

Recursion

Data Structures & Algorithms with Python

Lecture 4

Outline

- Introduction
- Recursion Examples
- Analyzing the time-complexity of recursions
- Types of Recursion
- Designing Recursive Algorithms
- Tower of Hanoi Problem
- Summary

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Introduction

Two ways to define repetition:

- Using loops: for-loop, while-loop etc.
- Using recursion.





Recursion: It is a technique by which a function makes one or more calls to itself during execution, or by which a data structure relies upon smaller instances of the very same type of structure in its presentation.

Few real examples of recursion in nature:

- → Fractal patterns
- → Russian Matryoshka Dolls



Illustrative Examples of recursion

- Factorial function
- English Ruler
- Binary Search
- File System

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The Factorial Function

Definition:

$$n! = \left\{ egin{array}{ll} 1 & ext{if } n=0 \ n.\,(n-1).\,(n-2)\cdots 3.2.1 & ext{if } n \geq 1 \end{array}
ight.$$

Example:

$$5! = 5.4.3.2.1 = 120$$

Recursive Definition:

$$n! = \left\{ egin{array}{ll} 1 & ext{if } n=0 \ n.\,(n-1)! & ext{if } n \geq 1 \end{array}
ight.$$

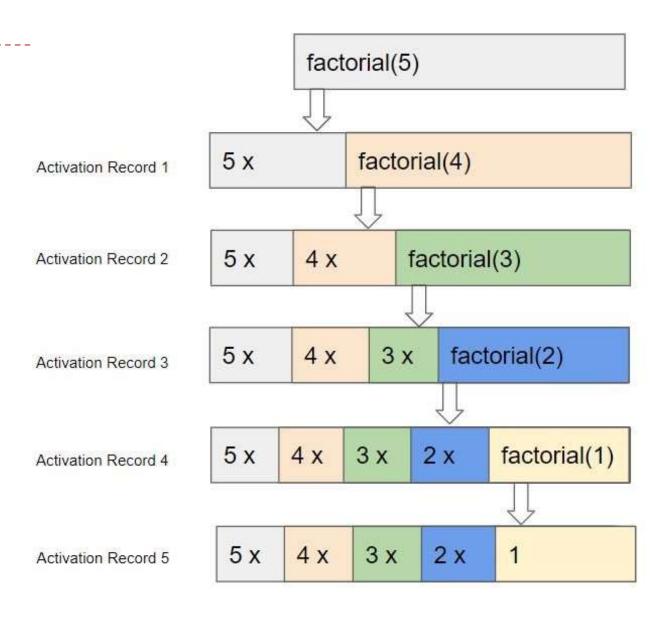
A recursive definition contains one non-recursive **base case** and one or more **recursive cases**.

The number of ways in which n distinct items can be arranged into a sequence = n!. In other words, permutation of n items = n!.

For example, the characters a, b and c can be arranged in 3! = 3.2.1 = 6 ways: abc, acb, bac, bca, cab and cba.

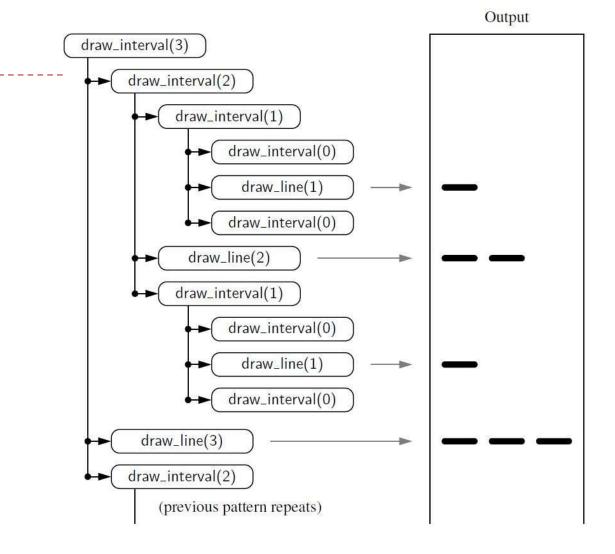
```
1 # Factorial Function
2
3 def factorial(n):
4    if n == 0:
5        return 1
6    else:
7        return n*factorial(n-1)
8
9 print(factorial(5))
```

- Each time a function is called, a structure known as an activation record or frame is created to store information about the progress of that invocation of the function.
- Activation record includes a namespace for storing the function call parameters and local variables and information about which command in the body of the function is currently executing.
- When the execution of a function leads to a nested function call, the execution of the former function call is suspended and its activation record stores the place in the source code at which the flow of control should continue upon return of the nested call.
- There is a different activation record for each active call.



Drawing an English Ruler

```
1 def draw line(tick length, tick label=''):
    Draw one line with given tick length (followed by optional label)
    line = ' '*tick length
    if tick label:
      line += ' ' + tick label
    print(line)
10 def draw interval(center length):
    Draw tick interval based upon a central tick length
13
    if center length > 0: # stop when length drops to 0
14
      draw_interval(center_length - 1) # recursively draw top tics
15
      draw line(center length) # draw center tick
16
      draw interval(center length - 1) # recursively draw bottom ticks
17
18
19 def draw ruler(num inches, major length):
    Draw English ruler with given number of inches, major tick length
22
    draw line(major length, '0')
                                     # draw inch 0 line
    for j in range(1, 1+num inches):
      draw interval(major length - 1) # draw interior ticks for inch
25
      draw line(major length, str(j)) # draw nch j line and label
26
27
28 draw ruler(2, 4)
29 #draw ruler(2,3)
30 #draw ruler(2,5)
```



draw_ruler(2, 3)

major length = 3 num_inches =2 draw_line(3,'0')

J = 1, draw_interval(2) draw_line(3, '1')

J = 2 draw_interval(1) draw_line(3, '2')

Activation Record 1

center_length = 2 > 0
draw_interval(1)
draw_line(2)
draw_interval(1)

Activation Record 2

Center_length = 1>0 draw_interval(0) draw_line(1) draw_interval(0)

Activation Record 5

center_length = 1 > 0
draw_interval(0)
draw_line(1)
draw_interval(0)

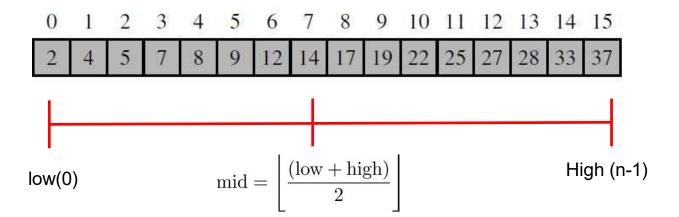
Activation Record 3

center_length = 1 > 0
draw_interval(0)
draw_interval(0)
draw_interval(0)

Activation Record 4

Binary Search

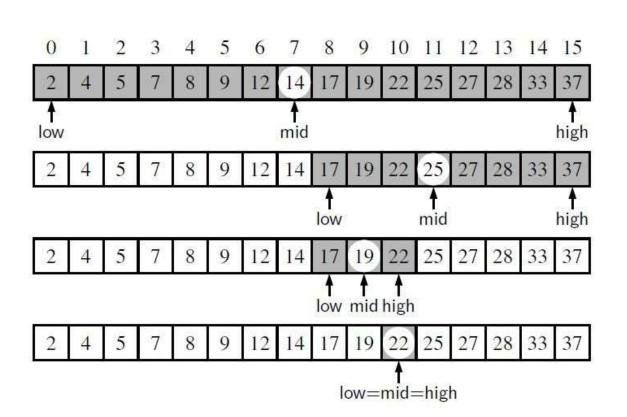
- Sequential search is used for unsorted sequence Loop over each and every element to find the target $\sim O(n)$
- Binary search is used to efficiently locate a target value within a sorted sequence of n elements $\sim O(\log n)$
- For any index j in a sorted array, all the values stored at indices 0, 1, ... j-1 are less than or equal to the value at index j.
- In BS, the sequence is partitioned into two sub-sequences and then the search is performed only in one of the sub-sequence.



Consider three cases:

- → If target == data[mid], target is found, search ends.
- → If target < data[mid], recur the search in the first half of the sequence.
- If target > data[mid], recur the search in the second half of the sequence.
- Repeat these steps until the interval [low, high] is empty Or low > high.

Search '22' in the array

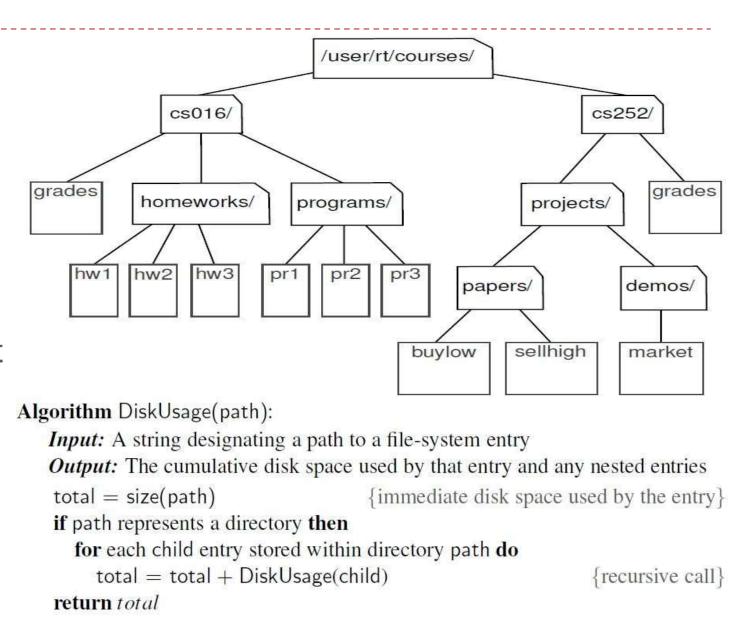


```
1 # Binary Search algorithm through recursion
3 def binary_search(data, target, low, high):
    Return True if target is found in the indicated interval [low, high]
    if low > high:
      return False # interval is empty, no match found
    else:
      mid = (low + high) // 2
10
      if target == data[mid]:
11
        return mid, True # Match found
12
      elif target < data[mid]:
13
        # recur on the first half of the interval
14
        return binary search(data, target, low, mid-1)
15
16
      else:
        # recur on the second half of the interval
17
        return binary search(data, target, mid+1, high)
18
19
20
21 a = [2, 4, 5, 7, 8, 9, 12, 14, 17, 19, 22, 25, 27, 28, 33, 37]
22
23 print(binary search(a, 22, 0, 15))
24
```

(10, True)

File Systems

- In modern operating system, the file-system directories (or folders) are defined in a recursive way.
- Many OS functions such as copying or deleting implement recursive algorithms.
- Example: cumulative disk usage for all files and directories within a particular directory.



Following functions from Python's os module will be used:

- os.path.getsize(path):
 - Return the immediate disk usage (measured in bytes) for the file or directory that is identified by the string path
- os.path.isdir(path):
 - Return True if entry designated by string path is a directory; False otherwise
- os.listdir(path):
 - Return a list of strings that are the names of all entries within a directory designated by string path
- os.path.join(path, filename):
 - Compose the path string and filename string using an appropriate operating system separator between the two ('/' in Linux)

```
1 # Disk Usage Example
 2 import os
 3 def disk usage(path):
    Returns the number of bytes used by a file/folder and any descendents
    total = os.path.getsize(path)
    if os.path.isdir(path): # if this is a directory
      for filename in os.listdir(path):
        childpath = os.path.join(path,filename)
10
11
        total += disk usage(childpath)
12
    print('{0:<7}'.format(total),path)
14
    return total
15
16 disk usage('./')
```

```
./.config/configurations/config default
4145
        ./.config/configurations
        ./.config/logs/2019.08.27/16.17.17.407104.log
394
        ./.config/logs/2019.08.27/16.17.07.367707.log
21809
       ./.config/logs/2019.08.27/16.17.00.530950.log
        ./.config/logs/2019.08.27/16.17.21.663235.log
630
        ./.config/logs/2019.08.27/16.17.22.686467.log
       ./.config/logs/2019.08.27
35491
39587
        ./.config/logs
0
        ./.config/config sentinel
        ./.config/.last_update_check.json
        ./.config/active config
        ./.config/gce
        ./.config/.metricsUUID
48006
       ./.config
930
        ./sample data/README.md
1697
        ./sample data/anscombe.json
18289443 ./sample data/mnist test.csv
        ./sample data/california housing train.csv
36523880 ./sample_data/mnist_train_small.csv
        ./sample data/california housing test.csv
56827617 ./sample data
56879719 ./
                              ~ 56.8 MB
56879719
```

Analyzing Recursive Algorithms

Computing Factorials

- Each individual activation of factorial() executes a constant number of operations.
- To compute factorial(n), there are n+1 activations. The main function that calls the factorial() function has its own activation record.
- Time complexity ~ O(n)

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Analyzing the English Ruler Example

- Drawing an English Ruler
 - \circ For c >= 0, a call to draw_interval(c) results in precisely 2^c-1 lines of output.

Proof by Induction:

Base case: For c = 0, $2^0 - 1 = 1 - 1 = 0$ (no lines are drawn)

Assume that above proposition holds true for c'=c-1 < c . Hence, draw_interval(c-1) prints $2^{c-1}-1$ lines of output

Inductive step: Each call to draw_interval(c) for c>0 spawns two calls to draw_interval(c-1) and a single call to draw_line().

For any general c > 0, the number of lines printed:

$$1 + 2 \cdot (2^{c-1} - 1) = 1 + 2^c - 2 = 2^c - 1$$

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Analyzing binary search algorithm

Proposition: The binary search algorithm runs in *O(log n)* time for a sorted sequence with n elements.

Proof: The number of candidates to be search with each recursive call = high - low +1

The number of candidates to be searched is reduced by at least one half with each recursive call. In general, after the jth call in a binary search, the number of candidates remaining is at most $\ n/2^j$

The maximum number of recursive calls to be performed is the smallest integer r such that

$$\frac{n}{2^r} < 1 \Rightarrow r > \log n$$
 : $r = \lfloor \log n \rfloor + 1$

This implies that binary search runs in O(log n) time.

Analyzing disk space usage algorithm

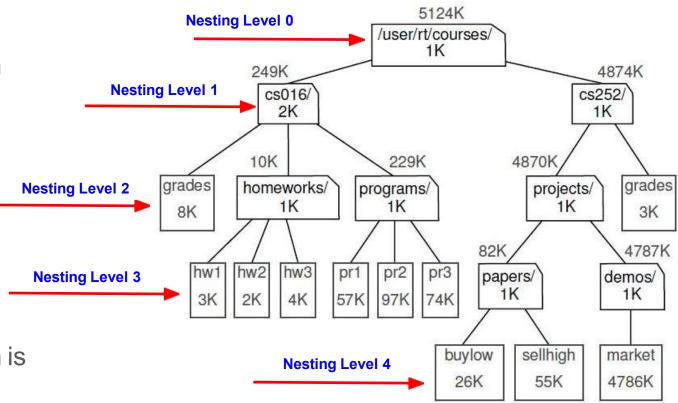
 Total number of file-system entries in a given part of the file system is n (n = 19, in this case).

 It can be proved by induction that there is exactly one recursive invocation of disk_usage() upon each entry at the nesting level k.

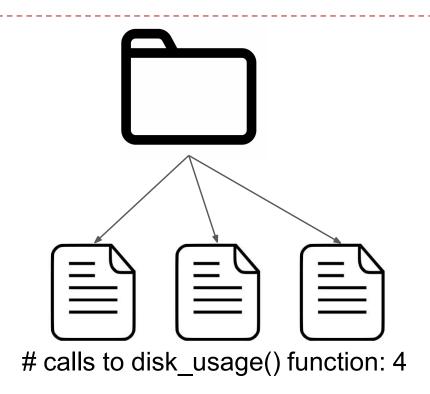
• In the worst case, it has running time of order $O(k^2) \sim O(n^2)$

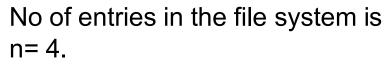
• A tighter bound will be O(n) where n is the total number of entries in the file-system.

 Amortization: We can sometimes get a tighter bound on a series of operations by considering the cumulative effect, rather than assuming that each achieves a worst case.

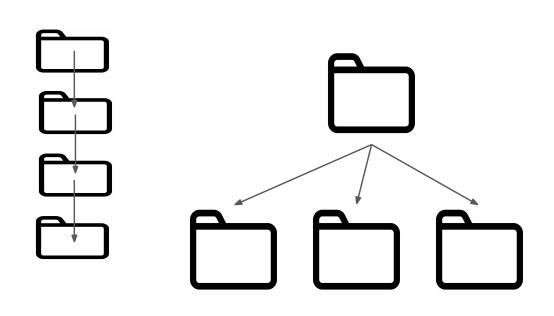


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Case I: The folder contains 3 files.



calls to disk_usage() function: 4

Case II: The folder contains 3 empty directories.

O(n) is a tighter bound on complexity for disk_usage() function.

Bad use of Recursion - Revisiting Element uniqueness problem

Let n = stop - start

If n = 1, running time of unique3() is O(1).

If general, a single call to unique3 for problem size n may result in two recursive calls on problems of size n-1.

Those two calls with size n-1 will lead to four calls with a size of n-2 leading to 8 calls in turn with size n-3 and so on ...

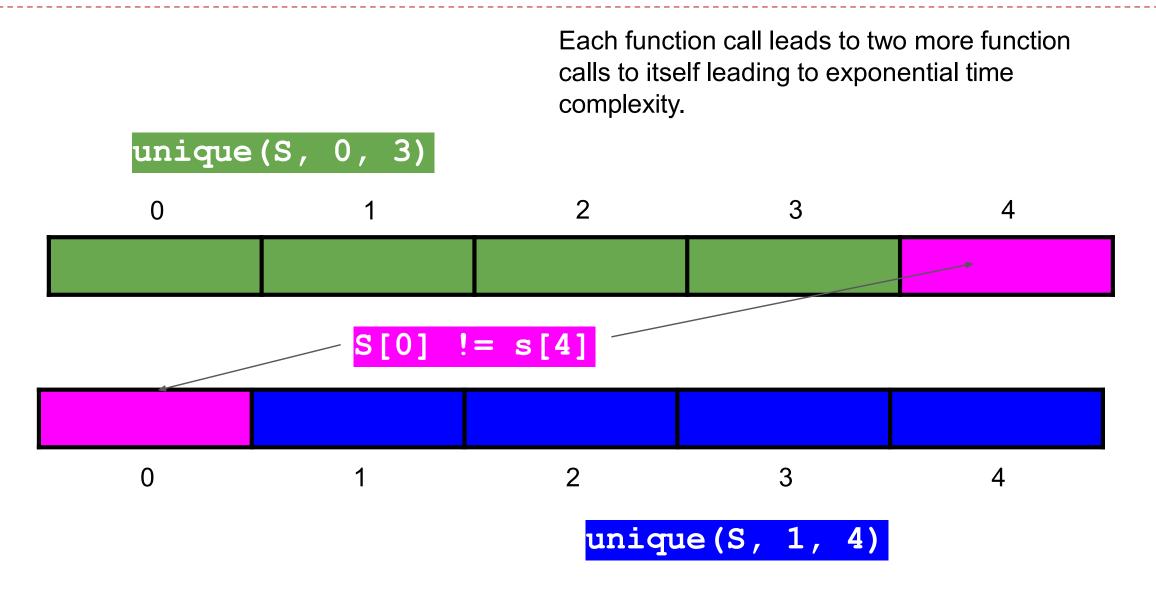
Total number of function calls is given by the geometric summation:

$$1+2+4+8+\ldots+2^{n-1}=2^n-1$$

```
1 # Element uniqueness problem
2 def unique3(S, start, stop):
3 ***
4  Return True if there is no duplicate elements in the array
5 ***
6  if stop - start <= 1: return True  # at most one item
7  elif not unique3(S, start, stop-1): return False  # first part has duplicate
8  elif not unique3(S, start+1, stop): return False  # second part has duplicate
9  else: return S[start] != S[stop-1]  # do first and last differ
10
11
12 a = [[2, 5, 7, 6, 8]]
13 print(a[0:5])
14 print(unique3(a, 0,5))
[2, 5, 7, 6, 8]
True</pre>
```

Run time of function unique3 is $\,O(2^n)\,$

unique(S, 0, 5)



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Another bad use of Recursion: Bad fibonacci

- ullet Let C_n be the number of calls performed in the execution of bad fibonacci(n).
- The number of calls more than doubles for every two consecutive indices. In other words,

$$egin{aligned} C_2 &> 2 imes C_0 \ C_4 &> 2 imes C_2 \ C_6 &> 2 imes C_4 \ dots \ C_n &> 2 imes C_{n/2} \end{aligned}$$

ullet This implies that the function bad_fibonacci has a time complexity of $O(2^n)$

$$C_8 > 2 \times C_6 > 2 \times 2 \times C_4 > 2 \times 2 \times 2 \times C_2 > 2 \times 2 \times 2 \times C_0$$

 $C_8 > 2^4 \times C_0$

```
1 ## Bad fibonacci
 2 def bad fibonacci(n):
    Return the nth Fibonacci number
    if n <= 1:
      return n
      return bad fibonacci(n-2) + bad fibonacci(n-1)
12 bad fibonacci(10)
55
      c_0 = 1
      c_1 = 1
      c_2 = 1 + c_0 + c_1 = 1 + 1 + 1 = 3
      c_3 = 1 + c_1 + c_2 = 1 + 1 + 3 = 5
      c_4 = 1 + c_2 + c_3 = 1 + 3 + 5 = 9
      c_5 = 1 + c_3 + c_4 = 1 + 5 + 9 = 15
      c_6 = 1 + c_4 + c_5 = 1 + 9 + 15 = 25
      c_7 = 1 + c_5 + c_6 = 1 + 15 + 25 = 41
      c_8 = 1 + c_6 + c_7 = 1 + 25 + 41 = 67
```

An Efficient implementation of Fibonacci algorithm using recursion

- We modify the requirement by making it return two consecutive numbers instead of one.
- Each call leads to only one recursive call with problem size reducing by 1 at each call.
- So for n elements, n recursive calls are made.
- So the time-complexity of good_fibonacci(n) is O(n).

```
1 # Good Fibonacci
 2 def good fibonacci(n):
    return pair of fibonacci numbers F(n) and F(n-1)
    F(-1) = 0
    if n <= 1:
      return (n, 0)
    else:
      (a,b) = good fibonacci(n-1)
      return (a+b, a)
11
12
14 print(good fibonacci(10))
                                         (3, 2)
(55, 34)
                                         (5, 3)
                                         (8, 5)
                                         (13, 8)
                                         (21, 13)
                                         (34, 21)
                                         (55, 34)
```

Maximum Recursive Depth in Python

 Each recursive call makes another recursive call without ever reaching a base case - *infinite recursion*.

```
Example: def fib(n): return fib(n)
```

- Python limits the total number of function activations to some fixed value (default = 1000). If this number is exceeded, a RuntimeError or RecursionError exception is raised by the interpreter.
- The default recursion limit can be changed:

```
import sys
old = sys.getrecursionlimit( )
sys.setrecursionlimit(1000000)
```

```
1 # Binary Search algorithm through recursion
 3 def binary search(data, target, low, high):
     Return True if target is found in the indicated interval [low, high]
     if low > high:
       return False # interval is empty, no match found
       mid = (low + high) // 2
       if target == data[mid]:
12
         return mid, True # Match found
       elif target < data[mid]:
14
         # recur on the first half of the interval
         return binary search(data, target, low, mid-1)
15
16
17
         # recur on the second half of the interval
        return binary search(data, target, mid, high)
18
19
21 a = [2, 4, 5, 7, 8, 9, 12, 14, 17, 19, 22, 25, 27, 28, 33, 37]
23 print(binary search(a, 22, 0, 15))
                                          Traceback (most recent call last)
<ipython-input-18-ea@cadb9254f> in <module>()
     20 a = [2, 4, 5, 7, 8, 9, 12, 14, 17, 19, 22, 25, 27, 28, 33, 37]
---> 22 print(binary_search(a, 22, 0, 15))
                               — 🐧 3 frames -
... last 1 frames repeated, from the frame below ...
<ipython-input-18-ea0cadb9254f> in binary search(data, target, low, high)
             # recur on the second half of the interval
    16
              return binary_search(data, target, mid, high)
---> 17
     18
```

RecursionError: maximum recursion depth exceeded in comparison

Further Examples of Recursion

- Linear Recursion one recursive call starts at most one other.
- Binary recursion one recursive call may start two others.
- Multiple recursion one recursive call may start three or more others.

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Linear Recursion

Linear Recursion - one recursive call starts at most one other.

Examples:

- → Factorial
- → Good_fibonacci
- → Binary search

Linear recursion terminology reflects the structure of the recursion trace, not the asymptotic analysis of the running time.

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Summing the elements of a sequence

| n = 4 | linear_sum(S,4) | S[3] + linear_sum(S,3) | 20 + 30 = 50 |
|-------|-----------------|-------------------------|--------------|
| n = 3 | linear_sum(S,3) | S[2] + linear_sum(S,2) | 15 + 15 = 30 |
| n = 2 | linear_sum(S,2) | S[1] + linear_sum(S,1) | 10 + 5 = 15 |
| n = 1 | linear_sum(S,1) | S[0] + linear_sum(S, 0) | 5+0=5 |
| n = 0 | linear_sum(S,0) | 0 | 0 |

Run time $\, \sim O(n)$

```
1 def linear sum(S, n):
    Return the sum the first n numbers of a sequence S
   if n == 0:
    return 0
7 else:
    return linear_sum(S, n-1) + S[n-1]
10 # Same as above but does not take the additional argument
11 def linear sum2(S):
12 n = len(S)
13
14 if n == 0:
    return 0
16 else:
    return linear_sum2(S[0:n-1]) + S[n-1]
18
20 b = [5, 10, 15, 20]
21 print(linear_sum(b, 4))
22 print(linear_sum2(b))
50
50
```

Reversing a Sequence with Recursion

- The code is guaranteed to terminate after a total of $1 + \left| \frac{n}{2} \right|$ recursive calls.
- Runtime $\sim O(n)$

```
1 # Reversing a sequence with recursion
 3 def reverse(S, start, stop):
     Reverse the elements in implicit slice S[start:stop]
    if start < stop - 1: # if at least two elements
      S[start], S[stop-1] = S[stop-1], S[start] # swap first and last
     reverse(S, start+1, stop-1)
10
11 a = [4,3,6,2,8,9,5]
12 reverse(a, 0, 7)
13 print(a)
[5, 9, 8, 2, 6, 3, 4]
                                                    9
                                                8
                                       6
                                                    3
                                                    3
                                                6
                                                    3
                                                6
```

Recursive algorithms for computing Powers

Trivial recursion definition

$$power(x,n) = \begin{cases} 1 & \text{if } n = 0\\ x \cdot power(x,n-1) & \text{otherwise.} \end{cases}$$

ullet Runtime $\sim O(n)$

```
1 # Recursive algorithms for computing powers
 3 def power(x,n):
    Compute the value x**n for integer n
   if n ==0:
   return 1
   else:
      return x * power(x, n-1)
10
11
13 print(power(2,13))
```

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Let
$$k = \left\lfloor \frac{n}{2} \right\rfloor$$

If
$$n$$
 is even, $\left\lfloor \frac{n}{2} \right\rfloor = \frac{n}{2}$
 $\Rightarrow (x^k)^2 = (x^{\frac{n}{2}})^2 = x^n$

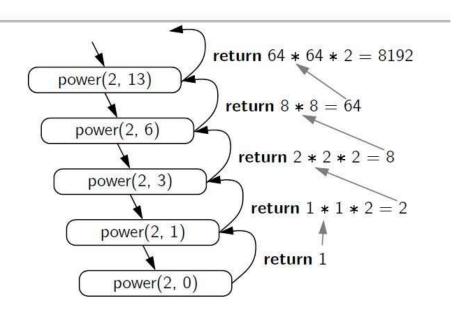
If
$$n$$
 is odd, $\left\lfloor \frac{n}{2} \right\rfloor = \frac{n-1}{2}$
 $\Rightarrow (x^k)^2 = (x^{\frac{n-1}{2}})^2 = x^{n-1}$
 $\Rightarrow x^n = x \cdot (x^k)^2$

Improved Recursion algorithm:

$$power(x,n) = \begin{cases} 1 & \text{if } n = 0\\ x \cdot \left(power\left(x, \left\lfloor \frac{n}{2} \right\rfloor\right)\right)^2 & \text{if } n > 0 \text{ is odd}\\ \left(power\left(x, \left\lfloor \frac{n}{2} \right\rfloor\right)\right)^2 & \text{if } n > 0 \text{ is even} \end{cases}$$

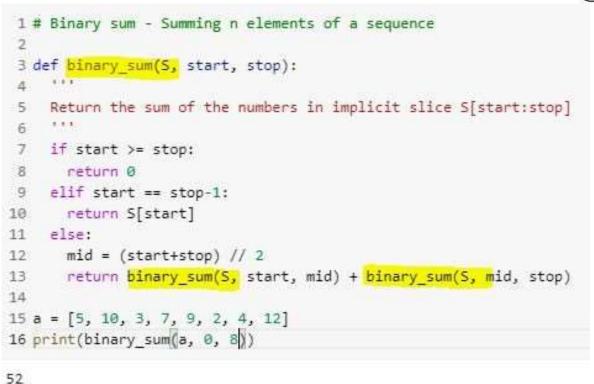
Run-time $\sim O(\log n)$

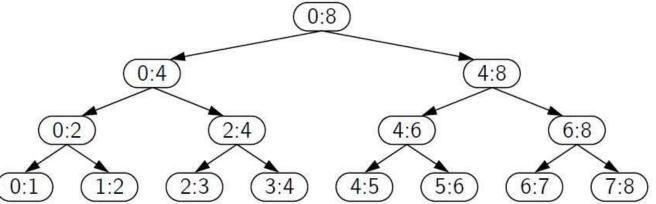
8192



Binary Recursion

- One functions make two recursive calls.
- Examples:
 - English ruler
 - Bad_fibonacci
 - Binary_sum





Recursion trace of binary_sum(0,8)

- ullet For n elements the depth of recursion is
- Binary sum uses of additional space activation frames
- However, the running time is as there are function calls.

Multiple recursion

- Multiple recursion is a process in which a function may take more than two recursive calls.
- Examples:
 - Disk space usage of a file system.
 - Solving combinatorial puzzles.

```
Algorithm PuzzleSolve(k,S,U):
```

Input: An integer k, sequence S, and set U

Output: An enumeration of all k-length extensions to S using elements in U without repetitions

for each e in U do

Add e to the end of S

Remove e from U

{e is now being used}

if k == 1 then

Test whether S is a configuration that solves the puzzle

if S solves the puzzle then

return "Solution found: " S

else

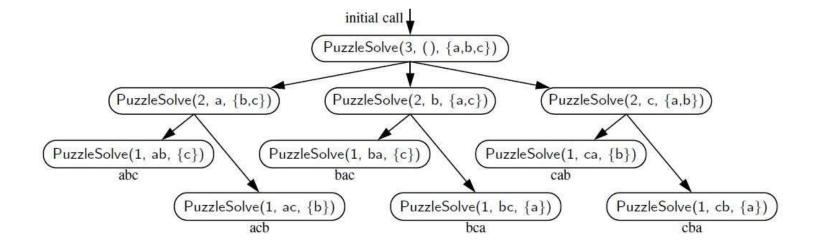
PuzzleSolve(k-1,S,U)

{a recursive call}

Remove e from the end of S

Add e back to U

{e is now considered as unused}



Designing Recursive Algorithms

- Recursive algorithms usually have the following form:
 - Test the base case.
 - Begin by testing for a set of base cases (there should be at least one)
 - These base cases are defined so that every possible chain of recursive calls will eventually reach a base case, and the base case should not use recursion.
 - Recur: If not a base case, we perform one or more recursive calls.

- Parameterizing a Recursion:
 - Sometimes it becomes necessary to introduce parameters to define a recursive algorithm.
 - Examples:
 - binary_search(data, target, low, high)
 binary_sum(S, start, stop) linear_sum(S,n)
 - reverse(S, start, stop)

Advantages and Disadvantages of Recursion

- Advantages of Recursion
 - provides a succinct way of achieve repetition by avoiding nested loops.
 - Makes the code readable and efficient.
- The usefulness of recursion comes at a modest cost.
 - Python interpreter must maintain activation records that keep track of the state of each nested call.
 - Such calls should be avoided where memory is at a premium.
 - Some forms of recursion can be eliminated without any use of auxiliary memory. e.g - tail recursions.

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Eliminating Tail Recursion

- A recursion is a *tail recursion* if any recursive call that is made from one context is the very last operation in that context, with the return value of the recursive call immediately returned by the enclosing recursion.
- By necessity, a tail recursion must be a linear recursion.

```
binary_search(data, target)
reverse(S, start, stop)
```

Examples:

Following are not valid examples of tail recursions (Why?):

```
factorial(n)
linear sum(S,n)
```

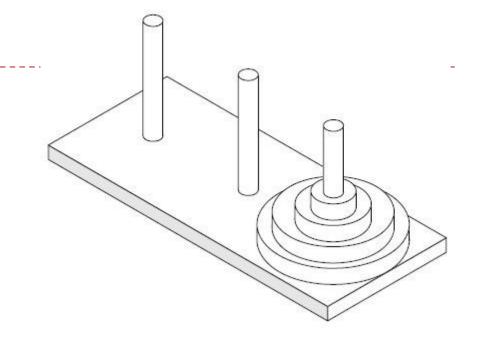
 Any tail recursion can be re-implemented non recursively by enclosing the body in a loop for repetition, and by replacing a recursive call with new parameters by a reassignment of the existing parameters to those values.

```
1 # Eliminating tail recursion
2 # Non-recursive implementation of binary_search
4 def binary search iterative(data, target):
    Return True if target is found in the given python list
    low = 0
    high = len(data) - 1
    while low <= high:
      mid = (low + high) // 2
      if target == data[mid]:
      return True
      elif target < data[mid]:
        high = mid-1
15
      else:
        low = mid+1
    return False
```

Few More Examples of Recursion

Tower of Hanoi

- It consists of 3 rods or pegs or towers a number of disks of different sizes which can slide onto any rod.
- The puzzle starts with the disks on one rod in ascending order of its size, smallest at the top, thus making a conical tower shape.
- The objective is to move the entire stack to another rod, satisfying the following rules:
 - Only one disk may be moved at a time.
 - Each move consists of taking the uppermost disk in one rod and sliding onto another rod that may or may not have disks in them.
 - No disk may be placed on the top of a smaller disk.

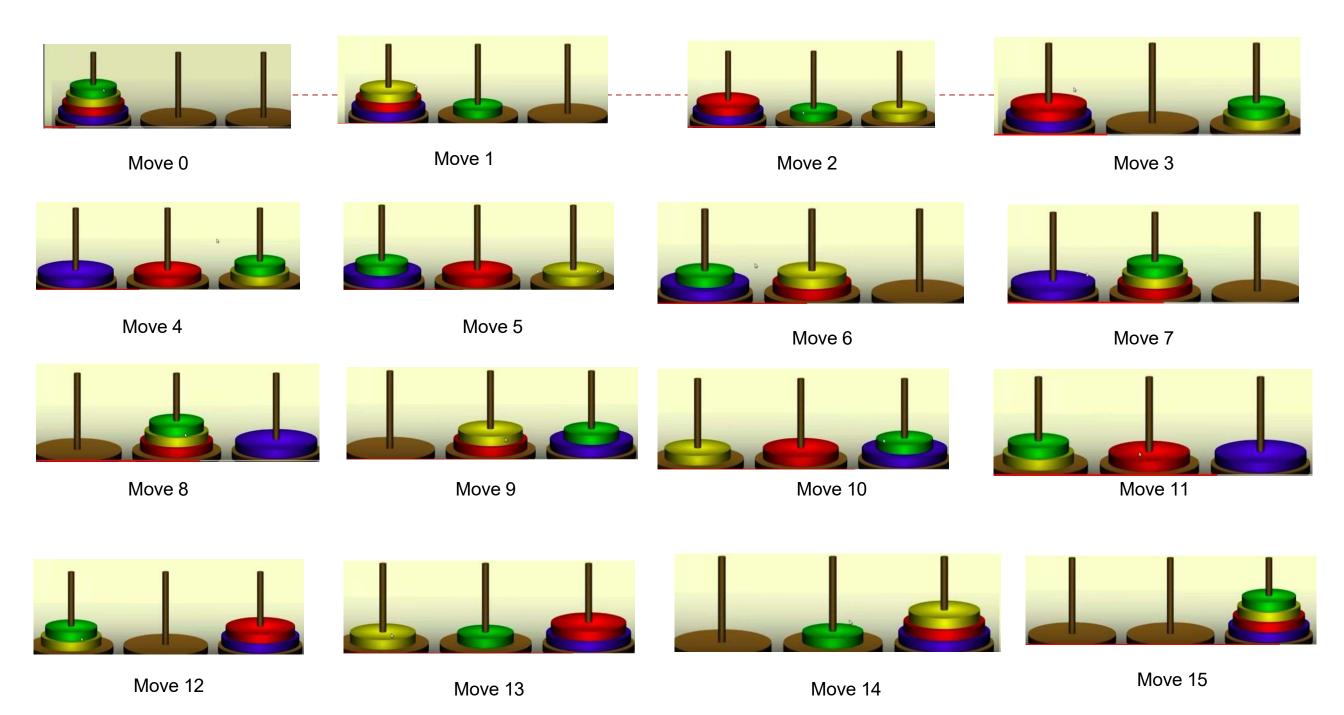


Algorithm:

- Move top n-1 disks from source to Auxiliary tower.
- Move the nth disk from source to destination tower.
- Move the n-1 disks from auxiliary tower to the destination tower.
- Transferring the top n-1 disks from source to auxiliary tower can again be considered as a fresh problem which can be solved by the above 3 steps.

- Runtime complexity $\sim O(2^n)$
- Binary recursion one call leads to two recursive calls.
- 4 disks requires 15 steps to solve
- 5 disks require 31 steps to solve

```
Move disk 1 from peg 1 to peg 2
Move disk 2 from peg 1 to peg 3
Move disk 1 from peg 2 to peg 3
Move disk 3 from peg 1 to peg 2
Move disk 1 from peg 3 to peg 1
Move disk 2 from peg 3 to peg 2
Move disk 1 from peg 1 to peg 2
Move disk 4 from peg 1 to peg 3
Move disk 4 from peg 1 to peg 3
Move disk 5 from peg 2 to peg 3
Move disk 6 from peg 2 to peg 1
Move disk 7 from peg 2 to peg 1
Move disk 8 from peg 2 to peg 1
Move disk 9 from peg 1 to peg 3
Move disk 1 from peg 1 to peg 3
Move disk 1 from peg 1 to peg 3
Move disk 1 from peg 1 to peg 3
Move disk 1 from peg 1 to peg 3
Move disk 1 from peg 1 to peg 3
Move disk 1 from peg 1 to peg 3
```



Check for a sorted array

- Given an array, check whether the array is in sorted order
- Linear Recursion
- Runtime complexity is O(n)

```
1 # Check if an array is sorted
 3 def is array in sorted order(A):
    # Base case
    if len(A) < 1:
    raise ValueError("array can not be empty")
    elif len(A) == 1:
      return True
 9 else:
      return A[0] <= A[1] and is array in sorted order(A[1:])
10
11
12 a = [127, 220, 246, 277, 321, 454, 534, 565, 933]
13b = [4, 3, 1, 5, 7, 8, 0]
14 print(is array in sorted order(a))
15 print(is array in sorted order(b))
16
```

True False

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Summary

We cover the following topics in this lecture:

- What is recursion and why is it needed?
- Some examples of recursive algorithm
- Analyzing their runtime complexity & memory complexity
- Different types of recursion
- Downside of recursion

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