html files:  
index.html  
as1.html  
as2.html  
There you go!
```

# Variables

- **awk** scripts can define and use variables

```
BEGIN { sum = 0 }
```

```
{ sum ++ }
```

```
END { print sum }
```

- Some variables are predefined

# Records

- Default record separator is **newline**
  - By default, **awk** processes its input a line at a time.
- Could be any other *regular expression*.
- **RS**: record separator
  - Can be changed in **BEGIN** action
- **NR** is the variable whose value is the number of the current record.

# Fields

- Each input line is split into fields.
  - **FS**: field separator: default is whitespace (1 or more spaces or tabs)
  - **awk -Fc** option sets **FS** to the character *c*
    - Can also be changed in BEGIN
  - **\$0** is the entire line
  - **\$1** is the first field, **\$2** is the second field, ....
- Only fields begin with \$, variables are unadorned

# Simple Output From AWK

- Printing Every Line
  - If an action has no pattern, the action is performed to all input lines
    - { **print** } will print all input lines to standard out
    - { **print** \$0 } will do the same thing
- Printing Certain Fields
  - Multiple items can be printed on the same output line with a single print statement
  - { **print** \$1, \$3 }
  - Expressions separated by a comma are, by default, separated by a single space when printed (**OFS**)

# Output (continued)

- **NF**, the Number of Fields
  - Any valid expression can be used after a **\$** to indicate the contents of a particular field
  - One built-in expression is **NF**, or Number of Fields
  - `{ print NF, $1, $NF }` will print the number of fields, the first field, and the last field in the current record
  - `{ print $(NF-2) }` prints the third to last field
- Computing and Printing
  - You can also do computations on the field values and include the results in your output
  - `{ print $1, $2 * $3 }`

# Output (continued)

- Printing Line Numbers
  - The built-in variable NR can be used to print line numbers
  - `{ print NR, $0 }` will print each line prefixed with its line number
- Putting Text in the Output
  - You can also add other text to the output besides what is in the current record
  - `{ print "total pay for", $1, "is", $2 * $3 }`
  - Note that the inserted text needs to be surrounded by double quotes

# Fancier Output

- Lining Up Fields
  - Like C, Awk has a *printf* function for producing formatted output
  - *printf* has the form
    - *printf(format, val1, val2, val3, ...)*
  - ```
{ printf("total pay for %s is $%.2f\n",  
        $1, $2 * $3) }
```
  - When using *printf*, formatting is under your control so no automatic spaces or newlines are provided by **awk**. You have to insert them yourself.
  - ```
{ printf("%-8s %6.2f\n", $1, $2 * $3 ) }
```



# Selection

- Awk patterns are good for selecting specific lines from the input for further processing
  - Selection by Comparison
    - `$2 >= 5 { print }`
  - Selection by Computation
    - `$2 * $3 > 50 { printf("%6.2f for %s\n", $2 * $3, $1) }`
  - Selection by Text Content
    - `$1 == "NYU"`
    - `$2 ~ /NYU/`
  - Combinations of Patterns
    - `$2 >= 4 || $3 >= 20`
  - Selection by Line Number
    - `NR >= 10 && NR <= 20`

# Arithmetic and variables

- **awk** variables take on numeric (floating point) or string values according to context.
- User-defined variables are *unadorned* (they need not be declared).
- By default, user-defined variables are initialized to the null string which has numerical value 0.

# Computing with AWK

- Counting is easy to do with Awk

```
$3 > 15 { emp = emp + 1}  
END { print emp, "employees worked  
      more than 15 hrs"}
```

- Computing Sums and Averages is also simple

```
{ pay = pay + $2 * $3 }  
END { print NR, "employees"  
      print "total pay is", pay  
      print "average pay is", pay/NR  
      }
```

# Handling Text

- One major advantage of Awk is its ability to handle strings as easily as many languages handle numbers
- Awk variables can hold strings of characters as well as numbers, and Awk conveniently translates back and forth as needed
- This program finds the employee who is paid the most per hour:

```
# Fields: employee, payrate
$2 > maxrate { maxrate = $2; maxemp = $1 }
END { print "highest hourly rate:",
        maxrate, "for", maxemp }
```

# String Manipulation

- String Concatenation
  - New strings can be created by combining old ones

```
{ names = names $1 " " }
```

```
END { print names }
```
- Printing the Last Input Line
  - Although NR retains its value after the last input line has been read, \$0 does not

```
{ last = $0 }
```

```
END { print last }
```

# Built-in Functions

- **awk** contains a number of built-in functions. `length` is one of them.
- Counting Lines, Words, and Characters using `length` (a poor man's **wc**)

```
{ nc = nc + length($0) + 1
  nw = nw + NF
}
END { print NR, "lines,", nw, "words,", nc,
        "characters" }
```

- **substr(s, m, n)** produces the substring of *s* that begins at position *m* and is at most *n* characters long.

# Control Flow Statements

- **awk** provides several control flow statements for making decisions and writing loops
- If-Then-Else

```
$2 > 6 { n = n + 1; pay = pay + $2 * $3 }
```

```
END { if (n > 0)
      print n, "employees, total pay is",
            pay, "average pay is", pay/n
    else
      print "no employees are paid more
            than $6/hour"
    }
```

# Loop Control

- While

```
# interest1 - compute compound interest
#   input: amount, rate, years
#   output: compound value at end of each year
{ i = 1
  while (i <= $3) {
    printf("\t%.2f\n", $1 * (1 + $2) ^ i)
    i = i + 1
  }
}
```



# Do-While Loops

- Do While

*do {*

*statement1*

*}*

*while (expression)*

# For statements

- For

```
# interest2 - compute compound interest
#   input: amount, rate, years
#   output: compound value at end of each year

{ for (i = 1; i <= $3; i = i + 1)
    printf("\t%.2f\n", $1 * (1 + $2) ^ i)
}
```

# Arrays

- Array elements are not declared
- Array subscripts can have *any* value:
  - Numbers
  - Strings! (*associative arrays*)
- Examples
  - `arr[3]="value"`
  - `grade["Korn"]=40.3`

# Array Example

```
# reverse - print input in reverse order by line

{ line[NR] = $0 }      # remember each line

END {
    for (i=NR; (i > 0); i=i-1) {
        print line[i]
    }
}
```

- Use **for** loop to read associative array
  - **for (v in array) { ... }**
  - Assigns to v each subscript of array (unordered)
  - Element is **array[v]**

# Useful One (or so)-liners

- `END { print NR }`
- `NR == 10`
- `{ print $NF }`
- `{ field = $NF }`  
`END { print field }`
- `NF > 4`
- `$NF > 4`
- `{ nf = nf + NF }`  
`END { print nf }`

# More One-liners

- `/Jeff/ { nlines = nlines + 1 }`  
    `END { print nlines }`
- `$1 > max { max = $1; maxline = $0 }`  
    `END { print max, maxline }`
- `NF > 0`
- `length($0) > 80`
- `{ print NF, $0 }`
- `{ print $2, $1 }`
- `{ temp = $1; $1 = $2; $2 = temp; print }`
- `{ $2 = ""; print }`

# Even More One-liners

- ```
{ for (i = NF; i > 0; i = i - 1)
    printf("%s ", $i)
  printf("\n")
}
```
- ```
{ sum = 0
  for (i = 1; i <= NF; i = i + 1)
    sum = sum + $i
  print sum
}
```
- ```
{ for (i = 1; i <= NF; i = i + 1)
    sum = sum $i }
  END { print sum }
}
```

# Awk Variables

- \$0, \$1, \$2, \$NF
- NR - Number of records processed
- NF - Number of fields in current record
- FILENAME - name of current input file
- FS - Field separator, space or TAB by default
- OFS - Output field separator, space by default
- ARGV/ARGC - Argument Count, Argument Value array
  - Used to get arguments from the command line



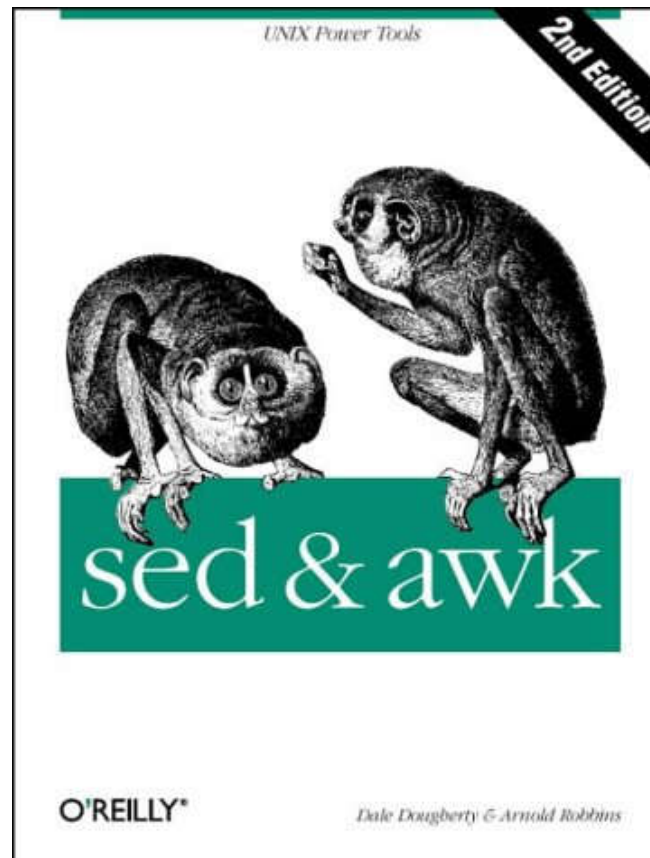
# Operators

- = assignment operator; sets a variable equal to a value or string
- == equality operator; returns TRUE if both sides are equal
- != inverse equality operator
- & & logical AND
- | | logical OR
- ! logical NOT
- <, >, <=, >= relational operators
- +, -, /, \*, %, ^
- String concatenation

# Built-In Functions

- Arithmetic
  - **sin, cos, atan, exp, int, log, rand, sqrt**
- String
  - **length, substr, split**
- Output
  - **print, printf**
- Special
  - **system** - executes a Unix command
    - `system("clear")` to clear the screen
    - Note double quotes around the Unix command
  - **exit** - stop reading input and go immediately to the END pattern-action pair if it exists, otherwise exit the script

# More Information



*on the website*