

Global Resilience Challenge

Response to Questions¹

27 October 2014

The answers to many questions are included in the Source Document for the Global Resilience Challenge and/or the Frequently Asked Questions (FAQ) document that are posted on the Global Resilience Partnership website at <http://www.globalresiliencepartnership.org/>.

1. REGIONAL COVERAGE

- *Pakistan is classified within South Asia (e.g., SAARC, <http://www.saarc-sec.org> = South Asian Association for Regional Cooperation). Is Pakistan considered part of “South and Southeast Asia” for the purposes of the Challenge?*
- *I know from reading the previously answered Challenge questions that the regions are not made up of predefined countries. Is a project that focuses on the water catchment for the Sahel (the upper Guinean rain forest) applicable?*

The Global Resilience Partnership (Resilience Partnership) is looking to build regional resilience with solutions that are replicable across the region and potentially globally. As such, we have not pre-defined country lists for the three focus regions.

In the past few weeks, we received several questions about the geographies that have been prioritized by the Resilience Partnership. The Resilience Partnership and the Global Resilience Challenge are taking a regional approach, and looking for innovative solutions that will have regional applicability across the Sahel, the Horn of Africa, and South and Southeast Asia. Ideally, these solutions could be relevant globally, too. The Resilience Challenge is unique in that it is looking for teams that can look regionally at resilience challenges, identify those challenges, and then propose solutions. As conveners, our goal is not to be prescriptive, but to provide an opportunity for experts to create and innovate.

The Resilience Partnership reserves the right to determine a team’s eligibility in meeting the criteria as established in the “Source Document for the Global Resilience Challenge”, including proposed regions and resilience topics covered by the Global Resilience Challenge (Challenge). All determinations made by the Resilience Partnership will be final².

2. TEAM COMPOSITION

Please see Section³ III (of the “Source Document for the Global Resilience Challenge”) for additional information on Teams for Resilience.

- *Is a Research Organization eligible to be a Team Lead Organization? In the Source Document, we don’t see ‘Research Organization’ listed as potential Team Lead*

¹ Responses are provided to questions received between 17 October - 27 October 2014. Responses to questions received through the challenge@globalresiliencepartnership.org will be posted to the <http://www.globalresiliencepartnership.org> website on a weekly basis.

² Page 6, Section III, of the “Source Document for the Global Resilience Challenge,” September 2014.

³ All Section, Item, and page references included in this document refer to the “Source Document for the Global Resilience Partnership” dated September 2014 and available at <http://www.globalresiliencepartnership.org/>.

Organizations. However, we see ‘Research Facilities’ that are funded by/ affiliated with a foreign government is mentioned to be eligible.

All Team Lead applicants must be legally recognized corporate entities under applicable law. Funds may only be disbursed by the Resilience Partnership Representative to a Team Lead that meets this definition. Funding may be disbursed by the Team Leads to Team Members as individuals or as organizations. A “Research Organization” that is a legally recognized corporate entity should meet the definition of a Team Lead if it meets the requirements in the paragraph below.

Potential Team Lead organizations include U.S. and non-U.S. foundations, NGOs, faith-based organizations, private businesses, business and trade associations, colleges and universities, and civic groups. Team Lead organizations that are ineligible are: foreign governments, non-incorporated entities, and individuals (Individuals interested in applying should join or form an organization that meets the eligibility criteria herein.) Colleges, universities, and research facilities that are funded by, and/or affiliated with, a foreign government are not considered a foreign government⁴.

- ***If we include local government and/or local private sector companies in our Team, does this fulfill the requirement for each Team to have a “local organization”?***

As the Challenge is intended to be a regionally led process, we encourage substantive involvement from organizations and individuals in the focal regions. Participation by at least one regional or local partner on each team is required, but the entire team does not need to reside in the region to be eligible⁵. Teams should include partners and/or offices in the target Resilience Partnership regions. Local government and/or local private sector companies will qualify as local organizations.

- ***In regards to presenting a Team for our application, can an organization be a Team member if they do not receive funds through a sub-agreement? Under USAID and other donor rules, we typically do not enter into sub-agreements (sub-grants) with the private sector or government. If we enter into MOUs or other agreements that do not involve a transfer of funds with the private sector or government, does the GRP consider these groups as “Team members”?***

All organizations included on Teams must be represented by an individual. An individual representing an Organization may be a Team member even if they do not receive funds through a sub-grant agreement. Private sector and/or governments may be Team Members.

It is the Team Leads’ discretion as to how to convene, organize, and structure - administratively and operationally - their Teams (i.e., equal Team Members, a core group of Team Members supported by a stakeholder/advisory group, etc.). However as a requirement of the Grant Agreement with the Resilience Partnership Representative, Team Leads will be required to enter into Sub-grant Agreements with all Team Members receiving disbursements from the funds received by the Team Lead from the Resilience Partnership. The allocation of Challenge funding within the Teams should be considered during Budget Development.

Further Teaming Agreements between the Team Lead will be required for all Team Members where a Sub-grant Agreement is not required.

⁴ Page 5, Section III, of the “Source Document for the Global Resilience Challenge,” September 2014.

⁵ Global Resilience Partnership, “Global Resilience Challenge FAQs,” September 2014.

- *If we submit a Letter of Commitment (LOC) for an organization as a Team member, is a personal LOC required for the individual(s) who represent the organization? If personnel LOCs are required for individuals, is there any guidance on what the personnel LOCs should contain?*

All organizations included on Teams must be represented by an individual. Each individual included in a Team must submit a Letter of Commitment. There is no predefined template for the Letter of Commitment, however a Letter of Commitment must include:

- a. A written statement of the Team Member's intent to participate on the Team
- b. The Team Member's role on the Team
- c. The Nationality of the Team Member
- d. Team Member's principal place of business⁶

Individuals may only be included as a Team Member on one Team application submitted in the Stage One 'Call for Teams'. An individual cannot be included on more than one Team, regardless of the individual's proposed role or whether they would be focusing on different regions.

Organizations may be represented on more than one Team applications as long as individuals from that organization are unique to each Team's application⁷. There is no limit to the number of Teams on which an organization may participate, i.e., organizations can participate on multiple teams in multiple regions.

3. BUDGET

- *A "budget template" is referred to and I would like to inquire if you have a template document you are asking applicants to follow or whether you're referring to the applicant preparing an "indicative budget" in whatever structure suits their proposition?*

Additional instructions regarding submission of applications will be posted to the website as they become available, including a Budget Template and corresponding instructions, as well as instructions for submitting applications either via email or via the website. We anticipate that this information will be available on the website no later than 7th November. An email announcement will be made when this information is posted to the website.

The budget template will prescribe indicative budget categories that the Challenge funding could support, including sub-contracts (i.e., for contracts other than with proposed Team Members) and indirect costs. For additional guidance regarding indirect costs, please refer to USAID's Best Practices Guide for Indirect Costing: <http://www.usaid.gov/sites/default/files/documents/1868/300mah.pdf>. Additional budgetary information will be required from all selected teams during the grant negotiation process.

4. STAGE ONE SELECTION CRITERIA

⁶ Page 4, Section III, of the "Source Document for the Global Resilience Challenge," September 2014.

⁷ Page 9, Item B7, "Source Document for the Global Resilience Partnership," September 2014.

- ***My query is concerning whether construction technologies- locally built with job creation both pre- and post- disaster events - is within the scope of the Global Resilience Challenge?***

Inherent to this Challenge is the recognition that solutions for building resilience are as diverse and interrelated as the problems themselves - from chronic malnutrition and health shocks, to climate change, to economic and political instabilities, and a myriad of other vulnerabilities. *Under this Challenge, problem identification and solution development is deliberately structured as a field-driven process led by the Teams.* We expect Teams to apply cross-sectoral, multi-disciplinary, collaborative thinking to identify the gaps, needs, and barriers that must be overcome in order to build resilience to shocks and stresses. The range of shocks and stresses facing each of the three regions is diverse. As such, it is expected that this Challenge will fund a diverse range of promising solutions that overcome the critical problems identified⁸. There is no preference given for projects addressing more complex issues or a broad spectrum of natural hazards versus those projects that address a specific issue or natural hazard, or to projects that encompass an entire region compared to a specific country or city; Development Impact will be assessed based upon the size and breadth of the development impact (on vulnerable groups) and if the approach is scalable and replicable in the proposed region.

5. ADMINISTRATION

- ***After reading the Source Document it appeared that the first opportunity to submit Team Information was in the Pre-proposal due Nov. 21, but we wanted to confirm.***

Yes, the first opportunity to submit any information, including Team information, will be in the submission of the Pre-proposal documents. Additional instructions regarding submission of applications will be posted to the website as they become available, including a Budget Template and corresponding instructions, as well as instructions for submitting applications either via email or via the website. We anticipate that this information will be available on the website no later than 7th November. An email announcement will be made when this information is posted to the website.

6. OTHER QUESTIONS

- ***I still have unanswered questions. Who can help me?***

All correspondence, including questions regarding the Challenge, should be directed to: challenge@globalresiliencepartnership.org. All questions concerning the Challenge will be accumulated, and over the course of the Challenge, consolidated responses will be posted periodically to the Resilience Partnership website: <http://www.globalresiliencepartnership.org>⁹.

⁸ Global Resilience Partnership, “Global Resilience Challenge FAQs,” September 2014.

⁹ Global Resilience Partnership, “Global Resilience Challenge FAQs,” September 2014.