

引入 Join Point 的中间语言模型

设计背景

对于 **if (if e1 then e2 else e3) then e4 else e5** 样式的高级语言语句，编译器通常会引入称为 commuting conversion 的结构转换，成为

```
if e1 then (if e2 then e4 else e5)
  else (if e3 then e4 else e5)
```

如此一来如果 e4 和 e5 是很长的代码串则会在程序中引入大量冗余，而如果用 let 绑定的形式避免冗余，则成为

```
let { j4 () = e4; j5 () = e5 }
in if e1 then (if e2 then j4 () else j5 ())
  else (if e3 then j4 () else j5 ())
```

由于 e4 和 e5 是语句序列所以需要引入新定义的函数 j4 和 j5，这样在调用 j4(), j5() 时会引起函数转移时保存上下文和局部存储空间分配的开销，这样的内存开销不是原有代码所需要的。

而如果使用 Continuation Passing Style (CPS) 形式的中间语言还可能对于 e2 和 e3 引入不同名的 Continuation 变量来替代 e4 或 e5，让冗余代码变得难以识别，并加深代码层次，不利于对多层 join points 进行优化。

定义新的语法元素 Join Point 来指示 e4、e5 这样的语句结构，将充分体现其控制流合并的特性，并能进行多种语句优化。

定义 Join Point

在已有的 Glasgow Haskell Compiler (GHC) 中的中间语言的基础上添加 join point 的静态语义

$$\frac{\Gamma, \vec{a}, \vec{x}:\vec{\sigma}; \Delta \vdash u : \tau \quad \Gamma; \Delta, (j: \forall \vec{a}. \vec{\sigma} \rightarrow \forall r. r) \vdash e : \tau}{\Gamma; \Delta \vdash \text{join } j \vec{a} \vec{x}:\vec{\sigma} = u \text{ in } e : \tau}$$

$$\frac{\overline{\Gamma, \vec{a}, \vec{x}:\vec{\sigma}; \Delta, j: \forall \vec{a}. \vec{\sigma} \rightarrow \forall r. r \vdash u : \tau} \quad \overline{\Gamma; \Delta, j: \forall \vec{a}. \vec{\sigma} \rightarrow \forall r. r \vdash e : \tau}}{\Gamma; \Delta \vdash \text{join rec } j \vec{a} \vec{x}:\vec{\sigma} = u \text{ in } e : \tau} \text{ RJBIND}$$

$$\frac{(j: \forall \vec{a}. \vec{\sigma} \rightarrow \forall r. r) \in \Delta \quad \Gamma; \varepsilon \vdash u : \sigma\{\varphi/a\}}{\Gamma; \Delta \vdash \text{jump } j \vec{\varphi} \vec{u} \tau : \tau} \text{ JUMP}$$

其中 join point 具有 (多个) 类型变量 \vec{a} ，(多个) 普通变量 $\vec{x}:\vec{\sigma}$ ，和一个返回类型 τ 。其动态语义

$$\left\langle \begin{array}{c} \text{jump } j \vec{\varphi} \vec{v} \tau; \\ s' \text{ in } (\text{join } j b \text{ in } \square : s); \\ \Sigma \end{array} \right\rangle \mapsto \left\langle \begin{array}{c} u\{\varphi/a\}; \\ \text{join } j b \text{ in } \square : s; \\ \Sigma, \vec{x} = \vec{v} \end{array} \right\rangle \text{ (jump)}$$

$$\text{if } (j \vec{a} \vec{x} = u) \in j b$$

$$\left\langle \begin{array}{c} A; \\ \text{join } j b \text{ in } \square : s; \\ \Sigma \end{array} \right\rangle \mapsto \langle A; s; \Sigma \rangle \text{ (ans)}$$

后就构成了以 λ 演算为主体的带 join point 的语言 F_j (完整语法见附录 A)，定义其优化规则为

$$e = e'$$

$(\lambda x:\sigma.e)v$	$=$	$\text{let } x:\sigma = v \text{ in } e$	(β)
$(\Lambda a.e)\varphi$	$=$	$e\{\varphi/a\}$	(β_τ)
$\text{let } vb \text{ in } C[x]$	$=$	$\text{let } vb \text{ in } C[v]$	$\text{if } (x:\sigma = v) \in vb \quad (\text{inline})$
$\text{let } vb \text{ in } e$	$=$	e	$\text{if } \text{bv}(vb) \cap \text{fv}(e) = \emptyset \quad (\text{drop})$
$\text{join } jb \text{ in } L[\vec{e}, \text{jump } j \vec{\varphi} \vec{v} \tau, \vec{e}']$	$=$	$\text{join } jb \text{ in } L[\vec{e}, \text{let } \overline{x:\sigma} = \vec{v} \text{ in } u\{\overline{\varphi/a}\}, \vec{e}']$	$\text{if } (j \vec{a} \overline{x:\sigma} = u) \in jb \quad (\text{jinline})$
$\text{join } jb \text{ in } e$	$=$	e	$\text{if } \text{bv}(jb) \cap \text{fv}(e) = \emptyset \quad (\text{jdrop})$
$\text{case } K \vec{\varphi} \vec{v} \text{ of } \overline{alt}$	$=$	$\text{let } \overline{x:\sigma} = \vec{v} \text{ in } e$	$\text{if } (K \overline{x:\sigma} \rightarrow e) \in \overline{alt} \quad (\text{case})$
$E[\text{case } e \text{ of } K \overline{x} \rightarrow u]$	$=$	$\text{case } e \text{ of } K \overline{x} \rightarrow E[u]$	(casefloat)
$E[\text{let } vb \text{ in } e]$	$=$	$\text{let } vb \text{ in } E[e]$	(float)
$E[\text{join } j \vec{a} \overline{x} = u \text{ in } e]$	$=$	$\text{join } j \vec{a} \overline{x} = E[u] \text{ in } E[e]$	(jfloat)
$E[\text{join rec } j \vec{a} \overline{x} = u \text{ in } e]$	$=$	$\text{join rec } j \vec{a} \overline{x} = E[u] \text{ in } E[e]$	$(\text{jfloat}_{\text{rec}})$
$E[\text{jump } j \vec{\varphi} \vec{e} \tau] : \tau'$	$=$	$\text{jump } j \vec{\varphi} \vec{e} \tau'$	(abort)

$$e = e'$$

$\text{let } f = \Lambda \vec{a} . \lambda \vec{x} . u \text{ in } L[\vec{e}] : \tau$	$=$	$\text{join } j \vec{a} \overline{x} = u \text{ in } L[\overline{\text{tail}_\rho(e)}]$	(contify)
		$\text{if } \rho(f \vec{a} \overline{x}) = \text{jump } j \vec{a} \overline{x} \tau$	
		$\text{and } f \notin \text{fv}(L), u : \tau$	
$\text{let rec } f = \Lambda \vec{a} . \lambda \vec{x} . L[\vec{u}] \text{ in } L'[\vec{e}] : \tau$	$=$	$\text{join rec } j \vec{a} \overline{x} = L[\overline{\text{tail}_\rho(u)}] \text{ in } L'[\overline{\text{tail}_\rho(e)}]$	$(\text{contify}_{\text{rec}})$
		$\text{if } \rho(f \vec{a} \overline{x}) = \text{jump } j \vec{a} \overline{x} \tau$	
		$\text{and } f \notin \text{fv}(\vec{L}), f \notin \text{fv}(L'), L[\vec{u}] : \tau$	
$\text{tail}_\rho(f \vec{\sigma} \vec{u}) \triangleq e\{\overline{\sigma/a}\}\{\overline{u/x}\}$	$\text{if } \rho(f \vec{a} \overline{x}) = e \text{ and } \text{dom}(\rho) \cap \text{fv}(\vec{u}) = \emptyset$		
$\text{tail}_\rho(e) \triangleq e$	$\text{if } \text{dom}(\rho) \cap \text{fv}(e) = \emptyset$		
$\text{tail}_\rho(e) \triangleq \text{undefined}$	otherwise		

其中 $E[\vec{e}]$ 代表对 \vec{e} 中语句进行枚举，例如 $E[\vec{e}] =$

Case v of A \rightarrow e1

B \rightarrow e2

C \rightarrow e3

利用 Join Point 进行代码优化

对于

Let f x = rhs in

Case a of A \rightarrow ... f y

B \rightarrow ... f z

这样形如 $\text{let } f x = \text{rhs in } E[\dots f y]$ 的代码，应用 *float* 规则可将 *let* 放入枚举中变成

Case a of A \rightarrow Let f x = rhs in ... f y

B \rightarrow Let f x = rhs in ... f z

因为是尾调用，可用 *contify* 规则将普通函数转化成 Join Point 以节省函数调用开销

Case a of A \rightarrow join f x = rhs in ... jump f y τ

B \rightarrow join f x = rhs in ... jump f z τ

然后使用 *jfloat* 规则将 Join Point 提出 case 外

Join f x = case a of A \rightarrow rhs[x/y]

B \rightarrow rhs[x/z]

in case ... of ... \rightarrow ... jump f y τ

用 *abort* 规则可以省略 jump 处的无效 case

Join f x = Case a of A \rightarrow rhs[x/y]

$B \rightarrow \text{rhs}[x/z]$

`in ... jump f y τ`

这样实现了 **case** (if-else) 在内外层代码之间的 (双向) 移动, 当存在嵌套 **case**, 即 rhs 中也是 **case** 语句时, 可以将内外层 **case** 联合起来进行下一步优化。

例如

$$\text{any} = \Lambda a. \lambda(p : a \rightarrow \text{Bool})(xs : [a]).$$
$$\text{case} \left(\begin{array}{l} \text{join go } xs = \text{case } xs \text{ of} \\ \quad x : xs' \rightarrow \text{if } p \ x \text{ then } \text{Just } x \\ \quad \quad \quad \text{else } \text{jump go } xs' (\text{Maybe } a) \\ \quad [] \rightarrow \text{Nothing} \\ \text{in jump go } xs (\text{Maybe } a) \end{array} \right) \text{ of}$$
$$\{ \text{Just } _ \rightarrow \text{True}; \text{Nothing} \rightarrow \text{False} \}$$

将外层 **case** 移入内层再优化就成为

$$\text{any} = \Lambda a. \lambda(p : a \rightarrow \text{Bool})(xs : [a]).$$
$$\begin{array}{l} \text{join go } xs = \text{case } xs \text{ of} \\ \quad x : xs' \rightarrow \text{if } p \ x \text{ then } \text{True} \\ \quad \quad \quad \text{else } \text{jump go } xs' \text{ Bool} \\ \quad [] \rightarrow \text{False} \\ \text{in jump go } xs \text{ Bool} \end{array}$$

相比于 CPS 的优越性

- F_j 是基于 A-Normal Form 的形式, 与函数式语言比较接近, 语句形式比 CPS 简洁;
- CPS 对代码求值顺序有强制规定, 而 F_j 没有, 并且 GHC 原有的 `let floating` 和新引入的 `float`、`jfloat` 等规则能方便地交换代码顺序, 利于优化;
- CPS 所需的一些将函数转化为 Continuation 的操作可能因为重命名而引入难以优化的代码;
- F_j 能利用 GHC 已有的允许用户自定义优化规则的系统。

附录 A

完整的 F_j 语法, syntax:

Terms

x	\in	Term variables
j	\in	Label variables
e, u, v	$::=$	$x \mid l \mid \lambda x:\sigma.e \mid e u$
		$\Lambda a.e \mid e \varphi$ Type polymorphism
		$K \vec{\varphi} \vec{e}$ Data construction
		$\text{case } e \text{ of } \vec{alt}$ Case analysis
		$\text{let } vb \text{ in } v$ Let binding
		$\text{join } jb \text{ in } u$ Join-point binding
		$\text{jump } j \vec{\varphi} \vec{e} \tau$ Jump
alt	$::=$	$K \vec{x}:\vec{\sigma} \rightarrow u$ Case alternative

Value bindings and join-point bindings

vb	$::=$	$x:\tau = e$ Non-recursive value
		$\text{rec } \vec{x}:\vec{\tau} = \vec{e}$ Recursive values
jb	$::=$	$j \vec{a} \vec{x}:\vec{\sigma} = e$ Non-recursive join point
		$\text{rec } j \vec{a} \vec{x}:\vec{\sigma} = \vec{e}$ Recursive join points

Answers

A	$::=$	$\lambda x:\sigma.e \mid \Lambda a.e \mid K \vec{\varphi} \vec{v}$
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Types

a, b	\in	Type variables
τ, σ, φ	$::=$	a Variable
		T Datatype
		$\sigma \rightarrow \tau$ Function type
		$\tau \varphi$ Application
		$\forall a. \tau$ Polymorphic type

Frames, evaluation contexts, and stacks

F	$::=$	$\square v$ Applied function
		$\square \tau$ Instantiated polymorphism
		$\text{case } \square \text{ of } p \rightarrow \vec{u}$ Case scrutinee
		$\text{join } jb \text{ in } \square$ Join point
E	$::=$	$\square \mid F[E]$ Evaluation contexts
s	$::=$	$\varepsilon \mid F : s$ Stacks

Tail contexts

L	$::=$	\square Empty unary context
		$\text{case } e \text{ of } p \rightarrow \vec{L}$ Case branches
		$\text{let } vb \text{ in } L$ Body of let
		$\text{join } j \vec{a} \vec{x}:\vec{\sigma} = L \text{ in } L'$ Join point, body
		$\text{join rec } j \vec{a} \vec{x}:\vec{\sigma} = \vec{L} \text{ in } L'$ Rec join points, body

Miscellaneous

C	\in	General single-hole term contexts
Σ	$::=$	$\cdot \mid \Sigma, x:\sigma = v$ Heap
c	$::=$	$\langle e; s; \Sigma \rangle$ Configuration

Statics:

$\boxed{\Gamma; \Delta \vdash e : \tau}$	
$\frac{(x:\tau) \in \Gamma}{\Gamma; \Delta \vdash x : \tau} \text{VAR}$	$\frac{\text{typeof}(K) = \forall \vec{a}. \vec{\sigma} \rightarrow T \vec{a}}{\Gamma; \varepsilon \vdash u : \sigma \{ \varphi/a \}} \text{CON}$
$\frac{\Gamma, (x:\sigma); \varepsilon \vdash e : \tau}{\Gamma; \Delta \vdash \lambda(x:\sigma).e : \sigma \rightarrow \tau} \text{ABS}$	$\frac{\Gamma, a; \varepsilon \vdash e : \tau}{\Gamma; \Delta \vdash \Lambda a.e : \forall a. \tau} \text{TABS}$
$\frac{\Gamma; \Delta \vdash e : \sigma \rightarrow \tau \quad \Gamma; \varepsilon \vdash u : \sigma}{\Gamma; \Delta \vdash e u : \tau} \text{APP}$	$\frac{\Gamma; \Delta \vdash e : \forall a. \tau}{\Gamma; \Delta \vdash e \varphi : \tau \{ \varphi/a \}} \text{TAPP}$
$\frac{(j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r. \tau) \in \Delta \quad \Gamma; \varepsilon \vdash u : \sigma \{ \varphi/a \}}{\Gamma; \Delta \vdash \text{jump } j \vec{\varphi} \vec{u} \tau : \tau} \text{JUMP}$	
$\frac{\Gamma; \varepsilon \vdash u : \sigma \quad \Gamma, x:\sigma; \Delta \vdash e : \tau}{\Gamma; \Delta \vdash \text{let } x:\sigma = u \text{ in } e : \tau} \text{VBIND}$	$\frac{\Gamma, \vec{x}:\vec{\sigma}; \varepsilon \vdash u : \vec{\sigma} \quad \Gamma, \vec{x}:\vec{\sigma}; \Delta \vdash e : \tau}{\Gamma; \Delta \vdash \text{let rec } \vec{x}:\vec{\sigma} = \vec{u} \text{ in } e : \tau} \text{RVBIND}$
$\frac{\Gamma, \vec{a}, \vec{x}:\vec{\sigma}; \Delta \vdash u : \tau \quad \Gamma; \Delta, (j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r. \tau) \vdash e : \tau}{\Gamma; \Delta \vdash \text{join } j \vec{a} \vec{x}:\vec{\sigma} = u \text{ in } e : \tau} \text{JBIND}$	
$\frac{\Gamma, \vec{a}, \vec{x}:\vec{\sigma}; \Delta, j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r. \tau \vdash u : \tau \quad \Gamma; \Delta, j:\forall \vec{a}. \vec{\sigma} \rightarrow \forall r. \tau \vdash e : \tau}{\Gamma; \Delta \vdash \text{join rec } j \vec{a} \vec{x}:\vec{\sigma} = u \text{ in } e : \tau} \text{RJBIND}$	
$\frac{\Gamma; \Delta \vdash e : T \vec{\varphi} \quad \text{typeof}(K) = \forall \vec{a}. \vec{\sigma} \rightarrow T \vec{a} \quad \vec{v} = \vec{\sigma} \{ \varphi/a \} \quad \Gamma, \vec{x}:\vec{\nu}; \Delta \vdash u : \tau \quad \text{ctors}(T) = \{ \vec{K} \}}{\Gamma; \Delta \vdash \text{case } e \text{ of } K \vec{x}:\vec{\nu} \rightarrow u : \tau} \text{CASE}$	

Dynamics:

程序状态为三元组 $\langle e; s; \Sigma \rangle$, e 为当前求值的表达式, s 为 stack 状态, Σ 为普通变量的绑定集合

$$\boxed{\langle e; s; \Sigma \rangle \mapsto \langle e'; s'; \Sigma' \rangle}$$

$$\begin{aligned}
\langle F[e]; s; \Sigma \rangle &\mapsto \langle e; F : s; \Sigma \rangle & (push) \\
\langle \lambda x.e; \square v : s; \Sigma \rangle &\mapsto \langle e; s; \Sigma, x = v \rangle & (\beta) \\
\langle \Lambda a.e; \square \varphi : s; \Sigma \rangle &\mapsto \langle e\{\varphi/a\}; s; \Sigma \rangle & (\beta_\tau) \\
\langle \text{let } vb \text{ in } e; s; \Sigma \rangle &\mapsto \langle e; s; \Sigma, vb \rangle & (bind) \\
\langle x; s; \Sigma[x = v] \rangle &\mapsto \langle v; s; \Sigma[x = v] \rangle & (look) \\
\left\langle \begin{array}{c} K \vec{\varphi} \vec{v}; \\ \text{case } \square \text{ of } \vec{alt} : s; \\ \Sigma \end{array} \right\rangle &\mapsto \langle u; s; \Sigma, \overrightarrow{x = v} \rangle & (case) \\
&\quad \text{if } (K \vec{x} \rightarrow u) \in \vec{alt} \\
\left\langle \begin{array}{c} \text{jump } j \vec{\varphi} \vec{v} \tau; \\ s' \text{ ++ } (\text{join } jb \text{ in } \square : s); \\ \Sigma \end{array} \right\rangle &\mapsto \left\langle \begin{array}{c} u\{\varphi/a\}; \\ \text{join } jb \text{ in } \square : s; \\ \Sigma, \overrightarrow{x = v} \end{array} \right\rangle & (jump) \\
&\quad \text{if } (j \vec{a} \vec{x} = u) \in jb \\
\left\langle \begin{array}{c} A; \\ \text{join } jb \text{ in } \square : s; \\ \Sigma \end{array} \right\rangle &\mapsto \langle A; s; \Sigma \rangle & (ans)
\end{aligned}$$

对 F_j 的优化规则

$$\boxed{e = e'}$$

$$\begin{aligned}
(\lambda x:\sigma.e) v &= \text{let } x:\sigma = v \text{ in } e & (\beta) \\
(\Lambda a.e) \varphi &= e\{\varphi/a\} & (\beta_\tau) \\
\text{let } vb \text{ in } C[x] &= \text{let } vb \text{ in } C[v] & \text{if } (x:\sigma = v) \in vb & (inline) \\
\text{let } vb \text{ in } e &= e & \text{if } \text{bv}(vb) \cap \text{fv}(e) = \emptyset & (drop) \\
\text{join } jb \text{ in } L[\vec{e}, \text{jump } j \vec{\varphi} \vec{v} \tau, \vec{e}'] &= \text{join } jb \text{ in } L[\vec{e}, \text{let } \overrightarrow{x:\sigma = v} \text{ in } u\{\varphi/a\}, \vec{e}'] & \text{if } (j \vec{a} \vec{x}:\vec{\sigma} = u) \in jb & (jinline) \\
\text{join } jb \text{ in } e &= e & \text{if } \text{bv}(jb) \cap \text{fv}(e) = \emptyset & (jdrop) \\
\text{case } K \vec{\varphi} \vec{v} \text{ of } \vec{alt} &= \text{let } \overrightarrow{x:\vec{\sigma} = \vec{v}} \text{ in } e & \text{if } (K \vec{x}:\vec{\sigma} \rightarrow e) \in \vec{alt} & (case) \\
E[\text{case } e \text{ of } K \vec{x} \rightarrow u] &= \text{case } e \text{ of } K \vec{x} \rightarrow E[u] & & (casefloat) \\
E[\text{let } vb \text{ in } e] &= \text{let } vb \text{ in } E[e] & & (float) \\
E[\text{join } j \vec{a} \vec{x} = u \text{ in } e] &= \text{join } j \vec{a} \vec{x} = E[u] \text{ in } E[e] & & (jfloat) \\
E[\text{join rec } j \vec{a} \vec{x} = u \text{ in } e] &= \text{join rec } j \vec{a} \vec{x} = E[u] \text{ in } E[e] & & (jfloat_{rec}) \\
E[\text{jump } j \vec{\varphi} \vec{e} \tau] : \tau' &= \text{jump } j \vec{\varphi} \vec{e} \tau' & & (abort)
\end{aligned}$$

$$\boxed{e = e'}$$

$$\begin{aligned}
\text{let } f = \Lambda \vec{a}.\lambda \vec{x}.u \text{ in } L[\vec{e}] : \tau &= \text{join } j \vec{a} \vec{x} = u \text{ in } L[\overrightarrow{\text{tail}_\rho(e)}] & (contify) \\
&\quad \text{if } \rho(f \vec{a} \vec{x}) = \text{jump } j \vec{a} \vec{x} \tau \\
&\quad \text{and } f \notin \text{fv}(L), u : \tau \\
\text{let rec } f = \Lambda \vec{a}.\lambda \vec{x}.L[\vec{u}] \text{ in } L'[\vec{e}] : \tau &= \text{join rec } j \vec{a} \vec{x} = L[\overrightarrow{\text{tail}_\rho(u)}] \text{ in } L'[\overrightarrow{\text{tail}_\rho(e)}] & (contify_{rec}) \\
&\quad \text{if } \rho(f \vec{a} \vec{x}) = \text{jump } j \vec{a} \vec{x} \tau \\
&\quad \text{and } f \notin \text{fv}(\vec{L}), f \notin \text{fv}(L'), \vec{L}[\vec{u}] : \tau \\
\text{tail}_\rho(f \vec{\sigma} \vec{u}) &\triangleq e\{\sigma/a\}\{u/x\} & \text{if } \rho(f \vec{a} \vec{x}) = e \text{ and } \text{dom}(\rho) \cap \text{fv}(\vec{u}) = \emptyset \\
\text{tail}_\rho(e) &\triangleq e & \text{if } \text{dom}(\rho) \cap \text{fv}(e) = \emptyset \\
\text{tail}_\rho(e) &\triangleq \text{undefined} & \text{otherwise}
\end{aligned}$$

参考文献

[Compiling without continuations](#)

[The essence of compiling with continuations](#)

[Stream Fusion: From Lists to Streams to Nothing at all](#)