

Formalization project: proof assistants and some algebra

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Outline

Formalization via Lean

“Finite Algebra”

Proof assistants

- ▶ What is a proof assistant?

A proof assistant is a piece of software that provides a language for defining objects, specifying properties of these objects, and proving that these specifications hold. The system checks that these proofs are correct down to their logical foundation.

- ▶ goal: produce verified proofs

This is in contrast to automated theorem proving, which is a different focus.

- ▶ What are some examples of proof assistants?

- ▶ Lean, Agda, Coq, Mizar, HOL Light, HOL4, ...
- ▶ differences? choice of **logical foundations**

why choose Lean?

- ▶ the foundations of Lean involve **dependent type theory**
More precisely, Lean uses:
a version of dependent type theory that is powerful enough to prove almost any conventional mathematical theorem, and expressive enough to do it in a natural way. More specifically, Lean is based on a version of a system known as the Calculus of Constructions with inductive types.
- ▶ main reason for the choice of Lean
there has been a lot of “pure-math” activity in **mathlib**, a community maintained library (github repository) of pure mathematics results.

Topics of study in this area

- ▶ there is interest in studying type theoretic foundations, for example **Homotopy Type Theory**
- ▶ but the goal of this project is aligned with the philosophy of the **mathlib** community, namely to use Lean to do mathematics familiar to the community of pure mathematicians.

What does Lean look like?

- example: some statements about sequences of real numbers

```
-- definition of "u tends to l"
def seq_limit (u :  $\mathbb{N} \rightarrow \mathbb{R}$ ) (l :  $\mathbb{R}$ ) :=
   $\forall \epsilon > 0, \exists N, \forall n \geq N, |u\ n - l| \leq \epsilon$ 

-- squeeze theorem
theorem (hu : seq_limit u l)
      (hw : seq_limit w l)
      (h :  $\forall n, u\ n \leq v\ n$ )
      (h' :  $\forall n, v\ n \leq w\ n$ ) :
  seq_limit v l := by
  sorry
```

more examples of Lean

- ▶ example: the first isomorphism theorem for rings

```
variable {R} [CommRing R]
variable {S} [CommRing S]

def firstIsomorphismTheorem (f : R →+* S)
  (hf : Function.Surjective f) :
  R / ker f ≃+* S := by
  sorry
```

Proving statements about constructions

- ▶ Lean is really just a programming language
- ▶ `List` in lean is a type constructor

```
inductive List (a:Type) where
| nil : List a      -- empty list
| cons (x:a) (xs:List a) : List a
```

where we define notation `[]` for `nil` and `x :: xs` for `cons x xs`.

- ▶ thus e.g.

```
1 :: 2 :: 3 :: []
```

is the list `[1, 2, 3]`

appending lists

- ▶ Here is some Lean code that *appends two lists*.

```
def append {a:Type} (xs ys : List a)
  : List a :=
  match xs with
  | [] => ys
  | z :: zs => z :: append zs ys
```

- ▶ e.g.

```
append ["a", "b", "c"] ["d", "e"]
```

evaluates to ["a", "b", "c", "d", "e"]

- ▶ Now, we can use Lean to prove a property about this `append` function: namely, that the length of the appended lists is the sum of their lengths.

the proof

- ▶ here is the proof in Lean

```
theorem append_length {a:Type}
  (xs ys : List a)
  : (append xs ys).length =
    xs.length + ys.length := by
  induction xs with
  | nil => simp [append]
  | cons z zs ih =>
    simp [append, ih]
    linarith
```

- ▶ you can view this theorem `append_length` as a function of `xs` and `ys`, whose value is the indicated equality Proposition.

Finite vector spaces

- ▶ For the project, I have in mind producing formal proofs of statements about “finite algebraic objects”.
- ▶ Let k be a **finite field**.
Recall (or accept my assertion for now!) that $|k|$ is a power p^n of a prime number p for some $n : \mathbf{N}$, and that up to isomorphism there is exactly one field of order p^n .
- ▶ thus $k \simeq \mathbf{F}_q$ where $q = p^n$, and $\mathbf{F}_p \simeq \mathbf{Z}/p\mathbf{Z}$.
- ▶ e.g. if $p \equiv 3 \pmod{4}$ then $\mathbf{F}_{p^2} \simeq \mathbf{F}_p(i)$ where $i^2 = -1$.
- ▶ now let V be a finite dimensional vector space over k . If $\dim_k V = m$ then $|V| = q^m$.

Forms on finite vector spaces

- ▶ Let $\beta : V \times V \rightarrow k$ be a **bilinear form**
- ▶ and suppose that β is **nondegenerate** and **symmetric**
- ▶ (also suppose for convenience that $p > 2$)

Examples of forms

- ▶ when $\dim V$ is even, we can choose a basis $e_1, \dots, e_n, f_1, \dots, f_n$ of V .
and we can define a form β_h by the rules

$$\beta_h(e_i, f_j) = \delta_{i,j}, \quad \beta_h(e_i, e_j) = \beta_h(f_i, f_j) = 0 -$$

- ▶ view $V = \mathbf{F}_{q^2}$ as a two-dimensional \mathbf{F}_q -vector space and consider the form

$$\beta : V \times V \rightarrow \mathbf{F}_q$$

$$\text{given by } \beta(x, y) = \frac{(x + y)^{q+1} - x^{q+1} - y^{q+1}}{2}$$

$$\text{note that } \beta(x, x) = x^{q+1} = 0 \implies x = 0.$$

Classification of forms

- ▶ suppose $\dim V$ is even
- ▶ up to isomorphism, there are only two possibilities for β
 - ▶ either β is the hyperbolic form for some choice of basis $\{e_i, f_i\}$
 - ▶ or β is the orthogonal sum of a hyperbolic form and the two dimensional