

# Overview on Formalization - Type Theory part 2

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## A derivation about renaming variables

We are going to derive the inference rule

$$\frac{\Gamma, x : A, \Delta \vdash \mathcal{J}}{\Gamma, x' : A, \Delta[x'/x] \vdash \mathcal{J}[x'/x]} x'/x$$

which essentially says “we can replace variable  $x : A$  by variable  $x' : A$ ”.

The derivation uses *substitution*, *weakening* and the *generic element*:

$$\frac{\frac{\Gamma \vdash A \text{ type}}{\Gamma, x' : A \vdash x' : A} \delta \quad \frac{\Gamma \vdash A \text{ type} \quad \Gamma, x : A, \Delta \vdash \mathcal{J}}{\Gamma, x' : A, x : A, \Delta \vdash \mathcal{J}} W}{\Gamma, x' : A, \Delta[x'/x] \vdash \mathcal{J}[x'/x]} S$$

## Dependent function types

Let  $b$  be a section of a family  $B$  over  $A$  in context  $\Gamma$ . Thus

$$\Gamma, x : A \vdash b(x) : B(x).$$

Two points of view here:

- can think of  $b$  as a “program”  $x \mapsto b(x)$  that takes as input  $x : A$  and produces a term  $b(x) : B(x)$ .
- or, can view  $b(x)$  as just a “choice of element” in each  $B(x)$  for  $x : A$ . i.e.  $x \mapsto b(x)$  is a *dependent function*

The *type* of all such dependent functions is called the *dependent function type*, written:

$$\Pi_{(x:A)} B(x)$$

Part of what we must formulate in our type theory are inference rules guaranteeing that these types are well-formed. For this we need various sorts of *rules*:

- *formation rule* specifying how we may form dependent function types
- *introduction rule* specifying how to introduce new *terms* of dependent function types
- *elimination rule* specifying how to *use* arbitrary terms of dependent function types
- *computation rules* specifying how introduction rules and elimination rules interact

For the first three of these rules, we also need rules asserting that the constructions play nice with judgmental equality.

## $\Pi$ -formation rule

$$\frac{\Gamma, x : A \vdash B(x) \text{ type}}{\Gamma \vdash \Pi_{(x:A)} B(x) \text{ type}} \Pi$$

Also need the *congruence rule*:

$$\frac{\Gamma \vdash A \doteq A' \quad \Gamma, x : A \vdash B(x) \doteq B'(x) \text{ type}}{\Gamma \vdash \Pi_{(x:A)} B(x) \doteq \Pi_{(x:A')} B'(x)} \Pi\text{-eq}$$

## $\Pi$ -introduction rule

This rule specifies that we may “construct” dependent functions provided that we have constructed a section:

$$\frac{\Gamma, x : A \vdash b(x) : B(x)}{\Gamma \vdash \lambda x. b(x) : \Pi_{(x:A)} B(x)} \lambda$$

We use the notation  $\lambda x. b(x)$  for the “dependent function”. This introduction rule is also called the  $\lambda$ -abstraction rule.

Again, we need to know that  $\lambda$ -abstraction respects judgmental equality:

$$\frac{\Gamma, x : A \vdash b(x) \doteq b'(x) : B(x)}{\Gamma \vdash \lambda x. b(x) \doteq \lambda x. b'(x) : \Pi_{(x:A)} B(x)} \lambda\text{-eq}$$

## $\Pi$ -elimination rule

The way to use a dependent function is to evaluate it at any an element of the domain type. The  $\Pi$ -elimination rule is thus sometimes called the *evaluation rule*.

$$\frac{\Gamma \vdash f : \Pi_{(x:A)} B(x)}{\Gamma, x : A \vdash f(x) : B(x)} \text{ev}$$

(Note that in practice – e.g. in type-theory based programming languages `Lean`, `Haskell`, `ML`, ... – we write “ $f\ x$ ” for “ $f(x)$ ”).

$$\frac{\Gamma \vdash f \doteq f' : \Pi_{(x:A)} B(x)}{\Gamma, x : A \vdash f(x) \doteq f'(x) : B(x)} \text{ev-eq}$$

## $\Pi$ -computation rule

We need to postulate rules controlling our functions.

The  $\beta$ -rule stipulates that  $\lambda x. b(x)$  behaves in the way that we understand the function  $x \mapsto b(x)$ .

$$\frac{\Gamma, x : A \vdash b(x) : B(x)}{\Gamma, x : A \vdash (\lambda y. b(y))(x) \doteq b(x) : B(x)} \beta$$

The second rule – known as the  $\eta$ -rule – postulates that the *only* elements of  $\Pi_{(x:A)} B(x)$  are dependent functions.

$$\frac{\Gamma \vdash f : \Pi_{(x:A)} B(x)}{\Gamma \vdash \lambda x. f(x) \doteq f : \Pi_{(x:A)} B(x)} \eta$$

## Ordinary function types

We obtain ordinary functions as a special case of dependent functions. Let’s describe the setting.

Suppose that  $A$  and  $B$  are types in context  $\Gamma$ . We can view  $B$  as the “constant family”  $B(x) = B$  over  $a : A$ . From this point of view, we obtain the type of functions as

$$A \rightarrow B := \Pi_{(x:A)} B(x)$$

i.e.

$$A \rightarrow B := \Pi_{(x:A)} B$$

Here is the formal derivation:

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash B \text{ type}}{\Gamma, x : A \vdash B \text{ type}} W$$

$$\frac{\Gamma, x : A \vdash B \text{ type}}{\Gamma \vdash \Pi_{(x:A)} B \text{ type}} \Pi$$

And here is the formal declaration of the “new notation”:

$$\frac{\frac{\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash B \text{ type}}{\Gamma, x : A \vdash B \text{ type}} W}{\Gamma \vdash \Pi_{(x:A)} B \text{ type}} \Pi}{\Gamma \vdash A \rightarrow B := \Pi_{(x:A)} B} \text{defn}$$

### Construction of the *identity function*

Given a type  $A$  in context  $\Gamma$ , let’s construct the identity function  $\text{id} = \text{id}_A : A \rightarrow A$  using the *generic term*:

$$\frac{\frac{\frac{\Gamma \vdash A \text{ type}}{\Gamma, x : A \vdash x : A} \delta}{\Gamma \vdash \lambda x.x : A \rightarrow A} \lambda\text{-intro}}{\Gamma \vdash \text{id}_A := \lambda x.x : A \rightarrow A} \text{defn}$$

### Construction of the composition of two functions

Given types  $A, B, C$  and terms  $f : A \rightarrow B, g : B \rightarrow C$ , we can form  $g \circ f : A \rightarrow C$ .

In fact, write  $\text{comp}(g, f)$  for  $g \circ f$ . Then  $\text{comp}$  is in some sense a function of two arguments  $g$  and  $f$ . Let’s pause to discuss functions of multiple arguments.

Consider a function

$$f : A \rightarrow (B \rightarrow C)$$

For an argument  $a : A$ ,  $f(a) : B \rightarrow C$  so  $f(a)$  is again a function. For  $b : B$ , we can write

$$f(a)(b) \quad \text{or} \quad f(a, b) \quad \text{or} \quad f \ a \ b$$

for the value of  $f(a)$  at  $b : B$ .

Now we see that the type of the function  $\text{comp}$  is

$$(B \rightarrow C) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$$

Thus for  $g : B \rightarrow C$ , we have  $\text{comp}(g) : (A \rightarrow B) \rightarrow (A \rightarrow C)$ .

We are going to define  $\text{comp}$  by the rule

$$\text{comp} = \lambda g. \lambda f. \lambda x. g(f(x))$$

.

Before we give the derivation, we need a preliminary result using the *generic element*; we’ll refer to this as ( $\clubsuit$ ) below:

$$\frac{\frac{\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash B \text{ type}}{\Gamma \vdash A \rightarrow B \text{ type}} \Pi\text{-formation}}{\Gamma, f : A \rightarrow B \vdash f : A \rightarrow B} \delta}{\Gamma, f : A \rightarrow B, x : A \vdash f(x) : B} \Pi\text{-elimination}$$

Now here is the full derivation:

$$\frac{\frac{\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash B \text{ type}}{\Gamma, f : A \rightarrow B, x : A \vdash f(x) : B} \clubsuit}{\Gamma, g : B \rightarrow C, f : A \rightarrow B, x : A \vdash f(x) : B} W}{\frac{\frac{\frac{\Gamma \vdash B \text{ type} \quad \Gamma \vdash C \text{ type}}{\Gamma, g : B \rightarrow C, y : B \vdash g(y) : C} \clubsuit}{\Gamma, g : B \rightarrow C, f : A \rightarrow B, y : B \vdash g(y) : C} W}{\Gamma, g : B \rightarrow C, f : A \rightarrow B, x : A, y : B \vdash g(y) : C} W} \text{subst}}{\frac{\frac{\Gamma, g : B \rightarrow C, f : A \rightarrow B, x : A \vdash g(f(x)) : C}{\Gamma, g : B \rightarrow C, f : A \rightarrow B \vdash \lambda x. g(f(x)) : A \rightarrow C} \lambda\text{-intro}}{\Gamma, g : B \rightarrow C \vdash \lambda f. \lambda x. g(f(x)) : (A \rightarrow B) \rightarrow (A \rightarrow C)} \lambda\text{-intro}}{\Gamma \vdash \lambda g. \lambda f. \lambda x. g(f(x)) : (C \rightarrow B) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))} \lambda\text{-intro}$$

One can now derive a number of properties of function composition:

- associativity, i.e.

$$\frac{\Gamma \vdash f : A \rightarrow B \quad \Gamma \vdash g : B \rightarrow C \quad \Gamma \vdash h : C \rightarrow D}{\Gamma \vdash (h \circ g) \circ f \doteq h \circ (g \circ f) : A \rightarrow D}$$

- left and right unit laws

$$\frac{\Gamma \vdash f : A \rightarrow B}{\Gamma \vdash \text{id}_B \circ f \doteq f : A \rightarrow B}$$
$$\frac{\Gamma \vdash f : A \rightarrow B}{\Gamma \vdash f \circ \text{id}_A \doteq f : A \rightarrow B}$$

## Bibliography

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