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Creating a HasCASL Library

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Abstract

1   Introduction

2   Languages

2.1   CASL

The Common Algebraic Specification Language (CASL) emerged as the product of an international initiative to create an unified language for algebraic specifications containing the largest possible set of known language constructions. This section describes the CASL language [1].

With few exceptions, the characteristics of CASL are present in some form or another in other specifications languages. However, no previous single language had all the desired purposes: some sophisticated features require specific programming paradigms; on the other hand, methods for prototyping and generation of specifications work only in the absence of certain characteristics. For example, term rewriting requires specifications with equational or conditional equational axioms.

CASL was constructed to be the kernel of a family of languages: sub-languages are obtained through syntactic or semantic restrictions, while extensions are created to support the various programming paradigms. The language definition took into account previously planned extensions, such as the support to second order functions. CASL is divided into several parts that can be understood and used separately, namely:

- Basic Specifications: contain declarations (of types and operations), definitions (of operations) and axioms (related operations);

- Structured Specifications: allow Basic Specifications to be combined in larger specifications;

- Architectural Specifications: define how specifications should be separated in an implementation, allowing reuse of specifications with dependence relations;

- Specification libraries: similar specifications are joined together in these libraries; their syntax has constructions that allow version control and library distribution over the Internet.

Structured Specification language constructions are independent of the Basic Specifications, so, CASL sub-languages or extensions can be created by extending or restricting Basic Specification language constructions, without the need to change any of the other three language constructions. We now briefly describe the most important Basic Specification language constructions.

Basic Specification denotes a class of models which are many-sorted partial first order structures, i.e., many-sorted algebras with total and partial functions and predicates. These models are classified by signatures, which contain sort names, total and partial function names, predicate names and definitions (or profiles) for functions and predicates.

Specifications contain: declarations, which introduce components of the signature (operations or functions, and predicates), and axioms, which define properties of the structures that should be models of the specification. Operations may be declared total (by using '->') or partial (by using '->?'), and we can assign to this operations some common properties, such as associativity, avoiding the need for axiomatizing those properties for each different operation.

Partial operations are a simple way to treat errors (such as dividing by zero) and these errors are propagated to callers directly, as when an argument of an operation is not defined, the operation result is also not defined. The errors and exceptions can be treated by super-types and sub-types. The domain of a partial function can be defined as a sub-type of that function's argument type in order to make this partial function a total function over the sub-type. Functions can be declared total rather than making them total by axioms.

Predicates are similar to operations but have no return type; only parameter types are declared. Predicates may be declared and defined at the same time, instead of having their declaration and axiom in separate sections.

Axioms are written as atomic first-order formulas. Variables used in axioms may be declared in three different ways: globally, before axiom declarations; locally to a list of formulas; or individually for each formula, using explicit quantification.

CASL allows annotations to be included in the axioms, placing them between the characters '%(' and ')%', so that they can easily be referenced or used by tools; comments are included after '%%'.

Formula are interpreted in two-valued first order logic (with values true and false). Definedness assertions are used to indicate when a term is defined or not. Assertions may be

declared explicitly by a keyword or implicitly by means of an existential equation. An existential equation, declared by using '=e=' between two terms of the same type, is valid when both terms are defined and are equal; in contrast, strong equations, declared by using '=' between terms, are also valid when both terms are undefined.

Sub-sort membership, indicated by 'in', creates a predicate asserting the membership of an element to a sort. It's a good practice to use existential equations when defining properties and strong equations when defining partial functions inductively.

CASL uses loose semantic for Basic Specifications, i.e., all structures that meet the axioms are selected as models. This semantic is interesting during requirement analysis because it creates very restrictive specifications that may be refined later by other axioms.

A data type can be declared as free, changing its loose semantic into an initial semantics. Thus, values of the same type that differ only in the order of type constructor application are treated as different elements of that type.

The third semantic allowed in CASL forces data types to be generated only by type constructor applications. This eliminates the confusion between terms, i.e., unless axioms force a term equality, all the terms of that type all different from each other. When needed, axioms can be used to reintroduce term equality.

Linear visibility is used to control term declaration except for type declarations, i.e., except in type declarations a term must be declared before its use.

2.2   Haskell

This section presents some general elements of the Haskell programming language. Information provided here as well as further concepts can be found online [3] or in books [10].

Haskell is a pure, strong typed functional programming language with lazy evaluation that resulted from the need of standardization in the field of functional languages. The language is functional because it implements the concepts of $\lambda - Calculus$, so the programming is done through function and computation applications. The language is strongly typed, i.e., the types of functions and values must be explicitly defined on compile time; otherwise, the compiler will try to bind those types to the broadest possible ones in the current context.

Concepts of lazy evaluation and strict evaluation relates to interpretation of the parameters of a function. Languages with strict evaluation evaluates all parameters of a function call before running its body. In the case of languages with lazy evaluation, such as Haskell, parameters of a function are evaluated only when they become necessary inside the function body.

The language is called purely functional because it does not allow a function application to change the global state of the program, only changes to variables and values local to the function execution are allowed. Changing the global state of the program is a kind of side effect which is common in imperative languages. Functional programming languages that allow side effects are called non-pure.

To allow operations that may cause side effects to be executed without causing side effects to the hole program, Haskell performs side-effect actions through a mathematical entity called a monad. Monads can sequence side-effect computations passing a copy of the actual global state implicitly to those computations. It prevents the side effects to change the real global state of the program.

Haskell functions can be declared just as in $\lambda - Calculus$ by Lambda Abstractions or in the Haskell syntax; in both styles we can name function definitions to later reuse. If a function type is not defined, the compiler will compute the broader type in the context. The Haskell syntax is preferred because it's easier and more practical for writing larger programs. Here we show the function `add` for summing two numbers defined with Lambda Abstractions and in the Haskell syntax, respectively; the compiler will calculate type `Integer -> Integer` to the functions as we haven't declared the type of the functions:

```
add     = \x y -> x+y
add x y = x+y
```

It is necessary to differentiate functions, as the previously defined `add`, from operators, as the operator +. A function in Haskell is always defined in a prefix way and an operator has an infix definition. Besides these differences, it's possible to simulate a function with an operator and vice versa. Operators can be used as functions if enclosed by parenthesis; a function can be used as an operator if enclosed by back-quotes. We can use the operator + as a function like this: `(+) x y` and the function `add` as an operator like this: `x ‘add‘ y`

Just as in other functional languages, the main data representation in Haskell are lists. There can be lists of primitive types, lists of tuples, lists of lists, lists of functions, etc.; the only requirement is that all elements of the list have the same type. The order and the quantity of elements within a list are taken into account when comparing them for equality.

Two basic operators to manipulate lists are the operator ":" (list construction) and the operator "++" (list concatenation). A list is always constructed from an empty list and some element by the list construction operator and two lists can be concatenated only if their elements have the same type.

Another feature largely explored in Haskell is pattern matching. Functions can be defined by pattern matching their parameters, as follows:

```
fat :: Int -> Int
fat 1 = 1
fat x = x * fat(x-1)
```

Each call to the function `fat` will pattern match against each line of its definition, from the first one to the last one, until the parameters of the function call match parameters from one of the definitions. Thus, the more specific definitions must come before the generic one. In Haskell Source Code 2.2.1 we can see pattern matching applied in case expressions, list constructors and let expressions.

A fundamental tool in Haskell is the data type construction. A data type must have at least one constructor that may be empty or may have type variables. Type variables are used to construct polymorphic data types; the constructor and its type variables may be enclosed by parenthesis in order to avoid ambiguity. In Haskell Source Code 2.2.1 we defined the polimorphic type `Split a b` with one constructor `(Split b [[a]])`.

We can collect functions and data types from similar contexts in libraries. Haskell libraries are called modules and can control which functions and data types from that module should be exposed to users. We've created a module in Haskell Source Code 2.2.1 and let all the functions to be exposed to the users. There is a standard library, called Prelude, which defines basic functions that operate on primitive types, such as: Bool, Char, List, String, numeric types and tuples involving those types. All Haskell compilers must implement the Prelude library as this implementation is part for the language definition.

Haskell Source Code 2.2.1 Haskell source code for GenSort sorting program.

```haskell
module GenSort where
import Data.List
data Split a b = Split b [[a]]
genSort :: Ord a => ([a] -> Split a b) -> (Split a b -> [a]) -> [a] -> [a]
genSort split join l = case l of
  _ : _ : _ -> let Split c ls = split l in
                 join $ Split c $ map (genSort split join) ls
  _ -> l
splitInsertionSort :: [a] -> Split a a
splitInsertionSort (a : l) = Split a [l]
joinInsertionSort :: Ord a => Split a a -> [a]
joinInsertionSort (Split a [l]) = insert a l
insertionSort :: Ord a => [a] -> [a]
insertionSort = genSort splitInsertionSort joinInsertionSort
splitQuickSort :: Ord a => [a] -> Split a a
splitQuickSort (a : l) =
  let (ls, gs) = partition (< a) l in Split a [ls, gs]
joinQuickSort :: Split a a -> [a]
joinQuickSort (Split a [ls, gs]) = ls ++ (a : gs)
quickSort :: Ord a => [a] -> [a]
quickSort = genSort splitQuickSort joinQuickSort
splitMergeSort :: [a] -> Split a ()
splitMergeSort l =
  let (l1, l2) = splitAt (div (length l) 2) l in Split () [l1, l2]
joinMergeSort :: Ord a => Split a () -> [a]
joinMergeSort (Split _ [l1, l2]) = merge l1 l2
merge :: Ord a => [a] -> [a] -> [a]
merge l1 l2 = case l1 of
  [] -> l2
  x1 : r1 -> case l2 of
    [] -> l1
    x2 : r2 -> if x1 < x2
      then x1 : merge r1 l2
      else x2 : merge l1 r2
mergeSort :: Ord a => [a] -> [a]
mergeSort = genSort splitMergeSort joinMergeSort
splitSelectionSort :: Ord a => [a] -> Split a a
splitSelectionSort l =
  let m = minimum l in Split m [delete m l]
joinSelectionSort :: Split a a -> [a]
joinSelectionSort (Split a [l]) = a : l
selectionSort :: Ord a => [a] -> [a]
selectionSort = genSort splitSelectionSort joinSelectionSort
```

2.3   HasCASL

This section presents the language HasCASL[8]. The formal language definition can be found in another document [9].

The language HasCASL is an extension of CASL with concepts of higher-order logic such as high order types and functions, polymorphism and type constructors. HasCASL was planned to have Haskell as its subset; this should make it possible to transform a HasCASL specification in a Haskell program in a simple way.

Standard higher-order logic does not allow recursive types and functions widely used in functional languages. HasCASL tries to solve this problem without using denotational semantic, by creating an internal logic to λ-abstractions which is not a primitive concept, but that emerges from the constructions. Thus, although higher-order properties can be obtained, HasCASL remains close to the CASL language.

The sentences in HasCASL differ from those in CASL in two respects:

- Quantifiers (universal, existential and unique existential) can be applied on type variables and have restrictions related to sub-types;

- CASL predicates are replaced by terms of the type `Unit`.

Unlike in other functional programming languages, polymorphic operators must be explicitly instantiated, since it is not yet clear, theoretically, how they relate to resolution of sub-type overloads and implicit instantiation.

As HasCASL tries to keep as close as possible to CASL, its semantic is also based on set theory. Intentional Henkin models are chosen to model higher-order signatures in the HasCASL semantic. In this model, the types of functions are interpreted by arbitrary sets equipped with an application function of the appropriate type (opposed to a partial type `s ->? t` been interpreted by the complete set of all partial functions from s to t). The interpretation of the λ-terms is part of the model structure rather than just being an existential axiom.

The intensional model has some advantages, including: it eliminates the completeness problem; allows initial models of signatures containing partial functions; and allows the operational semantics of functional programming languages to be applied, instead of directly using higher-order logic operational semantic.

Unlike Haskell, in which function evaluation is lazy, the evaluation of functions in HasCASL is strict, i.e., undefined arguments always result in undefined values. One way to emulate the lazy evaluation is to move a parameter with type `a` to the unit type `Unit ->? a`.

Types are defined by the reserved word `type`, which may be preceded by the qualifiers `free` and `generated`, as in CASL. Defining types which contain function types as constructor parameters and recursion only on the right side of the arrow should be done with the reserved word `cofree`; when recursion is present in both sides of the arrow, the types must be defined with the reserved word `free`. Recursive functions should be defined using the abbreviation

`op f:t = rec` α, which is equivalent to declaring the operation `op f:t` and adding the axiom `f=Y(`λ `f:t.a)`, where `Y` is the fixed point operator.

2.4 Heterogeneous Specifications: HetCASL and Hets

Nowadays, in the formal method area, different logics and methods are used to specify large systems because there isn't a single best solution to achieve all the desired functionalities. These heterogeneous specifications must have a formal interoperability between the languages involved in such a way that each language may have its own proof method and all formal proofs must be consistent when viewed in terms of the heterogeneous specification.

The various sub-languages and extensions of CASL maybe linked by the language Heterogeneous CASL (HetCASL) [11], which has the structural constructions of CASL. HetCASL extends the semantic properties of CASL by being institution independent with constructions that forcing the relationship between translations of specifications that occur in conjunction with translations of the logics. It is worth emphasizing that HetCASL preserves the fact that the logic used by individual specifications are orthogonal to CASL.

The Heterogeneous Tool Set (Hets) [11] is a syntactic analyzer and a proof manager for HetCASL specifications, implemented in Haskell, which combines the various proof tools for each individual logic used in various sub-languages and extensions of CASL. Hets is based on a graph of logics and languages, providing a clear semantic and a proof calculus for heterogeneous specifications.

Each logic of the graph is represented by a set of types and functions in Haskell. The syntax and semantics of the heterogeneous specifications in HetCASL and their implementations are parametrized by an arbitrary graph of logics inside Hets. This allows easily management of each Hets module implementation using software engineering techniques.

HasCASL specifications are translated to the Isar language, which is the language used by the Isabelle theorem prover [5], a semi-automatic theorem prover for higher-order logics. Hets supports other first-order theorem provers for proving CASL specifications. Other CASL sub-languages or extensions maybe proved by translating them to CASL or HasCASL.

The structure of proofs in Hets is based on the formalism of development graphs [4], widely used for specifications of industrial systems. The graph structure allows for a direct visualization of the specification structure and facilitates the management of specifications with many sub-specifications.

A development graph consists of a number of nodes (corresponding to complete specifications or parts+ of specifications) and a set of edges called definition links that indicate dependency between the various specifications and their sub-specifications. Each node is associated with a signature and a local set of axioms; these axioms are inherited by other nodes which depend on this node through definition links. Different types of edges are used to indicate when the logic is changed between two nodes.

A second type of edge, a theorem link, is used to indicate relations between different theories, serving to represent proof needs that arise during the specification development.

Theorem links can be global or local (represented by edges with different shapes in the graph): global links indicate that all valid axioms in the source node are valid in the target node; local links indicate that only axioms defined in the source node are valid in target node.

Global theory links are broken down into simpler links (global or local) through proof calculus for development graphs. Local links may be proved by transforming them into local proof goals; this transformation will mark the node corresponding to that goal to be proved using the theorem prover for the logic represented on this node.

2.5 Isabelle

This section describes the theorem prover Isabelle [2]; a full description can be found on the manual [5].

Isabelle is a generic theorem prover that allows the use of several logics as formal calculus to assist in theorem proofs. Hets uses Isabelle to prove theorems in higher-order logic, but the prover allows, for example, the use of axiomatized set theory, among other logics. Support for multiple logic is one of the prominent features of the tool.

The prover has an excellent support for mathematical notation: new symbols may be included using common mathematical syntax and proofs can be described in a structured way or as a sequence of proof commands. Proofs may include T$_E$X codes so that formatted documents can be generated directly from the proof source.

The major limitation of theorem provers is the usual need for an extensive previous experience from the users. In order to facilitate the process of proof construction, Isabelle has some tools that automate some proof contents, such as equations, basic arithmetic and mathematical formulas.

The higher-order language (HOL) is used to write theories. Its syntax is very similar to those of functional programming languages because it is based on the typed λ-calculus. This language allows construction of data types, types with functions as parameters and other constructions common in functional languages. Translation of HasCASL specifications to HOL theories are automatically made by the Hets tool.

Isabelle has an extension, called Isar, which allows one to describe proofs that can be read by humans and can be easily interpreted by computers. It has an extensive library of mathematical theories already proved (for example, in topics like algebra and set theory), and also many examples of proofs carried out in a formal verification context. In this work, proofs were written using proof commands, although they are less powerful than the notation used in Isar.

2.6 Proposal

A prerequisite for the practical use of a specification language is the availability of a set of standard specifications previously defined [7]. CASL language has such set o specifica-

tions defined in "CASL Basic Datatypes"[6]; instead of providing common blocks for reuse as programming languages usually do, this document provides complete specification examples that illustrate the use of CASL both in terms of Basic Specifications and Structured Specification. There are two groups of examples: one with basic data types and one with specifications that express properties of complex structures. In the first case, we can find simple data types, such as numbers and characters, as well as structured data types, such as lists, vectors and matrices; the second group contains algebraic structures such as rings and monoids and mathematical properties such as equivalence relation and partial order.

Currently, HasCASL language does not have a library along the lines of CASL library. According Scröder, data types described in "CASL Basic Datatypes" can serve as a basis for building a standard library to each CASL extensions. In case of HasCASL, it is suggested the inclusion of new specifications that involve higher order features such as completeness of partial orders as well as extending data types and changing parameterization for real type dependence. As example, higher order functions operating on lists, such as map, filter and fold, can be specified after importing already defined functions on List data type from CASL library to improve reuse.

Based on these suggestions, we propose to build a library for HasCASL based on CASL library and Haskell Prelude library. Creating such a library can be very useful to increase HasCASL usage in real projects by providing predefined specifications for reuse. As Prelude library must be implemented by all Haskell compilers, having its data types already specified in HasCASL can contribute to automatic code generation in the future as, once these data types are already specified, verified and refined to Haskell code, larger specifications using them can be created and translated to Haskell in an easier way.

Creation of such a library required studing how Haskell functions and types operate and finding solutions to include these elements on our library with maximum reuse of CASL library data types. Learning CASL, HasCASL and Isabelle and dealing with their peculiarities were the center of the project difficulties.

All generated specifications were verified by Hets tool and most of them were proved using Isabelle to ensure their correctness.

3   Specifying the library

3.1   Initial choices

To fully capture Haskell features our library should use laziness, be refined to use continuos functions, and, this way, allow infinite data types. As starting with all these functionalities would require using the the most advanced constructions of HasCASL language and would require deep know-how of Isabelle proof scripts, it seemed to be the wrong choice to a first work in algebraic specification methodology. Thus, we decided the library should be specified using strict types and more advanced Haskell feature should be left to posterior refinement.

Different from Haskell, HasCASL doesn't allow the same function to be used in prefix and infix way. Thus, all functions from CASL library which were defined in mixfix way (and expected tuples as parameters) wouldn't be compatible with Haskell curried functions. To solve this problem, we redefined functions from CASL library in mixfix way and, for each mixfix function, we created a curried version whose name would be formed by enclosing the name of mixfix function between brackets. This solution created a pattern for naming curried functions that was easy to remember and allowed all of our functions to be curried with other functions.

To write our library, we used the names from Prelude functions and types. When importing, we changed the imported name to the one used by Prelude version using CASL renaming syntax. When there was any function in Prelude that had no equivalent in an existent CASL specification, we included that function in our HasCASL type to match Prelude types and functions as much as possible.

3.2   Our first specification: Bool

We started our library by importing Boolean type from CASL library, like this:

```
spec Bool = {Boolean with
        Boolean |-> Bool,
        Not__  |-> not__,
        __And__  |-> __&&__ ,
        __Or__  |-> __||__
        }
    then
    op otherwise: Bool
  . otherwise = True
```

As we were still pondering about using laziness, we decided it should be better to specify Boolean from scratch as the one imported from CASL has only total functions.

```
spec Bool = %mono
     free type Bool ::= True | False
     fun Not__: ?Bool ->? ?Bool
     fun __&&__: ?Bool * ?Bool ->? ?Bool
     fun __||__: ?Bool * ?Bool ->? ?Bool
     fun otherwise: ?Bool
     vars x,y: ?Bool
     . Not(False) = True                %(Not_False)%
     . Not(True) = False                %(Not_True)%
     . False && False = False           %(And_def1)%
     . False && True = False            %(And_def2)%
     . True  && False = False           %(And_def3)%
     . True  && True = True             %(And_def4)%
     . x || y = Not(Not(x) && Not(y))   %(Or_def)%
     . otherwise = True                 %(Otherwise_def)%
end
```

Later, we've decided to use strictness as we could refine the specification to use laziness in future. We have also included curried versions for both boolean operations that are mixfix in CASL version and some axioms that should be used later in Isabelle proofs that couldn't be done automatically. As otherwise is an Isabelle reserved word, we appended an H, from Haskell, to its name. Thus, we achieved the Specification 3.2.1.

3.3 Equality Specification

After defining Bool type, the next step was defining equality functions. As we were working over Bool, we could not use HasCASL predicates and their related operations; we had to redefine all functions and operations related to element comparison to use our Bool type. As in the Haskell Prelude, equality functions were grouped in a class named Eq, giving us the Specification 3.3.1.

Equality was defined including axioms for symmetry, reflexivity and transitivity of equality relation. An axiom mapping HasCASL equality to our equality was created %(EqualTDef)%; the opposite map cannot be created because it would be too restrictive. Negation was defined by negating the equality, as any equation involving negation could be translated to a negated equality and thus proved with equality axioms. Curried versions for both functions were also defined. Seven auxiliary theorems were created to be used by Isabelle, if needed.

Type instances were declared, as it's done in Prelude, for Bool and Unit data types. In the first case, although Bool is a free data type and, hence, True is different from False, this difference had to be axiomatized by the axiom %(IBE3)% because our equality is not mapped to HasCASL equality; all the other theorems for Bool instance declaration should

---

Specification 3.2.1 Boolean Specification

```
spec Bool = %mono
free type Bool ::= True | False
fun Not__ : Bool -> Bool
fun __&&__ : Bool * Bool -> Bool
fun <&&> : Bool -> Bool -> Bool
fun __||__ : Bool * Bool -> Bool
fun <||> : Bool -> Bool -> Bool
fun otherwiseH: Bool
vars x,y: Bool
. Not(False) = True                 %(NotFalse)%
. Not(True) = False                 %(NotTrue)%
. False && x = False                %(AndFalse)%
. True && x = x                     %(AndTrue)%
. x && y = y && x                   %(AndSym)%
. x || y = Not(Not(x) && Not(y))    %(OrDef)%
. otherwiseH = True                 %(OtherwiseDef)%
. <&&> x y = x && y                 %(AndPrefixDef)%
. <||> x y = x || y                 %(OrPrefixDef)%
%%
. Not x = True <=> x = False        %(NotFalse1)% %implied
. Not x = False <=> x = True        %(NotTrue1)% %implied
. not (x = True) <=> Not x = True   %(notNot1)% %implied
. not (x = False) <=> Not x = False %(notNot2)% %implied
end
```

Specification 3.3.1 Equality specification

```
spec Eq = Bool then
class Eq {
var a: Eq
fun __==__ : a * a -> Bool
fun <==> : a -> a -> Bool
fun __/=__ : a * a -> Bool
fun </=> : a-> a-> Bool
vars x,y,z: a
. x = y => (x == y) = True                                %(EqualTDef)%
. x == y = y == x                                        %(EqualSymDef)%
. (x == x) = True                                       %(EqualReflex)%
. (x == y) = True /\ (y == z) = True => (x == z) = True   %(EqualTransT)%
. (x /= y) = Not (x == y)                                   %(DiffDef)%
. <==> x y = x == y                                    %(EqualPrefixDef)%
. </=> x y = x /= y                                      %(DiffPrefixDef)%
. (x /= y) = (y /= x)                              %(DiffSymDef)% %implied
. (x /= y) = True <=> Not (x == y) = True           %(DiffTDef)% %implied
. (x /= y) = False <=> (x == y) = True              %(DiffFDef)% %implied
. (x == y) = False => not (x = y)          %(TE1)% %implied
. Not (x == y) = True <=> (x == y) = False    %(TE2)% %implied
. Not (x == y) = False <=> (x == y) = True    %(TE3)% %implied
. not ((x == y) = True) <=> (x == y) = False %(TE4)% %implied
}
type instance Bool: Eq
. (True == True) = True              %(IBE1)% %implied
. (False == False) = True            %(IBE2)% %implied
. (False == True) = False            %(IBE3)%
. (True == False) = False            %(IBE4)% %implied
. (True /= False) = True             %(IBE5)% %implied
. (False /= True) = True             %(IBE6)% %implied
. Not (True == False) = True         %(IBE7)% %implied
. Not (Not (True == False)) = False  %(IBE8)% %implied
type instance Unit: Eq
. (() == ()) = True  %(IUE1)% %implied
. (() /= ()) = False %(IUE2)% %implied
end
```

follow from `%(IBE3)%` and the other `Eq` axioms. In the second case, as `()` is the only element from type `Unit`, instance definitions should be theorems as they follow from the `Eq` axioms.

3.4 Ordering Specification

The next specification we defined was `Ord`, for Ordering functions. Our first approach was to import partial order defined at Ord specification inside library HasCASL/Metatheory/Ord. As importing this library would cause problems to our strict library because the imported one uses lazy types, we decided to specify our own version.

To create Ord specification, as shown in Specification 3.4.1, we defined `Ordering` data type and declared this type as instance of `Eq` class: three axioms relate the three constructors and the other theorems follow from them. As in Haskell, we defined class `Ord` to be a subclass of class Eq. We specified a total order function `__<__` and all other ordering functions were defined over this function. Irreflexivity, asymmetry, transitivity and totality properties were made theorems over the ordering functions besides `__<__`.

Next, four axioms defining equality in function of functions, four axioms to swap equal variables in the `__<__` function, and two axioms relating total and partial ordering involving equality were defined. Twenty one theorems relating ordering functions guarantees that these functions work as expected. Curried version for ordering functions were defined, followed by the definition of `compare`, `min` and `max` functions and two theorems relating `min` and `max` functions. Seven auxiliary theorems are included as some of them were needed by Isabelle proofs later, specially `%(T06)%` which relates ordering functions and the funciton `Not__`.

The folloing types were declared instances of `Ord` class: `Ordering`, `Bool`, `Nat` and `Unit`. For the first two data types we needed to define how `__<__` works by an axiom because they have more than one type constructor; for `Nat` type we only declared the type to be an instance of Ord, but didn't define the axioms; for `Unit` type all functions can be proved because there is only one member of this type.

Specification 3.4.1 Ord Specification - Part 1

```
spec Ord = Eq and Bool then
free type Ordering ::= LT | EQ | GT
type instance Ordering: Eq
. (LT == LT) = True    %(IOE01)% %implied
. (EQ == EQ) = True    %(IOE02)% %implied
. (GT == GT) = True    %(IOE03)% %implied
. (LT == EQ) = False   %(IOE04)%
. (LT == GT) = False   %(IOE05)%
. (EQ == GT) = False   %(IOE06)%
. (LT /= EQ) = True    %(IOE07)% %implied
. (LT /= GT) = True    %(IOE08)% %implied
. (EQ /= GT) = True    %(IOE09)% %implied
class Ord < Eq {
 var a: Ord
 fun compare: a -> a -> Ordering
 fun __<__ : a * a -> Bool
 fun <<> : a -> a -> Bool
 fun __>__ : a * a -> Bool
 fun <>> : a -> a -> Bool
 fun __<=__ : a * a -> Bool
 fun <<=> : a -> a -> Bool
 fun __>=__ : a * a -> Bool
 fun <>=> : a -> a -> Bool
 fun min: a -> a -> a
 fun max: a -> a -> a
 var    x, y, z, w: a
 . (x == y) = True => (x < y) = False         %(LeIrreflexivity)%
 . (x < y) = True => y < x = False            %(LeTAsymmetry)% %implied
 . (x < y) = True /\ (y < z) = True => (x < z) = True  %(LeTTransitive)%
```

Specification 3.4.1 Ord Specification - Part 2

```
. (x < y) = True \/ (y < x) = True
\/ (x == y) = True                            %(LeTTotal)%
. (x > y) = (y < x)                           %(GeDef)%
. (x == y) = True => (x > y) = False      %(GeIrreflexivity)% %implied
. (x > y) = True => (y > x) = False       %(GeTAsymmetry)% %implied
. ((x > y)  && (y > z)) = True
=> (x > z) = True                             %(GeTTransitive)% %implied
. (((x > y) || (y > x)) || (x == y)) = True  %(GeTTotal)% %implied
. (x <= y) = (x < y) || (x == y)              %(LeqDef)%
. (x <= x) = True                             %(LeqReflexivity)% %implied
. ((x <= y) && (y <= z)) = True
=> (x <= z) = True                            %(LeqTTransitive)% %implied
. (x <= y) && (y <= x) = (x == y)             %(LeqTTotal)% %implied
. (x >= y) = ((x > y) || (x == y))            %(GeqDef)%
. (x >= x) = True                             %(GeqReflexivity)% %implied
. ((x >= y) && (y >= z)) = True
=> (x >= z) = True                            %(GeqTTransitive)% %implied
. (x >= y) && (y >= x) = (x == y)             %(GeqTTotal)% %implied
. (x == y) = True <=> (x < y) = False /\ (x > y) = False  %(EqTSOrdRel)%
. (x == y) = False <=> (x < y) = True \/ (x > y) = True   %(EqFSOrdRel)%
. (x == y) = True <=> (x <= y) = True /\ (x >= y) = True  %(EqTOrdRel)%
. (x == y) = False <=> (x <= y) = True \/ (x >= y) = True %(EqFOrdRel)%
. (x == y) = True /\ (y < z) = True => (x < z) = True     %(EqTOrdTSubstE)%
. (x == y) = True /\ (y < z) = False => (x < z) = False   %(EqTOrdFSubstE)%
. (x == y) = True /\ (z < y) = True => (z < x) = True     %(EqTOrdTSubstD)%
. (x == y) = True /\ (z < y) = False => (z < x) = False   %(EqTOrdFSubstD)%
. (x < y) = True
<=> (x > y) = False /\ (x == y) = False                   %(LeTGeFEqFRel)%
. (x < y) = False
<=> (x > y) = True \/ (x == y) = True                     %(LeFGeTEqTRel)%
. (x < y) = True <=> (y > x) = True           %(LeTGeTRel)% %implied
. (x < y) = False <=> (y > x) = False         %(LeFGeFRel)% %implied
. (x <= y) = True <=> (y >= x) = True         %(LeqTGetTRel)% %implied
. (x <= y) = False <=> (y >= x) = False       %(LeqFGetFRel)% %implied
. (x > y) = True <=> (y < x) = True           %(GeTLeTRel)% %implied
. (x > y) = False <=> (y < x) = False         %(GeFLeFRel)% %implied
. (x >= y) = True <=> (y <= x) = True         %(GeqTLeqTRel)% %implied
. (x >= y) = False <=> (y <= x) = False       %(GeqFLeqFRel)% %implied
. (x <= y) = True <=> (x > y) = False         %(LeqTGeFRel)% %implied
. (x <= y) = False <=> (x > y) = True         %(LeqFGeTRel)% %implied
```

Specification 3.4.1 Ord Specification - Part 3

```
 . (x > y) = True
<=> (x < y) = False /\ (x == y) = False        %(GeTLeFEqFRel)% %implied
 . (x > y) = False
<=> (x < y) = True \/ (x == y) = True           %(GeFLeTEqTRel)% %implied
 . (x >= y) = True <=> (x < y) = False           %(GeqTLeFRel)% %implied
 . (x >= y) = False <=> (x < y) = True           %(GeqFLeTRel)% %implied
 . (x <= y) = True
<=> (x < y) = True \/ (x == y) = True           %(LeqTLeTEqTRel)% %implied
 . (x <= y) = False
<=> (x < y) = False /\ (x == y) = False         %(LeqFLeFEqFRel)% %implied
 . (x >= y) = True
<=> (x > y) = True \/ (x == y) = True           %(GeqTGeTEqTRel)% %implied
 . (x >= y) = False
<=> (x > y) = False /\ (x == y) = False         %(GeqFGeFEqFRel)% %implied
 . (x < y) = True <=> (x >= y) = False            %(LeTGeqFRel)% %implied
 . (x > y) = True <=> (x <= y) = False            %(GeTLeqFRel)% %implied
 . (x < y) = (x <= y) && (x /= y)                  %(LeLeqDiff)% %implied
 . <<> x y = x < y                                 %(LePrefixDef)%
 . <<=> x y = x <= y                               %(LeqPrefixDef)%
 . <>> x y = x > y                                 %(GePrefixDef)%
 . <>=> x y = x >= y                               %(GeqPrefixDef)%
 . (compare x y == LT) = (x < y)                   %(CmpLTDef)%
 . (compare x y == EQ) = (x == y)                  %(CmpEQDef)%
 . (compare x y == GT) = (x > y)                   %(CmpGTDef)%
 . (max x y == y) = (x <= y)                       %(MaxYDef)%
 . (max x y == x) = (y <= x)                       %(MaxXDef)%
 . (min x y == x) = (x <= y)                       %(MinXDef)%
 . (min x y == y) = (y <= x)                       %(MinYDef)%
 . (max x y == y) = (max y x == y)                 %(MaxSym)% %implied
 . (min x y == y) = (min y x == y)                 %(MinSym)% %implied
}
. (x == y) = True \/ (x < y) = True <=> (x <= y) = True %(TO1)% %implied
. (x == y) = True  => (x < y) = False                    %(TO2)% %implied
. Not (Not (x < y)) = True \/ Not (x < y) = True        %(TO3)% %implied
. (x < y) = True => Not (x == y) = True                 %(TO4)% %implied
. (x < y) = True /\ (y < z) = True /\ (z < w) = True
=> (x < w) = True                                        %(TO5)% %implied
. (z < x) = True => Not (x < z) = True                  %(TO6)% %implied
. (x < y) = True <=> (y > x) = True                     %(TO7)% %implied
```

Specification 3.4.1 Ord Specification - Part 4

```
type instance Ordering: Ord
. (LT < EQ) = True                            %(IO013)%
. (EQ < GT) = True                            %(IO014)%
. (LT < GT) = True                            %(IO015)%
. (LT <= EQ) = True                           %(IO016)% %implied
. (EQ <= GT) = True                           %(IO017)% %implied
. (LT <= GT) = True                           %(IO018)% %implied
. (EQ >= LT) = True                           %(IO019)% %implied
. (GT >= EQ) = True                           %(IO020)% %implied
. (GT >= LT) = True                           %(IO021)% %implied
. (EQ > LT) = True                            %(IO022)% %implied
. (GT > EQ) = True                            %(IO023)% %implied
. (GT > LT) = True                            %(IO024)% %implied
. (max LT EQ == EQ) = True                    %(IO025)% %implied
. (max EQ GT == GT) = True                    %(IO026)% %implied
. (max LT GT == GT) = True                    %(IO027)% %implied
. (min LT EQ == LT) = True                    %(IO028)% %implied
. (min EQ GT == EQ) = True                    %(IO029)% %implied
. (min LT GT == LT) = True                    %(IO030)% %implied
. (compare LT LT == EQ) = True                %(IO031)% %implied
. (compare EQ EQ == EQ) = True                %(IO032)% %implied
. (compare GT GT == EQ) = True                %(IO033)% %implied
type instance Bool: Ord
. (False < True) = True                       %(IBO5)%
. (False >= True) = False                     %(IBO6)% %implied
. (True >= False) = True                      %(IBO7)% %implied
. (True < False) = False                      %(IBO8)% %implied
. (max False True == True) = True             %(IBO9)% %implied
. (min False True == False) = True            %(IBO10)% %implied
. (compare True True == EQ) = True            %(IBO11)% %implied
. (compare False False == EQ) = True          %(IBO12)% %implied
type instance Nat: Ord
type instance Unit: Ord
. (() <= ()) = True                           %(IU001)% %implied
. (() <  ()) = False                          %(IU002)% %implied
. (() >= ()) = True                           %(IU003)% %implied
. (() > ()) = False                           %(IU004)% %implied
. (max () () == ()) = True                    %(IU005)% %implied
. (min () () == ()) = True                    %(IU006)% %implied
. (compare () () == EQ) = True                %(IU007)% %implied
end
```

3.5 Maybe, Either, MaybeMonad and EitherFunctor Specifications

The data type `Maybe a`, where a is a type variable, has constructors: `Just a` and `Nothing`, as shown in Specification 3.5.1. It has an associated function `maybe` that applies a function to the value x of a constructor `Just x` and returns this application's result or returns a default value, received as parameter.

We declare `Maybe` type to be instance of class Eq by defining how equality works on two elements of `Just` constructor, proving that it works as expected on two `Nothing` constructors and defining the result of comparing both `Just` and `Nothing` constructors.

Type instance declaration for class `Ord` defines how function `__<__` compares `Just` and `Nothing` constructors and how it compares two different `Just` elements. Comparing two elements of `Nothing` constructor don't need to be defined because they always compare two equal elements (two copies of `Nothing` constructor). The theorems proves that the other comparing functions works as expected comparing `Just` and `Nothing` constructors. More theorems involving two elements of `Just` constructor could be proved just as we did for `Just` and `Nothing`. We decided not to write them because all of them should fall to the ordering theorems after applying some comparing axioms and the axioms `%(IMO12)%` and `%(IME03)%`. Unless Isabelle needs them later, writing these theorems should only take a lot of time and wouldn't change the way the specification is defined.

Data type `Either a b`, where a and b are types, has constructors `Left a` and `Right b`, as shown in Specification 3.5.2. The associated function `either` receives as parameters two functions and an `Either a b` element, `either` applies the first received function to the element in case the its constructor is the `Left a` constructor; the second functions is applied to the element in case the constructor is `Right b`.

`Either` was declared an instance of class Eq by defining how the equality works with two elements with the constructor `Left a`, two elements with the constructor `Right b` and one element with each of those constructors.

Type declaration for class Ord was done by defining how the function `__<__` works with two different constructors and with two elements of each constructor. The theorems were, again, declared to ordering functions working with two elements of distinct constructors, as done in `Maybe` data type specification.

We separated functor and monadic functions for `Maybe` and `Either` data types in different specifications, as show in Specification 3.5.3 and Specification 3.5.4, respectively. At this time, Hets cannot translate functions from constructor classes, as the Monad class; thus, these specifications can only be syntactic checked by Hets, but not translated to and neither proved by Isabelle. Our approach was to declare all functions from Functor and Monad classes as theorems, so later, if some of them must be axioms, we can remove the `%implied` directive and change the theorems to be axioms.

Specification 3.5.1 Maybe Specification

```
spec Maybe = Eq and Ord then
var a,b,c : Type;
    e : Eq;
    o : Ord;
free type Maybe a ::= Just a | Nothing
var x : a;
    y : b;
    ma : Maybe a;
    f : a -> b
fun maybe : b -> (a -> b) -> Maybe a -> b
. maybe y f (Just x: Maybe a) = f x                      %(MaybeJustDef)%
. maybe y f (Nothing: Maybe a) = y                       %(MaybeNothingDef)%
type instance Maybe e: Eq
var x,y : e;
. (Just x == Just y) = True <=> (x == y) = True          %(IME01)%
. ((Nothing : Maybe e) == (Nothing: Maybe e)) = True     %(IME02)% %implied
. Just x == Nothing = False                              %(IME03)%
type instance Maybe o: Ord
var x,y : o;
. (Nothing < Just x) = True                              %(IMO01)%
. (Just x < Just y) = (x < y)                            %(IMO02)%
. (Nothing >= Just x) = False                            %(IMO03)% %implied
. (Just x >= Nothing) = True                             %(IMO04)% %implied
. (Just x < Nothing) = False                             %(IMO05)% %implied
. (compare Nothing (Just x) == EQ)
    = (Nothing == (Just x))                              %(IMO06)% %implied
. (compare Nothing (Just x) == LT)
    = (Nothing < (Just x))                               %(IMO07)% %implied
. (compare Nothing (Just x) == GT)
    = (Nothing > (Just x))                               %(IMO08)% %implied
. (Nothing <= (Just x))
    = (max Nothing (Just x) == (Just x))                 %(IMO09)% %implied
. ((Just x) <= Nothing)
    = (max Nothing (Just x) == Nothing)                  %(IMO10)% %implied
. (Nothing <= (Just x))
    = (min Nothing (Just x) == Nothing)                  %(IMO11)% %implied
. ((Just x) <= Nothing)
    = (min Nothing (Just x) == (Just x))                 %(IMO12)% %implied
end
```

Specification 3.5.2 Either Specification

```
spec Either = Eq and Ord then
var a, b, c : Type; e, ee : Eq; o, oo : Ord;
free type Either a b ::= Left a | Right b
var x : a; y : b; z : c; eab : Either a b; f : a -> c; g : b -> c
fun either : (a -> c) -> (b -> c) -> Either a b -> c
. either f g (Left x: Either a b) = f x              %(EitherLeftDef)%
. either f g (Right y: Either a b) = g y             %(EitherRightDef)%
type instance Either e ee: Eq
var x,y : e; z,w : ee;
. ((Left x : Either e ee) ==
   (Left y : Either e ee)) = (x == y)                %(IEE01)%
. ((Right z : Either e ee) ==
   (Right w : Either e ee)) = (z == w)               %(IEE02)%
. ((Left x : Either e ee) ==
   (Right z : Either e ee)) = False                  %(IEE03)%
type instance Either o oo: Ord
var x,y : o; z,w : oo;
. ((Left x : Either o oo) < (Right z : Either o oo))  = True    %(IEO01)%
. ((Left x : Either o oo) < (Left y : Either o oo)) = (x < y)   %(IEO02)%
. ((Right z : Either o oo) < (Right w : Either o oo)) = (z < w) %(IEO03)%
. ((Left x : Either o oo) >= (Right z : Either o oo))
    = False                                          %(IEO04)% %implied
. ((Right z : Either o oo) >= (Left x : Either o oo))
    = True                                           %(IEO05)% %implied
. ((Right z : Either o oo) < (Left x : Either o oo))
    = False                                          %(IEO06)% %implied
. (compare (Left x : Either o oo) (Right z : Either o oo) == EQ)
    = ((Left x) == (Right z))                        %(IEO07)% %implied
. (compare (Left x : Either o oo) (Right z : Either o oo) == LT)
    = ((Left x) < (Right z))                         %(IEO08)% %implied
. (compare (Left x : Either o oo) (Right z : Either o oo) == GT)
    = ((Left x) > (Right z))                         %(IEO09)% %implied
. ((Left x : Either o oo) <= (Right z : Either o oo))
    = (max (Left x) (Right z) == (Right z))          %(IEO10)% %implied
. ((Right z : Either o oo) <= (Left x : Either o oo))
    = (max (Left x) (Right z) == (Left x))           %(IEO11)% %implied
. ((Left x : Either o oo) <= (Right z : Either o oo))
    = (min (Left x) (Right z) == (Left x))           %(IEO12)% %implied
. ((Right z : Either o oo) <= (Left x : Either o oo))
    = (min (Left x) (Right z) == (Right z))          %(IEO13)% %implied
end
```

Specification 3.5.3 MaybeMonad Specification

---
from HasCASL/Metatheory/Monad get Functor, Monad


```
spec MaybeMonad = Maybe and Monad then
var a,b,c : Type; e : Eq; o : Ord;
type instance Maybe: Functor
vars  x: Maybe a; f: a -> b; g: b -> c
. map (\ y: a .! y) x = x                          %(IMF01)% %implied
. map (\ y: a .! g (f y)) x = map g (map f x)      %(IMF02)% %implied
type instance Maybe: Monad
vars  x, y: a;
      p: Maybe a;
      q: a ->? Maybe b;
      r: b ->? Maybe c;
      f: a ->? b
. def q x => ret x >>= q = q x                     %(IMM01)% %implied
. p >>= (\ x: a . ret (f x) >>= r)
     = p >>= \ x: a . r (f x)                       %(IMM02)% %implied
. p >>= ret = p                                    %(IMM03)% %implied
. (p >>= q) >>= r = p >>= \ x: a . q x >>= r        %(IMM04)% %implied
. (ret x : Maybe a) = ret y => x = y               %(IMM05)% %implied
var x : Maybe a; f : a -> b;
. map f x = x >>= (\ y:a . ret (f y))              %(T01)% %implied
end
```

Specification 3.5.4 EitherFunctor Specification

---
from HasCASL/Metatheory/Monad get Functor, Monad


```
spec EitherFunctor = Either and Functor then
var a, b, c : Type; e, ee : Eq; o, oo : Ord;
type instance Either a: Functor
vars x: Either c a; f: a -> b; g: b -> c
. map (\ y: a .! y) x = x                          %(IEF01)% %implied
. map (\ y: a .! g (f y)) x = map g (map f x)    %(IEF02)% %implied
end
```

3.6   Composition and Function Specifications

To start defining Haskell functions, we had to define or import the composition of functions.
We preferred to define because the available definition used lambda expression. Later, we
defined some auxiliary functions present in Prelude, as id, the identity function, and func-
tions to change between curried and uncurried versions of functions. These specifictions can
be seen on Specification 3.6.1.

Specification 3.6.1 Composition and Function Specifications

```
spec Composition =
vars a,b,c : Type
fun __o__ : (b -> c) * (a -> b) -> (a -> c);
vars a,b,c : Type; y:a;
     f : b -> c;
     g : a -> b
. ((f o g) y) = f (g y)                    %(Comp1)%
end


spec Function = Composition then
var a,b,c: Type;
    x: a;
    y: b;
    f: a -> b -> c;
    g: (a * b) -> c
fun id: a -> a
fun flip: (a -> b -> c) -> b -> a -> c
fun fst: (a * b) -> a
fun snd: (a * b) -> b
fun curry: ((a * b) -> c) -> a -> b -> c
fun uncurry: (a -> b -> c) -> (a * b) -> c
. id x = x                     %(IdDef)%
. flip f y x = f x y           %(FlipDef)%
. fst (x, y) = x               %(FstDef)%
. snd (x, y) = y               %(SndDef)%
. curry g x y = g (x, y)       %(CurryDef)%
. uncurry f (x,y) = f x y      %(UncurryDef)%
end
```

3.7   List Specification

The List specification was the largest one and still doesn't aggregate all the functions that the Haskell Prelude defines, specially those involving numeric types. Once again, we had to redefine our specification to remove laziness. We divided this specification in six parts in order to bring concentrate related functions together, in almost the same way as the Haskell Prelude does. See Specification 3.7.1.

The first step was to define the `free type List a`, depending on a type a, with constructors `Nil` and `Cons a (List a)`. The next step was to redefine basic functions to work without laziness, two of these functions, `head` and `tail` must be partial, as they are not defined when applied on an empty list.

The second part of the specification contains the type instance declarations. To declare List as instance of class Eq, we had to define how equality should work and to prove that comparing `Nil` lists worked as expected. Next, we instance declaration to class Ord, we had proved that comparing `Nil` lists worked correctly, then defined how function `__<__` compares two lists and, finally, we'd proved that all the other ordering functions respect their respective specifications.

The third part contains eight theorems involving some functions of the first part of the specification. They are needed in order to specify how those functions interact and should not be axioms because them must follow from the function definitions. As can be seen, we used the `%implies` directive after the `then` keyword in order to mark all the equations in this part as theorems.

The forth part contains five functions that are listed in the Haskell Prelude as List operations; they complete the operation functions from the first part. Again, some of these functions had to be partial as they are not defined to work on empty lists. The fifth part aggregates some functions that are special kinds of folding functions or functions that create sublists. The last part of this specification brings in functions related to Lists that are not defined in the Haskell Prelude but are also implemented on every compiler and are necessary even to write basic programs.

Specification 3.7.1 List Specification - Part 1

```
spec List = Nat and Function and Ord then
var a : Type
free type List a ::= Nil | Cons a (List a)
var a,b : Type
fun length : List a -> Nat;
fun head : List a ->? a;
fun tail : List a ->? List a;
fun foldr : (a -> b -> b) -> b -> List a -> b;
fun foldl : (a -> b -> a) -> a -> List b -> a;
fun map : (a -> b) -> List a -> List b;
fun filter : (a -> Bool) -> List a -> List a;
fun __++__ : List a * List a -> List a;
fun <++> : List a -> List a -> List a;
fun zip : List a -> List b -> List (a * b);
fun unzip : List (a * b) -> (List a * List b)
vars a,b : Type;
     f : a -> b -> b;
     g : a -> b -> a;
     h : a -> b;
     p : a -> Bool;
     x,y,t : a;
     xs,ys,l : List a;
     z,s : b;
     zs : List b;
     ps : List (a * b)
. length (Nil : List a) = 0                              %(LengthNil)%
. length (Cons x xs) = suc(length xs)                    %(LengthCons)%
. not def head (Nil : List a)                            %(NotDefHead)%
. head (Cons x xs) = x                                    %(HeadDef)%
. not def tail (Nil : List a)                            %(NotDefTail)%
. tail (Cons x xs) = xs                                   %(TailDef)%
. foldr f s Nil = s                                      %(FoldrNil)%
. foldr f s (Cons x xs)
    = f x (foldr f s xs)                                 %(FoldrCons)%
. foldl g t Nil = t                                      %(FoldlNil)%
. foldl g t (Cons z zs)
    = foldl g (g t z) zs                                 %(FoldlCons)%
. map h Nil = Nil                                         %(MapNil)%
. map h (Cons x xs)
    = (Cons (h x) (map h xs))                            %(MapCons)%
. Nil ++ l = l                                            %(++Nil)%
. (Cons x xs) ++ l = Cons x (xs ++ l)                    %(++Cons)%
. <++> xs ys = xs ++ ys                               %(++PrefixDef)%
```

Specification 3.7.1 List Specification - Part 2

```
. filter p Nil = Nil                                          %(FilterNil)%
. p x = True
    => filter p (Cons x xs) = Cons x (filter p xs)        %(FilterConsT)%
. p x = False
    => filter p (Cons x xs) = filter p xs                 %(FilterConsF)%
. zip (Nil : List a) l = Nil                                  %(ZipNil)%
. l = Nil
     => zip (Cons x xs) l = Nil                            %(ZipConsNil)%
. l = (Cons y ys)
    => zip (Cons x xs) l = Cons (x,y) (zip xs ys)         %(ZipConsCons)%
. unzip (Nil : List (a * b)) = (Nil, Nil)                     %(UnzipNil)%
. unzip (Cons (x,z) ps) = let (ys, zs) = unzip ps in
     (Cons x ys, Cons z zs)                               %(UnzipCons)%
then
var a : Eq; x,y: a; xs, ys: List a
type instance List a: Eq
. ((Nil: List a) == (Nil: List a)) = True              %(ILE01)% %implied
. ((Cons x xs) == (Cons y ys)) = ((x == y) && (xs == ys))    %(ILE02)%
var b : Ord; z,w: b; zs, ws: List b
type instance List b: Ord
. ((Nil: List b) < (Nil: List b)) = False              %(ILO01)% %implied
. ((Nil: List b) <= (Nil: List b)) = True              %(ILO02)% %implied
. ((Nil: List b) > (Nil: List b)) = False              %(ILO03)% %implied
. ((Nil: List b) >= (Nil: List b)) = True              %(ILO04)% %implied
. (z < w) = True => ((Cons z zs) < (Cons w ws)) = True      %(ILO05)%
. (z == w) = True => ((Cons z zs) < (Cons w ws)) = (zs < ws) %(ILO06)%
. (z < w) = False /\ (z == w) = False
     => ((Cons z zs) < (Cons w ws)) = False                 %(ILO07)%
. ((Cons z zs) <= (Cons w ws)) = ((Cons z zs) < (Cons w ws))
    || ((Cons z zs) == (Cons w ws))                    %(ILO08)% %implied
. ((Cons z zs) > (Cons w ws))
    = ((Cons w ws) < (Cons z zs))                      %(ILO09)% %implied
. ((Cons z zs) >= (Cons w ws)) = ((Cons z zs) > (Cons w ws))
    || ((Cons z zs) == (Cons w ws))                    %(ILO10)% %implied
. (compare (Nil: List b) (Nil: List b) == EQ)
    = ((Nil: List b) == (Nil: List b))                 %(ILO11)% %implied
. (compare (Nil: List b) (Nil: List b) == LT)
    = ((Nil: List b) < (Nil: List b))                  %(ILO12)% %implied
. (compare (Nil: List b) (Nil: List b) == GT)
    = ((Nil: List b) > (Nil: List b))                  %(ILO13)% %implied
. (compare (Cons z zs) (Cons w ws) == EQ)
    = ((Cons z zs) == (Cons w ws))                     %(ILO14)% %implied
```

Specification 3.7.1 List Specification - Part 3

```
. (compare (Cons z zs) (Cons w ws) == LT)
    = ((Cons z zs) < (Cons w ws))                    %(ILO15)% %implied
. (compare (Cons z zs) (Cons w ws) == GT)
    = ((Cons z zs) > (Cons w ws))                    %(ILO16)% %implied
. (max (Nil: List b) (Nil: List b) == (Nil: List b))
    = ((Nil: List b) <= (Nil: List b))               %(ILO17)% %implied
. (min (Nil: List b) (Nil: List b) == (Nil: List b))
    = ((Nil: List b) <= (Nil: List b))               %(ILO18)% %implied
. ((Cons z zs) <= (Cons w ws))
    = (max (Cons z zs) (Cons w ws) == (Cons w ws))   %(ILO19)% %implied
. ((Cons w ws) <= (Cons z zs))
    = (max (Cons z zs) (Cons w ws) == (Cons z zs))   %(ILO20)% %implied
. ((Cons z zs) <= (Cons w ws))
    = (min (Cons z zs) (Cons w ws) == (Cons z zs))   %(ILO21)% %implied
. ((Cons w ws) <= (Cons z zs))
    = (min (Cons z zs) (Cons w ws) == (Cons w ws))   %(ILO22)% %implied
then %implies
vars a,b,c : Ord;
     f : a -> b;
     g : b -> c;
     h : a -> a -> a;
     i : a -> b -> a;
     p : b -> Bool;
     x:a;
     y:b;
     xs,zs : List a;
     ys,ts : List b;
     z,e : a;
     xxs : List (List a)
. foldl i e (ys ++ ts)
    = foldl i (foldl i e ys) ts                          %(FoldlDecomp)%
. map f (xs ++ zs)
    = (map f xs) ++ (map f zs)                            %(MapDecomp)%
. map (g o f) xs = map g (map f xs)                       %(MapFunctor)%
. filter p (map f xs)
    = map f (filter (p o f) xs)                          %(FilterProm)%
. length (xs) = 0 <=> xs = Nil                           %(LengthNil1)%
. length (Nil : List a) = length ys
    => ys = (Nil : List b)                            %(LengthEqualNil)%
. length (Cons x xs) = length (Cons y ys) =>
  length xs = length ys                             %(LengthEqualCons)%
. length xs = length ys
    => unzip (zip xs ys) = (xs, ys)                        %(ZipSpec)%
```

Specification 3.7.1 List Specification - Part 4

```
then
vars a,b : Type;
     x : a;
     xs : List a;
     f: a -> a -> a;
fun init: List a ->? List a;
fun last: List a ->? a;
fun null: List a -> Bool;
fun reverse: List a -> List a;
fun foldr1: (a -> a -> a) -> List a ->? a;
fun foldl1: (a -> a -> a) -> List a ->? a;
. not def init (Nil: List a)                                   %(InitNil)%
. init (Cons x (Nil: List a)) = (Nil:List a)                %(InitConsNil)%
. init (Cons x xs) = Cons x (init xs)                      %(InitConsCons)%
. not def last (Nil: List a)                                   %(LastNil)%
. last (Cons x (Nil: List a)) = x                           %(LastConsNil)%
. last (Cons x xs) = last xs                               %(LastConsCons)%
. null (Nil:List a) = True                                     %(NullNil)%
. null (Cons x xs) = False                                    %(NullCons)%
. reverse (Nil: List a) = (Nil: List a)                      %(ReverseNil)%
. reverse (Cons x xs)
   = (reverse xs) ++ (Cons x (Nil: List a))                  %(ReverseCons)%
. not def foldr1 f (Nil: List a)                              %(Foldr1Nil)%
. foldr1 f (Cons x (Nil: List a)) = x                      %(Foldr1ConsNil)%
. foldr1 f (Cons x xs) = f x (foldr1 f xs)                %(Foldr1ConsCons)%
. not def foldl1 f (Nil: List a)                             %(Foldl1Nil)%
. foldl1 f (Cons x (Nil: List a)) = x                      %(Foldl1ConsNil)%
. foldl1 f (Cons x xs) = f x (foldr1 f xs)                %(Foldl1ConsCons)%
then
vars a,b,c : Type;
     d : Ord;
     x, y : a;
     xs, ys, zs : List a;
     xxs : List (List a);
     r, s : d;
     ds : List d;
     bs : List Bool;
     f : a -> a -> a;
     p, q : a -> Bool;
     g : a -> List b;
     n,nx: Nat;
```

Specification 3.7.1 List Specification - Part 5

```
fun andL : List Bool -> Bool;
fun orL : List Bool -> Bool;
fun any : (a -> Bool) -> List a -> Bool;
fun all : (a -> Bool) -> List a -> Bool;
fun concatMap : (a -> List b) -> List a -> List b;
fun concat : List (List a) -> List a;
fun maximum : List d -> d;
fun minimum : List d -> d;
fun takeWhile : (a -> Bool) -> List a -> List a
fun dropWhile  : (a -> Bool) -> List a -> List a
fun span : (a -> Bool) -> List a -> (List a * List a)
fun break : (a -> Bool) -> List a -> (List a * List a)
fun splitAt: Nat -> List a -> (List a * List a)
. andL bs = foldr <&&> True bs                              %(AndLDef)%
. orL bs = foldr <||> False bs                             %(OrLDef)%
. any p xs = orL (map p xs)                                %(AnyDef)%
. all p xs = andL (map p xs)                               %(AllDef)%
. concat xxs = foldr <++> (Nil: List a) xxs             %(ConcatDef)%
. concatMap g xs = concat (map g xs)                 %(ConcatMapDef)%
. maximum ds = foldl1 max ds                          %(MaximumDef)%
. minimum ds = foldl1 min ds                          %(MinimumDef)%
. takeWhile p (Nil: List a) = Nil: List a            %(TakeWhileNil)%
. p x = True => takeWhile p (Cons x xs)
    = Cons x (takeWhile p xs)                       %(TakeWhileConsT)%
. p x = False => takeWhile p (Cons x xs) = Nil: List a    %(TakeWhileConsF)%
. dropWhile p (Nil: List a) = Nil: List a             %(DropWhileNil)%
. p x = True => dropWhile p (Cons x xs) = dropWhile p xs  %(DropWhileConsT)%
. p x = False => dropWhile p (Cons x xs) = Cons x xs      %(DropWhileConsF)%
. span p (Nil: List a) = ((Nil: List a), (Nil: List a))    %(SpanNil)%
. p x = True => span p (Cons x xs)
    = let (ys, zs) = span p xs in
        ((Cons x ys), zs)                             %(SpanConsT)%
. p x = False => span p (Cons x xs)
    = let (ys, zs) = span p xs in
        ((Nil: List a), (Cons x xs))                  %(SpanConsF)%
. span p xs = (takeWhile p xs, dropWhile p xs)        %(SpanThm)% %implied
. break p xs = let q = (Not__ o p) in span q xs          %(BreakDef)%
. break p xs = span (Not__ o p) xs                   %(BreakThm)% %implied
. splitAt 0 xs = ((Nil: List a), xs)                  %(SplitAtZero)%
. splitAt n (Nil: List a) = ((Nil: List a), Nil)       %(SplitAtNil)%
. def(pre(n)) /\ nx = pre(n) => splitAt n (Cons x xs)
    = let (ys,zs) = splitAt (nx) xs in (Cons x ys, zs)    %(SplitAt)%
```

Specification 3.7.1 List Specification - Part 6

```
then
vars a,b,c : Type;
     d : Ord;
     e: Eq;
     x, y : a;
     xs, ys : List a;
     q, r : d;
     qs, rs : List d;
     s,t: e;
     ss,ts: List e;
     p: a -> Bool
fun insert: d -> List d -> List d
fun delete: e -> List e -> List e
fun select: (a -> Bool) -> a -> (List a * List a) -> (List a * List a)
fun partition: (a -> Bool) -> List a -> (List a * List a)
. insert q (Nil: List d) = Cons q Nil                    %(InsertNil)%
. (q <= r) = True => insert q (Cons r rs)
    = (Cons q (Cons r rs))                               %(InsertCons1)%
. (q > r) = True => insert q (Cons r rs)
    = (Cons r (insert q rs))                             %(InsertCons2)%
. delete s (Nil: List e) = Nil                            %(DeleteNil)%
. (s == t) = True => delete s (Cons t ts) = ts           %(DeleteConsT)%
. (s == t) = False => delete s (Cons t ts)
    = (Cons t (delete s ts))                             %(DeleteConsF)%
. (p x) = True => select p x (xs, ys) = ((Cons x xs), ys)    %(SelectT)%
. (p x) = False => select p x (xs, ys) = (xs, (Cons x ys))   %(SelectF)%
. partition p xs = foldr (select p) ((Nil: List a),(Nil)) xs %(Partition)%
. partition p xs
  = (filter p xs, filter (Not__ o p) xs)        %(PartitionProp)% %implied
end
```

3.8   Char and String Specifications

To create Char specification, as show in Specification 3.8.1, we've imported the CASL Char specification and then declared Char type as instance of the classes Eq and Ord. We defined, respectively for each of those type instances, the equality and the __<__ function. Other theorems were proved just as in the previous specifications.

Specification 3.8.1 Char Specification

```
from Basic/CharactersAndStrings get Char |-> IChar

spec Char = IChar and Eq and Ord then
vars x, y: Char
type instance Char: Eq
. (ord(x) == ord(y)) = (x == y)                        %(ICE01)%
. Not(ord(x) == ord(y)) = (x /= y)              %(ICE02)% %implied
type instance Char: Ord
. (ord(x) < ord(y)) = (x < y)                          %(ICO04)%
. (ord(x) <= ord(y)) = (x <= y)                 %(ICO05)% %implied
. (ord(x) > ord(y)) = (x > y)                   %(ICO06)% %implied
. (ord(x) >= ord(y)) = (x >= y)                 %(ICO07)% %implied
. (compare x y == EQ) = (ord(x) == ord(y))      %(ICO01)% %implied
. (compare x y == LT) = (ord(x) < ord(y))       %(ICO02)% %implied
. (compare x y == GT) = (ord(x) > ord(y))       %(ICO03)% %implied
. (ord(x) <= ord(y)) = (max x y == y)           %(ICO08)% %implied
. (ord(y) <= ord(x)) = (max x y == x)           %(ICO09)% %implied
. (ord(x) <= ord(y)) = (min x y == x)           %(ICO10)% %implied
. (ord(y) <= ord(x)) = (min x y == y)           %(ICO11)% %implied
end
```

The String specification was created importing our Char and List specifications. We've defined String as a list of characters, just as Haskell Prelude does. We declared String as instance of the classes Eq and Ord and because Char and List are also instances of those classes, we didn't need to define axioms to instance declarations. To prove this fact, we wrote five theorems involving equality and ordering functions.

Specification 3.8.2 String Specification

```
spec String = %mono
     List and Char then
type String := List Char
type instance String: Eq
type instance String: Ord
vars a,b: String; x,y,z: Char; xs, ys: String
. x == y = True => ((Cons x xs) == (Cons y xs)) = True   %(StringT1)% %implied
. xs /= ys = True => ((Cons x ys) == (Cons y xs)) = False %(StringT2)% %implied
. (a /= b) = True =>  (a == b) = False                    %(StringT3)% %implied
. (x < y) = True =>  ((Cons x xs) < (Cons y xs)) = True   %(StringT4)% %implied
. (x < y) = True /\ (y < z) = True => ((Cons x (Cons z Nil))
        < (Cons x (Cons y Nil))) = False                  %(StringT5)% %implied
end
```

3.9   Example Programs Specification

To exemplify the use of our library, we created two example specifications involving ordering algorithms. In the first specification, seen at Specification 3.9.1, we used two sorting algorithms: Quick Sort and Insertion Sort. They were defined using functions from our library (filter, __++__ and insert) and total lambda expressions as parameters for the filter functions; the lambda expressions are made total by using ! just after the final point that separates variables from the expression. To prove the correctness of the specification, we created four theorems applying the sorting functions.

The second specification, Specification 3.9.2, uses a new data type (Split a b), as an internal representation to the sorting functions. We use the idea that we can split a list and then join their elements, according to each algorithm. We defined a general sorting function, GenSort, which is responsible for applying the splitting and the joining functions over a list.

The Insertion Sort algorithm in implemented by a joining function that uses insert function to insert split elements into the list. The Quick Sort algorithm uses a splitting function that separates the list in two new lists: the first containing elements smaller than the first element of the original list and the second with the other elements. The joining function inserts an element in the middle of two lists.

Selection Sort algorithm uses a splitting function that relies on minimum function to extract the smaller element of the rest of the list. The joining function only joins two lists. Merge Sort algorithm is implemented by splitting the initial list in the middle, by the splitting function, and then merging the elements in the joining function by taking the smaller head of both lists and merging the other list and the remaining elements of the list from which the head was taken.

We specified two predicates found in CASL library repository (but not in the CASL Library

---

Specification 3.9.1 ExamplePrograms Specification

```
spec ExamplePrograms = List then
var a: Ord;
    x,y: a;
    xs,ys: List a
fun quickSort: List a -> List a
fun insertionSort: List a -> List a
. quickSort (Nil: List a) = Nil                          %(QuickSortNil)%
. quickSort (Cons x xs)
      = ((quickSort (filter (\ y:a .! y < x) xs))
        ++ (Cons x Nil))
        ++ (quickSort (filter (\ y:a .! y >= x) xs))     %(QuickSortCons)%
. insertionSort (Nil: List a) = Nil                   %(InsertionSortNil)%
. insertionSort (Cons x Nil) = (Cons x Nil)        %(InsertionSortConsNil)%
. insertionSort (Cons x xs) = insert x (insertionSort xs) %(InsertionSortConsCons)%
then %implies
var a: Ord;
    x,y: a;
    xs,ys: List a
. andL (Cons True (Cons True (Cons True Nil))) = True     %(Program01)%
. quickSort (Cons True (Cons False (Nil: List Bool)))
      = Cons False (Cons True Nil)                        %(Program02)%
. insertionSort (Cons True (Cons False (Nil: List Bool)))
      = Cons False (Cons True Nil)                        %(Program03)%
end
```

---

itself). `isOrdered` guarantees that a list is correctly ordered; `permutation` guarantees that one list is a permutation of the other, i.e., both lists have the same elements in the same or in a different order. Thus, we created theorems to verify that the application of the algorithms, in pairs, results in the same list, to verify that applying each algorithm to a list results in an ordered list and to verify that a list is a permutation of the list resulted from the application of each algorithm.

Specification 3.9.2 SortingPrograms Specification - Part 1

```
spec SortingPrograms = List then
var a,b : Ord;
free type Split a b ::= Split b (List (List a))
var x,y,z,v,w: a;
    r,t: b;
    xs,ys,zs,vs,ws: List a;
    rs,ts: List b;
    xxs: List (List a);
    split: List a -> Split a b;
    join: Split a b -> List a;
    n: Nat
fun genSort: (List a -> Split a b) -> (Split a b -> List a) -> List a -> List a
fun splitInsertionSort: List b -> Split b b
fun joinInsertionSort: Split a a -> List a
fun insertionSort: List a -> List a
fun splitQuickSort: List a -> Split a a
fun joinQuickSort: Split b b -> List b
fun quickSort: List a -> List a
fun splitSelectionSort: List a -> Split a a
fun joinSelectionSort: Split b b -> List b
fun selectionSort: List a -> List a
fun splitMergeSort: List b -> Split b Unit
fun joinMergeSort: Split a Unit -> List a
fun merge: List a -> List a -> List a
fun mergeSort: List a -> List a
. xs = (Cons x (Cons y ys)) /\ split xs = Split r xxs
    => genSort split join xs
        = join (Split r (map (genSort split join) xxs))  %(GenSortT1)%
. xs = (Cons x (Cons y Nil)) /\ split xs = Split r xxs
    => genSort split join xs
        = join (Split r (map (genSort split join) xxs))  %(GenSortT2)%
. xs = (Cons x Nil) \/ xs = Nil
    => genSort split join xs = xs                         %(GenSortF)%
. splitInsertionSort (Cons x xs)
   = Split x (Cons xs (Nil: List (List a)))        %(SplitInsertionSort)%
. joinInsertionSort (Split x (Cons xs (Nil: List (List a))))
    = insert x xs                                  %(JoinInsertionSort)%
. insertionSort xs
   = genSort splitInsertionSort joinInsertionSort xs    %(InsertionSort)%
```

Specification 3.9.2 SortingPrograms Specification - Part 2

```
. splitQuickSort (Cons x xs)
    = let (ys, zs) = partition (<<> x) xs
     in Split x (Cons ys (Cons zs Nil))            %(SplitQuickSort)%
. joinQuickSort (Split x (Cons ys (Cons zs Nil)))
    = ys ++ (Cons x zs)                            %(JoinQuickSort)%
. quickSort xs = genSort splitQuickSort joinQuickSort xs   %(QuickSort)%
    => unzip (zip xs ys) = (xs, ys)                %(ZipSpec)%
. splitSelectionSort xs = let x = minimum xs
 in Split x (Cons (delete x xs) (Nil: List(List a)))    %(SplitSelectionSort)%
. joinSelectionSort (Split x (Cons xs Nil)) = (Cons x xs)  %(JoinSelectionSort)%
. selectionSort xs
   = genSort splitSelectionSort joinSelectionSort xs    %(SelectionSort)%
. def((length xs) div 2) /\ n = ((length xs) div 2)
    => splitMergeSort xs = let (ys,zs) = splitAt n xs
      in Split () (Cons ys (Cons zs Nil))          %(SplitMergeSort)%
. xs = (Nil: List a) => merge xs ys = ys               %(MergeNil)%
. xs = (Cons v vs) /\ ys = (Nil: List a)
    => merge xs ys = xs                            %(MergeConsNil)%
. xs = (Cons v vs) /\ ys = (Cons w ws) /\ (v < w) = True
    => merge xs ys = Cons v (merge vs ys)          %(MergeConsConsT)%
. xs = (Cons v vs) /\ ys = (Cons w ws) /\ (v < w) = False
    => merge xs ys = Cons w (merge xs ws)          %(MergeConsConsF)%
. joinMergeSort (Split () (Cons ys (Cons zs Nil)))
    = merge ys zs                                  %(JoinMergeSort)%
. mergeSort xs = genSort splitMergeSort joinMergeSort xs   %(MergeSort)%
```

Specification 3.9.2 SortingPrograms Specification - Part 3

```
then
vars a: Ord;
     x,y: a;
     xs,ys: List a
preds isOrdered: List a;
      permutation: List a * List a
. isOrdered (Nil: List a)                                    %(IsOrderedNil)%
. isOrdered (Cons x (Nil: List a))                           %(IsOrderedCons)%
. isOrdered (Cons x (Cons y ys))
   <=> (x <= y) = True /\ isOrdered(Cons y ys)        %(IsOrderedConsCons)%
. permutation ((Nil: List a), Nil)                        %(PermutationNil)%
. permutation (Cons x (Nil: List a), Cons y (Nil: List a))
    <=> (x==y) = True                                    %(PermutationCons)%
. permutation (Cons x xs, Cons y ys) <=>
     ((x==y) = True /\ permutation (xs, ys))
      \/ (permutation(xs, Cons y (delete x ys)))    %(PermutationConsCons)%
then %implies
var a,b : Ord;
    xs, ys : List a;
. insertionSort xs = quickSort xs                            %(Theorem01)%
. insertionSort xs = mergeSort xs                            %(Theorem02)%
. insertionSort xs = selectionSort xs                        %(Theorem03)%
. quickSort xs = mergeSort xs                                %(Theorem04)%
. quickSort xs = selectionSort xs                            %(Theorem05)%
. mergeSort xs = selectionSort xs                            %(Theorem06)%
. isOrdered(insertionSort xs)                                %(Theorem07)%
. isOrdered(quickSort xs)                                    %(Theorem08)%
. isOrdered(mergeSort xs)                                    %(Theorem09)%
. isOrdered(selectionSort xs)                                %(Theorem10)%
. permutation(xs, insertionSort xs)                          %(Theorem11)%
. permutation(xs, quickSort xs)                              %(Theorem12)%
. permutation(xs, mergeSort xs)                              %(Theorem13)%
. permutation(xs, selectionSort xs)                          %(Theorem14)%
end
```

---

4   Parsing and verifying the specifications

4.1   Hets in action

All the specifications from the previous section were placed together in a single file. As the specification grows, we can separate the full specification in smaller sets of related specifications or even write on specification per file. Hets can deal with all these scenarios.

Although Hets is a command line program, it also has an integrated mode with Emacs text editor, which is also used to interact with Isabelle by ProofGeneral interface. That way, we can edit specifications in Emacs and parse them with Hets by using `CMD + r` keyboard shortcut. Another option is to parse the specifications with `CMD + g` keyboard shortcut, which will generate the graph of theories after the syntactic analysis. Parsing our specification generated the figure shown in Figure 4.1.1.

As can be seen, all the red nodes contain specifications that have one or more theorems. The green ones doesn't have theorems or theirs proofs are already done. The rectangular nodes indicate imported specifications and the elliptical ones indicate specifications from our file. Some nodes, as `ExamplePrograms` and `SortingPrograms`, do have theorems but are marked green because the theorems are inserted in sub-specifications.

We started our proofs by using the automatic proofs from Hets (menu: Edit -> Proofs -> Automatic). This method analyzed the theories and directives (`%mono`, `%implies, etc`) and then revealed the nodes from sub-specifications that had theorems created by `%implied` directive, for example.

The next step was to prove each red node. To do so, we did a right click on a node and chose the option Prove from node menu. This would open Emacs text editor. After Isabelle have parsed the full theory file (proved or not, according to Isabelle rules), we closed the Emacs window and thus the proof status for that theory was reported back to Hets by Isabelle: if the node was proved, its color was chanced to green; otherwise, it kept the red color. If sub-nodes were proved, they were omitted again by Hets. We could not prove all the theorems we've created yet; most of the unproved nodes has one or two theorems to be proved yet. The actual status of our proofs can be seen in Figure 4.1.2.
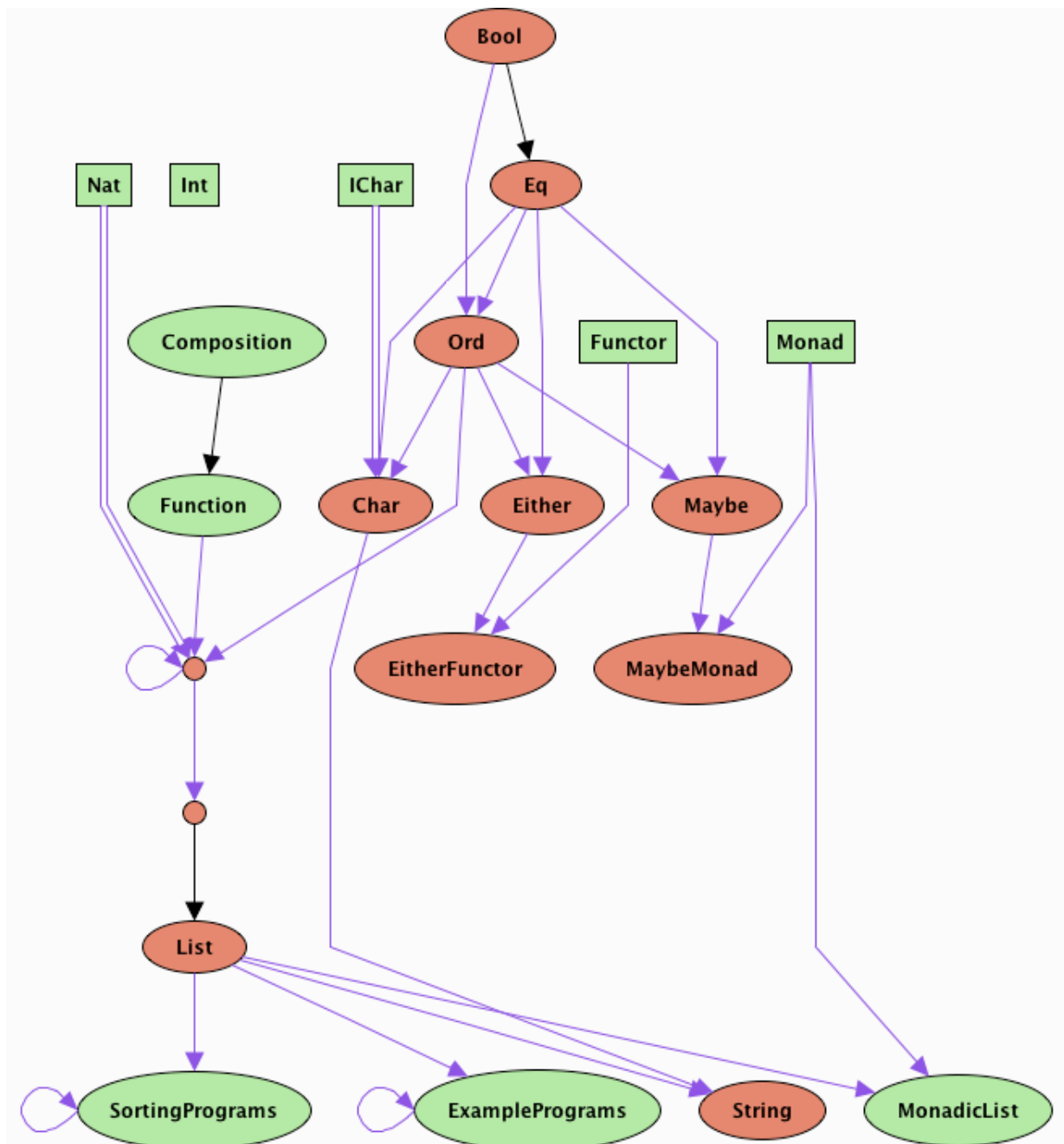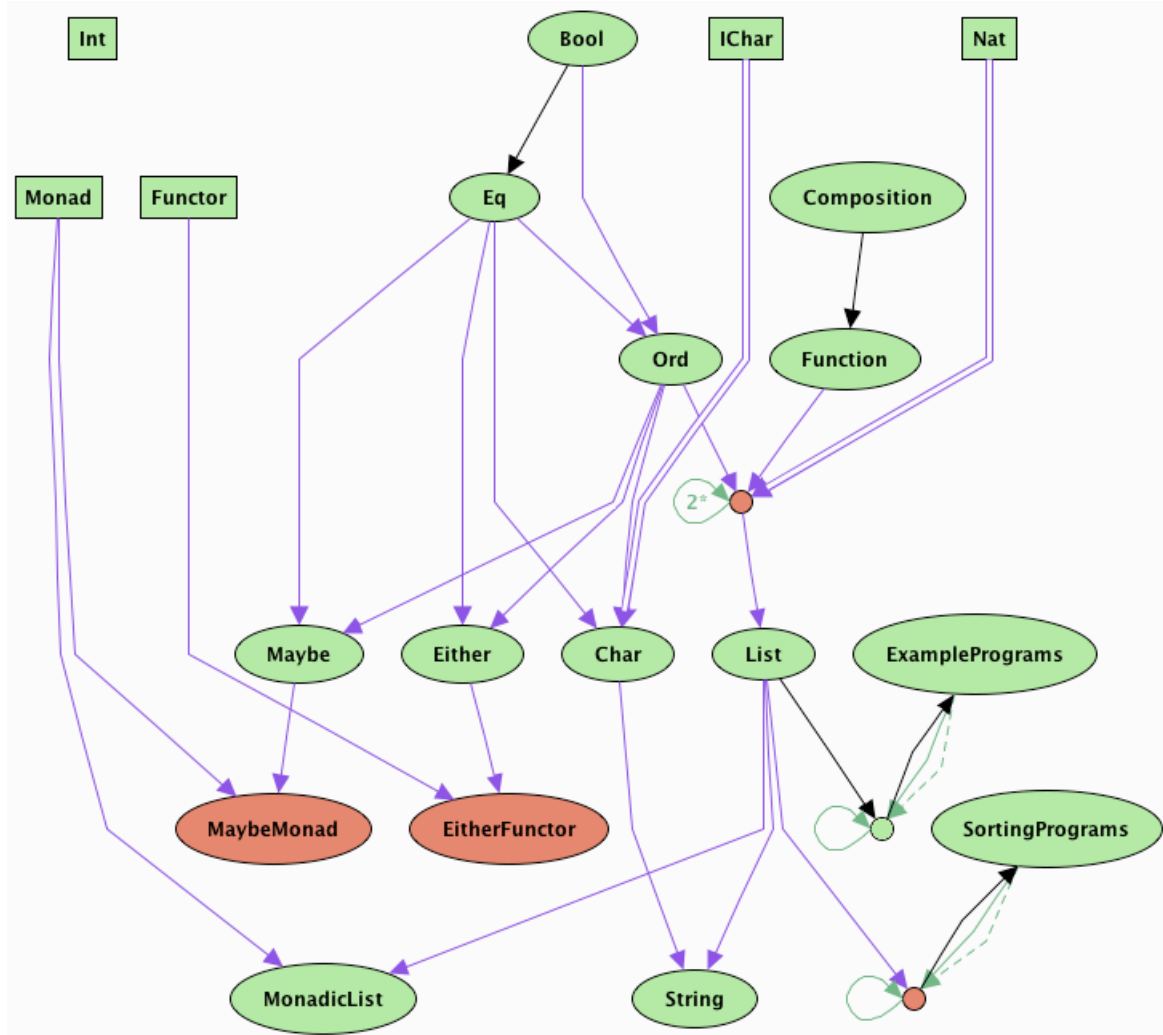
Figure 4.1.1 Initial state of the proof graph.

Figure 4.1.2 Actual state of the proof graph.

4.2   Proving with Isabelle

As part of specifying our library, proving its theorems were a major job. Although some of them are still unproved, we've verified almost all theorems and we describes here how we achieved our proofs with small examples from interesting proofs. Our full proof scripts can be found in Appendix A.

The four theorems form Specification 3.2.1 were translated by Hets to Isabelle theorems such as the one at Isabelle Proof Script Excerpt 4.2.1.

Isabelle Proof Script Excerpt 4.2.1 Proof for theorem NotFalse1 from Bool specification

```
theorem NotFalse1 : "ALL x. Not' x = True' = (x = False')"
apply auto
apply (case_tac x)
apply auto
done
```

All the proofs for the theorems of Bool. specification follow this pattern:

apply (auto) We've used this command to eliminate the universal quantifier and get as result:

```
goal (1 subgoal):
 1. !!x. Not' x = True' ==> x = False'
```

apply (case_tac x) This method executes a case distinction over all constructors of the data type of variable x; in this case, x was instantiated to True and False, the Bool constructors;

```
goal (2 subgoals):
 1. !!x. [| Not' x = True'; x = False' |]
         ==> x = False'
 2. !!x. [| Not' x = True'; x = True' |]
         ==> x = False'
```

apply (auto) It is used to finalize all the proof automatically.

```
goal:
No subgoals!
```

One example of a proof for an Eq theorem is shown in Isabelle Proof Script Excerpt 4.2.2. In this proof, we used a new command: `simp add:`. This command expects a list of axioms

---

Isabelle Proof Script Excerpt 4.2.2 Equality proof

```
theorem DiffTDef :
"ALL x. ALL y. x /= y = True' = (Not' (x ==' y) = True')"
apply(auto)
apply(simp add: DiffDef)
apply(case_tac "x ==' y")
apply(auto)
apply(simp add: DiffDef)
done
```

---

and previously proved theorems as parameters to be used into an automatic tentative of proving the actual goal. This command uses other axioms from the theory together with the theorems passed as parameters when trying to simplify the goal. If the goal cannot be reduced, the command produces an error; otherwise, a new goal is received.

Almost all Ord theorem proofs used the same commands and tactics from the previous proofs. One interesting proof was the the one for the axiom %(LeTAsymmetry)%, presented in Isabelle Proof Script Excerpt 4.2.3. Sometimes, Isabelle expect us to rewrite axioms to match goals because Isabelle cannot change the axioms to all their equivalent forms. We applied the command rule ccontr to start a proof by contradiction. After some simplification, Isabelle was not able to use the axiom %(LeIrreflexivity)% to simplify the goal:

```
goal (1 subgoal):
 1. !!x y. [| x <' y = True'; y <' x = True' |] ==> False
```

We needed to define an auxiliary lemma, LeIrreflContra, which Isabelle automatically proved. This theorem is interpreted internally by Isabelle as this:

```
?x <' ?x = True' ==> False
```

Thus, we could tell Isabelle to use this lemma forcing it to attribute the variable x to each ?x variable in the lemma by the command rule_tac x="x" in LeIrreflContra; the same tactic was used to apply the axiom %(LeTTransitive)%. The command by auto was used to finalize the proof.

We started most of our proofs by applying the command apply(auto) as we wanted Isabelle to act automatically as much as possible. Sometimes this command could do some reduction; sometimes it could only remove HOL universal quantifier; sometimes it got into loop.

The last case occurred, for example, when proving theorems from Maybe and Either specifications. The solution was to apply directly the universal quantifier rule using the command apply(rule allI). The command rule applies the specified theorem directly.

Isabelle Proof Script Excerpt 4.2.3 Proof for the axiom LeTAsymmetry from specification Ord.

```
lemma LeIrreflContra : " x <' x = True' ==> False"
by auto


theorem LeTAsymmetry :
"ALL x. ALL y. x <' y = True' --> y <' x = False'"
apply(auto)
apply(rule ccontr)
apply(simp add: notNot2 NotTrue1)
apply(rule_tac x="x" in LeIrreflContra)
apply(rule_tac y="y" in LeTTransitive)
by auto
```

When there are more then one variable quantified, we can use the sign + after the rule to tell Isabelle to apply the command as many times as it can be used.

   After we've removed the quantifiers, we could use the command `simp only:` to do some simplification. Diferently from `simp add:`, the command `simp only:` tries to rewrite only the rules passed as parameters to simplify the actual goal. Most of the times they could be used interchangeably; sometimes, however, `simp add:` gets into loop and `simp only:` must be used with other proof commands. Two theorems from `Maybe` specification exemplifies the use of the previous commands in Isabelle Proof Script Excerpt 4.2.4.

Isabelle Proof Script Excerpt 4.2.4 Proof for theorems IMO05 and IMO08 from specification Maybe.

```
theorem IMO05 : "ALL x. Just(x) <' Nothing = False'"
apply(rule allI)
apply(case_tac "Just(x) <' Nothing")
apply(auto)
done


theorem IMO08 :
"ALL x. compare Nothing (Just(x)) ==' GT = Nothing >' Just(x)"
apply(rule allI)+
apply(simp add: GeDef)
done
```

   `List` specification still has two unproved theorems (`FoldlDecomp` and `ZipSpec`) inside one of its sub-
Almost all theorems in this specification needed induction to be proved. Isabelle executes induction over a specified variable by the command `induct_tac`, that expects as param-

eter an expression or a variable over which to execute induction. In Isabelle Proof Script Excerpt 4.2.5 we can see one example of proof with induction for a `List` theorem.

Isabelle Proof Script Excerpt 4.2.5 Proof for theorem FilterProm from pecification List.

```
theorem FilterProm :
"ALL f.
 ALL p.
 ALL xs.
 X_filter p (X_map f xs) = X_map f (X_filter (X__o__X (p, f)) xs)"
apply(auto)
apply(induct_tac xs)
apply(auto)
apply(case_tac "p(f a)")
apply(auto)
apply(simp add: MapCons)
apply(simp add: FilterConsT)
apply(simp add: MapCons)
apply(simp add: FilterConsT)
done
```

The specification `Char` is another case where we had to use the `rule` command to remove universal quantification by hand to avoid loops. Besides this problem, all theorems needed only one or two applications of the command `simp add:` to be proved. An example can be seen in Isabelle Proof Script Excerpt 4.2.6

Isabelle Proof Script Excerpt 4.2.6 Proof for theorem ICO07 from specification Char.

```
theorem ICO07 : "ALL x. ALL y. ord'(x) >='' ord'(y) = x >='' y"
apply(rule allI)+
apply(simp only: GeqDef)
apply(simp add: GeDef)
done
```

The specification `String` also had to use few commands to have its theorems proved; almost all proofs were done with combinations of the `auto` and the `simp add:` commands. In Isabelle Proof Script Excerpt 4.2.7 we show the largest proof in the theory.

Proofs for the `ExamplePrograms` theorems were very long and there are . They were done by using basically three commands: `simp only:`, `case_tac` and `simp add:`; the latest was used as the last command to allow Isabelle to finish the proofs with fewer commands. Before various `simp only:` applications we've tried applying the `simp add:` command without success. We used the `simp only:` command directly when the theorem used as

---

Isabelle Proof Script Excerpt 4.2.7 Proof for theorem StringT2 from specification String.

```
theorem StringT2 :
"ALL x.
 ALL xs.
 ALL y.
 ALL ys. xs /= ys = True' --> X_Cons x ys ==' X_Cons y xs = False'"
apply(auto)
apply(simp add: ILE02)
apply(case_tac "x ==' y")
apply(auto)
apply(simp add: EqualSymDef)
apply(simp add: DiffDef)
apply(simp add: NotFalse1)
done
```

---

parameter had previously failed with the command `simp add:`. In Isabelle Proof Script Excerpt 4.2.8 we show the proof for an `insertionSort` function application.

Isabelle Proof Script Excerpt 4.2.8 Proof for theorem Program03, an example of insertionSort function application from specification ExamplePrograms.

```
theorem Program03 :
"insertionSort(X_Cons True' (X_Cons False' Nil')) =
 X_Cons False' (X_Cons True' Nil')"
apply(simp only: InsertionSortConsCons)
apply(simp only: InsertionSortNil)
apply(simp only: InsertNil)
apply(case_tac "True' >'' False'")
apply(simp only: GeFLeTEqTRel)
apply(simp add: LeqTLeTEqTRel)
apply(simp only: InsertCons2)
apply(simp only: InsertNil)
done
```

All the theorems from our last proof, `SortingPrograms`, still couldn't be proved. Although for all of them we could prove some goals, the last one, representing the general case, is unproved yet. To show our progress in the proofs, we show an example in Isabelle Proof Script Excerpt 4.2.9 with comments. The command `prefer` is used to choose which goal to prove in Isabelle interactive mode and the command `oops` indicates that we could not prove the theorem and that we gave up the proof.

Isabelle Proof Script Excerpt 4.2.9 Actual status of the proof for theorem Theorem07 of specification SortingPrograms.

```
theorem Theorem07 : "ALL xs. isOrdered(insertionSort(xs))"
apply(auto)
apply(case_tac xs)
(* Proof for xs=Nil *)
prefer 2
apply(simp only: InsertionSort)
apply(simp add: GenSortF)
(* Proof for general case *)
apply(simp only: InsertionSort)
apply(case_tac List)
apply(auto)
apply(case_tac "X_splitInsertionSort (X_Cons a (X_Cons aa Lista))")
(* Proof for xs= Cons a Nil *)
prefer 2
apply(simp add: GenSortF)
(* Proof for xs=Cons a as*)
apply(case_tac Lista)
apply(auto)
prefer 2
(* Proof for xs = Cons a (Cons b Nil)*)
oops
```

5   Faced Problems

Do we still need this section??

6   Future Works

As presented before, our library still lacks some proofs and other Haskell Prelude functions. We are trying to finish open proofs to get a fully verified subset of Haskell Prelude functions.

A major open question is how to deal with numbers. Recreate all the lemmas needed by Isabelle and already written in HOL definitely is not a good approach. One solution to this problem can be to create an isomorphism between the builtin Isabelle numeric types and the types specified in CASL library. If we call this isomorphism h, we could prove a goal like `t1 = t2` by injecting the isomorphism by the rule `h x = h y ==> x = y`. This axiom would give us a new goal, `h t1 = h t2` that would be written in terms of builtin Isabelle types and, thus, could be proved with the Isabelle axioms and builtin auxiliary lemmas. This isomorphism could be extended to the specification `List`, as most Haskell data types and functions rely on lists.

After solving the problem with numeric specifications, we could specify the Haskell Prelude functions that involve numbers; many functions that should have been specified on the specification `List`, for example, were not done because importing the numeric specifications wouldn't allow their proofs to be constructed.

The next natural stage would be to use laziness in our library. This would require us to rewrite almost all the specifications, but we could study transformations that could help us to reuse the proofs we have already written. Another future step should be to refine our library to use the `HasCASL` language subset that contains infinite data types and can be converted to Haskell programs. This last step could also be used to verify existing Haskell Prelude implementations or to guide new ones.

7   Conclusions

Appendices

A   Isabelle Proofs

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