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by Paresh Pradhan

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Abstract

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1. Background

In recent years, with the emergence of large pre-trained language models, the quality of machine-generated text has improved significantly (Rashkin et al., 2018; Radford et al., 2019; Zhang et al., 2019; Brown et al., 2020; Guan et al., 2020; Bakhtin et al., 2021). Today, models can generate text that is indistinguishable from human-written text (Clark et al., 2021).

Although large-scale PLMs have shown great capabilities in generating coherent and meaningful text (Keskar et al., 2019; Radford et al., 2019; Zellers et al., 2019), controlling the generation is still a difficult task. Deeper analysis of machine-generated text reveals issues such as self-contradiction and topic drift (Fan et al., 2019; Bisk et al., 2020; Gao et al., 2020a; Tan et al., 2020; Dou et al., 2021; Dziri et al., 2021). These defects are particularly evident in open-ended text generation tasks, such as story generation, where high level of coherence is expected.

Stories generated using language models have shown to lack discourse coherence (Bosselut et al., 2018; Ji and Huang, 2021), global planning (Hua and Wang, 2020; Tan et al., 2020) and common-sense knowledge (Ji et al., 2020; Xu et al., 2020). While the individual sentences in a generated text seem logical and fluent, when put together, the overall story often does not make much sense (See et al., 2019; Goldfarb-Tarrant et al., 2020). In long-form text generation, sentences tend to repeat which leads to reduction in story quality (Yao et al., 2019).

To provide structure to the generation process, recent works have tried to use explicit content planning. The content plan comes in different forms. (Fan et al., 2018a) used prompts. (Xu et al., 2018; Yao et al., 2019) used keywords and key-phrases. (Fan et al., 2019) used semantic frames. (Sun et al., 2020) used summaries. To make use of these content plans, PLMs generally require fine-tuning on content-plan related data. Aside from the issue of having to come up with training data, another problem of fine-tuning PLMs is that model tends to learn the frequently occurring events in the content plan and derives common sense knowledge from them (Fan et al., 2019). This leads to lack of variety in generated stories.

The recently proposed prompt-based learning also offers a new paradigm to solve language problems without fine-tuning (Liu et al., 2021). In this paradigm, text-based problems can be solved using task-specific prompts. Researchers have shown that using prompts, PLMs can solve existing or new generation tasks without need for fine-tuning (Brown et al., 2020; Li and Liang, 2021).

Although prompt-based learning looks promising, there are still some challenges. Prompts are highly task-specific and are hard to transfer or reuse for new tasks (Gao et al., 2020b). Even for the same task the prompts may not work well for all instances in a large population (le Scao and Rush, 2021).

2. Related Work

Controllable story generation has been studied from different angles. Researchers have focused on controlling story generation using broad thematic elements such as sentiment, genre, style, topic, etc. (Hu et al., 2017; Shen et al., 2017; Zhao et al., 2018; Dathathri et al., 2019; Fang et al., 2019; Keskar et al., 2019). Some works have tried more fine-grained control using plots, story-plans and story-lines (Peng et al., 2018; Yao et al., 2019). These works were benchmarked using relatively short-text datasets such as the 5-lines story dataset, ROCStories (Mostafazadeh et al., 2016). Later on, some works have tried to controllable story generation with long-form text (Fan et al., 2018a, 2019; Rashkin et al., 2020; Fang et al., 2021).

Similar to short story generation, researchers have tried using fine-grained control to drive long-form story generation as well. (Fang et al., 2021) proposed generation of story given an outline of story events/phrases. (Rashkin et al., 2020) proposed a similar method using a dedicated architecture and memory mechanism. (Sun et al., 2020) created an outline of the story by generating summaries for each segment of the story. Then each summary is extrapolated to generate the full story.

Most of the research in the field is based on fine-tuning transformer-based Pre-trained Language Models (PLM) (Vaswani et al., 2017; Devlin et al., 2018; Radford et al., 2019) with curated or generated datasets (Conneau and Lample, 2019; Dong et al., 2019; Keskar et al., 2019; Song et al., 2019). GPT2 (Radford et al., 2019), in particular, has garnered a lot of attention in this space due to dedicated architecture for unconditional text generation (Mao et al., 2019; See et al., 2019; Ziegler et al., 2019). And lately, after the availability of its API, GPT3 (Brown et al., 2020) has seen increasing usage for text generation (REFERENCE).

Fine-tuning PLMs is difficult in a data scarce situation (Chen et al., 2019; Li et al., 2021). To resolve that, researchers have tried Plug-and-Play methods to control story generation without

fine-tuning (Dathathri et al., 2019; Pascual et al., 2020, 2021; Lin and Riedl, 2021; Jin et al., 2022; Mori et al., 2022).

Prompt-based learning is another approach that does not require fine-tuning. Some works have used hand-crafted prompts for different generation tasks (Brown et al., 2020; Raffel et al., 2020; Zou et al., 2021). Others have tried to automatically generate discrete prompts (Gao et al., 2020b; Shin et al., 2020) and continuous prompts (Li and Liang, 2021; Liu et al., 2021). Some have tried to generate prompts for target task using source task (Su et al., 2021; Vu et al., 2021).

3. Research Questions

This thesis tries to answer the following questions:

1. The approaches for story generation with fine-grained control require fine-tuning of PLMs. Can these approaches be used with Prompt-based learning to generate stories in a Few-Shot manner without fine-tuning?
2. The previous methods largely use GPT2 as base model. Can using the latest generation GPT3 (or alternatives) improve the text generation capabilities?
3. Prompt-based learning has been used to generate text in few-shot manner. Can this be extended to story generation task?

4. Aim and Objectives

This work tries to explore the Few-shot capabilities of GPT3 for long-form controllable story generation task.

Objectives:

- To conduct a comprehensive review of available literature with regards to Long-form story generation, Prompt-learning and Few-Shot text generation.
- To explore the viability and then develop a method to generate short and long form stories using few-shot generation and prompting.
- To evaluate the generated stories using automated story generation evaluation metrics and compare the developed method against existing methods.

5. Significance of the Study

Story Generation is a field under active research. While short-form story generation has been studied extensively, long-form story generation is relatively under-explored. Although fine-tuning based approaches have been used in previous works, there is a lack of research in generating stories without fine-tuning.

This work tries to fill these gaps by adding to the existing literature, providing benchmarks and contributing code. This work also explores recent developments in Prompt-based learning and Few-Shot generation.

In terms of application, this work helps story writers write better stories in conjunction with AI. This can help writers get new ideas or get over the writer's block.

6. Scope of the Study

6.1 In Scope

6.2 Out of Scope

6.3 Reason for defining Scope

7. Research Methodology

This work focuses on the text generation and few-shot learning capabilities of PLMs. Given an outline as a control-mechanism, the model should generate a story conditioned on the outline.

7.1 Overall Framework

The proposed implementation can be broadly separated into two major steps:

1. Create prompts for Few-Shot Learning – Create a set a few-shot sample pairs, where each pair consists of one outline instance and corresponding text paragraph.
2. Use the created prompt samples to generate story paragraph for a new outline instance.

Figure 7.1.1 shows an overview of the proposed method.

FIGURE 7.1.1 (PENDING)

7.2 Dataset Description

This work makes use of two standard story generation datasets:

- **ROCStories:** Introduced by (Mostafazadeh et al., 2016), this dataset contains ~98K 5-sentence long stories along with story titles. This dataset is widely used for short-form story generation tasks.
- **WritingPrompts:** Introduced by (Fan et al., 2018b), this dataset contains ~300K human-written stories along with the starting prompt used to write the story. These stories were collected from the Reddit, an online social media forum. These stories are long-form multi-paragraph stories, and hence useful for more complex task of long-form story generation.

7.3 Data Preparation

As mentioned in section 7.1, the proposed method requires sample pairs of outline-instance to paragraph. While paragraph text can be derived from the ROCStories and WritingPrompts datasets, there is no dataset of outlines readily available. Hence, the outlines need to be extracted from the story datasets and then mapped to corresponding paragraph text. These outline-paragraph pairs can then be sampled during the few-shot inference.

The outline instances can take one of two forms:

- **Summary** – Here the outline instance is a short extractive summary of the paragraph. The paragraph is expanded from the summary. For the summary extraction, TextRank (REFERENCE) is used to extract the most informative sentence from the paragraph.
- **Keywords/Keyphrases** - Here the outline instance is a set of keywords and phrases that are present in the paragraph. The paragraph text is generated conditioned on these keywords/keyphrases. For the outline extraction, RAKE (REFERENCE) is used to extract keyphrases from the paragraph.

7.4 Few-Shot Prompting

This work proposes to use one of GPT3 or its alternatives (GPT-Neo/GPT-J/OPT/BLOOM) (REFERENCE) as the base model for the few-shot generation task. The prompt, few-shot samples and the query outline are passed to the model as input for inference. The model returns the generated story paragraph corresponding to the query outline.

7.5 Evaluation

The generated stories are to be evaluated using multiple metrics. This work only focuses on evaluation using Automatic Metrics. Human-Evaluation of the generated stories is not within the scope of this work.

The proposed metrics for evaluation are as follows:

- **Perplexity (PPL)** - Similar to (Fang et al., 2021; Jin et al., 2022), PPL is used to compute word-level complexity.
- **DIST/distinct-n (REFERENCE)** - DIST measures generation diversity as a ratio of distinct n-grams to all generated n-grams.
- **BLEU (REFERENCE)** - Measures n-gram overlap between generated text and ground truth.
- **Self-BLEU (REFERENCE)** - Measures intra-story lexical diversity.
- **ROUGE (REFERENCE)** - Includes Precision, Recall & F1, where ROUGE Precision has similar interpretation as BLEU score.

This work will be benchmarked against the following baselines:

- Outline-to-Story (O2S) (Fang et al., 2021)
- Summarize, Outline and Elaborate (SOE) (Sun et al., 2020)
- Prompt Transfer for Text Generation (PTG) (Li et al., 2022)

8. Required Resources

8.1 Hardware Requirements

The following hardware requirements must be met for this research work:

- A laptop/desktop computer with internet access capable of browsing, doc-writing and compiling/executing code.
- Access to GPUs to execute CUDA-based deep-learning model training/inference.

8.2 Software Requirements

The following software requirements must be met for this research work:

- Web-browser
- Code IDE

- Python 3.7+
- NVIDIA - CUDA libraries
- Deep Learning libraries such as TensorFlow, PyTorch and HuggingFace
- Other python libraries required for working with data, e.g., Pandas, Numpy, NLTK, etc.

8.3 Dataset Requirements

The following dataset requirements must be met for this research work:

- ROCStories dataset requires a form to be filled and the dataset links are sent via email (ROCStories and the Story Cloze Test, 2022).

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9. Research Plan

9.1 Gantt Chart

9.2 Risk Mitigation and Contingency Plan

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