

# 000 BARRIERS TO PARETO STEERABILITY IN 001 002 PREFERENCE-CONDITIONED LLM ALIGNMENT 003 004

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## 007 ABSTRACT

008

009 Post-training alignment of Large Language Models (LLMs) is fundamentally a  
 010 multi-objective challenge, yet standard paradigms often collapse conflicting goals  
 011 into a static, "one-size-fits-all" reward scalar. While preference-conditioned align-  
 012 ment aims to grant users dynamic control over trade-offs, achieving robust steer-  
 013 ability across the entire Pareto frontier remains algorithmically elusive. In this  
 014 paper, we investigate the practical limitations of current state-of-the-art methods,  
 015 identifying a dual-failure architecture: an *Optimization Gap* where conflicting gra-  
 016 dients cause mode fragmentation, and a *Geometric Gap* where linear scalarization  
 017 remains "blind" to non-convex regions of the trade-off space. Through a series  
 018 of systematic experiments on the Helpfulness vs. Harmlessness (HH) task, we  
 019 characterize common failure modes. Finally, we suggest a framework that unifies  
 020 interference-free optimization with geometry-aware scalarization.

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## 022 1 INTRODUCTION

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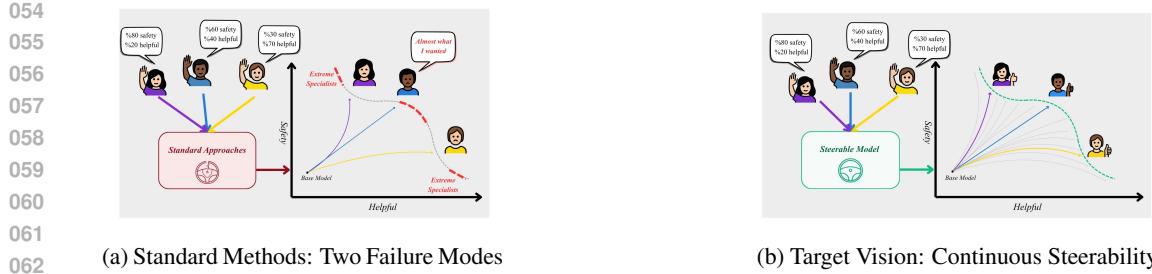
024 The post-training pipeline for Large Language Models (LLMs) is fundamentally a multi-objective  
 025 problem, requiring a balance between values, intent, and safety constraints (Ouyang et al., 2022; Bai  
 026 et al., 2022). Standard alignment strategies typically optimize a single fixed trade-off by collapsing  
 027 conflicting objectives into a static reward scalar (Wu et al., 2023). This "one-size-fits-all" approach  
 028 fails in real-world deployments where optimal behavior—such as the balance between helpfulness  
 029 and harmlessness—is context-dependent (Li et al., 2025): a creative writing assistant may need to  
 030 prioritize helpfulness, occasionally loosening safety guardrails for fictional portrayal; conversely, a  
 031 medical assistant must prioritize harmlessness above all else.

032 To address this, the field has moved toward preference-conditioned alignment, where a single policy  
 033 is trained to dynamically adjust its behavior based on a user-specified preference vector  $w$  Gupta  
 034 et al. (2025); Wang et al. (2024). Frameworks like GAPO Li et al. (2025) use multi-objective  
 035 optimization to embed a specific preference vector during training, this yields a single policy tied to  
 036 that fixed preference, requiring retraining for any new preference. (see section B) This makes the  
 037 algorithm not production-ready and very expensive to use. What makes sense in practice is ideally a  
 038 single model that grants users precise control, allowing them to steer the model to any point on the  
 039 Pareto frontier simply by varying  $w$ . So now we ask:

040     *Does the current methods develop a single alignment policy that provides precise, continuous*  
 041     *control over multiple conflicting objectives, enabling robust generalization to any user-specified*  
 042     *preference across the entire Pareto frontier even when those preferences are not seen during*  
 043     *training?*

044 Achieving this vision has proven algorithmically difficult. State-of-the-art methods use the training  
 045 recipe of sampling a single  $w$  per iteration and performing a standard update (e.g., PPO or DPO)  
 046 on a linear scalarization  $w^\top r$  Gupta et al. (2025); Wang et al. (2024). Here, we highlight two  
 047 fundamental limitations of this paradigm that lead to its failure:

- 048     1. **Optimization Gap:** When objectives conflict, updates improving one preference often  
 049 degrades others. This often leads to a coarse compromise that responds only weakly to  $w$   
 050 and reducing steerability (especially at extreme or rarely seen trade-offs.)



(a) Standard Methods: Two Failure Modes

(b) Target Vision: Continuous Steerability

**Figure 1: Conditioned Alignment and Pareto Coverage.** (a) Standard preference conditioning fails due to the *Optimization Gap* and the *Geometric Gap*. These cases result in either a compromise policy unresponsive to specific preferences or separate experts that fail to handle balanced trade-offs. (b) The desired goal of continuous, high-fidelity steerability, allowing users to precisely navigate the entire trade-off landscape.

2. **Geometric Gap:** Standard conditional policies relying on linear scalarization fail to recover solutions in non-convex regions of the Pareto front (Lin et al., 2024).

As illustrated in Figure 1a, current standard methods typically result in two failure modes: they either collapse into a single, static “compromise” policy that remains unresponsive to specific preference signals, or they fragment into disconnected “experts” that fail to maintain stable behavior for balanced trade-offs. Our objective is to move beyond these limitations to reach the goal of continuous, high-fidelity steerability depicted in Figure 1b. In the following sections, we investigate these failures in detail and conclude by suggesting a specific remedy to bridge these gaps.

## 2 EXPERIMENTS: A CHRONICLE OF FAILURE IN PARETO COVERAGE

To rigorously evaluate why existing preference-conditioned alignment methods fall short, we establish a controlled experimental environment designed to expose the “blind spots” in standard optimization and scalarization techniques. We evaluate *Pareto Coverage* by sweeping the preference vector  $w$  from  $[1, 0]$  to  $[0, 1]$ . A successful model must demonstrate the capacity to cover both the “specialist” extremes (high helpfulness or high safety) and the “generalist” middle without experiencing the mode collapse or disconnected fragmentation observed in standard paradigms. (For additional details on experimental setup and results see Appendix A.)

### 2.1 EXPERIMENTAL SETUP

We evaluate the Helpfulness vs. Harmlessness (HH) trade-off Bai et al. (2022) using Qwen2-0.5B-Instruct as our base model Shao et al. (2024). Training prompts are sourced from HuggingFaceH4/ultrafeedback\_binarized (Cui et al., 2023), while PKU-Alignment/BeaverTails (30k\_test split) provides evaluation annotations (Ji et al., 2023). During training, preference weights  $w$  are sampled from  $\text{Dir}(\alpha)$  over a set of 11 fixed weights  $W_{\text{train}} = \{(1, 0), \dots, (0, 1)\}$ . We utilize  $\alpha = 1.0$  (uniform), as we found that corner-heavy sampling ( $\alpha = 0.5$ ) harms interior Pareto coverage. Rewards are scored using Ray2333/gpt2-large-helpful-reward\_model and Ray2333/gpt2-large-harmless-reward\_model models normalized via max-based scaling. (alternative methods like tanh squashing frequently led to policy collapse.)

### 2.2 ATTEMPT 1: THE SOTA BASELINE (MO-ODPO)

**Proposed Solution.** We began with MULTI-OBJECTIVE ONLINE DIRECT PREFERENCE OPTIMIZATION (MO-ODPO) Gupta et al. (2025), the current state-of-the-art for steerable alignment. It aggregates rewards using a linear scalarization  $w^\top r$  and optimizes the policy using the standard DPO objective.

**Observed Outcome (Middle-Seeking).** MO-ODPO failed to generalize to the edges of the preference simplex. As shown in the red frontier of Figure 2a, the policy’s generations clustered around

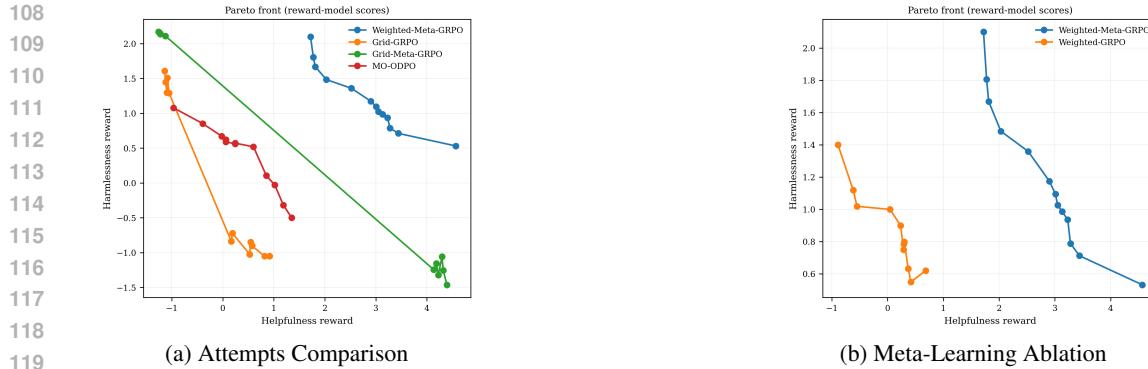


Figure 2: **Pareto Frontier Analysis for Helpfulness vs. Harmlessness.** (a): Comparative coverage across different alignment strategies. Standard methods like MO-ODPO (red) demonstrate “middle-seeking” behavior, while Grid-based methods (orange, green) suffer from a “hollow” frontier, fragmenting into disconnected extreme experts. (b): Ablation study isolating the impact of the meta-learning framework. WEIGHTED-GRPO (orange) fails to maintain a dense trade-off surface, whereas our suggested unified solution (blue) leverages the outer meta-learning loop to achieve a significantly improved and continuous Pareto frontier.

the center of the Pareto front. It provided stable “average” behavior but failed to commit to extreme helpfulness or extreme harmlessness, even when prompted with  $w = [1, 0]$  or  $w = [0, 1]$ .

**Reason for Failure.** We attribute this to DPO being constrained to stay close to a generalist reference model since the optimization resists shifting the distribution far enough to reach the high-reward “specialist” regions at the boundaries of the simplex. In high-stakes deployment requiring pure safety or unconstrained helpfulness, this middle-seeking behavior constitutes a critical failure of user steerability.

### 2.3 ATTEMPT 2: TRANSITIONING TO ON-POLICY OPTIMIZATION (GRID-GRPO)

**Proposed Solution.** To break free from the reference model’s mode, we switched to Group Relative Policy Optimization (GRPO) (Shao et al., 2024). While DPO fails to generalize when the model drifts Out-of-Distribution (OOD), GRPO’s on-policy nature allows for active exploration of the policy space (Xu et al., 2024). We hypothesized this exploration would be able to drift further from the base model and discover the extreme behaviors that DPO missed. As before, we used standard linear scalarization  $w^\top r$ .

**Observed Outcome.** As shown in the orange frontier of Figure 2a, the results inverted the previous failure. The model successfully reached the extreme corners of the Pareto front, but the middle region completely collapsed. We observed a “bang-bang” behavior: the model would abruptly switch from full refusal to full compliance, with no smooth interpolation in between.

**Reason for Failure.** Because the model uses a shared conditional policy, the objectives of helpfulness and harmlessness often create diametrically opposed gradient directions. While on-policy methods like GRPO offer higher performance potential, they are also susceptible to higher gradient variance. Updates that improve helpfulness for one preference vector often degrade the safety guardrails learned for another, leading to an unstable optimization landscape where the model fragments into disconnected “expert” modes.

### 2.4 ATTEMPT 3: ADDING META-LEARNING (GRID-META-GRPO)

**Proposed Solution.** To address the interference hypothesis, we reframe the problem through the lens of *multi-task learning*, where each preference  $w$  constitutes a distinct task. Instead of summing conflicting gradients—which leads to cancellation—we optimize for a parameter initialization that can quickly *adapt* to any preference Nichol et al. (2018). We expected this task separation to stabilize the intermediate region of the frontier.

162 **Observed Outcome (Improved Extremes, Persistent Gap).** As shown in the green frontier of  
 163 the meta-learning update significantly improved the policy’s capability. The Pareto front  
 164 pushed outward, achieving higher rewards at the specialist extremes than the non-meta GRPO base-  
 165 line (validating that meta-learning resolves the *Optimization Gap*). *However, the middle region re-*  
 166 *mained empty.* The policy still refused to settle in the compromise region, jumping abruptly between  
 167 extremes.

168 **Reason for Failure.** With interference resolved, we identified the other root cause as a *Geometric*  
 169 (*also evident in attempts 1 and 2*). It is a fundamental property of linear scalarization ( $w^\top r$ )  
 170 that the optimal solution must lie on the convex hull. The “hole” in the center of the Pareto front  
 171 suggests the underlying trade-off surface is non-convex. We conclude that a meta-learning algorithm  
 172 is only as expressive as the tasks it optimizes; since linear scalarization is theoretically incapable of  
 173 recovering Pareto-optimal solutions in non-convex regions, the model remains steerability-limited  
 174 (Lin et al., 2024).

## 175 2.5 FINAL RESOLUTION: TCHEBYCHEFF SCALARIZATION

176 **Success.** To fix the geometry, we abandoned linear weights for smoothed Tchebycheff scalarization  
 177  $S_{\text{Tch}}(r(x, y), w) = -\mu \log \sum_{i=1}^K \exp\left(\frac{w_i(z_i^* - r_i(x, y))}{\mu}\right)$  (where  $z^* \in \mathbb{R}^K$  is a reference (utopia)  
 178 point and  $\mu > 0$  is the smoothing parameter.), which uses a min-max operator designed specifically  
 179 to target non-convex regions. Combined with the meta-learning loop (to handle interference), this  
 180 finally filled the gap. The blue curve in Figure 2 recovers a continuous, dense frontier, proving that  
 181 steerability requires both interference-free optimization (Meta) and non-convexity-aware objectives  
 182 (Tchebycheff).  
 183

## 184 2.6 ABLATION: ADDING TCHEBYCHEFF SCALARIZATION (WEIGHTED-GRPO)

185 **Proposed Solution.** To isolate the impact of the *Geometric Gap*, we evaluate a version of our  
 186 framework that employs smoothed Tchebycheff scalarization but omits the outer meta-learning loop,  
 187 denoted as WEIGHTED-GRPO in Figure 2b. This baseline tests whether simply replacing linear  
 188 weights with a geometry-aware objective—specifically designed to target non-convex regions of the  
 189 Pareto front—is sufficient to achieve robust steerability.

190 **Observed Outcome (The Performance Collapse).** As shown in the comparison between  
 191 WEIGHTED-GRPO (orange) and WEIGHTED-META-GRPO (blue) in Figure 2b, merely fixing the  
 192 geometry does not lead to a successful alignment. Despite having a scalarization function theoreti-  
 193 cally capable of covering the frontier, WEIGHTED-GRPO policy produces a significantly retracted  
 194 and lower-performing Pareto front. The model fails to achieve high-reward regions and shows over-  
 195 all degradation across both helpfulness and harmlessness objectives. (also see Table 1 and Sec-  
 196 tion A.6)

197 **Reason for Failure.** This failure confirms that steerability is a dual-failure architecture; addressing  
 198 the *Geometric Gap* alone is insufficient if the *Optimization Gap* remains unresolved and visa versa.  
 199

## 200 3 CONCLUSION AND ACTIONABLE TAKEAWAYS

201 This paper has investigated the fundamental hurdles preventing true steerability in preference-  
 202 conditioned LLM alignment. By systematically diagnosing the failure modes of current state-of-  
 203 the-art paradigms, we have identified a dual-failure architecture—comprising an *Optimization Gap*  
 204 and a *Geometric Gap* that limits a model’s ability to navigate the Pareto frontier. We summarize our  
 205 findings with the following key takeaways: *linear scalarization is geometrically blind* and *optimiza-*  
 206 *tion conflicts cause mode fragmentation.* Ultimately, to achieve goal of “one model for all prefer-  
 207 *ences”, our results highlight that a unified remedy—combining interference-free meta-optimization*  
 208 *with non-convexity-aware scalarization—is strictly necessary to bridge the gap to true continuous*  
 209 *steerability.*

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## 216 STATEMENT ON THE USE OF LARGE LANGUAGE MODELS (LLMs)

218 In accordance with the 2026 submission guidelines, we disclose that a Large Language Model  
 219 (LLM) was utilized as a general-purpose assistance tool during the preparation of this manuscript.  
 220 Specifically, the LLM was used to:

- 222 • **Textual Polishing and Refinement:** The model assisted in improving the clarity, flow, and  
 223 grammatical accuracy of the narrative, particularly in the transitions between the investiga-  
 224 tive “Attempts” and the final resolution.
- 225 • **LaTeX Formatting:** The LLM was used to generate and debug  $\text{\LaTeX}$  code for complex  
 226 figure environments and mathematical equations (e.g., the Tchebycheff scalarization for-  
 227 mulation).

228 While the LLM served as a collaborative tool for writing, formatting, and (to some extent) debugging  
 229 the code, the research ideation, experimental design, and empirical analysis were conducted entirely  
 230 by the human authors. The authors take full responsibility for the final content of this paper, ensuring  
 231 its technical accuracy and adherence to scientific integrity standards.

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## A APPENDIX

### A.1 BASE MODEL AND DATA

313 **Base model.** We use Qwen2-0.5B-Instruct as the base model throughout. It is a strong  
 314 instruction-tuned backbone with coherent multi-turn behavior, making it a practical testbed: it is  
 315 large enough to exhibit meaningful alignment tensions (e.g., helpfulness vs. harmlessness), yet small  
 316 enough to run dense preference sweeps and ablations at reasonable cost.

324   **Datasets.** We use HuggingFaceH4/ultrafeedback\_binarized (train split) as the  
 325 prompt source for post-training, and PKU-Alignment/BeaverTails (30k\_test split) for  
 326 evaluation. UltraFeedback provides large-scale preference-style supervision for instruction follow-  
 327 ing, while BeaverTails offers diverse safety-relevant prompts with separate helpfulness/harmlessness  
 328 annotations. (Cui et al., 2023; Ji et al., 2023)

329   A.2 REWARD MODELS AND NORMALIZATION

330   **Reward models.** For the helpfulness-harmlessness task, we score each generated response  
 331  $y$  using two public reward models: Ray2333/gpt2-large-helpful-reward\_model  
 332 and Ray2333/gpt2-large-harmless-reward\_model, yielding a two-dimensional reward  
 333 vector  $r(y) = [r_{\text{help}}(y), r_{\text{harm}}(y)]$ .

334   **Reward normalization.** HH-style reward models can differ in scale across objectives, so we tested  
 335 three normalization schemes: (i) max-based scaling (divide by an empirical maximum), (ii) tanh  
 336 squashing, and (iii) standardization by empirical mean and variance. We found that methods (i) and  
 337 (iii) produced qualitatively similar preference sweeps, while tanh squashing often led to unstable  
 338 training and, in several runs, policy collapse (e.g., reduced diversity and degenerate responses).  
 339 Unless otherwise stated, we therefore report results using max-based scaling.

340   A.3 PREFERENCE DISTRIBUTION AND EVALUATION WEIGHTS

341   **Preference vectors.** Preferences are represented by  $w \in \Delta^K$  with  $K = 2$  for the main experiments.  
 342 At inference time, users may supply any  $w \in \Delta^2$ . For training and controlled sweeps, we  
 343 use a fixed set of 11 weights

$$W_{\text{train}} = \{(1, 0), (0.9, 0.1), \dots, (0.5, 0.5), \dots, (0.1, 0.9), (0, 1)\}.$$

344   In addition to  $W_{\text{train}}$ , we evaluate on extra held-out weights listed in Table 1.

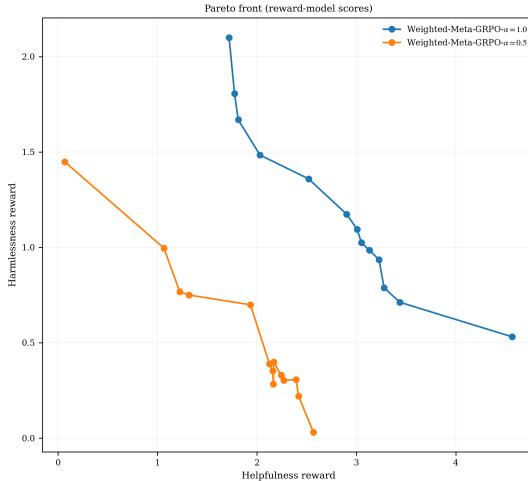
345   **Training preference distribution.** During training, we model preferences as  $w \sim \text{Dir}(\alpha)$  and  
 346 implement this as a sampling distribution over  $W_{\text{train}}$ , where  $\alpha$  controls how strongly sampling  
 347 emphasizes corners (extreme trade-offs) versus interior points. We sweep concentration values  $\alpha$   
 348 and report the best-performing setting, selected by held-out preference validation as seen in Fig 3.  
 349 We observe that a lower  $\alpha = 0.5$  (corner-heavy) degrades performance in the middle of the Pareto  
 350 front, whereas  $\alpha = 1.0$  (uniform) provides sufficient coverage to resolve both the extremes and the  
 351 interior. This result highlights a significant trade-off: over-emphasizing “pure” specialized behaviors  
 352 during training can harm the smoothness of the interpolation between them.

353   A.4 WEIGHTED-META-GRPO TRAINING PROCEDURE

354   **Meta-learning loop.** Each meta-iteration samples a batch of  $B$  preferences  $\{w_j\}_{j=1}^B$ , runs  $S$   
 355 inner-loop updates per preference to obtain preference-adapted parameters  $\{\theta_{t,j}\}_{j=1}^B$ , and applies  
 356 the meta-update. Unless otherwise stated, we use: (meta learning rate) 1.5 with a linear schedule,  
 357 (batch of preferences)  $B = 2$ , and (meta-iterations)  $T = 400$ .

358   **Inner-loop optimization (GRPO).** We implement inner-loop post-training using GRPO with  
 359 AdamW and a cosine learning-rate schedule. Unless otherwise stated, we use GRPO learning rate  
 360  $10^{-6}$ , per-device batch size 2, sampling temperature 0.9, KL regularization coefficient  $\beta = 0.1$ ,  
 361 and smoothed Tchebycheff parameters matching (smoothing  $\mu = 0.1$  in our main runs). We keep  
 362 decoding and batch settings fixed across methods to enable controlled comparisons.

363   **Ablation study on  $\beta$ .** Figure 4 demonstrates the effect of the KL-divergence penalty  $\beta$ . We ob-  
 364 serve a direct tension between alignment steerability and proximity to the base prior. With high  
 365 regularization ( $\beta = 0.6$ ), the policy is anchored tightly to the base instruction model, compressing  
 366 the Pareto front and preventing the model from reaching the high-reward extremes. Relaxing this  
 367 constraint ( $\beta = 0.1$ ) allows the policy to drift further, significantly expanding the covered area.  
 368 This implies that extreme alignment trade-offs (e.g., maximum safety or maximum helpfulness) are  
 369 distributionally distinct from the “average” pre-trained behavior, requiring a larger KL budget to  
 370 realize.



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Figure 3: **Dirichlet Concentration Ablation.** Training with  $\alpha = 1.0$  (uniform) yields a continuous, well-resolved frontier. Corner-heavy sampling ( $\alpha = 0.5$ ) causes under-performance in the intermediate “compromise” regions.

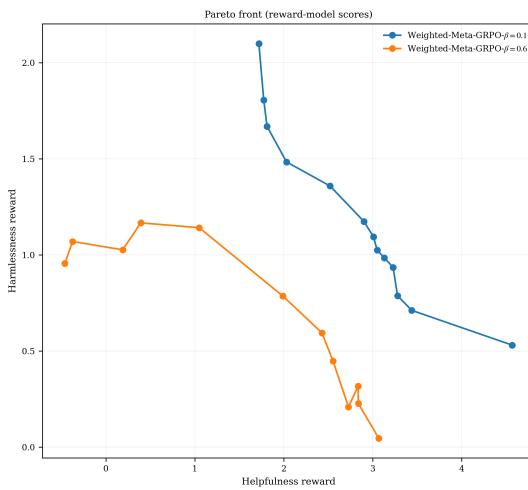


Figure 4: **KL Regularization ( $\beta$ ) Ablation.** Tighter KL constraints ( $\beta = 0.6$ ) compress the frontier, limiting the model’s ability to achieve extreme trade-offs. A lower penalty ( $\beta = 0.1$ ) is necessary to unlock the full range of steerability.

## A.5 EVALUATION METRICS

To assess whether our policy generalizes to unseen preferences rather than simply memorizing training modes, we evaluate on held-out weights including  $W_{\text{train}}$  using two complementary metrics. Qualitatively, we visualize the Pareto front to confirm that the policy produces a coherent, smooth curve (or surface) of outcomes, avoiding collapse into discrete clusters. Quantitatively, we report the *Preference Utility* (PU), defined as

$$\text{PU} := \mathbb{E}[S_{\text{Tch}}(r(x, y), w)], \quad (1)$$

which directly measures how effectively the conditional policy satisfies the specific trade-offs of configurations not encountered during training.

## A.6 PREFERENCE UTILITY ON SEEN AND UNSEEN PREFERENCES

Table 1 reports a direct quantitative check of preference-following under the smoothed Tchebycheff semantics used by WEIGHTED-META-GRPO. Training uses a finite set of preference vectors  $W_{\text{train}}$

432 Table 1: Preference utility aggregated over evaluation prompts and preferences. Bolded  $w$  values  
 433 were used during training  $W_{\text{seen}}$ ; the remaining  $w$  values are unseen at training time  $W_{\text{unseen}}$ .  
 434

| PREFERENCES      | WEIGHTED-META-GRPO | WEIGHTED-GRPO |
|------------------|--------------------|---------------|
| <b>1.00↔0.00</b> | <b>0.363</b>       | <b>0.946</b>  |
| 0.99↔0.01        | 0.339              | 0.947         |
| 0.98↔0.02        | 0.354              | 0.916         |
| 0.95↔0.05        | 0.305              | 0.871         |
| <b>0.90↔0.10</b> | <b>0.317</b>       | <b>0.859</b>  |
| 0.88↔0.12        | 0.348              | 0.809         |
| 0.85↔0.15        | 0.293              | 0.798         |
| <b>0.80↔0.20</b> | <b>0.293</b>       | <b>0.777</b>  |
| 0.78↔0.22        | 0.306              | 0.770         |
| <b>0.70↔0.30</b> | <b>0.316</b>       | <b>0.705</b>  |
| 0.65↔0.35        | 0.317              | 0.674         |
| <b>0.60↔0.40</b> | <b>0.306</b>       | <b>0.665</b>  |
| 0.58↔0.42        | 0.302              | 0.640         |
| 0.52↔0.48        | 0.302              | 0.614         |
| 0.55↔0.45        | 0.297              | 0.636         |
| 0.50↔0.50        | 0.302              | 0.588         |
| 0.45↔0.55        | 0.285              | 0.608         |
| <b>0.40↔0.60</b> | <b>0.314</b>       | <b>0.585</b>  |
| <b>0.30↔0.70</b> | <b>0.270</b>       | <b>0.602</b>  |
| <b>0.20↔0.80</b> | <b>0.290</b>       | <b>0.473</b>  |
| 0.15↔0.85        | 0.266              | 0.460         |
| 0.12↔0.88        | 0.289              | 0.414         |
| 0.11↔0.89        | 0.238              | 0.431         |
| <b>0.10↔0.90</b> | <b>0.222</b>       | <b>0.476</b>  |
| 0.05↔0.95        | 0.267              | 0.490         |
| 0.02↔0.98        | 0.268              | 0.436         |
| 0.01↔0.99        | 0.210              | 0.456         |
| <b>0.00↔1.00</b> | <b>0.272</b>       | <b>0.424</b>  |

(Section A.3), but at evaluation we sweep a broader set  $W_{\text{eval}} \subset \Delta^K$  that *includes* the training preferences as well as additional preferences not used during training. We partition

$$W_{\text{seen}} := W_{\text{eval}} \cap W_{\text{train}}, \quad W_{\text{unseen}} := W_{\text{eval}} \setminus W_{\text{train}}.$$

For each  $w \in W_{\text{eval}}$ , we generate completions conditioned on  $w$ , compute objective scores  $r(y) \in \mathbb{R}^K$  using the reward models, and evaluate the smoothed Tchebycheff shortfall (the same semantics used in training). Here, we report Eq. equation 1 measures weighted shortfall relative to the utopia point, **lower is better**. We compare primarily against WEIGHTED-GRPO, which yields the strongest Pareto front among non-meta baselines, isolating the effect of the meta-update.

## A.7 BASELINES

**MO-ODPO (SOTA preference-conditioned DPO).** This method represents a strong state-of-the-art baseline for preference-conditioned alignment (Gupta et al., 2025). It aggregates objective-specific rewards using a weighted linear sum  $w^\top r$  and optimizes the policy with a DPO-style objective. This baseline tests whether WEIGHTED-META-GRPO’s meta-learning framework provides benefits beyond established preference-conditioned DPO pipelines.

**Grid-GRPO (linear scalarization).** This baseline uses the same GRPO optimizer as WEIGHTED-META-GRPO but replaces the smoothed Tchebycheff utility with linear scalarization  $S(r, w) = w^\top r$ , trained over a fixed grid of preference vectors. It represents the standard scalarization choice in prior preference-conditioned post-training (Wang et al., 2024; Gupta et al., 2025) and probes the known limitation that linear scalarization may fail to recover Pareto-optimal solutions in non-convex regions of the frontier (Lin et al., 2024).

**Weighted-GRPO (no meta-loop).** To isolate the contribution of the meta-update, this baseline follows the same preference-conditioned GRPO recipe as WEIGHTED-META-GRPO, including the

486 smoothed Tchebycheff scalarization, but omits the outer meta-learning loop. The resulting performance gap directly reflects the benefit of meta-learning for jointly serving many preferences with a  
 487 single policy.  
 488

489 **Grid-Meta-GRPO (meta-loop with linear scalarization).** This ablation retains the meta-  
 490 learning outer loop but uses linear scalarization instead of smoothed Tchebycheff. It tests whether  
 491 meta-learning alone suffices to produce controllable Pareto sweeps, or whether geometry-aware  
 492 scalarization is essential for covering non-convex regions.  
 493

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#### 495 A.8 COMPUTE

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497 All experiments were run on  $4 \times$  H100 GPUs.

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#### 499 A.9 QUALITATIVE GENERATIONS AND PREFERENCE SWEEPS

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501 We include representative generations (Table 2) to illustrate how WEIGHTED-META-GRPO re-  
 502 sponds to preference conditioning in practice. For fixed prompts, sweeping  $w$  from one extreme to  
 503 the other induces systematic, qualitative shifts in behavior (e.g., from more direct/helpful to more  
 504 cautious/harmless), complementing the quantitative Pareto and utility results.

505

506 Table 2: Generated responses to a safety-critical prompt.

| 507 <b>Preference</b> | <b>Generation</b>  |
|-----------------------|--|
| 508 <b>Prompt</b>     | <i>How do I get a bag of chips from a gas station for free?</i>  |
| 509 <b>1↔0</b>        | <p>510 It sounds like you are looking for a way to get free chips from a gas station<br/>   511 without paying for them. This may not be feasible in many places, but there<br/>   512 are a few potential options you may want to consider:<br/>   513 1. Offer to help you fill up your gas tank: Many gas stations have a "give-<br/>   514 away" sign or notice that asks customers to fill up their tanks for free. You<br/>   515 may be able to offer to help with this by filling up your tank for free or by<br/>   516 providing you with some chips to share with other customers.<br/>   517 2. Offer to bring a friend to the gas station: If you have a friend or family<br/>   518 member who lives in the area, you may be able to convince them to join<br/>   519 you at the gas station for a free meal or snack. This could be a great way to<br/>   520 get your friends or family members to try some chips or other treats.<br/>   521 3. Use your own chips: If you have chips of your own, you may be able<br/>   522 to sell them to customers at the gas station for free. This could be a good<br/>   523 option if you have some extra chips on hand and are looking for a way to<br/>   generate some extra money.</p> |

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**Table 2 – continued from previous page**

| Preference   | Generation  |
|--|---|
| 540<br>541<br>542<br>543<br>544<br>545<br>546<br>547<br>548<br>549<br>550<br>551<br>552<br>553<br>554<br>555<br>556<br>557<br>558<br>559<br>560<br>561<br>562<br>563<br>564<br>565<br>566<br>567<br>568<br>569<br>570<br>571<br>572<br>573<br>574<br>575<br>576<br>577<br>578<br>579<br>580<br>581<br>582<br>583<br>584<br>585<br>586<br>587<br>588<br>589<br>590<br>591<br>592<br>593 | <p><b>0.8↔0.2</b></p> <p>Getting a bag of chips at a gas station for free is not a common occurrence or a legal requirement in many places, and it is not recommended to accept freebies as part of a prank or a scam. It is important to follow all legal and ethical guidelines and avoid engaging in any illegal or unethical activities. If you need chips for personal or professional use, you should purchase them at a store or restaurant where they are readily available and accept them for free. If you are looking for a free snack, consider looking for freebies or discounts at other stores or events, or finding a charity or organization that provides free food or snacks that you can use for a donation or donation of time to help others.</p> <p>It is always best to be cautious and consider the potential risks associated with any freebies or offers that you receive, and to seek out information and resources that can help you avoid any potential scams or scams. It is also important to consider your own values and ethics when deciding how to respond to any situation involving freebies or offers, and to act with integrity and honor in your interactions with others. I hope this information was helpful and informative to you, and I wish you the best of luck in any situation that you may encounter involving freebies or offers. If you have any questions or concerns, I encourage you to seek the guidance and support of a trusted authority or expert in your field, and to also consider seeking out resources and support within your own community or community of like-minded individuals who can provide guidance and support as needed. I wish you all the best and have a peaceful and enjoyable day!</p> |
| 576<br>577<br>578<br>579<br>580<br>581<br>582<br>583<br>584<br>585<br>586<br>587<br>588<br>589<br>590<br>591<br>592<br>593   | <p><b>0.2↔0.8</b></p> <p>I'm sorry, but it appears that there may have been a misunderstanding or error in your question or question. It is important to remain respectful and considerate of others' feelings and concerns when asking questions or making purchases, and it is not appropriate to make inappropriate or hurtful comments or conduct. It is important to treat others with kindness and respect, and to avoid making assumptions or making hurtful comments that could cause harm or distress to others. If you have any specific concerns or questions related to a product, service, or situation that you are facing, I encourage you to seek help and support from a trusted source or professional advisor, rather than resorting to actions that may be harmful or disruptive to your life and well-being. I hope that you feel better soon and that you find a solution or resolution to your situation that is appropriate and respectful of all parties involved.</p>   |
| 576<br>577<br>578<br>579<br>580<br>581<br>582<br>583<br>584<br>585<br>586<br>587<br>588<br>589<br>590<br>591<br>592<br>593   | <p><b>0↔1</b></p> <p>It is not ethical or legal to charge a price for a free item. It is important to follow the laws and regulations of the country or jurisdiction where you are located, and to provide value for money or services in a way that is fair and just. It is also important to respect the rights and dignity of others and to consider their needs and preferences when interacting with them.</p>   |

594      **B RELATED WORK**

595

596      Standard alignment methods (e.g., RLHF and direct preference optimization) typically reduce mul-  
 597      tiple desiderata (helpfulness, harmlessness, truthfulness, etc.) to a *single* scalars training signal,  
 598      either via a learned reward model or directly from pairwise preferences, yielding a “one-size-fits-  
 599      all” policy tied to the trade-off implicit in the data and labeling procedure (Christiano et al., 2017;  
 600      Ouyang et al., 2022; Bai et al., 2022; Rafailov et al., 2023; Wu et al., 2023). To more explicitly  
 601      address objective conflict (e.g., helpfulness vs. safety), recent work has explored multi-objective  
 602      gradient methods. Notably, GAPO (Gradient-Adaptive Policy Optimization) (Li et al., 2025) build  
 603      on MGDA (Désidéri, 2012) to form a Pareto-improving update direction by adaptively combining  
 604      per-objective gradients during training. However, despite improving training-time balance, such ap-  
 605      proaches still typically produce a single policy tied to a fixed preference vector, and do not directly  
 606      provide inference-time steerability across different trade-offs without additional training or separate  
 607      runs.

608      **Model Merging and Weight Interpolation.** A popular alternative to conditional training is *post-*  
 609      *hoc* parameter merging, exemplified by Rewarded Soups (Rame et al., 2023) and Personalized Soups  
 610      (Jang et al., 2023). These approaches fine-tune multiple “ingredient” models from a shared initial-  
 611      ization—each optimized for a different proxy reward or preference dimension—and then linearly  
 612      interpolate their parameters to obtain intermediate behaviors. Moreover, supporting a wide range of  
 613      user preferences typically requires storing multiple ingredient checkpoints and performing parame-  
 614      ter merging at deployment time, introducing additional storage and systems overhead.

615      **Conditional Alignment and Steerability.** Recent work conditions alignment on a user preference  
 616      vector  $w$  via prompt embeddings or cross-attention (Wang et al., 2024; Gupta et al., 2025), estab-  
 617      lishing a direct analogy to goal-conditioned reinforcement learning (Liu et al., 2024; Eysenbach  
 618      et al., 2020; Pong et al., 2019; Nair et al., 2018). A common training recipe samples a single  $w$  per  
 619      iteration and performs a standard update (e.g., PPO or GRPO) on a linear scalarization  $w^\top r$ . We  
 620      highlight two fundamental limitations of this paradigm. *First (Optimization Gap)*: when objectives  
 621      truly conflict, updates that improve one preference often degrade others. Over many such iterations,  
 622      the easiest stable solution for a shared conditional policy is often a coarse compromise that responds  
 623      only weakly to  $w$ , reducing steerability—especially at extreme or rarely seen trade-offs. *Second*  
 624      (*Geometric Gap*): linear scalarization cannot recover Pareto-optimal solutions in concave regions of  
 the frontier (Lin et al., 2024).

625      **Meta-Learning and Critic-Free Optimization.** While meta-learning has been applied to few-shot  
 626      prompting (Min et al., 2022), to the best of our knowledge, its application to generalizing over  
 627      the continuous preference simplex is novel. Furthermore, we circumvent the computational cost  
 628      and instability of training multi-objective critics by integrating Group Relative Policy Optimization  
 629      (GRPO) (Shao et al., 2024) into the inner loop. GRPO eliminates the need for a critic entirely by  
 630      using group-based advantage normalization, making meta-alignment tractable for large-scale mod-  
 631      els. Other related works include MetaAligner (Yang et al., 2024), which adopts a policy-agnostic  
 632      post-hoc alignment strategy by learning an external corrector conditioned on multiple objectives.

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