

Functional Programming in O'Caml

...continued

CS 4100
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(slides adapted with permission
from Dave Walker,
COS326 Princeton University)

Quizzes

- Every Tuesday, we'll have a quiz with probability $1/3$



1



2



3

Quizzes

- Every Tuesday, we'll have a quiz with probability $1/3$



Quiz 1

On a sheet of paper (or half a sheet borrowed from a friend), write

1. Your name
2. The answer to the following question:

**What is the type of
the OCaml expression**

`if false then 42 else 27`

?

RECAP:

TYPE CHECKING RULES

Type Checking Rules

- Example rules:

- (1) `0 : int` (and similarly for any other integer constant `n`)
- (2) `"abc" : string` (and similarly for any other string constant `"..."`)
- (3) if `e1 : int` and `e2 : int`
then `e1 + e2 : int`
- (4) if `e1 : int` and `e2 : int`
then `e1 * e2 : int`
- (5) if `e1 : string` and `e2 : string`
then `e1 ^ e2 : string`
- (6) if `e : int`
then `string_of_int e : string`

- Violating the rules:

`"hello" : string`

`1 : int`

`1 + "hello" : ??`

(By rule 2)

(By rule 1)

(NO TYPE! Rule 3 does not apply!)

Type Checking Rules

- Violating the rules:

```
# "hello" + 1;;
```

```
Error: This expression has type string but an  
expression was expected of type int
```

- The type error message tells you the type that was **expected** and the type that it **inferred** for your subexpression
- By the way, this was one of the nonsensical expressions that did not evaluate to a value
- I consider it a good thing that this expression does not type check

Type Checking Rules

- Violating the rules:

```
# "hello" + 1;;
```

Error: This expression has type string but an expression was expected of type int

- A possible fix:

```
# "hello" ^ (string_of_int 1);;  
- : string = "hello1"
```

- *One of the keys to becoming a good ML programmer is to understand type error messages.*

Type Checking Rules

- More rules:

(7) `true : bool`

(8) `false : bool`

(9) `if e1 : bool`
and `e2 : t` and `e3 : t` (for some type `t`)
then `if e1 then e2 else e3 : t`

- Using the rules:

`if ???? then ???? else ???? : int`

Type Checking Rules

- More rules:

(7) `true : bool`

(8) `false : bool`

(9) if `e1 : bool`
and `e2 : t` and `e3 : t` (for some type `t`)
then `if e1 then e2 else e3 : t`

- Using the rules:

`if true then ???? else ???? : int`

Type Checking Rules

- More rules:

(7) `true : bool`

(8) `false : bool`

(9) if `e1 : bool`
and `e2 : t` and `e3 : t` (for some type `t`)
then `if e1 then e2 else e3 : t`

- Using the rules:

`if true then 7 else ??? : int`

Type Checking Rules

- More rules:

(7) `true : bool`

(8) `false : bool`

(9) if `e1 : bool`
and `e2 : t` and `e3 : t` (for some type `t`)
then `if e1 then e2 else e3 : t`

- Using the rules:

`if true then 7 else 8 : int`

Type Checking Rules

- More rules:

(7) `true : bool`

(8) `false : bool`

(9) if `e1 : bool`
and `e2 : t` and `e3 : t` (for some type `t`)
then `if e1 then e2 else e3 : t`

- Violating the rules

`if false then "1" else 2 : ????`



types don't agree -- one is a string and one is an int

Type Checking Rules

- Violating the rules:

```
# if true then "1" else 2;;
```

```
Error: This expression has type int but an  
expression was expected of type string
```

```
#
```

Type Checking Rules

- What about this expression:

```
# 3 / 0 ;;  
Exception: Division_by_zero.
```

- Why doesn't the ML type checker do us the favor of telling us the expression will raise an exception?

Type Checking Rules

- What about this expression:

```
# 3 / 0 ;;  
Exception: Division_by_zero.
```

- Why doesn't the ML type checker do us the favor of telling us the expression will raise an exception?
 - In general, detecting a divide-by-zero error requires we know that the divisor evaluates to 0.
 - In general, deciding whether the divisor evaluates to 0 requires solving the halting problem:

```
# 3 / (if turing_machine_halts m then 0 else 1);;
```

- There are type systems that will rule out divide-by-zero errors, but they require programmers supply proofs to the type checker

OCAML BASICS: RECAP FROM LAST WEEK

OCaml

OCaml is a *functional* programming language

- Java gets most work done by *modifying* data
- OCaml gets most work done by producing *new, immutable* data

OCaml is a typed programming language

- the *type* of an expression *correctly predicts* the kind of *value* the expression will generate when it is executed
- types help us *understand* and *write* our programs

PART II:

LET DECLARATIONS, TUPLES

Abstraction & Abbreviation

- Good programmers identify repeated patterns in their code and factor out the repetition into meaning components
- In O'Caml, the most basic technique for factoring your code is to use **let expressions**
- Instead of writing this expression:

```
(2 + 3) * (2 + 3)
```

- We write this one:

```
let x = 2 + 3 in  
x * x
```

A Few More Let Expressions

```
let x = 2 in  
let squared = x * x in  
let cubed = x * squared in  
squared * cubed
```

A Few More Let Expressions


```
let x = 2 in  
let squared = x * x in  
let cubed = x * squared in  
squared * cubed
```

```
let a = "a" in  
let b = "b" in  
let ax = a ^ a ^ a in  
let bx = b ^ b ^ b in  
ax ^ bx
```

Abstraction & Abbreviation

- Two kinds of let:


```
if tuesday() then
    let x = 2 + 3 in
    x + x
else
    0
;;
```



let ... in ... is an *expression* that can appear inside any other *expression*

The scope of x does not extend outside the enclosing “in”

```
let x = 2 + 3 ;;
let y = x + 17 / x ;;
```



let ... ;; without “in” is a top-level *declaration*

Variables x and y may be exported; used by other modules


(Don't need ;; if another let comes next; do need it if expression next)

Binding Variables to Values

- Each OCaml variable is *bound* to 1 value
- *The value to which a variable is bound to never changes!*

```
let x = 3 ;;
```

```
let add_three (y:int) : int = y + x ;;
```



Binding Variables to Values


- Each OCaml variable is *bound* to 1 value
- *The value to which a variable is bound to never changes!*

```
let x = 3 ;;
```

```
let add_three (y:int) : int = y + x ;;
```

A blue arrow points from the '3' in the first line to the 'x' in the second line, indicating that the variable 'x' is bound to the value 3.

*It does not
matter what
I write next.
add_three
will always
add 3!*

A blue bracket is positioned to the right of the text, grouping the entire paragraph.

Binding Variables to Values

- Each OCaml variable is bound to 1 value
- *The value a variable is bound to never changes!*

a distinct
variable that
"happens to
be spelled the
same"

```
let x = 3 ;;
```

```
let add_three (y:int) : int = y + x ;;
```

```
let x = 4 ;;
```

```
let add_four (y:int) : int = y + x ;;
```

Binding Variables to Values

- Since the 2 variables (both happened to be named x) are actually different, unconnected things, we can rename them

rename x
to zzz
if you want
to, replacing
its uses

```
let x = 3 ;;
```

```
let add_three (y:int) : int = y + x ;;
```

```
let zzz = 4 ;;
```

```
let add_four (y:int) : int = y + zzz ;;
```

```
let add_seven (y:int) : int =  
  add_three (add_four y)  
;;
```

Binding Variables to Values

- Each OCaml variable is bound to 1 value
- OCaml is a **statically scoped** language

```
let x = 3 ;;
```

```
let add_three (y:int) : int = y + x ;;
```

```
let x = 4 ;;
```

```
let add_four (y:int) : int = y + x ;;
```

```
let add_seven (y:int) : int =  
  add_three (add_four y)  
;;
```

we can use
add_three
without worrying
about the second
definition of x

How do let expressions operate?

General rule: evaluate **e1**

```
let x = e1 in e2
```

Then substitute the resulting value for the variable **x** everywhere **x** appears in **e2**

How do let expressions operate?

Example:

```
let x = 2 + 1 in x * x
```

How do let expressions operate?

Example:

```
let x = 2 + 1 in x * x
```

-->

```
let x = 3 in x * x
```

How do let expressions operate?

Example:

```
let x = 2 + 1 in x * x
```


-->

```
let x = 3 in x * x
```

-->

```
3 * 3
```

substitute
3 for x



How do let expressions operate?

Example:

```
let x = 2 + 1 in x * x
```

-->

```
let x = 3 in x * x
```


-->

```
3 * 3
```

-->

```
9
```

substitute
3 for x




Another Example

```
let x = 2 in  
let y = x + x in  
y * x
```

Another Example

```
let x = 2 in  
let y = x + x in  
y * x
```

substitute
2 for x




-->

```
let y = 2 + 2 in  
y * 2
```

Another Example

```
let x = 2 in  
let y = x + x in  
y * x
```

substitute
2 for x



-->

```
let y = 2 + 2 in  
y * 2
```


-->

```
let y = 4      in  
y * 2
```

Another Example

```
let x = 2 in  
let y = x + x in  
y * x
```

substitute
2 for x




-->

```
let y = 2 + 2 in  
y * 2
```

-->

```
let y = 4      in  
y * 2
```

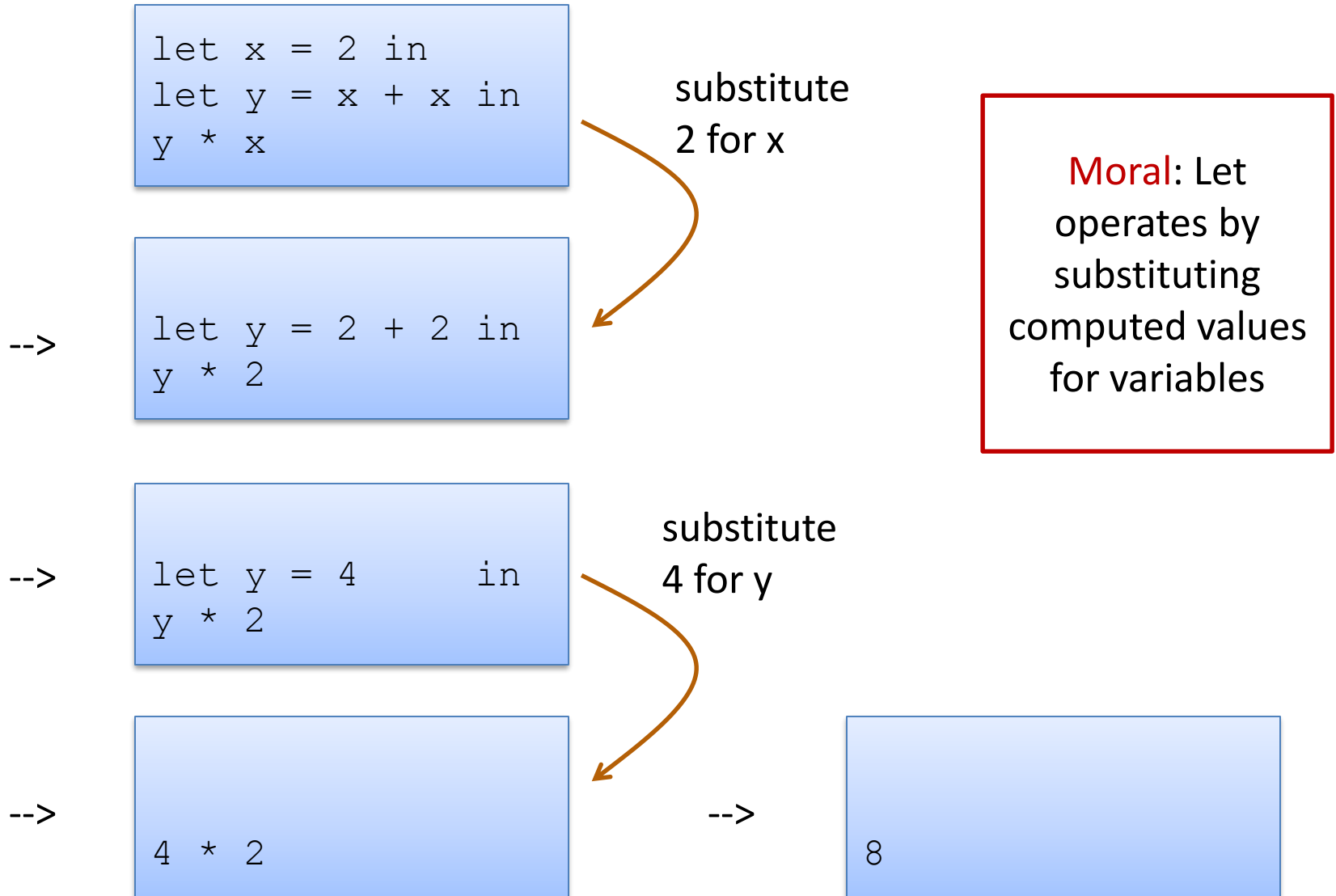
substitute
4 for y



-->

```
4 * 2
```

Another Example



OCAML BASICS: TYPE CHECKING AGAIN

Type-checking Rules

There are simple rules that tell you what the type of an expression is.

Those rules compute a type for an expression based on the *types* of its subexpressions (and the types of the variables that are in scope).

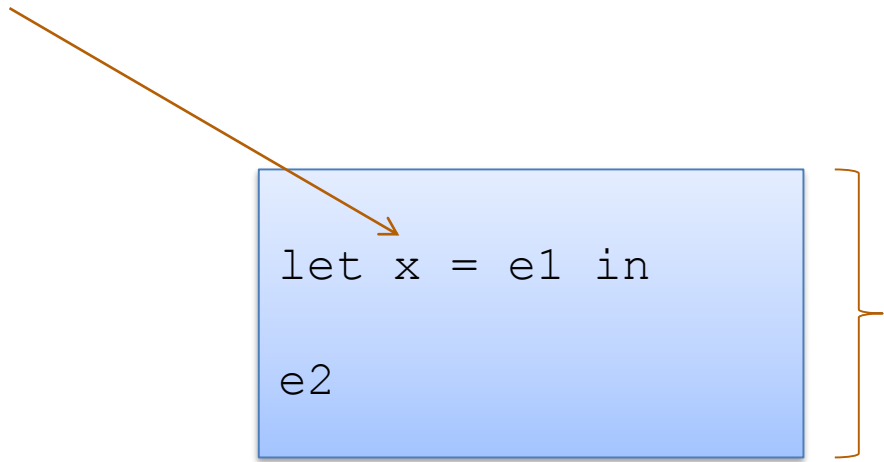
You don't have to know the details of how a subexpression is implemented to do type checking. You just need to know its type.

That's what makes OCaml type checking *modular*.

We write “ $e : t$ ” to say that expression e has type t

Typing Simple Let Expressions

x granted type of e1 for use in e2



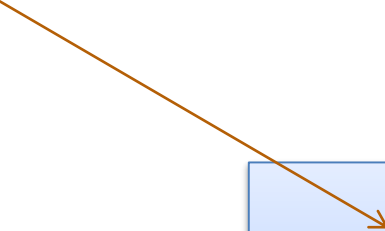
let x = e1 in
e2

The diagram shows a light blue rectangular box containing the text 'let x = e1 in' followed by 'e2' on a new line. An orange arrow points from the text 'x granted type of e1 for use in e2' to the variable 'x' in the code. To the right of the box, an orange curly bracket spans the height of the box, pointing towards the text 'overall expression takes on the type of e2'.

overall expression
takes on the type of e2

Typing Simple Let Expressions


x granted type of e1 for use in e2



```
let x = e1 in  
e2
```

overall expression
takes on the type of e2

x has type int
for use inside the
let body



```
let x = 3 + 4 in  
string_of_int x
```

overall expression
has type string

Another example...

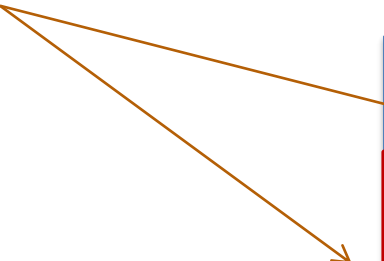
What's the type of the following expression?

```
let b = true in  
let x = if b then 3 else 4 in  
let y = x * 7 in  
if not b then x else y
```

Another example...

What's the type of the following expression?

b has type **bool** in



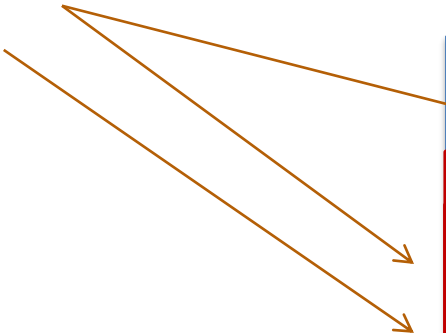
```
let b = true in
```

```
let x = if b then 3 else 4 in  
let y = x * 7 in  
if not b then x else y
```

Another example...

What's the type of the following expression?

b has type **bool** in
x has type **int** in

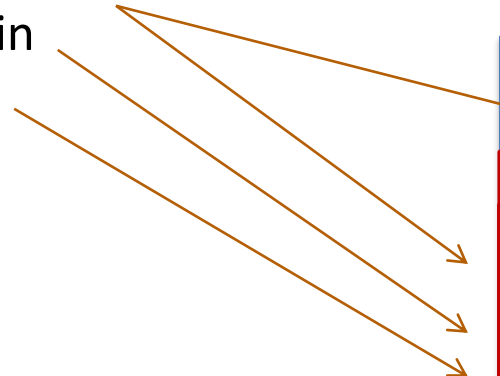


```
let b = true in  
let x = if b then 3 else 4 in  
let y = x * 7 in  
if not b then x else y
```

Another example...

What's the type of the following expression?

b has type **bool** in
x has type **int** in
y has type **int** in



```
let b = true in  
let x = if b then 3 else 4 in  
let y = x * 7 in  
if not b then x else y
```

Another example...

What's the type of the following expression?

b has type **bool** in
x has type **int** in
y has type **int** in

```
let b = true in  
let x = if b then 3 else 4 in  
let y = x * 7 in  
if not b then x else y
```

The overall type of the expression is
the type of the “if-then-else”, i.e., **int**

TUPLES

Tuples

- A tuple is a fixed, finite, ordered collection of values
- Some examples with their types:

```
(1, 2) : int * int
```

```
("hello", 7 + 3, true) : string * int * bool
```

```
('a', ("hello", "goodbye")) : char * (string * string)
```

Tuples

- To use a tuple, we extract its components
- General case:

```
let (id1, id2, ..., idn) = e1 in e2
```

- An example:

```
let (x, y) = (2, 4) in x + x + y
```

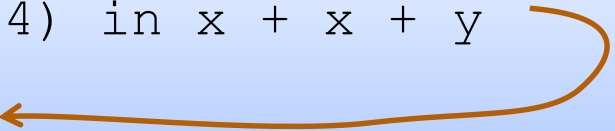
Tuples

- To use a tuple, we extract its components
- General case:

```
let (id1, id2, ..., idn) = e1 in e2
```

- An example:

```
let (x,y) = (2,4) in x + x + y  
--> 2 + 2 + 4
```



substitute!

Tuples

- To use a tuple, we extract its components
- General case:

```
let (id1, id2, ..., idn) = e1 in e2
```

- An example:

```
let (x,y) = (2,4) in x + x + y  
--> 2 + 2 + 4  
--> 8
```

Rules for Typing Tuples

if $e1 : t1$ and $e2 : t2$
then $(e1, e2) : t1 * t2$

Rules for Typing Tuples

if $e1 : t1$ and $e2 : t2$
then $(e1, e2) : t1 * t2$

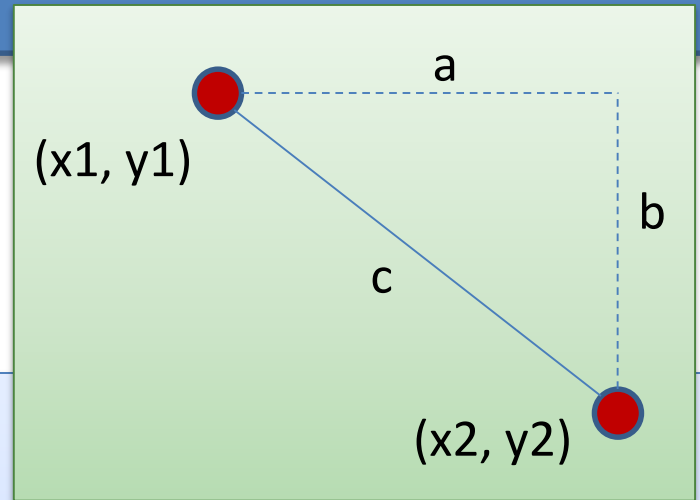
if $e1 : t1 * t2$ then
 $x1 : t1$ and $x2 : t2$
inside the expression $e2$

let $(x1, x2) = e1$ in
 $e2$

overall expression
takes on the type of $e2$

Distance between two points

$$c^2 = a^2 + b^2$$



Problem:

- A point is represented as a pair of floating point values.
- Write a function that takes in two points as arguments and returns the distance between them as a floating point number

Writing Functions Over Typed Data

Steps to writing functions over typed data:

1. **Write down** the function and argument names
2. **Write down** argument and result **types**
3. **Write down** some examples (in a comment)

Writing Functions Over Typed Data

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4. **Deconstruct** input data structures
 - *the **argument types** suggests how to do it*
5. **Build** new output values
 - *the **result type** suggests how you do it*

Writing Functions Over Typed Data

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 - define and reuse helper functions
 - your code should be elegant and easy to read

Writing Functions Over Typed Data

Steps to writing functions over typed data:

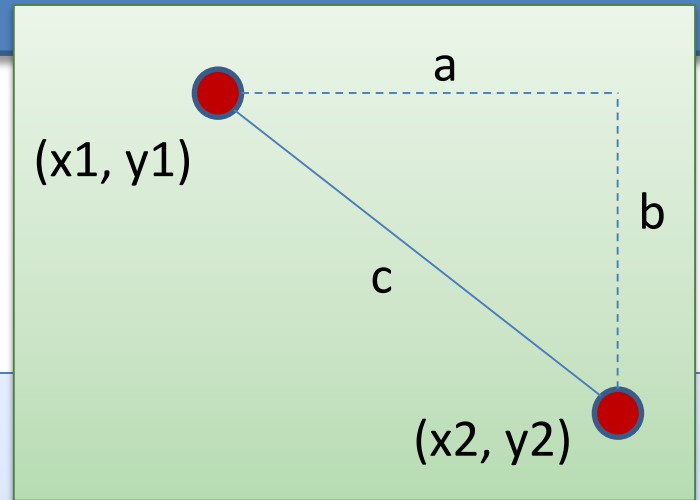
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5. **Build** new output values
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6. Clean up by identifying repeated patterns
 - define and reuse helper functions
 - your code should be elegant and easy to read

Types help structure your thinking about how to write programs.

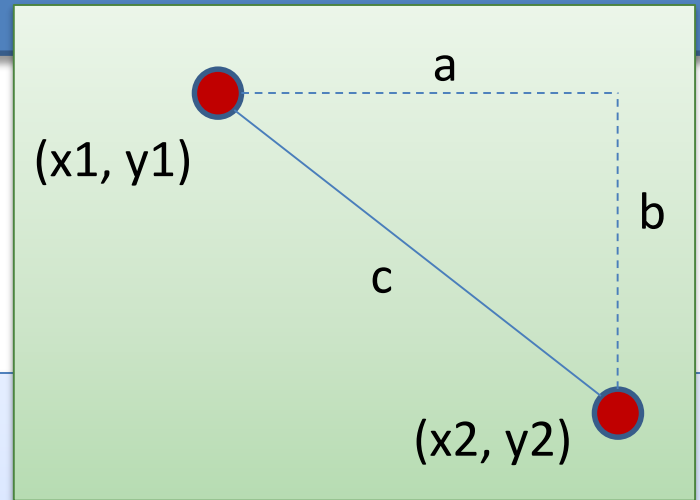
Distance between two points

a type abbreviation

```
type point = float * float
```



Distance between two points



```
type point = float * float
```

```
let distance (p1:point) (p2:point) : float =
```

```
;;
```

write down function name
argument names and types

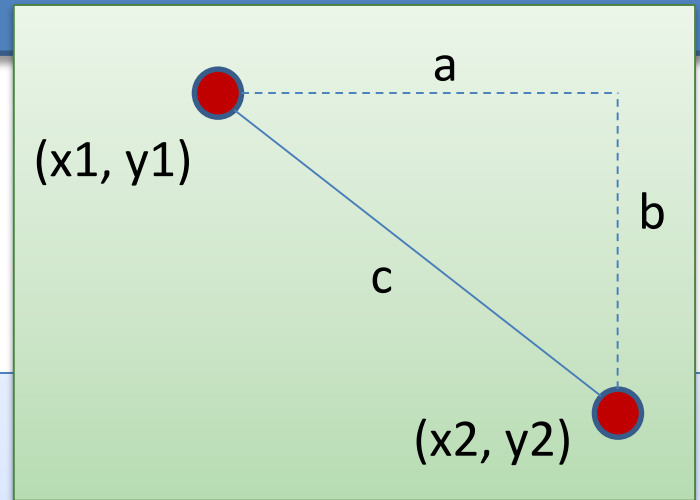
Distance between two points

examples

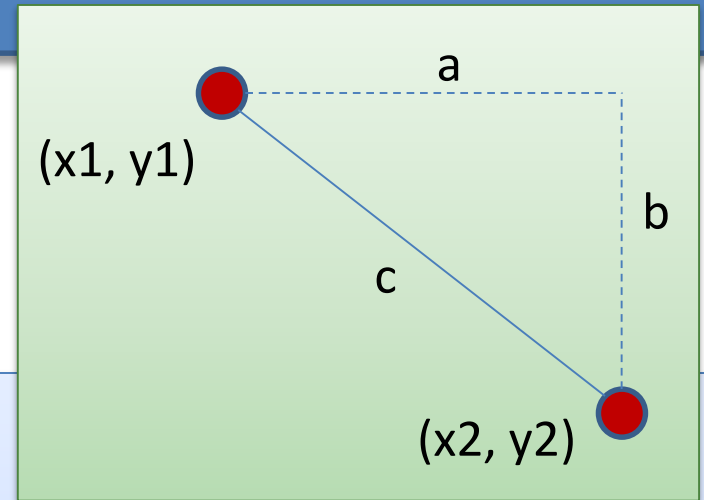
```
type point = float * float
```

```
(* distance (0.0,0.0) (0.0,1.0) == 1.0
 * distance (0.0,0.0) (1.0,1.0) == sqrt(1.0 + 1.0)
 *
 * from the picture:
 * distance (x1,y1) (x2,y2) == sqrt(a^2 + b^2)
 *)
```

```
let distance (p1:point) (p2:point) : float =
```



Distance between two points



```
type point = float * float
```

```
let distance (p1:point) (p2:point) : float =
```

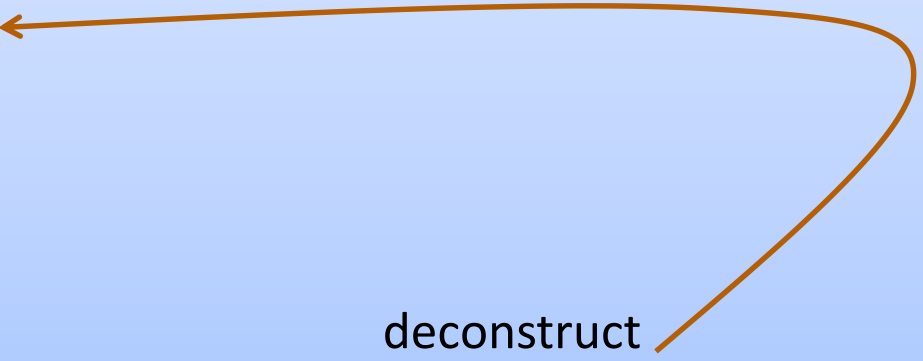
```
    let (x1,y1) = p1 in
```

```
    let (x2,y2) = p2 in
```

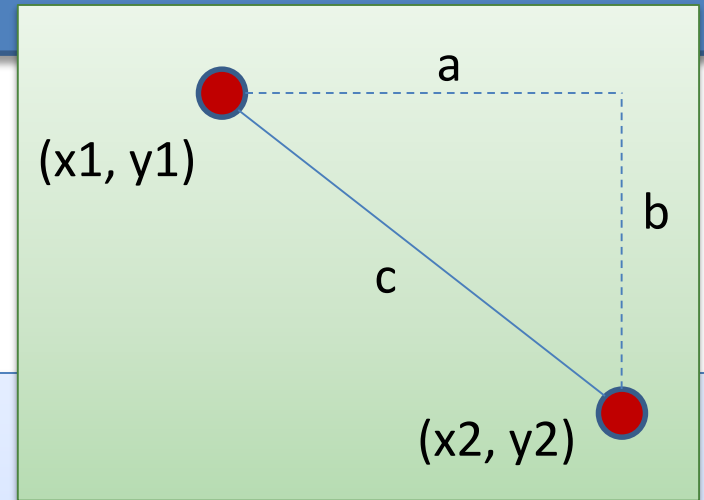
```
    ...
```

```
;;
```

deconstruct
function inputs



Distance between two points



```
type point = float * float
```

```
let distance (p1:point) (p2:point) : float =
```

```
  let (x1,y1) = p1 in
```

```
  let (x2,y2) = p2 in
```

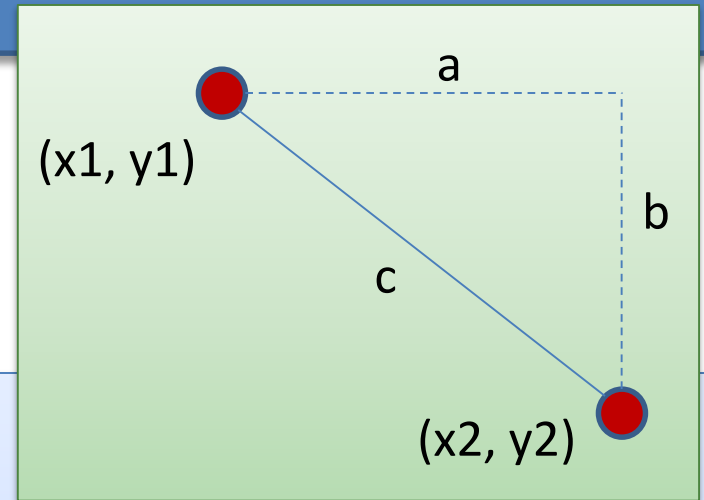
```
  sqrt ((x2 -. x1) *. (x2 -. x1) +.  
        (y2 -. y1) *. (y2 -. y1))
```

```
;;
```

} compute
function
results

notice operators on
floats have a "." in them

Distance between two points



```
type point = float * float
```

```
let distance (p1:point) (p2:point) : float =
```

```
  let square x = x *. x in
```

```
  let (x1,y1) = p1 in
```

```
  let (x2,y2) = p2 in
```

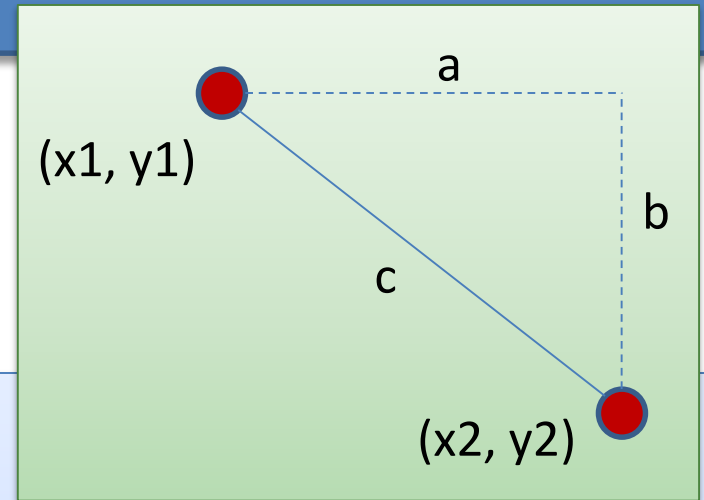
```
  sqrt (square (x2 -. x1)) +.
```

```
    square (y2 -. y1))
```

```
;;
```

define helper functions to
avoid repeated code

Distance between two points



```
type point = float * float

let distance (p1:point) (p2:point) : float =
  let square x = x *. x in
  let (x1,y1) = p1 in
  let (x2,y2) = p2 in
  sqrt (square (x2 -. x1) +. square (y2 -. y1))
;;
```

```
let pt1 = (2.0,3.0);;
let pt2 = (0.0,1.0);;
let dist12 = distance pt1 pt2;;
```

testing

PART III:
LISTS, USER-DEFINED TYPES,
POLYMORPHISM

Lists

- A list is a finite sequence of values, all of the same type
- Some examples with their types:

```
1 :: 2 :: 3 :: []           : int list
```

```
[1; 2; 3] : int list
```

```
"hello" :: "goodbye"      : string list
```

```
[true; false; false]      : bool list
```

```

[] : int list (or bool list, ...)

```

Lists

- To use a list, we pattern-match it
- General case:

```
match e with
| [] -> e1          (* nil case *)
| hd :: tl -> e2    (* may depend on hd, tl *)
```

- An example:

```
let l = [1; 2; 3] in
match l with
| [] -> 27
| hd :: tl -> hd
```

Lists

- An example:

```
let l = [1; 2; 3] in  
match l with  
| [] -> 27  
| hd :: tl -> hd
```

Lists

- An example:

```
let l = [1; 2; 3] in  
match l with  
| [] -> 27  
| hd :: tl -> hd
```

```
--> match [1; 2; 3] with  
| [] -> 27  
| hd :: tl -> hd
```

Lists

- An example:

```
let l = [1; 2; 3] in
match l with
| [] -> 27
| hd :: tl -> hd
```

--> match [1; 2; 3] with

```
| [] -> 27
| hd :: tl -> hd
```

hd = 1

tl = [2; 3]

Lists

- An example:

```
let l = [1; 2; 3] in
match l with
| [] -> 27
| hd :: tl -> hd
```

--> match [1; 2; 3] with
| [] -> 27
| hd :: tl -> hd

--> hd

hd = 1

tl = [2; 3]

Lists

- An example:

```
let l = [1; 2; 3] in
match l with
| [] -> 27
| hd :: tl -> hd
```

hd = 1

--> match [1; 2; 3] with
| [] -> 27
| hd :: tl -> hd

tl = [2; 3]

--> hd

--> 3

Lists under the hood

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- But that's no reason not to experiment with our own list data type
- In fact, OCaml has a powerful system for defining all sorts of *user-defined data types*

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type keyword declares a new user-defined type

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```

Cons : int * intlist -> intlist

Nil : intlist

“constructors” of type intlist
But really, they’re just functions
(Nil is nullary ☺)

Using User-Defined Lists

- To use a list, we pattern-match it
- General case:

```
match e with
| Nil -> e1          (* nil case *)
| Cons(hd, tl) -> e2  (* may depend on hd, tl *)
```

- An example:

```
let l = Cons(1, Cons(2, Cons(3, Nil))) in
match l with
| Nil -> 27
| Cons(hd, tl) -> hd
```

A more interesting function...

List Append

```
let rec app (l1 : intlist) (l2 : intlist) : intlist =  
  match l1 with  
    | Nil -> l2  
    | Cons(x, l1') -> Cons(x, app l1' l2)
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List Reverse

```
let rec rev (l : intlist) : intlist =  
  match l with  
    | Nil -> Nil  
    | Cons(x, l') -> app (rev l') (Cons x Nil)
```


Polymorphism

Check out the types of `app` and `rev`:

- `app : intlist -> intlist -> intlist`
- `rev : intlist -> intlist`

Both functions operate over *intlists*, but they didn't really do anything with the contents of the intlists

Really, they just moved stuff around

The types we've given these functions *are a bit too precise*

Likewise the type `intlist` itself...

Polymorphic Lists

- The original intlist type

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- A *polymorphic* version

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type 'a list =  
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                           and an 'a list*)
```

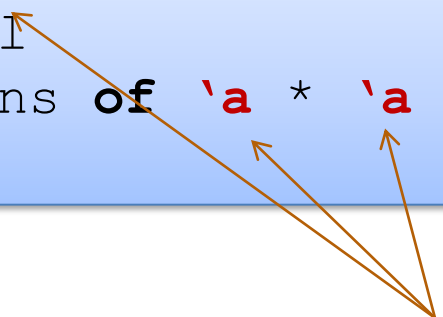
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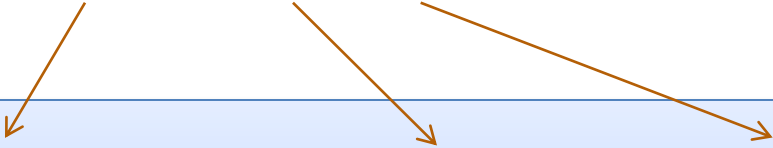


Type variables, can be instantiated to any type
(e.g., int, bool, float, ...)

Polymorphic Reverse, Append

Type variables

List Append



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