

GRAD-C1-1026: The Policy Process: Policy-making in the European Union

Marta Migliorati

1. General information

Class time	Wednesday, 10-12h
Course Format	This course uses a “flipped classroom” format and combines 50 minutes of pre-recorded material (audio or video) with a 50-minute interactive seminar. Students will use the pre-recorded material to prepare for the seminar. The seminar is taught onsite at the Hertie School, or online via the platform Clickmeeting, depending upon your location. For those attending the online seminar, Clickmeeting allows for interactive, participatory seminar style teaching.
Instructor	Marta Migliorati
Instructor’s office	Friedrichstraße 194, room 310
Instructor’s e-mail	migliorati@delorscentre.eu
Instructor’s phone number	+49 (0)30 – 259 21 93 3
Assistant	N/A
Instructor’s Office Hours	Please drop me an email and we’ll fix time and date

Link to Module Handbook [MIA](#) and [MPP](#)

Link to [Study, Examination and Admission Rules](#)

Instructor Information:

Marta Migliorati is a postdoctoral researcher at the Jacques Delors Centre. She is a UCL graduate and did her PhD at University of Milan. Her research focuses on European Union public policy making, especially on the European Commission and EU agencies. She is currently working on the InDivEU Horizon 2020 Project on Differentiated Integration.

2. Course Contents and Learning Objectives

Course contents:

The course focuses on public policy-making in the European Union. After introducing the challenges of making public policies in a multi-level governance system, students will be acquainted with the main actors in charge for policy-making in the EU, and get to know the different steps of the policy process - from policy formulation, to law making and implementation. For each policy stage, selected policy areas will be analysed more in depth, so as to gain a practical understanding of EU policy-making dynamics and relations among different national and supranational actors across policy sectors. By the end of the course, students will be able to grasp the complexity of a multi-level political system, as well as acquire sector-specific knowledge of major policy areas in the EU. They will be able

to distinguish between different steps of the policy process, to identify who are relevant actors involved, and to evaluate why a policy is designed the way it is. Finally, the course will provide the opportunity to reflect upon the practical and normative implications of multi-level systems' structures on policy-making. Students will put their knowledge into practice through the different assignments, including the presentation of a case study, the preparation of a lobby/advocacy strategy and the redaction of a policy memo.

Main learning objectives:

At the end of the course students will be able to

- 1) Grasp the complexity of a multi-level political system, the EU in particular
- 2) Acquire sector-specific knowledge of major policy areas in the EU
- 3) Distinguish between policy formulation, law making and implementation
- 4) Identify the relevant actors and stakeholders for each policy-making step
- 5) Analyse the connection between politics and policy outcomes
- 6) Evaluate why a policy is designed the way it is
- 7) Reflect upon the practical and normative implications of multilevel systems' structures on policy-making dynamics and outcomes

Target group:

Students should be interested in policy-making in general, and in the EU in particular as this is the main focus of the course. They should have an interest in both understanding the rules behind EU policy-making, and in putting this knowledge into practice through more hands-on exercises.

Teaching style:

The classes will be interactive, students are expected to participate in the lectures by providing original input and ideas. "Frontal" teaching will be only a small component of the classes, that will develop through group exercises, Q/A, presentations and guest talks.

Prerequisites:

Basic knowledge of political science and/or some pre-existent knowledge of specific policy areas would help

Diversity Statement:

This is a course focused on the European Union, hence it is necessarily centred on western European politics and policy making. Nevertheless, the course is meant for anyone who is interested in having a practical understanding of the working mechanisms of such a complex institution, regardless regional origin and culture. Moreover, through the assignments, the course offers the possibility to "impersonate" both non-governmental actors and governmental ones in several policy areas. This gives students the chance to choose what interests they seek to represent according to their aspirations and identity.

3. Grading and Assignments

Composition of Final Grade:

Assignment 1: Group work (case study presentation in class)	Deadline: Depends on assigned group	Submit via Moodle the power point of the presentation one day before class	20%
Assignment 2: Policy memo	Deadline: 23 October 2020, midnight	Submit via Moodle	30%

Assignment 3: Advocacy/lobby strategy	Deadline: 18 December 2020, midnight	Submit via Moodle, email, etc.	40%
Participation grade			10%

Assignment Details

Assignment 1

Case study presentations. Students will be divided into groups at the beginnings of the course. Each group will be assigned a policy area. Students are expected to choose the presentation topic independently and analyse the case under the step(s) of policy-making discussed in the previous lectures. In 20 minutes, the group should overview the case, explain why they chose it and overview the dynamics characterising that specific context (policy, procedure etc.). Students should also provide points of discussion and engage their colleagues through questions and or other activities. The presentation will be evaluated on the basis of communication skills, the ability to apply theoretical knowledge to a practical case in a clear and timely manner, the ability to distribute the workload among all group's participants, the ability to engage with the rest of the class and encourage discussion.

Assignment 2

Choose a policy issue belonging to any of the policy areas tackled in the course. Choose your audience among the actors tackled in the Course: for example, it can be a member of the European parliament, a Commission DG, a national minister. Write a policy memo on the chosen topic, in 1500 words, keeping in mind the following questions:

Who is your audience? What do they know about the issue? What decision-making power do they possess? What purpose does the memo serve? What problem is the policy addressing? Why is it important? What population(s) is impacted by the policy? What lever(s) do you (or other stakeholders) propose to address the problem? That is, how will the policy make its target(s) change their behaviour? What are the benefits and trade-offs of the policy? What alternative policies exist? What limitations or barriers exist? Consider implementation costs, resources, timing, and political implications.

The assignment will be evaluated according to several criteria, including the clarity in writing, the structure of the paper, the ability to touch upon the points listed above and to demonstrate understanding on the chosen policy issue as well as on stakeholders and procedures in the context of the European Union. The memo should be concise, sticking to the chosen policy issue and consistent with the selected audience

Assignment 3

Imagine you are member of an interest group or advocacy organisation. You are in charge for developing a plan on an area of key interest for your organisation. In order to pursue your strategy, you may want, for example, to put a specific policy issue into the EU agenda and, eventually, pass legislation on that issue. It could also be that an issue is being discussed already, but your organisation wants to influence a specific aspect of that policy. Moreover, it might be that you want to influence best practices at the national level. How would you do? In no more than 3000 words write an advocacy/lobby strategy to pursue your objective (s). Keep in mind the following points:

The strategy should include the following steps:

- 1) clarify the general objective of your organisation
- 2) Define your target group (i.e. whose interest are represented?)

- 3) Define the specific objective of your strategy using “SMART” criteria i.e. Specific, Measurable, Achievable, Realistic and Time-bound. What you want to change, for whom, who will make the change, how and by when?
- 4) Define the decision makers (i.e. who are you aiming to influence, given your objectives?)
- 5) Identify other stakeholders that may help in achieving the final goal

The assignment will be evaluated according to several criteria, including the clarity in writing, the structure of the paper, the ability to touch upon the points listed above and to demonstrate understanding on the chosen policy issue as well as on stakeholders and procedures in the context of the European Union. The strategy should be detailed enough to guide action according to the time-span selected by the student.

Participation grade

The participation grade is based on the assumption that students take part, not as passive consumers of knowledge, but as active participants in the exchange, production, and critique of ideas—their own ideas and the ideas of others. Therefore, students should come to class not only having read and viewed the materials assigned for that day but also prepared to discuss the readings of the day and to contribute thoughtfully to the conversation. Participation is marked by its active nature, its consistency, and its quality.

Late submission of assignments: For each day the assignment is turned in late, the grade will be reduced by 10% (e.g. submission two days after the deadline would result in 20% grade deduction).

Attendance: Students are expected to be present and prepared for every class session. Active participation during lectures and seminar discussions is essential. If unavoidable circumstances arise which prevent attendance or preparation, the instructor should be advised by email with as much advance notice as possible. Please note that students cannot miss more than two out of 12 course sessions. For further information please consult the [Examination Rules](#) §10.

Academic Integrity: The Hertie School is committed to the standards of good academic and ethical conduct. Any violation of these standards shall be subject to disciplinary action. Plagiarism, deceitful actions as well as free-riding in group work are not tolerated. See [Examination Rules](#) §16.

Compensation for Disadvantages: If a student furnishes evidence that he or she is not able to take an examination as required in whole or in part due to disability or permanent illness, the Examination Committee may upon written request approve learning accommodation(s). In this respect, the submission of adequate certificates may be required. See [Examination Rules](#) §14.

Extenuating circumstances: An extension can be granted due to extenuating circumstances (i.e., for reasons like illness, personal loss or hardship, or caring duties). In such cases, please contact the course instructors and the Examination Office *in advance* of the deadline.

4. General Readings

Hix, Simon, and Bjørn Høyland. 2011. *The Political System of the European Union*. 3rd ed. 2011 edition. Basingstoke: Red Globe Press

Richardson, J. J., ed. 2012. *Constructing a Policy-Making State? Policy Dynamics in the EU*. Oxford: Oxford University Press.

Wallace, Helen, Mark A. Pollack, and Alasdair R. Young, eds. 2015. *Policy-Making in the European Union*. 7 edition. Oxford, UK: Oxford University Press.

5. Session Overview

Session	Session Date	Session Title
1	09.09.2020	Policy-making in multi-level governance
2	16.09.2020	Policy formulation and agenda setting in the EU
3	23.09.2020	The single Market- Economic regulation and competition in the EU
4	30.09.2020	Law-making in the European Union
5	07.10.2020	Economic and Monetary Union, Fiscal Rules and the Euro Crisis
6	14.10.2020	Trade policy
Mid-term Exam Week: 19.10 - 23.10.2020 – no class		
7	28.10.2020	Implementation and Compliance in the EU
8	04.11.2020	Justice and Home Affairs
9	11.11.2020	Committees, agencies and experts
10	18.11.2020	Social and environmental regulation
11	25.11.2020	Politicisation in EU policy-making: public opinion, interest groups and policy outcomes
12	02.12.2020	Policy-making in the EU- accountable or efficient?
Final Exam Week: 14.12 - 18.12.2020 – no class		

6. Course Sessions and Readings

All readings will be accessible on the Moodle course site before semester start. In the case that there is a change in readings, students will be notified by email.

Required readings are to be read and analysed thoroughly. Optional readings are intended to broaden your knowledge in the respective area, and it is highly recommended to at least skim them.

Session 1: Policy-making in multi-level governance	
Learning Objective	Acquiring familiarity with concepts of public policy-making and multi-level governance. Grasping the complexity of the EU as a multilevel system and understanding the basic steps in the EU policy cycle.
Required Readings	Supranational State Building in the European Union, Richardson, J. J., ed. 2012. <i>Constructing a Policy-Making State? Policy Dynamics in the EU</i> . Oxford: Oxford University Press., Chapter 1 An Institutional Anatomy and Five Policy Modes, Helen Wallace and Christine Reh. In Wallace, Helen, Mark A. Pollack, and Alasdair R. Young, eds. 2015. <i>Policy-Making in the European Union</i> . 7 edition. Oxford, UK: Oxford University Press, Chapter 4

	Heidbreder, E. G., & Brandsma, G. J. (2018). The EU Policy Process. In E. Ongaro & S. Van Thiel (Eds.), <i>The Palgrave Handbook of Public Administration and Management in Europe</i> (pp. 805–821). Palgrave Macmillan UK. https://doi.org/10.1057/978-1-137-55269-3_42
Optional Readings	<p>Bache, Ian, Ian Bartle, and Matthew Flinders. 2016. "Multi-Level Governance." Handbook on Theories of Governance, June. https://www.elgaronline.com/view/edcoll/9781782548492/9781782548492.00052.xml.</p> <p>Liesbet, Hooghe, and Marks Gary. 2003. "Unraveling the Central State, but How? Types of Multi-Level Governance." <i>American Political Science Review</i> 97 (02). https://doi.org/10.1017/S0003055403000649.</p> <p>Trondal, Jarle, and Michael W. Bauer. 2017. "Conceptualizing the European Multilevel Administrative Order: Capturing Variation in the European Administrative System." <i>European Political Science Review</i> 9 (1): 73–94. https://doi.org/10.1017/S1755773915000223.</p>

Session 2: Policy formulation and agenda setting in the EU

Learning Objective	Be aware of the existing strategies to formulate policies and set issues on the EU Agenda. Being able to identify the main stakeholders-both institutional and non-institutional- at the agenda setting stage in the EU.
Required Readings	<p>Agenda-Setting and the Formation of an EU Policy-Making State, Richardson, J. J., ed. 2012. <i>Constructing a Policy-Making State? Policy Dynamics in the EU</i>. Oxford: Oxford University Press., Chapter 2</p> <p>Klüver, Heike. 2013. "Lobbying as a Collective Enterprise: Winners and Losers of Policy Formulation in the European Union." <i>Journal of European Public Policy</i> 20(1): 59–76</p> <p>Kreppel, Amie, and Buket Oztas. 2017. "Leading the Band or Just Playing the Tune? Reassessing the Agenda-Setting Powers of the European Commission." <i>Comparative Political Studies</i> 50 (8): 1118–50.</p>
Optional Readings	<p>Bocquillon, Pierre, and Mathias Dobbels. 2014. "An Elephant on the 13th Floor of the Berlaymont? European Council and Commission Relations in Legislative Agenda Setting." <i>Journal of European Public Policy</i> 21 (1): 20–38. https://doi.org/10.1080/13501763.2013.834548.</p> <p>Carammia, Marcello, Sebastiaan Princen, and Arco Timmermans. 2016. "From Summitry to EU Government: An Agenda Formation Perspective on the European Council." <i>JCMS: Journal of Common Market Studies</i> 54 (4): 809–25. https://doi.org/10.1111/jcms.12346.</p> <p>Hartlapp, Miriam, Julia Metz, and Christian Rauh. 2013. "Linking Agenda Setting to Coordination Structures: Bureaucratic Politics inside the European Commission." <i>Journal of European Integration</i> 35 (4): 425–41. https://doi.org/10.1080/07036337.2012.703663.</p> <p>Reh, Christine, Edoardo Bressanelli, and Christel Koop. 2020. "Responsive Withdrawal? The Politics of EU Agenda-Setting." <i>Journal of European Public Policy</i> 27 (3): 419–38. https://doi.org/10.1080/13501763.2020.1712453.</p>

	<p>Van Ballaert, Bart. 2017. "The European Commission's Use of Consultation during Policy Formulation: The Effects of Policy Characteristics." <i>European Union Politics</i> 18 (3): 406–23. https://doi.org/10.1177/1465116517702004.</p> <p>Vaznonytė, Austė. 2020. "The Rotating Presidency of the Council of the EU – Still an Agenda-Setter?" <i>European Union Politics</i>, April, 1465116520916557. https://doi.org/10.1177/1465116520916557.</p>
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Session 3: The single Market- Economic regulation and competition in the EU

Learning Objective	Get to know how the single market is structured and what are the relevant policies falling under the umbrella term of "regulatory policies", grasp the concept of regulation. Apply knowledge of the agenda setting stage to a specific case in the area of regulatory policy, in particular economic regulation and competition. Make knowledge accessible to peers (Group Presentation)
Required Readings	<p>Chapter 8 (Regulation of the Single Market) in Hix and Hoyland</p> <p>Chapter 3 and Chapter 10 Richardson, J. J., ed. 2012. <i>Constructing a Policy-Making State? Policy Dynamics in the EU</i>. Oxford: Oxford University Press.</p>
Optional Readings	<p>Aydin, Umut, and Kenneth P. Thomas. 2012. "The Challenges and Trajectories of EU Competition Policy in the Twenty-First Century." <i>Journal of European Integration</i> 34 (6): 531–47. https://doi.org/10.1080/07036337.2012.707359.</p> <p>Cini, Michelle. 2001. "The Soft Law Approach: Commission Rule-Making in the EU's State Aid Regime." <i>Journal of European Public Policy</i> 8 (2): 192–207. https://doi.org/10.1080/13501760110041541.</p> <p>Eising, Rainer. 2002. "Policy Learning in Embedded Negotiations: Explaining EU Electricity Liberalization." <i>International Organization</i> 56 (1): 85–120. https://doi.org/10.1162/002081802753485142.</p> <p>Seidel K. (2009), "DG IV and the origins of a supranational competition policy: Establishing an economic constitution for Europe" in Kaiser, Wolfram, Brigitte Leucht, and Morten Rasmussen, eds. 2009. <i>The History of the European Union: Origins of a Trans- and Supranational Polity 1950-72</i>. Routledge/UACES Contemporary European Studies Series 7. New York: Routledge.</p> <p>Lombardi, Domenico, and Manuela Moschella. 2016. "Domestic Preferences and European Banking Supervision: Germany, Italy and the Single Supervisory Mechanism." <i>West European Politics</i> 39 (3): 462–82. https://doi.org/10.1080/01402382.2016.1143242.</p> <p>McPhilemy, Samuel. 2016. "Integrating Macro-Prudential Policy: Central Banks as the 'Third Force' in EU Financial Reform." <i>West European Politics</i> 39 (3): 526–44. https://doi.org/10.1080/01402382.2016.1143243.</p> <p>Newman, Abraham L. 2008. "Building Transnational Civil Liberties: Transgovernmental Entrepreneurs and the European Data Privacy Directive." <i>International Organization</i> 62 (1): 103–30. https://doi.org/10.1017/S0020818308080041.</p>

	<p>Quaglia, Lucia, and Aneta Spendzharova. 2017. "Post-Crisis Reforms in Banking: Regulators at the Interface between Domestic and International Governance." <i>Regulation & Governance</i> 11 (4): 422–37. https://doi.org/10.1111/rego.12157.</p> <p>Thatcher, Mark. 2014. "European Commission Merger Control: Combining Competition and the Creation of Larger European Firms." <i>European Journal of Political Research</i> 53 (3): 443–64. https://doi.org/10.1111/1475-6765.12040.</p> <p>.</p>
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Session 4: Law-making in the European Union

Learning Objective	Be acquainted with main legislative actors and the balance of power among them. Grasping the main steps of the EU legislative process
Required Readings	<p>Chapter 3 (Legislative politics) in Hix and Hoyland</p> <p>Thomson, Robert, and Madeleine Hosli. 2006. "Who Has Power in the EU? The Commission, Council and Parliament in Legislative Decision-Making*." <i>JCMS: Journal of Common Market Studies</i> 44(2): 391–417.</p>
Optional Readings	<p>Costello, Rory, and Robert Thomson. 2013. "The Distribution of Power among EU Institutions: Who Wins under Codecision and Why?" <i>Journal of European Public Policy</i> 20 (7): 1025–39.</p> <p>Greenwood, Justin, and Christilla Roederer-Rynning. 2019. "In the Shadow of Public Opinion: The European Parliament, Civil Society Organizations, and the Politicization of Trilogues." <i>Politics and Governance</i> 7 (3): 316–26.</p> <p>Häge, Frank M. 2011. "Politicising Council Decision-Making: The Effect of European Parliament Empowerment." <i>West European Politics</i> 34 (1): 18–47.</p> <p>Judge, Andrew, and Robert Thomson. 2019. "The Responsiveness of Legislative Actors to Stakeholders' Demands in the European Union." <i>Journal of European Public Policy</i> 26 (5): 676–95.</p> <p>Kreppel, Amie. 2018. "Bicameralism and the Balance of Power in EU Legislative Politics." <i>The Journal of Legislative Studies</i> 24 (1): 11–33.</p>

Session 5: Economic and Monetary Union, Fiscal Rules and the Euro Crisis

Learning Objective	Understand how the Economic and Monetary Union operates, go through the main reforms introduced in response to the financial crisis and look at recent policy developments in this major policy field. Apply knowledge of the policy process acquired so far to this policy area. Make knowledge accessible to peers. (Group Presentation)
Required Readings	Chapter 10 (Economic and Monetary Union) in Hix and Hoyland

	The EMU Paradox: Centralization and Decentralization in EU Macroeconomic Policy, Richardson, J. J., ed. 2012. <i>Constructing a Policy-Making State? Policy Dynamics in the EU</i> . Oxford: Oxford University Press., Chapter 9
Optional Readings	<p>Banducci, Susan A., Effrey A. Karp, and Peter H. Loedel. 2003. "The Euro, Economic Interests and Multi-Level Governance: Examining Support for the Common Currency." <i>European Journal of Political Research</i> 42 (5): 685–703. https://doi.org/10.1111/1475-6765.00100.</p> <p>Bouwen, Pieter. 2004. "The Logic of Access to the European Parliament: Business Lobbying in the Committee on Economic and Monetary Affairs." <i>JCMS: Journal of Common Market Studies</i> 42 (3): 473–95. https://doi.org/10.1111/j.0021-9886.2004.00515.x.</p> <p>Bressanelli, Edoardo, and Nicola Chelotti. 2016. "The Shadow of the European Council. Understanding Legislation on Economic Governance." <i>Journal of European Integration</i> 38 (5): 511–25. https://doi.org/10.1080/07036337.2016.1178251.</p> <p>Dehousse, Renaud. 2016. "Why Has EU Macroeconomic Governance Become More Supranational?" <i>Journal of European Integration</i> 38 (5): 617–31. https://doi.org/10.1080/07036337.2016.1180826.</p> <p>Hodson, Dermot. 2016. "Eurozone Governance: From the Greek Drama of 2015 to the Five Presidents' Report." <i>JCMS: Journal of Common Market Studies</i> 54 (S1): 150–66. https://doi.org/10.1111/jcms.12408.</p> <p>Moschella, Manuela. 2017. "When Some Are More Equal than Others: National Parliaments and Intergovernmental Bailout Negotiations in the Eurozone." <i>Government and Opposition</i> 52 (2): 239–65. https://doi.org/10.1017/gov.2016.49.</p> <p>Puetter, Uwe. 2007. "Providing Venues for Contestation: The Role of Expert Committees and Informal Dialogue among Ministers in European Economic Policy Coordination." <i>Comparative European Politics</i> 5 (1): 18–35. https://doi.org/10.1057/palgrave.cep.6110105.</p> <p>Verdun, Amy. 2017. "Political Leadership of the European Central Bank." <i>Journal of European Integration</i> 39 (2): 207–21. https://doi.org/10.1080/07036337.2016.1277715.</p>

Session 6: Trade policy

Learning Objective	Get acquainted with one of the most important areas of policy making in the EU, identify the stakeholders involved and the interests at stake. Apply knowledge acquired so far to a specific case in the area of trade. Make knowledge accessible to peers (Group Presentation)
Required Readings	Chapter 12 (Foreign Policies) in Hix and Hoyland Gstöhl, Sieglinde, and Dirk De Bièvre. 2017. <i>The Trade Policy of the European Union</i> . Macmillan Education UK. Chapter 3
Optional Readings	Conceição, Eugénia Da. 2010. "Who Controls Whom? Dynamics of Power Delegation and Agency Losses in EU Trade Politics." <i>JCMS: Journal of Common Market Studies</i> 48 (4): 1107–26.

	<p>Jacoby, Wade, and Sophie Meunier. 2010. "Europe and the Management of Globalization." <i>Journal of European Public Policy</i> 17 (3): 299–317.</p> <p>Meissner, Katharina. 2016. "Democratizing EU External Relations: The European Parliament's Informal Role in SWIFT, ACTA, and TTIP." <i>European Foreign Affairs Review</i> 21: 269.</p> <p>Meissner, Katharina L., and Lachlan McKenzie. 2019. "The Paradox of Human Rights Conditionality in EU Trade Policy: When Strategic Interests Drive Policy Outcomes." <i>Journal of European Public Policy</i> 26 (9): 1273–91.</p> <p>Servent, Ariadna Ripoll. 2014. "The Role of the European Parliament in International Negotiations after Lisbon." <i>Journal of European Public Policy</i> 21 (4): 568–86.</p>
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Mid-term Exam Week: 19 – 23.10.2020 – no class

Session 7: Implementation and Compliance in the EU	
Learning Objective	Conceptualise implementation and compliance , grasp the different levels at which implementation takes place in the EU, identify the main problems related to implementation in multi-level systems
Required Readings	<p>Tallberg, Jonas. 2002. "Paths to Compliance: Enforcement, Management, and the European Union." <i>International Organization</i> 56 (3): 609–43. https://doi.org/10.1162/002081802760199908.</p> <p>Treib, Oliver. "Implementing and Complying with EU Governance Outputs." <i>Living Reviews in European Governance</i> 9, no. 1 (2014). doi:10.12942/lreg-2014-1.</p>
Optional Readings	<p>Dimitrova, Antoaneta L., and Bernard Steunenberg. 2017. "The Power of Implementers: A Three-Level Game Model of Compliance with EU Policy and Its Application to Cultural Heritage." <i>Journal of European Public Policy</i> 24 (8): 1211–32.</p> <p>Dörrenbächer, Nora. 2017. "Europe at the Frontline: Analysing Street-Level Motivations for the Use of European Union Migration Law." <i>Journal of European Public Policy</i> 24 (9): 1328–47.</p> <p>Dörrenbächer, Nora, and Ellen Mastenbroek. 2019. "Passing the Buck? Analyzing the Delegation of Discretion after Transposition of European Union Law." <i>Regulation & Governance</i> 13 (1): 70–85.</p> <p>Fjølseth, Joshua C., and Clifford J. Carrubba. 2018. "The Politics of International Oversight: Strategic Monitoring and Legal Compliance in the European Union." <i>American Political Science Review</i> 112 (3): 429–45.</p> <p>Heidbreder, Eva G. 2017. "Strategies in Multilevel Policy Implementation: Moving beyond the Limited Focus on Compliance." <i>Journal of European Public Policy</i> 24 (9): 1367–84.</p> <p>König, Thomas, and Lars Mäder. 2014. "The Strategic Nature of Compliance: An Empirical Evaluation of Law Implementation in the Central Monitoring System of the European Union." <i>American Journal of Political Science</i> 58 (1): 246–63.</p> <p>Mariotto, Camilla. 2019. "Negotiating Implementation of EU Fiscal Governance." <i>Journal of European Integration</i> 41 (4): 465–86.</p> <p>Thomann, Eva, and Asya Zhelyazkova. 2017. "Moving beyond (Non-)Compliance: The Customization of European Union Policies in 27 Countries." <i>Journal of European Public Policy</i> 24(9): 1269–88.</p>

	Zhelyazkova, Asya, Cansarp Kaya, and Reini Schrama. 2018. "When Practice Goes beyond Legislators' Expectations: Analysis of Practical Implementation Exceeding Legal Compliance with EU Directives." <i>JCMS: Journal of Common Market Studies</i> 56 (3): 520–38.
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Session 8: Justice and Home Affairs

Learning Objective	Understand the evolution and expansion of JHA over time, get the connection between immigration, asylum, border management and the Schengen Area. Apply this knowledge to recent developments in this area. Apply knowledge of the policy process to Justice and Home Affairs. Make knowledge accessible to peers. (Group Presentation)
Required Readings	Chapter 11 (Interior Policies) in Hix and Hoyland Monar, Jorg. 2016. "Justice and Home Affairs." <i>Journal of Common Market Studies</i> 54: 134–49. COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS A EUROPEAN AGENDA ON MIGRATION (2015)
Optional Readings	Czaika, Mathias, and Mogens Hobolth. 2016. "Do Restrictive Asylum and Visa Policies Increase Irregular Migration into Europe?" <i>European Union Politics</i> 17 (3): 345–65. https://doi.org/10.1177/1465116516633299 . Grech, Philip. 2016. "Undesired Properties of the European Commission's Refugee Distribution Key:" <i>European Union Politics</i> , July. https://doi.org/10.1177/1465116516649244 . Kaunert, Christian, and Sarah Léonard. 2012. "The Development of the EU Asylum Policy: Venue-Shopping in Perspective." <i>Journal of European Public Policy</i> 19 (9): 1396–1413. https://doi.org/10.1080/13501763.2012.677191 . Maricut-Akbik, Adina. 2018. "The Dynamics of Institutional Behaviour in EU Justice and Home Affairs: Roles, Representative Claims, and Varying Policy Positions." <i>Journal of European Integration</i> 40 (2): 161–76. https://doi.org/10.1080/07036337.2017.1415334 . Roos, Christof. 2019. "Opposition or Consensus in the Justice and Home Affairs Council? The How and Why of Increasing Member State Contestation over EU Policy." <i>Journal of European Integration</i> 41 (5): 569–86. https://doi.org/10.1080/07036337.2018.1537269 . Servent, Ariadna Ripoll. 2018. "A New Form of Delegation in EU Asylum: Agencies as Proxies of Strong Regulators." <i>JCMS: Journal of Common Market Studies</i> 56 (1): 83–100. https://doi.org/10.1111/jcms.12652 . Thomann, Eva, and Fritz Sager. 2017. "Toward a Better Understanding of Implementation Performance in the EU Multilevel System." <i>Journal of European Public Policy</i> 24 (9): 1385–1407. https://doi.org/10.1080/13501763.2017.1314542 . Zaun, Natascha. 2016. "Why EU Asylum Standards Exceed the Lowest Common Denominator: The Role of Regulatory Expertise in EU Decision-Making." <i>Journal of European Public Policy</i> 23 (1): 136–54. https://doi.org/10.1080/13501763.2015.1039565 .

Session 9: Committees, agencies and experts

Learning Objective	Identify different experts involved in the process of policy implementation and their roles according to the level of governance they operate in. Grasp the functioning of comitology procedures and the role of EU agencies.
Required Readings	<p>Chapter 2 (Executive Politics) in Hix and Hoyland</p> <p>Migliorati, Marta. 2019. "Relying on Agencies in Major European Union Legislative Measures." <i>West European Politics</i>: 1–22.</p> <p>Siderius, Katrijn, and Gijs Jan Brandsma. 2016. "The Effect of Removing Voting Rules: Consultation Practices in the Commission's Delegated Act Expert Groups and Comitology Committees." <i>JCMS</i></p>
Optional Readings	<p>Boswell, Christina. 2008. "The Political Functions of Expert Knowledge: Knowledge and Legitimation in European Union Immigration Policy." <i>Journal of European Public Policy</i> 15 (4): 471–88.</p> <p>Dehousse, R., A. Fernández Pasarín, and J. Plaza. 2014. "How Consensual Is Comitology?" <i>Journal of European Public Policy</i> 21 (6): 842–59.</p> <p>European Commission. 2008. COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL "European Agencies – The Way Forward."</p> <p>European Parliament and of the Council. 2011. "Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 Laying down the Rules and General Principles Concerning Mechanisms for Control by Member States of the Commission's Exercise of Implementing Powers." 2011.</p> <p>Klika, Christoph, Jinhee Kim, and Esther Versluis. 2013. "Why Science Cannot Tame Politics: The New EU Comitology Rules and the Centralised Authorisation Procedure of GMOs." <i>European Journal of Risk Regulation</i> 4 (3): 327–34.</p> <p>Shyrokykh, Karina, and Dovilė Rimkutė. 2019. "EU Rules Beyond Its Borders: The Policy-Specific Effects of Transgovernmental Networks and EU Agencies in the European Neighbourhood." <i>JCMS: Journal of Common Market Studies</i> 57 (4): 749–67.</p>

Session 10: Social and environmental regulation

Learning Objective	Familiarise with major policy issues such as environmental policies, product safety and social policies. Apply knowledge of the policy process gathered so far to these areas, Make knowledge accessible to peers (Group Presentation)
Required Readings	<p>Environmental Policy: Governing by Multiple Policy Instruments? In <i>Constructing a Policy-Making State? Policy Dynamics in the EU</i>. Oxford: Oxford University Press., Chapter</p> <p>Social Policy: Left to the Judges and the Markets?, <i>Stephan Leibfried</i> in Wallace, Helen, Mark A. Pollack, and Alasdair R. Young, eds. 2015. <i>Policy-Making in the European Union</i>. 7 edition. Oxford, UK: Oxford University Press, Chapter 11</p>
Optional Readings	<p>Copeland, Paul, and Mary Daly. 2018. "The European Semester and EU Social Policy." <i>JCMS: Journal of Common Market Studies</i> 56 (5): 1001–18. https://doi.org/10.1111/jcms.12703.</p>

	<p>Hartlapp, Miriam. 2009. "Implementation of EU Social Policy Directives in Belgium: What Matters in Domestic Politics?" <i>Journal of European Integration</i> 31 (4): 467–88. https://doi.org/10.1080/07036330902920051.</p> <p>Steinebach, Yves, and Christoph Knill. 2017. "Still an Entrepreneur? The Changing Role of the European Commission in EU Environmental Policy-Making." <i>Journal of European Public Policy</i> 24 (3): 429–46. https://doi.org/10.1080/13501763.2016.1149207.</p> <p>Tosun, Jale, and Ulrich Hartung. 2018. "Decentralising Competences in Multi-Level Systems: Insights from the Regulation of Genetically Modified Organisms." <i>West European Politics</i> 41 (3): 803–23. https://doi.org/10.1080/01402382.2017.1395253.</p>
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Session 11: Politicisation in EU policy-making: public opinion, interest groups and policy outcomes

Learning Objective	Look at politicization trends in the European Union, grasp the ways citizens and lobby groups can interact with EU institutions to influence its policies
Required Readings	<p>Bazzan, Giulia, and Marta Migliorati. 2020. "Expertise, Politics and Public Opinion at the Crossroads of the European Commission's Decision-Making: The Case of Glyphosate." <i>International Review of Public Policy</i> 2(2:1): 68–89.</p> <p>Dür, Andreas, and Gemma Mateo. 2014. "Public Opinion and Interest Group Influence: How Citizen Groups Derailed the Anti-Counterfeiting Trade Agreement." <i>Journal of European Public Policy</i> 21(8): 1199–1217.</p> <p>Mazey, Sonia, 2012. Policy Entrepreneurship, Group Mobilization, and the Creation of a New Policy Domain: Women's Rights and the European Union. In Richardson, J. J., ed. 2012. <i>Constructing a Policy-Making State? Policy Dynamics in the EU</i>. Oxford: Oxford University Press, Chapter 7</p>
Optional Readings	<p>Dür, Andreas, Patrick Bernhagen, and David Marshall. 2015. "Interest Group Success in the European Union: When (and Why) Does Business Lose?" <i>Comparative Political Studies</i>, January. https://doi.org/10.1177/0010414014565890.</p> <p>Hobolt, Sara B., and Christopher Wratil. 2020. "Contestation and Responsiveness in EU Council Deliberations." <i>Journal of European Public Policy</i> 27 (3): 362–81. https://doi.org/10.1080/13501763.2020.1712454.</p> <p>Hooghe, Liesbet, and Gary Marks. 2009. "A Postfunctionalist Theory of European Integration: From Permissive Consensus to Constraining Dissensus." <i>British Journal of Political Science</i> 39 (1): 1–23. https://doi.org/10.1017/S0007123408000409.</p> <p>Rauh, Christian. 2019. "EU Politicization and Policy Initiatives of the European Commission: The Case of Consumer Policy." <i>Journal of European Public Policy</i> 26 (3): 344–65. https://doi.org/10.1080/13501763.2018.1453528.</p> <p>Wilde, Pieter de. 2012. "Politicisation of the EU Budget: Conflict and the Constraining Dissensus." <i>West European Politics</i> 35 (5): 1075–94. https://doi.org/10.1080/01402382.2012.706411.</p> <p>Wratil, Christopher. 2017. "Modes of Government Responsiveness in the European Union: Evidence from Council Negotiation Positions." <i>European Union Politics</i>, October. https://doi.org/10.1177/1465116517735599.</p> <p>Chapter 7 in Zimmermann, Hubert, and Andreas Dür, eds. 2016. <i>Key Controversies in European Integration</i>. 2nd ed. 2017 edition. London New York: Red Globe Press.</p>

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Session 12: Policy-making in the EU- accountable or efficient?

Learning Objective	Critically evaluate the challenges of making policies in the EU under the perspective of democratic accountability and efficiency, over time and across policy area
Required Readings	<p>Chapter 2 and 4 in Zimmermann, Hubert, and Andreas Dür, eds. 2016. <i>Key Controversies in European Integration</i>. 2nd ed. 2017 edition. London New York: Red Globe Press.</p> <p>Hix and hoyland parties and representative</p>
Optional Readings	<p>Crum, Ben. 2018. "Parliamentary Accountability in Multilevel Governance: What Role for Parliaments in Post-Crisis EU Economic Governance?" <i>Journal of European Public Policy</i> 25 (2): 268–86. https://doi.org/10.1080/13501763.2017.1363270.</p> <p>Hale, Thomas, and Mathias Koenig-Archibugi. 2016. "Are Europeans Ready for a More Democratic European Union? New Evidence on Preference Heterogeneity, Polarisation and Crosscuttingness." <i>European Journal of Political Research</i> 55 (2): 225–45. https://doi.org/10.1111/1475-6765.12136.</p> <p>Hanegraaff, Marcel, and Joost Berkhout. 2019. "More Business as Usual? Explaining Business Bias across Issues and Institutions in the European Union." <i>Journal of European Public Policy</i> 26 (6): 843–62. https://doi.org/10.1080/13501763.2018.1492006.</p> <p>Pollack, Mark A. 1997. "Representing Diffuse Interests in EC Policy-Making." <i>Journal of European Public Policy</i> 4 (4): 572–90. https://doi.org/10.1080/135017697344073.</p> <p>Quitkat, Christine. 2011. "The European Commission's Online Consultations: A Success Story?" <i>JCMS: Journal of Common Market Studies</i> 49 (3): 653–74. https://doi.org/10.1111/j.1468-5965.2010.02147.x.</p> <p>Quitkat, Christine, and Barbara Finke. 2008. "The EU Commission Consultation Regime." In <i>Opening EU-Governance to Civil Society: Gains and Challenges</i>, edited by Beate Kohler-Koch, Dirk de Bièvre, and William Maloney, 05:183–222. CONNEX Report Series. Mannheim: Universität Mannheim, Mannheimer Zentrum für Europäische Sozialforschung (MZES).</p> <p>Schmidt, Vivien A. 2004. "The European Union: Democratic Legitimacy in a Regional State?*" <i>JCMS: Journal of Common Market Studies</i> 42 (5): 975–97. https://doi.org/10.1111/j.0021-9886.2004.00537.x.</p> <p>Schneider, Christina J. 2018. <i>The Responsive Union: National Elections and European Governance</i>. Cambridge: Cambridge University Press. https://doi.org/10.1017/9781108589413.</p> <p>Sorace, Miriam. 2018. "The European Union Democratic Deficit: Substantive Representation in the European Parliament at the Input Stage." <i>European Union Politics</i> 19 (1): 3–24. https://doi.org/10.1177/1465116517741562.</p>

Final Exam Week: 14 - 18.12.2020 – no class