

FORM 2: REFERENCE PROJECT DESCRIPTION

Complete a copy of Form 2 for each Reference Project:

Respondent Name:	C.A.C. Industries, Inc.			
Reference Project	Elevator Installer Reference Project			
Name of Company	Mid-American Elevator Co., Inc 			
Role of Company	Construction Contractor:		Designer:	
	Other (describe):	<input checked="" type="checkbox"/> Elevator Manufacturer, Installer, Maintainer		
Experience (years)	Vertical Transportation:	51	Other (describe):	49 (other sectors, e.g. malls, commercial)
DESCRIPTION OF PRIOR PROJECT				
Name of project	E-34054 Design-Build Services for Elevator Replacements (37 Elevator Replacements)			
Location	New York, NY			
Brief description	Removal and replacement of 37 hydraulic elevators at various stations across New York. Mid-American Elevator is not only removing the current installations but then furnishing and installing full replacements. This includes all adjusting and testing. MAE will also perform 15 years of maintenance service on these 37 elevators. This project is on a strict timeline schedule.		Meeting & Exceeding Project Objectives <ul style="list-style-type: none"> <input checked="" type="checkbox"/> KPI/Performance-Based Contract <input checked="" type="checkbox"/> Heavy Duty Elevator Installation <input checked="" type="checkbox"/> Long Term OEM <input checked="" type="checkbox"/> Installation Warranties <input checked="" type="checkbox"/> Owner Support <input checked="" type="checkbox"/> Railroad and Transit Infrastructure <input checked="" type="checkbox"/> > \$5 million <input checked="" type="checkbox"/> More than 5 Elevators <input checked="" type="checkbox"/> More than 2 Locations <input checked="" type="checkbox"/> Completed/Ongoing between 2012-Present <input checked="" type="checkbox"/> Quality Installation <input checked="" type="checkbox"/> On Schedule 	
Nature of work for which company was responsible	Disconnection, removal and disposing of the existing elevators. IUEC Local 1 labor installation, inspections, testing, commissioning and training of full replacements including cab, entrances and all other material.			
Brief description of site conditions	These vertical transportation units are located in multiple locations throughout New York City, which requires planning and extensive knowledge on how to navigate from location to location in a high-traffic public area.			

List any awards or citations received for the project	NA		
Client details (owner / agency/ contractor etc.)	Client Name	Forte Gramercy JV	
	Address	926 Lincoln Avenue Holbrook, NY 11741	
	Contact name	Nicholas Longo	
	Telephone	(631) 589-8600	
	Contract Reference #	E-34054	
Contract value at time of award (US\$)	\$29,850,000.00	Final value (US\$):	TBD (ongoing)
% of total work done by Firm:	95%	Commencement date:	January 2024
Planned completion date:	June 2026	Actual completion date:	TBD (ongoing)
Amount of claims: (US\$)	\$0	Any litigation? (yes or no)	No

FORM 2: REFERENCE PROJECT DESCRIPTION

Complete a copy of Form 2 for each Reference Project:

Respondent Name:	C.A.C. Industries, Inc.			
Reference Project	Elevator Installer Reference Project #2			
Name of Company	Mid-American Elevator Co., Inc 			
Role of Company	Construction Contractor:		Designer:	
	Other (describe):	<input checked="" type="checkbox"/> Elevator Manufacturer, Installer and Maintainer		
Experience (years)	Vertical Transportation:	51	Other (describe):	49 (other sectors, e.g. malls, commercial)
DESCRIPTION OF PRIOR PROJECT				
Name of project	Moynihan Train Hall Phase I & II – Farley Building Redevelopment Project			
Location	Manhattan, NY			
Brief description	New installation and modification of 21 transit elevators (hydraulic and traction) for ADA compliance and for long-term performance. The client was redeveloping the Farley Post Office building for mixed use. This project was completed before the planned completion date and under budget.		Meeting & Exceeding Project Objectives <ul style="list-style-type: none"> <input checked="" type="checkbox"/> KPI/Performance-Based Contract <input checked="" type="checkbox"/> Heavy Duty Elevator Installation <input checked="" type="checkbox"/> Long Term OEM <input checked="" type="checkbox"/> Installation Warranties <input checked="" type="checkbox"/> Owner Support <input checked="" type="checkbox"/> Railroad and Transit Infrastructure <input checked="" type="checkbox"/> > \$5 million <input checked="" type="checkbox"/> More than 5 Elevators <input checked="" type="checkbox"/> More than 2 Locations <input checked="" type="checkbox"/> Completed/Ongoing between 2012-Present <input checked="" type="checkbox"/> Quality Installation <input checked="" type="checkbox"/> On Schedule 	
Nature of work for which company was responsible	Furnish and install material with OEM warranties from reliable vendors. Oversight of all work to ensure strict compliance with specifications and safety. Financial forecasting to ensure the project stayed on budget, labor supervision, and lookahead to allow for planned completion date.			
Brief description of site conditions	This job consisted of a high level of logistics and scheduling due to its location – midtown Manhattan. Deliveries of all materials were coordinated and planned by the experienced team that have the knowledge of Manhattan streets and the public surrounding the job. All materials were selected from our long-term vendor			

	relationships to ensure sustainable products were in place for the high traffic area.		
List any awards or citations received for the project	2021 ENR Best Project (Airport/Transit), Award of Merit for Sustainability		
Client details (owner / agency/ contractor etc.)	Client Name	Skanska Moynihan Train Hall Builders J.V.	
	Address	75-20 Astoria Blvd., Ste 200 East Elmhurst, NY 11370	
	Contact name	Herman Hausmann	
	Telephone	(718)683.0655	
	Contract Reference #	90004050-036R, 90004050-37R, 90004050-038R	
Contract value at time of award (US\$)	\$20,041,414.00	Final value (US\$):	\$18,466,646.00
% of total work done by Firm:	90%	Commencement date:	September 2017
Planned completion date:	March 2021	Actual completion date:	February 2021
Amount of claims: (US\$)	\$0	Any litigation? (yes or no)	No

FORM 2: REFERENCE PROJECT DESCRIPTION

Complete a copy of Form 2 for each Reference Project:

Respondent Name:	C.A.C. Industries, Inc.			
Reference Project	Elevator Maintainer Reference Project			
Name of Company	Mid-American Elevator Co., Inc 			
Role of Company	Construction Contractor:		Designer:	
	Other (describe):	<input checked="" type="checkbox"/> Elevator Manufacturer, Installer, Maintainer		
Experience (years)	Vertical Transportation:	51	Other (describe):	49 (other sectors, e.g. malls, commercial)
DESCRIPTION OF PRIOR PROJECT				
Name of project	Comprehensive Elevator Maintenance, Repair and Inspection (19 Elevator Replacement)			
Location	New York, NY			
Brief description	24/7, 365 maintenance on 19 hydraulic elevators that will be installed by Mid-American Elevator. MAE provides IUEC full-time employees 365 days a year. We provide emergency response, preventative maintenance, and annual testing across all units in different locations throughout New York. The main objective is to keep and maintain all units in their best operating condition.			Meeting & Exceeding Project Objectives <ul style="list-style-type: none"> <input checked="" type="checkbox"/> KPI/Performance-Based Contract <input checked="" type="checkbox"/> Heavy Duty Elevator Installation <input checked="" type="checkbox"/> Long Term OEM <input checked="" type="checkbox"/> Installation Warranties <input checked="" type="checkbox"/> Owner Support <input checked="" type="checkbox"/> Railroad and Transit Infrastructure <input checked="" type="checkbox"/> > \$5 million <input checked="" type="checkbox"/> More than 5 Elevators <input checked="" type="checkbox"/> More than 2 Locations <input checked="" type="checkbox"/> Completed/Ongoing between 2012-Present <input checked="" type="checkbox"/> Quality Installation <input checked="" type="checkbox"/> On Schedule
Nature of work for which company was responsible	Mid-American provides and maintains a written control program, timely inspections, proper maintenance service records, supplies spare parts, proper signage and full scope of maintenance for escalator, elevator, and lift. We respond to all emergency requests in the appropriate timeframe, when needed, but work to provide the highest level of preventative maintenance so service requests are not needed.			
Brief description of site conditions	These vertical transportation units are located in multiple locations throughout New York City, which requires planning and extensive knowledge on how to navigate from location to location in a high-traffic public area.			

List any awards or citations received for the project	NA		
Client details (owner / agency/ contractor etc.)	Client Name	MTA Construction and Development	
	Address	2 Broadway, 8 th Floor New York, NY 10004-2207	
	Contact name	Lisa Rigatti	
	Telephone	(646) 252-6308	
	Contract Reference #	600000000031034	
Contract value at time of award (US\$)	\$39,930,000.00	Final value (US\$):	TBD (ongoing)
% of total work done by Firm:	100%	Commencement date:	August 2025
Planned completion date:	October 2040	Actual completion date:	TBD (ongoing)
Amount of claims: (US\$)	\$0	Any litigation? (yes or no)	No

FORM 2: REFERENCE PROJECT DESCRIPTION

Complete a copy of Form 2 for each Reference Project:

Respondent Name:	C.A.C. Industries, Inc.			
Reference Project	Elevator Maintainer Reference Project #2			
Name of Company	Mid-American Elevator Co., Inc 			
Role of Company	Construction Contractor:		Designer:	
	Other (describe):	✓ Elevator Manufacturer, Installer, Maintainer		
Experience (years)	Vertical Transportation:	51	Other (describe):	49 (other sectors, e.g. malls, commercial)
DESCRIPTION OF PRIOR PROJECT				
Name of project	Penn Station – New York Penn – Vertical Transportation Preventative Maintenance and Repair			
Location	New York, NY			
Brief description	24/7, 365 maintenance on 26 escalators, 16 hydraulic elevators, one traction elevator, and one wheelchair lift. Mid-American provides three IUEC full-time employees for each of the three shifts per day 365 days a year. We provide emergency response, preventative maintenance, and annual testing across all units in different locations throughout New York. The main objective is to systematically return all units to their best operating condition and then maintain, which Mid-American has accomplished and will continue to maintain.			Meeting & Exceeding Project Objectives <ul style="list-style-type: none"> ✓ KPI/Performance-Based Contract ✓ Heavy Duty Elevator Installation ✓ Long Term OEM ✓ Installation Warranties ✓ Owner Support ✓ Railroad and Transit Infrastructure ✓ > \$5 million ✓ More than 5 Elevators ✓ More than 2 Locations ✓ Completed/Ongoing between 2012-Present ✓ Quality Installation ✓ On Schedule
Nature of work for which company was responsible	Mid-American provides and maintains a written control program, timely inspections, proper maintenance service records, supplies spare parts, proper signage and full scope of maintenance for escalator, elevator, and lift. We respond to all emergency requests in the appropriate timeframe, when needed, but work to provide the highest level of preventative maintenance so service requests are not needed.			
Brief description of site conditions	These vertical transportation units are located in multiple locations throughout New York City, which requires planning and extensive knowledge on how to navigate from location to location in a high-traffic public area.			

List any awards or citations received for the project	NA		
Client details (owner / agency/ contractor etc.)	Client Name	National Railroad Passenger Corporation (Amtrak)	
	Address	1 Massachusetts Ave., NW Washington, DC 20001	
	Contact name	Martin Klein	
	Telephone	(215)349.2561	
	Contract Reference #	2510032351	
Contract value at time of award (US\$)	\$5,628,917.68	Final value (US\$):	TBD (ongoing)
% of total work done by Firm:	100%	Commencement date:	November 2020
Planned completion date:	November 2023	Actual completion date:	TBD (ongoing with optional extensions)
Amount of claims: (US\$)	\$0	Any litigation? (yes or no)	No

A photograph of a subway station platform. In the foreground, two workers wearing hard hats and safety vests stand near a white metal barrier. One worker is looking at a phone. In the background, there's a large green circular graphic. Above the workers, a red sign says "Exit" and "Bryant Park 42 Street & 6 Avenue". To the right, a green sign says "Downtown & Brooklyn" with symbols for B, D, F, and M trains. The platform has grey tiled walls and a white ceiling with pipes.

MODERN ELEVATOR INSTALLATIONS

FORM 2: REFERENCE PROJECT DESCRIPTION

Complete a copy of Form 2 for each Reference Project:

Respondent Name:	C.A.C. Industries, Inc.			
Reference Project	ADA Upgrades -- Bryant Park			
Name of Company	Modern Elevator Installations			
Role of Company	Construction Contractor:	X	Designer:	X
	Other (describe):			
Experience (years)	Vertical Transportation:	12	Other (describe):	
DESCRIPTION OF PRIOR PROJECT				
Name of project	A-37151 - Design Build Upgrades Package 1			
Location	8 Stations, NY			
Brief description	ADA Upgrades at Various MTA Transit stations			
Nature of work for which company was responsible	Design, Manufacture, Install and Maintain Elevators			
Brief description of site conditions	Busy MTA Transit site, Outdoor elements, confined spaces			
List any awards or citations received for the project				
Client details (owner / agency/ contractor etc.)	Client Name	Judlau OHL Group		
	Address	26-15 Ulmer St. College Pt. NY 11354		
	Contact name	Omar Attia		
	Telephone	O: 718-554-2591 M: 516-523-1652		
	Contract Reference #	A-37151		
Contract value at time of award(US\$)	8.1	Final value (US\$):	9.2	
% of total work done by Firm:	100%	Commencement date:	2021	
Planned completion date:	2023	Actual completion date:	2024	
Amount of claims: (US\$)	0	Any litigation? (yes or no)	No	

FORM 2: REFERENCE PROJECT DESCRIPTION

Complete a copy of Form 2 for each Reference Project:

Respondent Name:	C.A.C. Industries, Inc.			
Reference Project	ADA Upgrades -- Bryant Park			
Name of Company	Modern Elevator Installations			
Role of Company	Construction Contractor:	X	Designer:	X
	Other (describe):			
Experience (years)	Vertical Transportation:	12	Other (describe):	
DESCRIPTION OF PRIOR PROJECT				
Name of project	A-37135 - Design Build Upgrades Package 2			
Location	8 Stations, NY			
Brief description	ADA Upgrades at Various MTA Transit stations			
Nature of work for which company was responsible	Design, Manufacture, Install and Maintain Elevators (15 year maintenance agreement with the MTA)			
Brief description of site conditions	Busy MTA Transit site, Outdoor elements, confined spaces			
List any awards or citations received for the project				
Client details (owner / agency/ contractor etc.)	Client Name	MLJ Contracting		
	Address	1010 Northern Boulevard, Suite 200, Great Neck, NY 11021		
	Contact name	Tom Pesinkowski		
	Telephone	914-727-9474		
	Contract Reference #	A-37135		
Contract value at time of award(US\$)	9M		Final value (US\$):	9.8M
% of total work done by Firm:	100%		Commencement date:	2022
Planned completion date:	2024		Actual completion date:	2024
Amount of claims: (US\$)	0		Any litigation? (yes or no)	No

FORM 3

5 Av-
Bryant
Park

• P4A •

• P4B •

Safety Questionnaire

C.A.C. INDUSTRIES, INC.

Exit

Bryant Park
42 Street &
6 Avenue

Downtown &
Brooklyn



FORM 3: SAFETY QUESTIONNAIRE

Instructions

Table 1 shall be completed by the Respondent for the Key Personnel specified below for the most recent project that the Key Personnel has completed.

Table 2 shall be completed for the Lead Contractor.

Table 1: Safety Questionnaire for Respondent

Name of Respondent: C.A.C. Industries, Inc.

Fill in the following information for the Key Personnel's most recent project.						
KEY PERSONNEL	NAME OF MOST RECENT PROJECT	Total hours by all employees on that project (hours)	Number of lost workday cases on that project (number)	Number of restricted workday cases on that project (number)	Number of cases with medical only, on that project (number)	Number of fatalities on that project (number)
Project Manager	Oswald Calderon - DBIA, LEED GA, EIT - JFK-174.308 - JFK Airport Central Sustation #2	0	0	0	0	0
Construction Manager	Isaiah Millington - Assoc. DBIA, LEED GA, EIT	0	0	0	0	0
Safety Manager	Bill Flaherty, CHST C26201 - Second Ave Subway Phase 2 Utility Relocation & Building Remediation	58,945	0	0	0	0

** Project PANYNJ JFK Airport Central Substation #2 was with Another Company and Data is not Available.

Table 2: Safety Questionnaire for Lead Contractor

Name of Respondent: C.A.C. Industries, Inc.

Name of Company: C.A.C. Industries, Inc.

ITEM 1 Provide the following information for the past 3 years:	2022	2023	2024		
Total number of employee hours worked (hours) Do not include non-work time, even though paid.	130,429.50	138,988	1,053,697		
Number of lost workday cases (number)	1	1	5		
Number of restricted workday cases (number)	0	1	0		
Number of cases with medical attention only (number)	1	0	0		
Number of fatalities (number)	0	0	0		
ITEM 2 (Insert additional rows if needed) Are internal accident reports and report summaries sent to management? To what levels of management are accident reports/summaries sent, and how frequently?					
Management level	Sent?		If yes, frequency sent:		
	NO	YES	Monthly	Quarterly	Annually
President	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Executive Management	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Project Management	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ITEM 3					
Do you hold site meetings for supervisors?		YES: <input checked="" type="checkbox"/>	NO: <input type="checkbox"/>		
How often do you hold site meetings for supervisors?					
Weekly:	<input type="checkbox"/>	Twice a month: <input checked="" type="checkbox"/>	Monthly: <input type="checkbox"/>	Other (specify):	
ITEM 4					
Do you conduct project safety inspections?		YES: <input checked="" type="checkbox"/>	NO: <input type="checkbox"/>		
How often do you conduct project safety inspections?					
Weekly:	<input type="checkbox"/>	Twice a month: <input type="checkbox"/>	Monthly: <input checked="" type="checkbox"/>	Other (specify):	

<u>ITEM 5</u>						
Does the Company have a written safety program?			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
<u>ITEM 6</u>						
Does the Company have an orientation program for new hires?			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
If yes, what safety items are included in the orientation program for new hires? (describe below)						
C.A.C. Industries has a comprehensive orientation program for all new hires designed to promote a strong safety culture from day one. Our orientation includes a detailed review of company safety policies and procedures, site-specific safety protocols, and regulatory requirements, including OSHA standards. Key topics covered include hazard recognition, proper use of personal protective equipment (PPE), emergency response procedures, stop-work authority, and a review of our "Time Out Card" program, which empowers every employee to halt unsafe work without fear of retribution. This foundation ensures all employees are prepared to work safely and responsibly on every project site.						
<u>ITEM 7</u>						
Does the Company have a program for newly hired foremen and newly promoted foremen?			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
If yes, does the program for newly hired or promoted foremen include the following topics?						
Safety work practices			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
Safety supervision			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
On-site meetings			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
Emergency procedures			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
Accident investigation			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
Fire protection and prevention			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
New worker orientation			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
<u>ITEM 8</u>						
Does the Company hold safety meetings that extend to site laborer level?			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
If yes, how often do you hold safety meetings that extend to site laborer level?						
<u>ITEM 9</u>						
Does the Company hold safety meetings prior to engaging in field activities near the construction work site(s)?			YES:	<input checked="" type="checkbox"/>	NO:	<input type="checkbox"/>
If yes, how often do you hold safety meetings that extend to field activities level?						
Daily:	<input checked="" type="checkbox"/>	Weekly:	<input type="checkbox"/>	Twice a month:	<input type="checkbox"/>	Other (specify):

ITEM 10 Provide the following information for the past 3 years:	2022	2023	2024
EMR for the current insurance policy:		1.07	0.98
EMR for the previous insurance policy (if required):	0.94		
EMR for the previous insurance policy (if required):	0.86		
<i>If the EMR rate exceeds 1.0 for the most recent year provided, a written explanation, limited to one page, attached to this form, will be provided and the two previous years EMRs will be provided by the Workers Compensation Insurance Carrier. The written explanation will include current safety program and training initiatives directed towards minimizing future work related injuries.</i>			
<i>For Companies that do not have an EMR, due to work experience outside the US, a frequency rate table or accident incident rate or similar statistics will be provided indicating the safety record over the last five years.</i>			
<i>Each Company must all submit a letter from their current workers compensation insurance carrier stating the expiration date of the policy and the current EMR rate. The letter is to be attached to this form.</i>			
ITEM 11 Provide the following OSHA Specific Information:			
A) Within the last 2 years, has the Company received any citations classified by OSHA as being (1) serious, (2) willful and/or (3) repeat violations where your company operates? <i>If yes, attach a copy of each such citation and violation.</i>	YES: <input type="checkbox"/>	NO: <input checked="" type="checkbox"/>	
B) Has the Company experienced any work-related fatalities within the last five years?	YES: <input type="checkbox"/>	NO: <input checked="" type="checkbox"/>	
C) Has the Company had any citations issued by OSHA as a result of work related fatalities within the past 5 years?	YES: <input type="checkbox"/>	NO: <input checked="" type="checkbox"/>	
D) Is the Company under investigation for any work-related fatalities?	YES: <input type="checkbox"/>	NO: <input checked="" type="checkbox"/>	
If your answer is "yes" to 11(b), (c) or (d), provide a copy of the citation(s), list of number(s) of fatalities and documented explanation of the fatality.			



January 28, 2025

CAC Industries Inc.
54-08 Vernon Boulevard
Long Island City, NY 11101

RE: Workers Compensation Experience Modification Rate

To Whom It May Concern:

Please see the following Experience Modification Rates as respects C.A.C. Industries, Inc.:

Effective Date	EMR
6/29/24-25	0.98
6/29/23-24	0.99
6/29/22-23	0.71
6/29/21-22	0.82
6/29/20-21	0.87

Should you have any questions please contact our office.

Sincerely,

Kimberly Bernard

Senior Director, Long Island
The Baldwin Group