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# An introduction to functional and array programming

#### Abstract

This book means to serve as an introduction to functional and array programming using KamilaLisp, a Lisp-inspired dynamically typed programming language. KamilaLisp borrows many ideas from Haskell, Standard ML, APL, Scheme and others. It is a functional programming language with a strong emphasis on array programming, designed to be used in a wide range of applications.

## Foreword

KamilaLisp, the language described in the book, originates from its previous iterations v0.1 and MalbolgeLISP. The first iteration of MalbolgeLISP (v1.0) was released in August 2020 and had very few features that distinguish KamilaLisp today. The second iteration of MalbolgeLISP (v1.1) was released in July 2021 and was the subject of much attention due to its unusual choice of implementation language. The final MalbolgeLISP (v1.2) was released in September 2021, sharing many ideas and features with KamilaLisp. Unfortunately, the effort required to implement it continued to grow. The codebase was becoming increasingly disorganised and difficult to maintain. In addition, implementing new complicated features was increasingly difficult due to the choice of implementation language. The first version of KamilaLisp, a MalbolgeLISP-inspired Lisp dialect, appeared in December 2021, featuring lazy evaluation, sophisticated numerical and symbolic operations and many original design choices borrowed from MalbolgeLISP. It is beneficial if the reader has some prior knowledge of the APL programming language (however, it is unnecessary to understand the book to its fullest). The book was written in hopes of being helpful to the determined reader to learn about the intricacies of functional and array programming.

# Contents

1.	Initi	al considerations
	1.1.	Programs and variables
	1.2.	Functions and lambda expressions
	1.3.	Conditional expressions and comparisons
	1.4.	Recursive functions
	1.5.	Function composition
	1.6.	Partial application and $\mu$ -recursive functions
	1.7.	Iteration
	1.8.	Exceptions
2.	Eler	nentary data structures
		Basic list operations
	2.2.	Sorting, searching and indexing
	2.3.	Rank
	2.4.	Elementary higher order functions
	2.5.	State management
	2.6.	Folding and scanning
	2.7.	Products and two-dimensional convolution
	2.8.	Searching and partitioning
	2.9.	Pattern matching
		Sorting and permutations
	2.11.	Using glyphs
	2.12.	Strings and regular expressions
3.	Fun	ctional data structures
	3.1.	Combinator calculi
	3.2.	Church encoding
		3.2.1. Natural numbers
		3.2.2. Boolean domain
		3.2.3. Natural number division and comparisons
		3.2.4. Pairs
		3.2.5. Lists

	3.3. Sets	63
	3.4. Queues	64
	3.5. Dictionaries	66
	3.6. Relations	68
	3.7. Graphs	70
	3.7.1. Graph constructors	71
	3.7.2. Elementary graph operations	72
	3.7.3. Breadth-First and Depth-First Search	73
4.	Applied mathematics	75
	4.1. Polynomials	75
	4.1.1. Numerically evaluating rational sums and integrals	75
	4.1.2. Polynomial discriminants	78
	4.2. Integer arithmetic coding	79
<b>5</b> .	Programming language theory	81
	5.1. Lexical analysis	81
	5.2. Parsing techniques	81
6.	KamilaLisp as a shell	83
	6.1. Operating system information	83
	6.2. File management	83
	6.3. Process management	83
7.	Symbolic manipulation	85
	7.1. Polynomials	85
	7.2. Mathematical functions	85
	7.3. Limits	85
	7.4. Derivatives	85
	7.5. Indefinite integration	85
	7.6. Series expansion	85
8.	Concurrent programming and networking	87
	8.1. Tasks and daemons	87
	8.2. Message passing	87
	8.3. Sockets	87
	8.4. HTTP servers	87
g	Codecs and data formats	89
٠.	9.1. XML	89
	9.2. JSON	89
	9.3. CSV	89
	9.4 hzin?	89

9.5.	gzip																 				89
9.6.	XZ																				88
9.7.	lz4																 				89
9.8.	bas	e64																			89
9.9.	zip																				89
9.10	. tar																				89
Appen	J:	<b>A</b>																			0.1
Abben	UIX	А.															 				91

## Chapter 1

## Initial considerations

This chapter discusses the basics of KamilaLisp. Throughout this book, the author will use the KamilaLisp interpreter to check and execute the declarations of a program one by one. The emphasis is put on list processing and mathematical functions to form an elementary understanding of the language.

#### 1.1. Programs and variables

A KamilaLisp program is a sequence of declarations, which are executed in the order they are given. The first program presented in this book is shown below:

```
--> def a (+ (* 2 3) 2)
8
--> def b (* 5 a)
40
```

The program consists of two declarations. The first declaration binds the identifier a to the integer 8, and the second declaration binds the identifier b to the integer 40, which follows from the intuitive understanding of the arithmetic operations. To the reader not accustomed to Lisp-like syntax, every element of the syntax tree that would otherwise be implicitly grouped by a language with usual arithmetical precedence rules is explicitly grouped by parentheses to form a list. The resulting values of variables can be determined as follows:

```
--> ?a
8
--> ?b
40
```

The question mark is a sign for the KamilaLisp interpreter not to evaluate the entire input as an expression but rather, to query the value of the value it refers to.

Every list besides the empty list (usually written as () or alternatively nil) has a head defined as the first element of it. When a Lisp program is evaluated, the head of the current list is assumed to be a callable value, while the rest of the list (also called the tail) is assumed to be a list of arguments.

Because the list of arguments to a function (the tail) is evaluated before its applied to the head, a perceptive reader could point out a potential issue - How to introduce list literals in the code? This question is indeed well-founded, since the list literal would be evaluated in order to pass it parameter to some callable object, hence the tail of the literal would be applied to its head, thus behaving undesirably and almost certainly raising an error. Every Lisp dialect addresses this issue in the same way using the quoting mechanism. Simply put, the quote prevents a list from being evaluated. To observe this behaviour, introduce two more functions called car and cdr to obtain respectively the head and tail of a list:

```
--> car '(1 2 3)
1
--> cdr '(1 2 3)
(2 3)
```

This may alert the observant reader once again - What if I want to create a list out of a set of expressions? This question is very relevant because if the quote stops a form from being evaluated, then surely the expressions inside of it will stay untouched too. The answer is simple - use the tie function as follows:

```
--> tie 1 2 3
(1 2 3)
--> tie (+ 2 2) (/ 6 3) (+ 2 3)
(4 2 5)
```

KamilaLisp follows scoping rules familiar to the reader from other programming languages, such as Scheme or C++ - static scoping (also called lexical scoping), where an attempt is initially made to resolve a variable in the current scope. If this approach fails, the variable is resolved in the scope of its lexical ancestors until either the interpreter finds an environment where the variable is bound, or raises an error regarding an unbound variable. Additionally, variables may be shadowed, as demonstrated below:

```
--> def my-list '(1 2 3)
(1 2 3)
--> car my-list
1
--> def my-list (cdr my-list)
(2 3)
--> car my-list
2
```

However, it is not possible to shadow pre-defined variables and functions in the global scope:

To fully exercise lexical scoping, the language needs to provide means of binding names inside a specific block of code (unlike *def* which binds names in the global scope). This can be accomplished in a variety of ways, the most straightforward one being the *let* construct. The *let* construct binds a list of name/value pairs and evaluates the body of the construct in the context of the newly created environment. The syntax of the *let* construct is demonstrated by the following example:

```
--> def a 5
5
--> def b 6
6
```

```
--> + a b
11
--> let ((a 10) (b 15)) (+ a b)
25
```

#### 1.2. Functions and lambda expressions

Functions are the core component of KamilaLisp. They are first-class objects, meaning they can be passed as arguments to other functions, returned from functions and bound to names. The syntax of a function declaration is as follows:

```
--> defun square (x) (* x x)
(λ x . (* x x))
```

The function that has just been declared is called square and takes one argument called x. The body of the function is the expression \* x x. The function returns the value of its expression in an environment where its arguments are bounded, which in this particular scenario is naturally the square of the argument. Notice that when defining a monadic function, a pair of parentheses around its only argument's name can be omitted for brevity:

```
--> defun square x (* x x)
(λ x . (* x x))
```

Since square is now bound in the global scope, it can be applied to an argument. The code below binds the name a to the result of the application of the number 5 to the function square:

```
--> def a (square 5)
25
```

Functions do not need to be named. They can be introduced in the code *anonymously* using the *lambda* construct, which opens up many new possibilities for structuring code. For example, the declaration of the function square can be rewritten as follows:

```
--> def square (lambda x (* x x))
(λ x . (* x x))
```

Furthermore, multivariate lambda expressions can serve as a substitute for the let construct:

```
--> let ((a 10) (b 15)) (+ a b)
25
--> (lambda (a b) (+ a b)) 10 15
25
```

Since functions are first-class in KamilaLisp, it is also possible to return them from functions and take them as arguments. The following example demonstrates these programming techniques using the lambda construct:

```
--> ; Returns a function that adds a given number to its argument.
```

```
--> ; The technique demonstrated is often called "currying".

--> defun make-adder (x) (lambda y (+ x y))

(\lambda x . (\lambda y . (+ x y)))

--> def add-5 (make-adder 5)

(\lambda y . (+ 5 y))

--> add-5 10

15

--> ; Returns a string explaining the value of a function at point.

--> defun explain (f x) (str:format "The value of f(x) for x={?x} is {f x}")

(\lambda f x . (str:format "The value of f(x) for x={?x} is {f x}"))

--> explain square 4.5

The value of f(x) for x=4.5 is 20.25
```

When implementing complex functions, it is often of particular interest to keep the partial results obtained during the execution of the function. This is achieved by cascading the *let* construct or using the *let-seq* construct. The following examples implement a function that raises its only argument to the eighth power<sup>1</sup>:

```
--> defun p8 x (let-seq
... (def y (* x x))
... (def z (* y y))
... (* z z))
(λ x . (let-seq (def y (* x x)) (def z (* y y)) (* z z)))
--> p8 4
65536
--> defun p8 x (let ((y (* x x))) (let ((z (* y y))) (* z z)))
(λ x . (let ((y (* x x)) (z (* y y))) (* z z)))
--> p8 4
65536
--> defun p8 x (let ((y (* x x)) (z (* y y))) (* z z))
(λ x . (let ((y (* x x)) (z (* y y))) (* z z))
--> p8 4
65536
```

Notice that despite using def, defun, etc..., the *let-seq* construct does not create any new bindings in the global scope - the bindings are always local to the block.

When a function is called, the *call stack* is modified in the process. The call stack is a data structure implemented inside of the interpreter that keeps track of the lambda expressions that are currently being executed. Application of a lambda function forces creation of a new *stack frame* which is subsequently pushed onto the stack. When the function returns, the stack frame is popped from the stack - this way, the interpreter knows where to return to after the function yields a value. When an exception is raised, the interpreter will present the user with a *stack trace*, which is a list of functions that were executed before the exception was raised, for example:

```
--> defun f x (/ 1 0) ; Oops! Division by zero!
(λ x . (/ 1 0))
--> defun g x (f x)
(λ x . (f x))
```

<sup>&</sup>lt;sup>1</sup>Many reimplementations of common built-in functions mentioned in the book that are present in KamilaLisp are rather suboptimal and demonstrated only for the sake of completeness. The programmer is urged to use the optimised predefined routines when possible instead.

```
--> defun h x (g x)
(λ x . (g x))
--> h 10
ArithmeticException thrown in thread 5e82df6a:
    Division by zero
at entity h 1:1
at (λ x . (g x)) 1:9
at entity g 1:12
at (λ x . (f x)) 1:9
at entity f 1:12
at (λ x . (/ 1 ...)) 1:9
at entity / 1:12
at / primitive function
```

#### 1.3. Conditional expressions and comparisons

The comparison operators in KamilaLisp do not differ significantly from the ones present in other, perhaps more orthodox programming languages (such as C). It is worth noting that scalar<sup>2</sup> equality is checked using the = function, inequality is checked for using the /= function, while the <=> function is the so-called *three way comparison* operator<sup>3</sup>, which returns -1, 0 or 1 respectively if the first argument is less than, equal to, or greater than the second argument.

KamilaLisp provides a number of conditional expressions used to control the flow of execution. The most basic one is the if construct which takes three arguments - a condition, an expression to be evaluated if the condition is true, and an expression to be evaluated if the condition is false. The syntax of the if construct is demonstrated by the following example:

```
--> defun my-abs x (if (< x 0) (- x) x)
(λ x . (if (< x 0) (- x) x))
--> my-abs -5
5
--> my-abs 5
5
--> my-abs 0
```

The *if* construct is a special case of the more general *cond*, which takes a list of pairs of conditions and expressions. The first condition that evaluates to a truthy value is used to evaluate the corresponding expression. The syntax of the *cond* construct is demonstrated by the following reimplementation of the three-way comparison operator:

```
--> defun compare (x y) (cond ((< x y) -1) ((> x y) 1) (0))
(λ x y . (cond ((< x y) -1) ((> x y) 1) (0)))
--> compare 5 10
-1
--> compare 10 5
1
--> compare 5 5
```

 $<sup>^2</sup>$ Operating on scalar values, i.e. not vector, matrix or general array values.

<sup>&</sup>lt;sup>3</sup>Also called the *spaceship* operator.

#### 1.4. Recursive functions

Recursion is a powerful technique extensively used in functional programming. Its role in KamilaLisp is admittedly not as extensive as in other functional programming languages, since the language provides a number of other often more wieldy techniques for solving the same problems (e.g. using array programming), however it is still worth mentioning that KamilaLisp provides a number of tools for writing recursive functions.

The following function implements the factorial function in a recursive manner:

```
--> defun factorial (n) (if (= n 0) 1 (* n (factorial (- n 1))))
(λ n . (if (= n 0) 1 (* n (factorial (- n 1)))))
--> factorial 5
120
```

Since KamilaLisp supports arbitrary precision numerical computation, the factorial function can be applied to arbitrarily large numbers. However, at some point this function will *overflow its call stack*, meaning that the number of recursive calls will exceed the maximum call stack size. This is a common problem with recursive functions and it can be solved by using *tail recursion*. Tail recursion is a special case of recursion, where the recursive call is the last expression in the function body. In this case, the stack frame of the current function can be reused for the recursive call, hence the stack will cease to grow uncontrollably. To make use of this technique, the factorial function needs to be altered in a way that the recursive call is the last expression in the function body:

```
--> defun factorial (n) (let-seq
... (defun f' (n acc) (if (= n 0) acc (f' (- n 1) (* n acc))))
... (f' n 1))
--> factorial 5
120
```

The factorial function defines a helper function that has an accumulator argument, which is used to store the intermediate results of the computation. The helper function is called recursively and the accumulator argument is updated with the result of the multiplication. The *let-seq* construct is used to define the helper function, so that it is not visible outside the factorial function. There is one more step to make this function tail-recursive: replace the self-referential call in the helper function:

```
--> defun factorial (n) (let-seq
... (defun f' (n acc) (if (= n 0) acc (&0 (- n 1) (* n acc))))
... (f' n 1))
--> factorial 5
120
```

The self-referential call was replaced by &0 which is a reference to the current function. This is a special case of the & operator, which is used to refer to functions by nesting level in the source code, akin to de Bruijn indices. The &0 operator refers to the current function, &1 refers to the function (anonymous or named) that is the lexical ancestor the current function, and so on. This way, the factorial function no longer raises an error when applied to large numbers, since the stack does not grow beyond the maximum size:

```
--> factorial 1000
+023872600770937735+3702+3392300398571937+86+21071+
6325+3799910+299385123986290205920++208+86969+0+800
```

Another closely related function to the factorial function that can be implemented using tail recursion is the power function. The following expression implements the power function in a simple recursive manner:

```
--> defun power (x n) (if (= n 0) 1 (* x (power x (- n 1))))
(λ x n . (if (= n 0) 1 (* x (power x (- n 1)))))
--> power 2 10
1024
```

Once again, the problem is that the stack will overflow when the power function is applied to large numbers. To prevent this and speed up the computation, the power function needs to be first transformed so that the recursive call is the last expression in the function body. Consider the following *trace* of the power function applied to the arguments 2 and 4:

```
--> power 2 4
(* 2 (power 2 3))
(* 2 (* 2 (power 2 2)))
(* 2 (* 2 (* 2 (power 2 1))))
(* 2 (* 2 (* 2 (* 2 (power 2 0)))))
(* 2 (* 2 (* 2 2)))
(* 2 (* 2 4))
(* 2 8)
```

After transforming the function to use an accumulator:

```
--> defun power (x n) (let-seq
... (defun f' (x n acc) (if
... (= n 0)
... acc
... (f' x (- n 1) (* x acc))))
... (f' x n 1))
```

When this implementation of the power function is applied to the same arguments as before, the following trace is produced:

```
--> power 2 4
(f' 2 4 1)
(f' 2 3 2)
(f' 2 2 4)
(f' 2 1 8)
(f' 2 0 16)
16
```

Notice, that the size of the expression *does not grow*, hence the function could in theory be rewritten as a simple loop with a constant stack usage requirement - a concept more familiar from imperative programming languages such as C or C++. The final improvement that needs to be applied is actually coaxing the interpreter to perform tail call optimisation using the self-referential call **&0**:

```
--> defun power (x n) ((
... lambda (x n acc) (
... if (= n 0) acc
... (&0 x (- n 1) (* x acc)))) x n 1)
--> power 2 4
16
```

#### 1.5. Function composition

Function composition is a a common core concept in functional programming languages, an emphasis on which is placed in KamilaLisp. Using the @ operator, it is possible to compose two or more functions into a single function. An example follows:

```
--> defun f x (* x 2)
(λ x . (* x 2))
--> defun g x (+ x 1)
(λ x . (+ x 1))
--> f 2
4
--> g 2
3
--> f@g 2
6
```

The usefulness of function composition may be challenging to appreciate at first. In the end,  $f@g x \Leftrightarrow f (g x)$ , however, the function returned by the @ operator does not have to be immediately applied - it can be bound to a name and used later. Without the composition operator, it would be necessary to introduce a lambda to achieve the same result as f@g - that is, lambda x (f (g x)). The difference between manually composing two functions to create a new function and using the @ operator is how they treat arguments<sup>4</sup>. The @ operator variant of the same expression does not refer to the arguments of the composed functions (and thus does not manually relay an indeterminate amount of them to the innermost function), creating the basic building block for point-free programming.

Of course, it is possible to compose arbitrarily many functions by using the @ operator multiple times. The following example demonstrates the composition of three functions:

```
--> defun f x (* x 2)
(λ x . (* x 2))
--> defun g x (+ x 1)
(λ x . (+ x 1))
--> defun h x (- x 3)
(λ x . (- x 3))
--> f@g@h 2
0
```

Another form of function composition notoriously used in the APL family of programming languages is the so-called fork (the  $\mu$ -recursive composition operator). Generally speaking, it is sometimes of special interest to preprocess the arguments using different functions (reductees), and then funnel the

 $<sup>^4</sup>$ Function composition performed using the @ operator does not require creating a new stack frame for the lambda function.

results into a single function (reductor). For instance, the arithmetic mean is defined as the quotient (reductor) of the sum and length of a list (reductees), while a palindrome is defined as a string for which the equality (reductor) between it and its reverse (reductees) holds.

To implement a mean function using a fork, it is necessary to define a function that sums the list beforehand using the tally function which returns the length of a list. A non-zero value is considered truthy, hence the following definition of the sum function:

```
--> defun sum (l) (if (tally l) (+ (car l) (sum (cdr l))) 0)
(λ l . (if (tally l) (+ (car l) (sum (cdr l))) 0))
--> sum '(1 6 2 3)
12
```

There are many alternative ways to implement it. One problem the reader may stumble upon is = 'nil 'nil returning 'nil. This behaviour is reasoned by the fact that equality *vectorises* over lists<sup>5</sup>, meaning that equality is tested element-wise. To determine structural equality, it is advised to use the same function. The following example demonstrates this behaviour:

```
--> = '(1 2 3) '(1 2 4)
(1 1 0)
--> same '(1 2 3) '(1 2 4)
0
--> same '(1 2 3) '(1 2 3)
1
```

Hence arguing for a simpler implementation of the *sum* function:

```
--> defun sum (l) (if (same l '()) 0 (+ (car l) (sum (cdr l))))
(λ l . (if (same l '()) 0 (+ (car l) (sum (cdr l)))))
--> sum '(1 6 2 3)
12
```

The arithmetic mean function is thusly introduced as follows:

```
--> [/ sum tally] '(1 6 2 3 4)
3.2
```

Naturally, it could also be bound to a name without applying the fork instantaneously:

```
--> def avg [/ sum tally]
[/ sum tally]
--> avg '(1 6 2 3 4)
3.2
```

The key merits of point-free programming (a paradigm in which function definitions do not identify the arguments or "points" on which they operate) are:

- The code is more concise.
- The code is often more readable.

<sup>&</sup>lt;sup>5</sup>A more specific way to phrase it would be to say that = is a *pervasive function*.

- The code is faster, because there is no need to allocate a stack frame.
- The code does not need to bind any names.

The lack of argument naming gives the point-free paradigm a reputation of being obtuse, hence the humorously used epithet "pointless style". However, the point-free paradigm is not necessarily obscure. In fact, it is often more readable than the equivalent imperative code and favoured by many languages, such as Haskell, APL, J, PostScript, Forth, Factor, jq and the Unix shell<sup>7</sup>.

#### 1.6. Partial application and $\mu$ -recursive functions

Many functional programming languages such as OCaml and Haskell automatically *curry* functions by default, that is, allow applying functions to fewer arguments than they are defined to take. This is a useful feature, since it allows for the creation of new functions by binding some of the arguments of a function to a value. This mechanism is called *partial application*. KamilaLisp supports partial application of functions but it does not happen by default, as unlike OCaml and Haskell, KamilaLisp supports variadic functions. Recall the following example given earlier in the book:

```
--> ; Returns a function that adds a given number to its argument.
--> ; The technique demonstrated is often called "currying".
--> defun make-adder (x) (lambda y (+ x y))
(λ x . (λ y . (+ x y)))
--> def add-5 (make-adder 5)
(λ y . (+ 5 y))
--> add-5 10
```

Using partial application, the example can be rewritten as follows:

```
--> defun add-x n $(+ n)
(λ n . $(+ n))
--> def add-5 (add-x 5)
$[+, 5]
--> add-5 6
11
```

Partial application is particularly useful in conjunction with various kinds of function composition, as it allows for the vast majority of functions that are defined in terms of other functions to be defined in a point-free manner. Another valuable example would be a function that returns the remainder of a number when divided by two.

```
--> defun mod-2 (n) (mod n 2)
(λ n . (mod n 2))
--> mod-2 10
0
--> mod-2 11
1
```

<sup>&</sup>lt;sup>6</sup>http://hdl.handle.net/1822/2869

<sup>&</sup>lt;sup>7</sup>The pipe operator is essentially point-free function composition. The Unix shell has more interesting properties from a category theoretic perspective, due to its extensive use of monads. The pipe operator is an implementation of bind, cat is in reality monadic return. Moreover, a pair of these operations, adding < and >, satisfy the monadic laws - cat f | cmd is the same as cmd <f (left-hand identity); cmd | cat is the same as cmd (right-hand identity); c1 | (c2 | c3) is the same as (c1 | c2) | c3.

It is not immediately clear how to define this function in a point-free manner, since partial application will apply the arguments in order as given, and the argument that needs to be partially applied here is actually the *second* argument to **mod**. This problem can be solved in a two ways - the first one is to use the commute operator ^ which reverses the argument order to a function:

```
--> def mod-2 $(^mod 2)
$['^mod, '2]
--> mod-2 5
1
--> mod-2 6
0
```

Another, perhaps more elegant solution is to use partial application placeholders:

```
--> def mod-2 $(mod _ 2)
$['mod, _, '2]
--> mod-2 5
1
--> mod-2 6
0
```

This way, the \_ placeholder indicates that the first argument given to the resulting function should be applied in its place. The placeholder can be used multiple times and it is required that all placeholder slots are filled when applying the already partially applied function.

Variadic functions are functions that take an arbitrary number of arguments. + is a good example of a variadic function, since when applied to more than two arguments it just sums all of them:

```
--> + 1 2 3 4 5
15
```

It is possible to define custom variadic functions in KamilaLisp using special argument syntax. To recall, lambda (x y) (code) takes two arguments - x and y. This function can be made variadic by prepending the *last argument* with an ellipsis - lambda (x ...xs) (code). The last argument will be bound to a list of all the remaining arguments. This has an interesting property: while the aforementioned function takes at least one argument (because ...xs can be empty), it can be modified to take any amount of arguments, even zero - lambda ...xs (code). To demonstrate this behaviour, the following function will obtain the arithmetic average of all its arguments, but will refuse to be called with zero arguments:

Equipped with the power of variadic functions and recursion, the next natural step is to define  $\mu$ -recursive functions. Consider the following basic  $\mu$ -recursive functions:

- For all natural numbers i, k where  $0 \le i \le k$ , the projection function (sometimes also called the identity function when i = 0) is defined as  $P_i^k(x_0, \ldots, x_k) = x_i$ . The projection function is a built-in operator in KamilaLisp, where #a is equivalent to  $P_a^k$ .
- For each natural n and k, the constant function  $C_n^k$  is defined as  $C_n^k(x_0, \ldots, x_k) = x_n$ . This is easily implemented using the previously discussed projection function as  $\$(\#0\ n)$ .
- For each natural n, the successor function  $S_n$  is defined as  $S_n(x) = x + 1$ . This is easily implemented using just partial application (+1).

The  $\mu$ -recursive composition operator (also called the substitution operator) defined for an m-ary function  $h(x_0,\ldots,x_m)$  and exactly m n-ary functions  $g_0(x_0,\ldots,x_n),\ldots,g_m(x_0,\ldots,x_n)$  as  $h\circ (g_0,\ldots,g_m)=f$  where  $f(x_0,\ldots,x_n)=h(g_0(x_0,\ldots,x_n),\ldots,g_m(x_0,\ldots,x_n))$ . This definition is essentially equivalent to KamilaLisp  $forks^8$  - [h g\_0 ... g\_m] x\_0 ... x\_k.

The  $\mu$ -recursive primitive recursion operator  $\rho(g,h) = f$  for k-ary function  $g(x_0,\ldots,x_k)$ , k+2-ary function  $h(y,z,x_0,\ldots,x_k)$  and k+1-ary function f yields the following piecewise function:

$$f(a, x_0, \dots, x_k) = \begin{cases} g(x_0, \dots, x_k) & \text{if } x = 0\\ h(a - 1, f(a, x_0, \dots, x_k), x_0, \dots, x_k) & \text{if } x \neq 0 \end{cases}$$

The KamilaLisp implementation of this concept is slightly more involved, requiring the lift function to apply a function on a existing variadic parameter pack:

```
--> defun mu-prim-rec (g h) (
... lambda (a ...xs) (
... if (= a 0)
... (lift g ...xs)
... (lift $(h (- a 1) (lift $(mu-prim-rec g h) ...xs)) ...xs)))
```

The  $\mu$ -recursive minimization operator is less demanding to implement. Intuitively, minimisation seeks, beginning the search from 0 and proceeding upwards, the smallest argument that causes the function to return zero; if there is no such argument, of if one encounters an argument for which f is not defined, then the search never terminates, and is not defined for the argument.

```
--> defun mu-min f (lambda ...xs (let-seq
... (defun mu-min-iter i (if (lift f ...xs) (&O (S i)) i))
... (mu-min-iter O)))
```

#### 1.7. Iteration

Given a function f, the n-fold application of f to x is usually denoted in mathematics as  $f^n(x)$ . For instance,  $f^0(x) = x$ ,  $f^1(x) = f(x)$ , and  $f^2(x) = f(f(x))$ . More generally, a recursive relation can be defined as  $f^k(x) = f(f^{k-1}(x))$  for  $k \ge 1$ . Given an iteration of a function  $f^n(x)$ , the function f is called the step function, n is called the order of iteration and x is called the starting value. In KamilaLisp, iteration is introduced using the while higher order function which, in the presently most useful form to the mathematical definition, takes three arguments - the starting value, the order of iteration and the step function.

<sup>&</sup>lt;sup>8</sup>A general version of APL 3-trains; https://aplwiki.com/wiki/Train#3-trains

Consider the successor function  $defun \ s \ x \ (+ \ x \ 1)$ . To provide a basic example, addition of two numbers  $+ \ a \ b$  can be implemented as an iteration of order b of the successor function s with the initial value a:

```
--> defun add (a b) (while a b $(+ 1))
(λ a b . (while a b $(+ 1)))
--> add 3 4
7
```

Recall the power function and its previously discussed, tail-recursive definition:

```
--> defun power (x n) ((
... lambda (x n acc) (if (= n 0) acc (&0 x (- n 1) (* x acc)))) x n 1)
(λ x n . ((λ (x n acc) (if (= n 0) acc (&0/syn x (- n 1) (* x acc)))) x n 1))
```

Since tail recursion is essentially equivalent to iteration, the power function may be implemented as an iteration of order n-1 of the multiplication function  $\star$  with the initial value x:

```
--> defun power (x n) (while x (- n 1) $(* x))
(λ x n . (while x (- n 1) $(* x)))
--> power 2 4
16
```

Iteration using while and other higher order functions is described later on in the book. As a tool, iteration is more suitable to certain problems over recursive (functional) or array programming approaches<sup>9</sup>.

Of course, iteration with a fixed number of steps is the simplest form of iteration that covers many usages of the for loop from other more orthodox languages, such as C. However, iteration is often conditional and depends on some particular predicate. Consider the Collatz conjecture, one of the most famous unsolved problems in mathematics. The conjecture asks whether iterating this function as many times as needed will eventually reach the number 1:

$$f(n) = \begin{cases} \frac{n}{2} & \text{if } n \equiv 0 \pmod{2} \\ 3n+1 & \text{if } n \equiv 1 \pmod{2}. \end{cases}$$

Providing a formal proof of the Collatz conjecture is beyond the scope of this book, but KamilaLisp makes it easy to test the conjecture for a finite set of numbers<sup>10</sup>. Start by implementing the function f in code and then use it in a recursive approach and a tail-recursive approach:

```
--> defun collatz (n) (if (= (mod n 2) 0) (/ n 2) (+ (* 3 n) 1))
(λ n . (if (= (mod n 2) 0) (/ n 2) (+ (* 3 n) 1)))
--> defun collatz-rec (n) (if (= n 1) 1 (collatz-rec (collatz n)))
(λ n . (if (= n 1) 1 (collatz-rec (collatz n))))
--> defun collatz-tail (n) (if (= n 1) 1 (&0 (collatz n)))
(λ n . (if (= n 1) 1 (&0 (collatz n))))
```

<sup>&</sup>lt;sup>9</sup>For instance, computing the *Convex Hull* using the Graham scan algorithm, which has better asymptotic complexity than the more naive Jarvis scan as easily implemented in array fashion

 $<sup>^{10}</sup>$ Even though the Collatz conjecture has been proven using computers for extremely large values of n, this is not enough evidence to claim that the Collatz function is likely true - consider the Polyá conjecture, for which the initial disproof by counterexample quoted a number estimated to be around  $n = 1.845 \times 10^{361}$ 

After testing the functions for a few small numbers (say, n < 1000), it is clear that the conjecture holds for them. To rewrite this tail-recursive function using iteration, it is required to use the alternate definition of while. The second argument to while can be an integer as demonstrated previously, however it can also be a function that returns a boolean value. The iteration will continue as long as the function returns a truthy value. The new function collatz-whl will iterate the collatz function until n reaches 1:

```
--> defun collatz-whl (n) (while n $(/= 1) collatz)
(λ n . (while n $(/= 1) collatz))
```

Another way to use while is to omit the predicate argument and return a two element list of whether to continue iteration (true/false - yes/no) and the new value, however this approach is not demonstrated due to its lack of utility.

It might be of particular interest to determine the number of iterations and the numbers that have been reached in the process. This can be done using the partial-while function which, as the name suggests, iterates a function and yields a list of partial results that have been obtained before the final result:

```
--> defun collatz-list (n) (partial-while n $(/= 1) collatz)
(λ n . (partial-while n $(/= 1) collatz))
--> collatz-list 15
(46 23 70 35 106 53 160 80 40 20 10 5 16 8 4 2 1)
```

Another valuable comparison to be made between recursion, tail recursion and iteration is the implementation of Fibonacci numbers. Despite being implemented in KamilaLisp already as the function fib, consider the following reimplementation:

```
--> defun fibr (n) (if (< n 2) n (+ (fibr (- n 1)) (fibr (- n 2))))
(λ n . (if (< n 2) n (+ (fibr (- n 1)) (fibr (- n 2)))))
--> fibr 5
5
--> fibr 10
55
```

To derive a tail-recursive form of this function, it is necessary to use two accumulators:

The iterative version of this function trivially follows, however, let's **assume** that it is *not possible* to implement the iterative or tail-recursive version of this function - so the only implementation available a slow function that overflows the stack often. While in practice this is not the case, it is a good exercise to consider different ways in which the naive fibonacci function be improved.

Notice that the fibonacci function is rather expensive to compute, yet it takes just a number and returns just a number, so it is a good candidate for memoization. The memoization function memo takes a function and returns a memoized version of it. The memoized version of the function will store the results of previous calls and return them if the same arguments are passed to the function again. This is a very useful technique to improve the performance of functions that are expensive to compute and are called with the same arguments repeatedly via a technique akin to dynamic tabulation of a function. The memoized version of the fibonacci function is, to no surprise, created as follows:

```
--> def fibr (memo fibr)
memo$(λ n . (if (< n 2) n (+ (fibr (- n 1)) (fibr (- n 2)))))
--> fibr 10
55
--> fibr 20
6765
--> fibr 30
832040
--> fibr 50
12586269025
-->
```

The memoized version still has some issues (e.g. it will still overflow the stack if the cache is not big enough) but it is a good improvement over the original version. The built-in function fib in KamilaLisp uses the Binet formula to compute the Fibonacci numbers, which is a much faster method than the naive recursive approach.

One nuance that needs to be pointed out is that in functions and lambda expressions, names are updated ad-hoc and usually looked up when needed to allow the programmer to utilise some interesting concepts such as mutual recursion. This has a few consequences, for instance:

```
--> defun f (x) (+ x a)
(λ x . (+ x a))
--> def a 5
5
--> f 5
10
```

Another, more serious consequence is that the memoized version of the Fibonacci function will not quite work as expected if it is defined under a different name (e.g. as def fibm (memo fibr)). The function fibm will quickly return the result of fibm 10 if this value was explicitly asked for before, but not for fibm 11 - because the underlying function, fibr, is still recursing and the memoized version of it is simply not being used.

#### 1.8. Exceptions

Exceptions are the main error handling mechanism in KamilaLisp, unlike in Haskell/Rust (which usually make use of optional types) or OCaml (which supplies exceptions alongside optional types). Exceptions usually unwind the call stack and exhibit worse performance characteristics in the exceptional case, but they are much easier to use and are more familiar to programmers coming from other languages. Additionally, they exhibit better performance in the non-exceptional case.

Using the raise function, the arithmetic average function could be rewritten to raise an exception if the list is empty:

```
--> defun avg (l) (if (same l 'nil) (raise "empty list") (/ (sum l) (tally l)))
(λ l . (if (same l 'nil) (raise "empty list") (/ (sum l) (tally l))))
--> avg '(1 2 3 4 5)
3
--> avg '()
RaiseError thrown in thread 6b927fb:
empty list
```

```
at entity avg 1:1
at (λ l . (if (same l 'nil) ...)) 1:11
at entity if 1:16
at if primitive function
at entity raise 1:34
at raise primitive function
```

The exception raised by avg and other kinds of exceptions (e.g. the one raised by / 1 0) can be caught using the try-catch function. For instance, it can be employed to return the length of the error message instead of the average in the exceptional case, since the error variable is bound in the catch block supplying the error handler with the exception's message:

```
--> try-catch (avg '()) (tally error)
299
```

Of course, there is an extension to this system that allows the catch handler to distinguish different kinds of failures, as well as an extension to raise that lets the programmer supply a custom exception type. An example of this follows:

```
--> defun frobnicate (x) (cond
... ((< x 10) (raise 'domain_error "Input too large."))
... ((> x 10) (raise 'domain_error "Input too small."))
... ((= x 0) (raise 'arithmetic_error "Division by zero."))
... ((= x 1) (raise 'logic_error "Unimplemented for x=1."))
... (/ 1 x))
```

The invocation and subsequent handling of the exception is as follows:

```
--> defun stringify-type error-id (cond
... ((same error-id 'domain_error) "Domain")
... ((same error-id 'arithmetic_error) "Arithmetic")
... ((same error-id 'logic_error) "Logic"))
--> try-catch (frobnicate 0) (str:format
... "Caught a {stringify-type error-id} error of length {tally error}.")
Caught a Domain error of length 357.
```

## Chapter 2

## Elementary data structures

This chapter will describe in detail the most common data structures and their implementations in KamilaLisp. Many data structures in KamilaLisp are defined in terms of each other - for instance, all the functions that generally operate on sets can also be used on lists, since sets constitute a special case of lists. This chapter will also describe elementary array programming in KamilaLisp, which is usually the preferred by many programmers way to solve complex problems quickly.

#### 2.1. Basic list operations

Lists are one of the most important data structures used in functional programming. Every major programming language provides means of finite sequence storage and KamilaLisp is no different. A special emphasis is put on list and array processing, the basic building block of dataflow programming.

As mentioned before, every list besides the empty list contains a *head* (the first element, car) and a *tail* (the last element, cdr). The tail of a list is always another list, even if it is empty. The empty list literal is introduced in the code as 'nit or '().

Using car and cdr it is possible to define a basic, non-tail recursive function that yields the length of a list.

```
--> defun length l (if (empty? l) 0 (+ 1 (length (cdr l))))
(λ l . (if (empty? l) 0 (+ 1 (length (cdr l)))))
```

A generalised version of this function that handles scalar values, variadic application and strings is available as tally<sup>1</sup>:

```
--> tally '(1 2 3) '(4 5)
(3 2)
--> tally '(1 2 3 4 5)
5
--> tally "abcde"
5
--> tally 5
1
--> tally
0
```

Individual elements may be prepended to a list using the cons function:

<sup>&</sup>lt;sup>1</sup>tally - to count or calculate something

```
--> cons 6 'nil
(6)
--> cons 5 (cons 6 'nil)
(5 6)
--> cons 1 '(2 3)
(1 2 3)
```

Hence, one could define a countdown function as follows:

```
--> defun countdown x (if x (cons x (countdown (- x 1))) '(0))
(λ x . (if x (cons x (countdown (- x 1))) '(0)))
--> countdown 5
(5 4 3 2 1 0)
```

Once again, a general result of this function is available in KamilaLisp as the range function:

```
--> range 5
(0 1 2 3 4)
--> range 5 10
(5 6 7 8 9)
--> range 10 5
(10 9 8 7 6)
--> range 5 -5
(5 4 3 2 1 0 -1 -2 -3 -4)
```

List concatenation in KamilaLisp is accomplished using the append function. The append function is of course variadic and accepts an empty parameter

```
--> append '(1 2 3) '(4 5)
(1 2 3 4 5)
--> append '(1 2 3) '(4 5) '(6 7)
(1 2 3 4 5 6 7)
--> append
'nil
--> append 'nil 'nil
'nil
--> append "Tomato" "sauce"
Tomatosauce
```

Prefixes and suffixes of lists may be extracted using the take and drop functions as follows:

```
--> take 3 '(1 2 3 4 5)
(1 2 3)
--> drop 3 '(1 2 3 4 5)
(4 5)
--> take 3 '(1 2 3)
(1 2 3)
--> drop 3 '(1 2 3)
--> take 3 'nil
```

```
[[]
  []
  []]
--> drop 3 'nil
--> take 5 '(1 2 3)
  (1 2 3 0 0)
```

The take and drop functions also accept negative argument, which changes the direction of the operation:

```
--> take -3 "KamilaLisp is Fun"
Fun
```

More generally, *all* prefixes and suffixes of a list are extracted using the prefixes and suffixes functions:

```
--> prefixes '(1 2 3 4 5)
((1) (1 2) (1 2 3) (1 2 3 4) (1 2 3 4 5))
--> suffixes '(1 2 3 4 5)
((1 2 3 4 5) (2 3 4 5) (3 4 5) (4 5) (5))
--> suffixes "Lisp"
("Lisp" "isp" "sp" "p")
```

Going back to the take function, it is easy to notice that when the list is shorter than expected, the resultant list is simply padded with zeroes. This may not be the desired behaviour, thus a variant of take called cycle is provided. The cycle function takes a list and a number and returns a list of the same length as the number, where the elements are taken from the list in a cyclic manner:

```
--> cycle 5 '(1 2 3)
(1 2 3 1 2)
--> cycle 3 '(1 2 3)
(1 2 3)
--> cycle 2 '(1 2 3)
(1\ 2)
--> cycle 1 '(1 2 3)
(1)
--> cycle 0 '(1 2 3)
--> cycle -1 '(1 2 3)
RuntimeException thrown in thread 1dbd16a6:
        cycle: negative length
    at entity cycle 1:1
    at cycle primitive function
--> cycle 'nil 5
--> cycle "abc" 5
```

The repticate function ubiquitously used in APL and Haskell is also available in KamilaLisp, except its domain is extended to scalar values:

```
--> replicate 3 5
(5 5 5)
```

```
--> replicate 5 '(1 2 3)
(1 2 3 1 2 3 1 2 3 1 2 3 1 2 3)
--> replicate 5 "Kamila"
KamilaKamilaKamilaKamila
--> replicate 0 5
--> replicate 5 'nil
--> replicate '(1 2 3) '(4 5 6)
(4 5 5 6 6 6)
```

KamilaLisp also provides a few functions for altering the *order* of elements in a list. The reverse function reverses the order of elements in a

```
--> reverse '(1 2 3 4 5)
(5 4 3 2 1)
--> reverse "KamilaLisp"
psiLalimaK
```

The rotate function, as the name suggests, takes a list and a number and returns a list where the elements are rotated by the number of slots:

```
--> rotate 2 '(1 2 3 4 5)
(3 4 5 1 2)
--> rotate -2 '(1 2 3 4 5)
(4 5 1 2 3)
--> rotate -4 "KamilaLisp"
LispKamila
--> rotate 1 'nil
--> rotate 0 'nil
```

Finally, the shuffle function will take a list and return a list with the same elements, but in a random order:

```
--> shuffle '(1 2 3 4 5)
(2 5 3 1 4)
--> shuffle "KamilaLisp"
LpLsikmaia
```

#### 2.2. Sorting, searching and indexing

KamilaLisp defines a special syntax for indexing into lists. The syntax is as follows:

```
--> def x '(1 5 2 3 4)
(1 5 2 3 4)
--> ?x$[0]
1
```

This syntax is very confusing when demonstrated in isolation. First, indexing returns a value without a function call involved, so it is mandatory to tell the interpreter that the intent is to obtain the value of an object, hence the ? prefix in the REPL. It is possible to index a list using a list, as follows:

```
--> ?x$[1 3 4]
(5 3 4)
```

The indexing function loops over the list it has received and returns respectively the first, third and fourth items of a list, all tied together into a single array. This is a very powerful feature, as it allows for a very concise syntax for extracting elements from a list, applying permutations and even sorting, as demonstrated later in the book. Of course, indexing can also be done using an expression:

```
--> ?x$[random 5]
3
```

#### 2.3. Rank

KamilaLisp lists have *rank*, which is a measure of their nesting, usually interpreted in the context of how many dimensions they could have. For example, a doubly nested list can be interpreted as a matrix:

```
--> ?'((1 2) (3 4))
[[1 2]
[3 4]]
```

Since a matrix usually has two axes, matrices (lists of lists of scalars) have rank 2. A vector is a list of scalar values, so it has rank 1. A scalar value has rank 0. The rank of an object can be computed using the rank function:

```
--> rank '((1 2) (3 4))
2
--> rank '(1 2 3 4)
1
--> rank 0
```

One interesting case to consider is a  $ragged\ list$  - a list whose elements have different ranks. For example, the following list is a ragged list:

```
--> ?'((1 2) (3 4) 5)
```

The first and second elements of the list have ranks 1 (vectors; lists of scalars), while the last element has rank 0 (a scalar). The rank of a ragged list is computed as if the maximum of ranks of a list was considered and the result is negated:

```
--> rank '((1 2) (3 4) 5)
-2
```

#### 2.4. Elementary higher order functions

KamilaLisp provides a wide variety of higher order functions for manipulating lists. Many of them can be defined using recursion, however almost all of them are guaranteed to terminate, while recursion in its general case does not. The use of list processing functions that constitute the core of array programming is highly encouraged over recursion, because they tend to be more concise, easier to understand and less error prone.

The most used function is a built-in operator that takes a function and a list and applies the function to each element of the list, yielding a list of the results. Define a successor function and map it over a list by prepending a single colon before the function name:

```
--> def s $(+ 1)

$['+, '1]

--> :s '(1 2 3 4 5)

(2 3 4 5 6)
```

The map function (which is the more familiar name of this construct, predominantly called that in Haskell and OCaml) has a few nuances that are worth mentioning. First, it is possible to apply it to a non-list argument and an empty value:

```
--> :s 5
(6)
--> :s 'nil
-->
```

The functor returned by: has the same arity as the function it is applied to, hence it is possible for it to act as a zipWith operation known from e.g. Haskell:

```
--> :+ '(1 2 3) '(4 5 6)
(5 7 9)
--> :+ '(1 2 3) '(4 5 6 7)
(5 7 9)
--> :+ '(1 2 3 4) '(4 5 6)
(5 7 9)
--> :+ '(1 1 1) '(2 2 2) '(3 3 3)
(6 6 6)
```

To present an example, the colon operator would be helpful in implementing a function to test whether its arguments are monotonically increasing or decreasing. The notation  $x \leq y \leq z$  does not quite translate to KamilaLisp:

```
--> < 1 2 3
TypeError thrown in thread 9225652:
2 arguments expected in application.
at entity < 1:1
at < primitive function
```

A function called **monotonic** can be defined to test whether a list of numbers is monotonically increasing or decreasing<sup>2</sup>, based on the comparison function it takes argument:

<sup>&</sup>lt;sup>2</sup>Monotonically decreasing means that every element of a sequence is smaller than the previous element.

```
--> defun monotonic (fn list) (same '(1) (unique (:fn list (cdr list))))
(λ fn list . (same '(1) (unique (:fn list (cdr list)))))
--> monotonic < '(1 2 3)
1
--> monotonic < '(1 4 3)
0
```

This particular example uses slightly inefficient logic (takes all unique elements of the mapping and checks if the resultant list is a singleton list<sup>3</sup> of 1), while a more efficient implementation would use a higher order function to test whether all the elements of the resultant list are 1, however this topic will be covered later on in the book.

Coming back to map, it is possible to specify *invariant arguments* to the function - the invariant arguments are constant arguments that are always supplied to the function being mapped, while other arguments over which map can iterate are changing:

```
--> :+ 5 '(5) '(1 2 3 4 5)
(11 12 13 14 15)
```

An important observation to be made is that it is possible to apply a function to a list of lists by stacking the colon operator multiple times also utilising the invariant arguments to write a function that forms tuples from the elements in a two-dimensional matrix:

```
--> def mat '((4 3) (3 4))
[[4 3]
[3 4]]
--> ::cons 5 mat
(((5 4) (5 3)) ((5 3) (5 4)))
```

The colon operator may not be general enough to be suitable for all uses. For example, it may be desirable to create a *pervasive function* - a function which automatically applies itself to all the scalar values in a list. The built-in functions such as +, - or ln are pervasive by default, but for example the reverse function is not:

```
--> reverse '(("hi" "hello") ("kamila" "lisp"))
(("kamila" "lisp") ("hi" "hello"))
```

Since strings are generally considered scalar values by KamilaLisp (however, this is not the case in other array programming languages such as APL), applying it on depth zero yields the following results:

```
--> reverse%[0] '(("KamilaLisp" "is") "fun!")
(("psiLalimaK" "si") "!nuf")
```

The function reverse was ran on every object of rank zero of the list. If it is desirable reverse vectors (lists of scalars), the reverse function should be applied on depth one:

```
--> reverse%[1] '((1 2) 3 4)
((2 1) 3 4)
```

<sup>&</sup>lt;sup>3</sup>A list containing only one element

To give another example, to reverse the rows of a list of matrices, the function should be applied on  $depth \ two$ :

```
--> reverse%[2] '(((1 2) (3 4)) ((5 6) (7 8)))
(((3 4) (1 2)) ((7 8) (5 6)))
```

Of course, since the depth operator is a *generalisation* of the colon operator (mapping), it is possible to use it to map a function over a list of lists. In this case, the depth specifier must be negative:

```
--> io:writeln%[-1] '("Hello" "world!")
```

The smaller negative number, the more times the map function is applied:

```
--> cons%[-2] mat 5
(((4 5) (3 5)) ((3 5) (4 5)))
--> ::cons mat 5
(((4 5) (3 5)) ((3 5) (4 5)))
```

An important thing to note is that the depth operator subtly differs from the colon operator in the variadic case. The colon operator will determine the shape of the result ad-hoc, regardless of argument order:

```
--> ::cons mat 5
(((4 5) (3 5)) ((3 5) (4 5)))
--> ::cons 5 mat
(((5 4) (5 3)) ((5 3) (5 4)))
```

The depth operator, however, will always infer the shape from its first argument, potentially leading to unexpected results:

```
--> cons%[-2] mat 5
(((4 5) (3 5)) ((3 5) (4 5)))
--> cons%[-2] 5 mat
[[5 4]]
```

This behaviour significantly differs from the behaviour of the depth operator in other languages, such as APL<sup>4</sup>, where the depth operator is restricted to only two arguments, making it feasible to try dynamically determining the shape of the result. In KamilaLisp the shape is inferred from the first argument, since the operator's complexity would grow by a large magnitude as a result of it being a generalisation to an arbitrary amount of arguments. Additionally, the complex inferring rule in APL-like languages is not very useful in practice and leads to some design shortcomings.

To explore this topic further, it is necessary to demonstrate that the KamilaLisp depth operator accepts multiple depth values. For example, the following program will apply the function to objects of rank one extracted from the first array, and the objects obtained by descending once into the second array:

```
--> defun f (x y) (str:format "{?x}, {?y}")
(λ x y . (str:format "{?x}, {?y}"))
--> f%[1 -1] '((1 2) (3 4)) '(6 5 (4 3) 2 1)
("(1 2), 6" "(3 4), 5")
```

<sup>&</sup>lt;sup>4</sup>https://aplwiki.com/wiki/Depth\_(operator)

Notice that the depth operator makes an attempt to salvage the situation arising due to the fact that the lists are of different sizes by trimming the longer list to the size of the shorter one (which is not done by APL). To make a more fair comparison with APL, consider the following program instead:

```
--> f%[-2 0] '((1 2) 3) '((1 2) 3)
(("1, 1" "2, 2") "3, 3")
```

Since the depth operator simply extracts the objects of the specified rank from the arguments, it does not pay attention to the shape of other arguments, so scalars for the second argument to f can be extracted also in this case:

```
--> f%[-2 0] '((1 2) 3) '(1 2 3)
(("1, 1" "2, 2") "3, 3")
```

Since APL determines the shape in a more "clever" (also way slower and more convoluted) way, this behaviour can not be achieved:

Finally, the depths list can be defined as a result of an expression:

```
--> f%[[tie + -] 1] '((1 2) (3 4)) '(6 5 (4 3) 2 1)
("(1 2), 6" "(3 4), 5")
```

A function similar to list mapping is filter. It takes a predicate and a list and returns a list of elements for which the predicate returned a truthy value.

```
--> filter (lambda x (> x 3)) '(1 2 3 4 5)
(4 5)
```

The relation between filter and map (the colon operator) can be observed by re-implementing one in terms of the other in the following way:

```
--> defun my-filter (pred lst) (replicate (= 1 (:pred lst)) lst)
(λ pred lst . (replicate (= 1 (:pred lst)) lst))
--> my-filter (lambda x (> x 3)) '(1 2 3 4 5)
(4 5)
```

filter allows for an elegant yet inefficient implementation<sup>5</sup> of a prime sieve. The sieve of Eratosthenes is an algorithm for finding all prime numbers up to a given limit. It works by iteratively marking the multiples of each prime number as composite. To implement this in KamilaLisp, it is needed to use recursion and two lists of numbers: one for the primes that have been already found, and one for the numbers that have not been classified yet.

Firstly, define the iteration step function that takes the prime and unclassified lists in a pair, adds the first element from the unclassified list to the prime list and removes all its multiples from the unclassified list:

This example of let-seq used the construct case, which is a special form of if that may be used only in let-seq. If the condition that directly follows case is true, then the further execution of the let-seq's body is stopped and the value of the expression that follows the condition is returned. This is especially useful for terminating the computation when a special case is encountered. Using while, the iteration step function can be applied a finite amount of times to the initial pair of lists:

```
--> while (tie 'nil (range 2 50)) 1 step
((2) (3 5 7 9 11 13 15 17 19 21 23 25 27 29 31 33 35 37 39 41 43 45 47 49))
--> while (tie 'nil (range 2 50)) 5 step
((11 7 5 3 2) (13 17 19 23 29 31 37 41 43 47))
--> while (tie 'nil (range 2 50)) 10 step
((29 23 19 17 13 11 7 5 3 2) (31 37 41 43 47))
--> while (tie 'nil (range 2 50)) 15 step
((47 43 41 37 31 29 23 19 17 13 11 7 5 3 2) nil)
--> while (tie 'nil (range 2 50)) 20 step
((47 43 41 37 31 29 23 19 17 13 11 7 5 3 2) nil)
```

Since the step function *converges* to a value (eventually yields a value t such that f(t) = t), the **converge** function can be used to implement most of the sieve's logic now:

```
--> converge step (tie 'nil (range 2 100))
((97 89 83 79 73 71 67 61 59 53 47 43 41 37 31 29 23 19 17 13 11 7 5 3 2) nil)
```

To provide a final result, it is necessary to extract and reverse the prime list from the pair and wrap the invocation in a function:

```
--> defun sieve (n) (reverse@car@converge step (tie 'nil (range 2 n)))
(λ n . (reverse@car@converge step (tie 'nil (range 2 n))))
--> sieve 20
(2 3 5 7 11 13 17 19)
```

Ultimately, the function can be rewritten as follows to make it more concise and self-contained:

<sup>&</sup>lt;sup>5</sup>Compared to the prime number-related primitive functions already supplied by KamilaLisp

#### 2.5. State management

In KamilaLisp data structures are immutable. It is however of particular interest to store the state of a computation so that it persists across multiple invocations. This is generally accomplished using the meta:state-manager constructor. The state manager is a higher order function that applied to a function returns a new function that relays the arguments to the original function alongside the state and stores the result in the state manager's internal state. The following example implements a counter function that increments or decrements the state by one each time it is called:

```
--> def counter (meta:state-manager (lambda (self x) (cond
  ((= x 'inc) ([tie #0 #0] \+ self 1))
  ((= x 'dec) ([tie #0 #0] \- self 1))
  (true (raise "Invalid argument")))))
```

#### 2.6. Folding and scanning

Folds and scans are higher-order functions that analyze a recursive data structure (usually a tree or list, however this section will focus only on folding lists) and through use of a given aggregation functor, combine the results of recursively processing its constituent parts, building up a return value. The difference between folds and scans is that the former return only the final result, while the latter return a list of intermediate results.

To demonstrate the simplest example of a fold, the sum of a list could be computed by folding it with the + function. Of course, the order of operation in this particular case does not matter since addition is commutative, however it is common to distinguish *left-associative folds* and *right-associative folds*. Imagine folding a list as inserting a dyadic function between its elements:

```
fold - (1 2 3 4 5) = 1 - 2 - 3 - 4 - 5
```

The sum can be parenthesised in two ways: as (((1-2)-3)-4)-5 or 1-(2-(3-(4-5))), thus former is a left-associative fold, while the latter is a right-associative fold.

Additionally, a question arises how should folds handle empty and singleton lists. Folds, in general case, return the identity element of the aggregation functor when the list is empty. When presented with a singleton list, the fold applies the function to the identity element and the only element of the list. Obviously, it is not possible to just query the identity element of the aggregating function, which is why the identity element of it is usually passed as an argument to fold. Sometimes it is desirable to fold a list with a function that does not have an identity element, in which case folding a list with a single element returns it and folding an empty list results in an error.

Of course, all of these variants of folding have their own names in KamilaLisp:

• foldl is a left-associative fold that takes an identity element and preprends it to the input list.

- foldr is a right-associative fold that takes an identity element and preprends it to the input list.
- foldl1 is a left-associative fold that does not take an identity element and errors on empty lists.
- foldr1 is a right-associative fold that does not take an identity element and errors on empty lists.

A sum from 1 to n can be naively computed using folds as follows:

```
--> foldl + 0 (range 1 100)
5050
```

The direction of the fold does not matter here. However, if presented with a non-associative functor, the direction of the fold matters. Consider the following example:

```
--> foldl - 0 (range 1 100)
-4950
--> foldr - 0 (range 1 100)
50
```

The first example essentially computes  $((0-1)-2)-\ldots$ , while the second example computes  $0-(1-(2-\ldots))$ .

The aggregating function is always a dyadic function. Depending on the direction of the fold, its arguments may be reversed. For example, in left-associative folds, the first argument is the accumulator and the second argument is the current element of the list. In right-associative folds, the first argument is the current element of the list and the second argument is the accumulator.

Folds make it possible to implement many familiar functions in a more elegant manner. Consider the arithmetic mean example introduced in the first chapter of the book:

```
--> defun sum (l) (if (same l '()) 0 (+ (car l) (sum (cdr l))))
(λ l . (if (same l '()) 0 (+ (car l) (sum (cdr l)))))
--> def mean [/ sum tally]
[/ sum tally]
```

The mean function can be rewritten as follows<sup>6</sup>:

```
--> def mean [/ $(foldl1 +) tally]
[/ foldl + 0 tally]
```

Folds, in a way, transcend the concept of tail recursion. A tail-recursive foldt function can be implemented in the following way:

```
--> defun my-foldl (f z l) (if (empty? l) z (&0 f (f z (car l)) (cdr l)))
(λ f z l . (if (empty? l) z (&0 f (f z (car l)) (cdr l))))
--> my-foldl - 0 (range 1 100)
-4950
```

It is also possible to define many concept using folds, such as map or filter:

```
--> defun my-map (f l) (foldr (lambda (x y) (cons (f x) y)) 'nil l)
```

 $<sup>^6{\</sup>rm In}$  a very similar way to APL - compare [/ (foldl1 +) tally] and +/+#.

```
(λ f l . (foldr (lambda (x y) (cons (f x) y)) 'nil l))
--> my-map $(+ 1) (range 1 10)
(2 3 + 5 6 7 8 9 10)
--> defun my-filter (f l) (foldr (lambda (x y) (if (f x) (cons x y) y)) 'nil l)
(λ f l . (foldr (lambda (x y) (if (f x) (cons x y) y)) 'nil l))
--> my-filter $(> 5) (range 1 10)
(1 2 3 4)
```

Perhaps more surprisingly, it is also possible to join two lists using foldr:

```
--> defun my-append (l1 l2) (foldr cons l2 l1)
(λ l1 l2 . (foldr cons l2 l1))
--> my-append (range 1 5) (range 6 10)
(1 2 3 4 5 6 7 8 9)
```

This is due to the fact that foldr accepts an identity element, which in this function is the second list, and the list being folded as the first list - so in reality, what happens is:

```
my-append (range 1 5) (range 6 10)
= foldr cons (range 6 10) (range 1 5)
= cons 1 (cons 2 (cons 3 (cons 4 (cons 5 (range 6 10)))))
= (1 2 3 4 5 6 7 8 9)
```

Scans behave in a very similar way to folds, hence they share a common taxonomy. KamilaLisp supplies the following *scans*:

- scant is a left-associative scan that takes an identity element and preprends it to the input list.
- scanr is a right-associative scan that takes an identity element and preprends it to the input list.
- scant1 is a left-associative scan that does not take an identity element and errors on empty lists.
- scanr1 is a right-associative scan that does not take an identity element and errors on empty lists.

While fold1 + will yield the sum of all the elements of a list, scanl1 + will yield a list of its partial sums (intermediate results of the fold):

```
--> foldl1 + (range 1 10)
45
--> scanl1 + (range 1 10)
(1 3 6 10 15 21 28 36 45)
```

Scans, especially in APL, are extensively used to implement certain kinds of problems. For example, the prefixes function is implemented in the following way:

```
--> def my-prefixes $(scanl1 append)
$['scanl1, 'append]
--> my-prefixes "hello!"
("h" "he" "hell" "hello" "hello!")
```

The prefixes functionality (which in KamilaLisp source code is implemented using methods isomorphic to a scan) can be also used to implement other, seemingly unrelated tools in mathematics. Consider the *Levi-Civita* symbol, extensively used in linear algebra, defined by the following explicit expression:

$$\varepsilon_{a_1 a_2 a_3 \dots a_n} = \prod_{1 \le i < j \le n} \operatorname{sgn}(a_j - a_i)$$

A KamilaLisp implementation can be written as follows:

```
--> defun levi-civita (x) (if
... ([not-same-elements unique #0] x)
... 0 (** -1 (foldl + 0 ([flatten@< #0 prefixes] x))))
```

A good way to simplify this code is to use the *backslash partitioning*. In essence, a pair of parentheses can sometimes be replaced with a single backslash in place of the opening parenthesis. The interpreter will replace the backslash with an open parenthesis of the type corresponding to the outer parenthesis and automatically double the next closing parenthesis of the same type that it encounters. To demonstrate, consider this version of the original code:

```
--> defun levi-civita x (
... if ([not-same-elements unique #0] x)
... 0 \** -1 \foldl + 0 \[flatten@< #0 prefixes] x)
```

To verify the code, generate a 2x2 Levi Civita symbol matrix:

```
--> levi-civita%[1] '(((0 0) (0 1)) ((1 0) (1 1)))
[[ 0 1]
[-1 0]]
```

Another fascinating algorithm to implement using folds and scans is detecting spans of non-nested C-style comments. Consider the following C language declaration with the commented out parts underlined:

```
int x /* accumulator */ = 0 /* Store the amount of comments in the code. */;
```

Consider a function called **comments** with arguments **chars** and **str**, we find the closing comments as follows:

```
rotate -1 (reverse (find (reverse str) chars))
```

While opening comments are done without any further problems:

```
find str chars
```

The find function simply finds occurences of something in a string or list and returns a bit mask vector with the starting positions as follows:

```
--> find "an angry aardvark." "a"
(1 0 0 1 0 0 0 0 1 1 0 0 0 1 0 0 0)
```

Finally, the starting and ending comment symbols are put together into a single list as follows:

The final ingredient is connecting together bits and pieces of the problem is an application of scanr1 /= as follows:

Finally, to accomplish the same underlining behaviour, one could use the following function:

```
--> defun display s (let-seq (def r " -"$[comment "/*" s]) (io:writeln s) r)
(λ s . (let-seq (def r " -"$[comment "/*" s]) (io:writeln s) r))
--> display "This /* is */ an example"
This /* is */ an example
------
```

## 2.7. Products and two-dimensional convolution

KamilaLisp supports two kinds of products known from mathematics: the inner (generalisation of dot) product and the outer (cartesian) product. The outer product of two lists is a list of all possible pairs of elements from the two lists:

```
--> [outer-product #0 #0] (range 1 4)
(((1 1) (1 2) (1 3)) ((2 1) (2 2) (2 3)) ((3 1) (3 2) (3 3)))
```

The dot product of two vectors is defined mathematically as follows:

$$x \cdot y = \sum_{i=1}^{n} x_i y_i$$

Inner product spaces generalize Euclidean vector spaces, in which the inner product is the dot product or scalar product of Cartesian coordinates. Consider the following example of implementing the dot product using the inner-product function:

```
--> inner-product + * '(1 2 3) '(4 5 6)
32
```

The first argument to inner-product is the summation operation, while the second argument is the multiplication operation. The third and further arguments are the two vectors to be multiplied. If only two arguments are provided, the inner-product function yields a function that performs the operation on arbitrarily many vectors.

To descend into technicalities, the inner-product function may be reimplemented using the fold1 function and : operator:

```
--> defun my-dot (f g ...xs) (foldl1 f (lift :g ...xs))
```

This implementation uses the lift function, which takes a list of arguments to be passed into a function and applies it to them. The equivalence between lift and usual function application is demonstrated as lift f '(a1 a2 a3...) <=> f a1 a2 a3....

The inner product is particularly useful in the context of convolution. Throughout this section, it will be chiefly assumed that the convolution is two-dimensional. A step of convolution of two matrices  ${\bf I}$  and  ${\bf K}$  is illustrated as follows:



Notice that if one wanted to compute the value of the first cell of the matrix, this process would be complicated by the fact that the input matrix does not contain adequate data on the border. This difficulty is often called the *free boundary problem*. Throughout this section, it will be assumed that the data in the matrix is padded using the reflected boundary condition, which mirrors the data on the border. First, consider the following function <code>cell</code> that given a matrix, yields a function to query the value of a cell at a given position accounting for the reflected boundary condition:

```
defun cell (mat) \if (/= (rank mat) 2)
  (raise "Expected a matrix.")
  \lambda (x y) \let-seq
  (def h (tally mat))
  (def w (tally (car mat)))
  \cond
      ((and (< x 0) (< y 0)) mat$[- (+ y 1)]$[- (+ x 1)])
      ((and (< x 0) (< y h)) mat$[#0 y]$[- (+ x 1)])
      ((and (< x 0) (>= y h)) mat$[- (- (* 2 h) 1) y]$[- (+ x 1)])
      ((and (< x w) (< y 0)) mat$[- (+ y 1)]$[#0 x])
      ((and (< x w) (>= y h)) mat$[- (- (* 2 h) 1) y]$[#0 x])
      ((and (>= x w) (< y 0)) mat$[- (+ y 1)]$[- (- (* 2 w) 1) x])
      ((and (>= x w) (< y h)) mat$[#0 y]$[- (- (* 2 w) 1) y]$
      ((and (>= x w) (< y h)) mat$[- (- (* 2 h) 1) y]$[- (- (* 2 w) 1) x])
      ((and (>= x w) (>= y h)) mat$[- (- (* 2 h) 1) y]$[- (- (* 2 w) 1) x])
      \mat$[#0 y]$[#0 x]
```

The usages of the identity function #0 stem from the fact that the indexing construct evaluates its argument if it is not a simple numeric list.

Define the function to compute the value of a two-dimensional convolution at a given position in the result matrix:

```
defun convolve-step (cell-mat kern x y) \let-seq
  (def kern-h \tally kern)
  (def kern-w \tally (car kern))
  (case (/= kern-h kern-w)
```

```
\raise "Expected a square kernel.")
(case (or (/= (mod kern-h 2) 1) (/= (mod kern-w 2) 1))
  \raise "Expected an odd-sized kernel.")
(def kern-c \floor (/ kern-w 2))
(def conv-range \flatten@outer-product
  (range (- x kern-c) \- (+ x kern-w) kern-c)
  (range (- y kern-c) \- (+ y kern-h) kern-c))
(def conv-mat \:$(lift cell-mat) conv-range)
\foldl + 0 \* (flatten conv-mat) \flatten kern
```

Now, define the <code>convolution-2d</code> function that takes argument the input matrix and the kernel matrix and yields the convolution of the two matrices using the <code>parallel:map-idx</code> function which behaves comparably to \$: (the parallel variant of:), except it also passes the index in the list to the function:

```
defun convolve (mat kern) \let-seq
  (def cell-mat (cell mat))
  \parallel:map-idx (lambda (y row)
   \parallel:map-idx (lambda (x _e)
   \convolve-step cell-mat kern x y) row) mat
```

Finally, test the convolution on a simple example:

```
--> def mat '((1 2 3) (4 5 6) (7 8 9))
--> def kern '((0.11 0.11 0.11) (0.11 0.11) (0.11 0.11 0.11))
--> convolve mat kern
[[4.95 5.61 5.61]
[6.93 7.59 7.59]
[6.93 7.59 7.59]]
```

To implement convolution on RGBA images, it is necessary to split the color value into the separate channels. This can be accomplished using the bit:unpack function. Merging RGBA values together into a single integer is done using the bit:pack function:

```
--> bit:unpack 37126378 '(0 8) '(8 16) '(16 24) '(24 32) (234 128 54 2) --> bit:pack '(0 8 234) '(8 16 128) '(16 24 54) '(24 32 2) 37126378
```

Define a function that takes RGB image data (two-dimensional integer matrix), splits the color channels and applies a convolution kernel to each channel, then fuses the results together.

```
defun convolve-rgb (img kern) (let-seq
    ; Extract the R, G and B channels of the image data.
    (def r \$($(^/ 255)@bit:unpack _ '(0 8))%[0] img)
    (def g \$($(^/ 255)@bit:unpack _ '(8 16))%[0] img)
    (def b \$($(^/ 255)@bit:unpack _ '(16 24))%[0] img)
    ; Convolve each channel and convert back to integer values.
    (defun quantize x (cond ((< x 0) 0) ((> x 1) 255) ((round@* x 255))))
    (def r \quantize%[0] \convolve r kern)
```

```
(def g \quantize%[0] \convolve g kern)
(def b \quantize%[0] \convolve b kern)
; Merge the channels back together.
((lambda (r g b)
   (bit:pack
        (tie 0 8 r)
        (tie 8 16 g)
        (tie 16 24 b)
        (tie 24 32 255)))%[0] r g b))
```

Since the convolution code has become more complex, it should be saved to a file now and imported as a library. Declare public symbols that should be visible in the global scope using the public: prefix:

```
(defun cell (mat) \if (/= (rank mat) 2)
  (raise "Expected a matrix.")
  \lambda (x y) \let-seq
    (def h (tally mat))
    (def w (tally (car mat)))
    \cond
      ((and (< x 0) (< y 0)) mat [- (+ y 1)] [- (+ x 1)])
      ((and (< x 0) (< y h)) mat [#0 y] [- (+ x 1)])
      ((and (< x 0) (>= y h)) mat [- (- (* 2 h) 1) y] [- (+ x 1)])
      ((and (< x w) (< y 0)) mat [- (+ y 1)] [#0 x])
      ((and (< x w) (>= y h)) mat [- (- (* 2 h) 1) y] [#0 x])
      ((and (>= x w) (< y 0)) mat [- (+ y 1)] [- (- (* 2 w) 1) x])
      ((and (>= x w) (< y h)) mat [#0 y] [- (- (* 2 w) 1) x])
      ((and (>= x w) (>= y h)) mat [- (- (* 2 h) 1) y] [- (- (* 2 w) 1) x])
      \text{mat}[\#0 y][\#0 x]
(defun convolve-step (cell-mat kern x y) \let-seq
  (def kern-h \tally kern)
  (def kern-w \tally (car kern))
  (case (/= kern-h kern-w)
    \raise "Expected a square kernel.")
  (case (or (/= (mod kern-h 2) 1) (/= (mod kern-w 2) 1))
    \raise "Expected an odd-sized kernel.")
  (def kern-c \floor (/ kern-w 2))
  (def conv-range \flatten@outer-product
    (range (- x kern-c) \- (+ x kern-w) kern-c)
    (range (- y kern-c) \- (+ y kern-h) kern-c))
  (def conv-mat \:$(lift cell-mat) conv-range)
  \foldl + 0 \* (flatten conv-mat) \flatten kern)
(defun public:convolve (mat kern) \let-seq
  (def cell-mat (cell mat))
  \parallel:map-idx (lambda (y row)
    \parallel:map-idx (lambda (x _e)
      \convolve-step cell-mat kern x y) row) mat)
(defun public:convolve-rgb (img kern) (let-seq
  ; Extract the R, G and B channels of the image data.
```

```
(def r \s((^/ 255)@bit:unpack _ '(0 8))%[0] img)
  (def g \sl(^{/} 255)@bit:unpack _ '(8 16))%[0] img)
  (def b \$($(^/ 255)@bit:unpack _ '(16 24))%[0] img)
  ; Convolve each channel and convert back to integer values.
  (defun quantize x (cond ((< x 0) 0) ((> x 1) 255) ((round@* x 255))))
  (def r \quantize%[0] \public:convolve r kern)
  (def g \quantize%[0] \public:convolve g kern)
  (def b \quantize%[0] \public:convolve b kern)
  ; Merge the channels back together.
  ((lambda (r g b)
    (bit:pack
      (tie 0 8 r)
      (tie 8 16 g)
      (tie 16 24 b)
      (tie 24 32 255)))%[0] r g b)))
"OK"
```

Finally, test the box blur on an image. Consider the following original, 256x256 RGB image:





Decrease numerical precision to speed up the computation. Create a 3x3 box blur kernel and apply it to the image:

```
--> import "convolution.lisp"

OK

--> let ((fr 10)) (img:write "peppers-blurry.jpg" (convolve-rgb

... (img:read "peppers.jpg")

... (* (/ 9) '((1 1 1) (1 1 1) (1 1 1))))

peppers-blurry.jpg
```

Other interesting operations to check are the Gaussian blur and edge detection:

```
--> import "convolution.lisp"

OK

--> let ((fr 10)) (img:write "peppers-gauss.jpg" (convolve-rgb

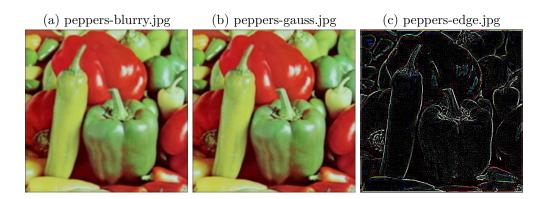
... (img:read "peppers.jpg")

... (* (/ 16) '((1 2 1) (2 4 2) (1 2 1)))))

peppers-gauss.jpg

--> let ((fr 10)) (img:write "peppers-edge.jpg" (convolve-rgb
```

```
... (img:read "peppers.jpg")
... '((-1 -1 -1) (-1 8 -1) (-1 -1 -1))))
peppers-edge.jpg
```



To cover a different yet related topic, consider the problem of matrix multiplication<sup>7</sup>. Generally speaking, matrix multiplication involves a series of dot products between the rows of the first matrix and the columns of the second matrix. For example, consider the following two matrices:

```
--> def A '((1 3 2 0) (2 1 0 1) (4 0 0 2))

[[1 3 2 0]

[2 1 0 1]

[4 0 0 2]]

--> def B '((4 1) (0 3) (0 2) (2 0))

[[4 1]

[0 3]

[0 2]

[2 0]]
```

Start by transposing the second matrix and taking the outer product with \*:

```
--> def B* (matrix:transpose B)
[[4 0 0 2]
[1 3 2 0]]
--> def C (outer-product * A B*)
(((4 0 0 0) (1 9 4 0)) ((8 0 0 2) (2 3 0 0)) ((16 0 0 4) (4 0 0 0)))
```

The only thing left is to sum each simple vector of the result, which is done as follows:

```
--> def D ($(foldl + 0)%[1] C)
[[ 4 14]
[10 5]
[20 4]]
```

<sup>&</sup>lt;sup>7</sup>In APL and other programming languages, it is possible to compute the dot product, perform matrix or tensor multiplication using the same construct - +.×.

## 2.8. Searching and partitioning

Searching KamilaLisp lists is usually done using linear search. Linear search can be performed in two ways - using the <code>index-of</code> function and the <code>find</code> functions. The former returns the index of the first element that satisfies the predicate, while the latter returns the mask vector of all elements that satisfy the predicate. Both of these functions were previously considered, however, consider these two cases regardless:

```
--> index-of 3 '(1 2 3 4)
2
--> find "banana" "ana"
(0 1 0 1 0 0)
```

Linear search to no surprise can also be performed using filter. Consider the following function that finds prime numbers in a unsorted list of arbitrary numbers:

```
--> def l \:random \cycle 10 '(10)
(6 5 9 2 3 3 1 3 6 9)
--> filter prime:is? l
(5 2 3 3 3)
```

Lists can be partitioned using bipartition and partition. The first function behaves alike to the list partitioning facilities in OCaml. Consider the following function which partitions a list of numbers into two lists - one containing all odd numbers and the other containing all even numbers:

```
--> def l \:random \cycle 10 '(10)
(6 4 2 8 1 8 1 3 4 3)
--> def even? $(^mod 2)
$['^mod, '2]
--> bipartition even? l
((1 1 3 3) (6 4 2 8 8 4))
```

The partition function is a bit more sophisticated - the lists are broken at the first occurrence of 1, continued through a span of zeroes and then broken again at the next occurrence of 1. Consider the following example:

```
--> partition '(0 0 1 0 0 1 0 0) (range 1 9)
[[3 4 5]
[6 7 8]]
```

# 2.9. Pattern matching

Most functional languages, such as Haskell or OCaml, have pattern matching and destructuring facilities. KamilaLisp is no exception - pattern matching is performed using the match function. The function takes as many arguments as necessary, each of them being a list of two elements - the pattern and the expression to be evaluated. The patterns follow a special syntax. The following patterns are supported:

Binding patterns - 'a binds the value of a in the expression to the value being currently matched.

- Literal patterns "example" or (1 2 3) will abort trying to match the current pattern if the value being matched is not equal to the literal.
- Pack patterns '...a will bind the value of a to the rest of the list being matched e.g. ('x '...xs) will match a list, where x will be defined as the head of the currently matched value and xs will be defined as its tail.

Pattern matching allows for a few elegant implementations of common functions. Consider the following implementation of the length function:

```
--> defun length x (match x (('x '...xs) (+ 1 \length xs)) (nil 0))
(λ x . (match x (('x '...xs) (+ 1 (length xs))) (nil 0)))
--> length '(a b c d)
4
```

The binding patterns can be used to implement an eq function, which tests for equality of two atoms.

```
--> defun eq (x y) (match (tie x y) (('x 'x) 1) (('x 'y) 0))
(λ x y . (match (tie x y) (('x 'x) 1) (('x 'y) 0)))
--> eq 1 1
1
--> eq 1 2
0
```

The match statement also allows for the use of *case guards*, as demonstrated below on the example of a function that checks whether a three-element list is sorted:

```
--> defun sorted (l) (match l (('x 'y 'z) (and (<= x y) (<= y z)) 1) ('_ 0))
(λ l . (match l (('x 'y 'z) (and (<= x y) (<= y z)) 1) ('_ 0)))
--> sorted '(6 5 4)
0
--> sorted '(1 2 3)
1
```

## 2.10. Sorting and permutations

A random permutation of a list of size n can be generated using range and shuffle. The permutation can now be applied to a list of arbitrary elements as follows:

```
--> def perm \shuffle (range 0 10)
(1 2 8 6 4 3 0 5 9 7)
--> def l'(a b c d e f g h i j)
(a b c d e f g h i j)
--> ?l$[#0 perm]
(b c i g e d a f j h)
```

A matrix of all permutations of a list of size n is given by pmat:

```
--> pmat 3
```

```
[(0 1 2)
(1 0 2)
(1 2 0)
(0 2 1)
(2 0 1)
(2 1 0)]
```

Permutations that sort an array in ascending or descending order based on a comparator function or a partial order between some types of atoms can be computed using grade-up or grade-down:

```
--> ?perm
(1 2 8 6 4 3 0 5 9 7)
--> grade-up perm
(6 0 1 5 4 7 3 9 2 8)
--> ?perm$[grade-up perm]
(0 1 2 3 4 5 6 7 8 9)
```

A common family of problems that can be elegantly solved using sorting permutations involve taking, dropping or removing first or last n elements of a list based on some criterion, e.g. the amount of unique prime factors a number has.

Consider a list of n sets of natural numbers smaller than n, where the i-th set is the domain of some function  $f_i$ , for example:

```
--> ?domains
[(0 1 2)
(1)
(1 2)]
```

The data makes it apparent that the domain of  $f_0$  is  $\{0,1,2\}$ , the domain of  $f_1$  is  $\{1\}$  and the domain of  $f_2$  is  $\{1,2\}$ . The problem is to assign a unique natural number smaller than n to each of the functions, so that it is contained in the function's domain. In this example, the number 0 is assigned to  $f_0$ , 1 is assigned to  $f_1$  and 2 is assigned to  $f_2$ . This problem is reminiscent of one-dimensional wave function collapse<sup>8</sup>.

The standard procedure in approaching wave function collapse problems is to start with picking the least entropic function, i.e. the function with the smallest domain. Then, fix random of the possibilities and repeat the process until there is only one possibility left for each function. Of course, this approach may not always yield a valid solution. Three common ways to deal with this are backtracking, resetting the search space and starting from scratch or ignoring the existence of this problem altogether. The book will demonstrate implementations of these approaches, starting with the simplest one.

Sort the functions according to their entropy in increasing order:

```
--> def p \grade-up \:tally domains
(1 2 0)
--> def sorted-domains domains$[#0 p]
[(1)
  (1 2)
  (0 1 2)]
```

 $<sup>^8</sup>$ Two-dimensional wave function collapse can be used *inter alia* for texture generation and sudoku solving

Recursively fix the first function's domain to the first element of its domain and repeat the process for the remaining functions, removing fixed element from the list of possibilities:

```
--> defun fix x (match x
... (nil
... 'nil)
... (('x '...xs)
... (cons (car x) (fix (:filter $(/= (car x)) xs))))
```

Obtain the solution:

```
--> fix sorted-domains
(1 2 0)
```

The solution is different from the model solution from before. This is because the solution was generated under the least-entropy criterion permutation, which needs to be undone to obtain the real result. Using grade-up on a permutation obtained by using grade-up will unsort the vector:

```
--> ?(fix sorted-domains)$[grade-up p]
(0 1 2)
```

The solution can be recasted to be a stand-alone function now:

```
--> defun dom1 x (let-seq
... (def p \grade-up \:tally x)
... (defun fix x (match x
... (nil
... 'nil)
... (('x '...xs)
... (cons (car x) (fix (:filter $(/= (car x)) xs)))))
... (fix x$[#0 p])$[grade-up p])
```

Of course this solution does not address the problem mentioned before. The following crafted example demonstrates the issue:

```
--> def domains '((1) (3 2) (4 3) (3 4 1))
```

The solution is clearly either '(1 2 4 3) or '(1 2 3 4). The simple algorithm fails, because it will attempt to fix a 3 for  $f_1$ , meaning that there are no remaining possibilities in the last slot and car throws an exception:

```
--> dom1 domains

ArrayIndexOutOfBoundsException thrown in thread 0X75437611:

null

at entity dom1 8:1

at (λ x . (let-seq (def p (grade-up (:tally x))) ...)) 1:12

at entity let-seq 1:15

at let-seq primitive function

at entity (sic) $[]/syn. 8:4

at $[]/syn
```

```
at entity fix 8:5
at (λ x . (match x ...)) 3:15
[...]
at entity match 3:18
at match primitive function
at entity cons 7:9
at entity car 7:15
at car primitive function
```

To implement the second mitigation method (fix *random* element from the domain of the first function and repeat the process for the remaining functions, reset on error), the naive version can be trivially modified:

```
--> defun dom2 x (let-seq
... (def p \grade-up \:tally x)
... (defun fix x (match x
... (nil
... 'nil)
... (('x '...xs)
... (let-seq
... (def e x$[random \tally x])
... (cons e (fix (:filter $(/= e) xs))))))
... (try-catch (fix x$[#0 p])$[grade-up p] (&0 x)))
```

This function is obviously non-deterministic and will randomly return one of the correct solutions:

```
--> dom2 domains
(1 2 4 3)
--> dom2 domains
(1 2 3 4)
--> dom2 domains
(1 2 3 4)
--> dom2 domains
(1 2 3 4)
--> dom2 domains
(1 2 4 3)
--> dom2 domains
(1 2 4 3)
```

Technically speaking, this function does not have to terminate. The third and final solution is to use backtracking. To make the implementation more efficient, assume two cases. If the currently considered function's domain is empty, backtrack to the previous checkpoint. A checkpoint is placed only when there is more than one possibility left for the function. When the checkpoint catches an exception, it will try the next possibility. If there are no more possibilities, the exception is propagated to the previous checkpoint, and so on. While this technique guarantees a solution in finite time if only there exists one, in practice it tends to be slower than the previous solution. The code follows:

```
--> defun dom3 x (let-seq
... (def p \grade-up \:tally x)
... (defun fix x (match x
... (nil
... 'nil)
... (('x '...xs)
```

```
... (let-seq
... (defun step x \cons x (fix (:filter $(/= x) xs)))
... (defun ckpoint x (
... try-catch
... (step \car x)
... (if (empty? \cdr x) (raise error) (&0 \cdr x))))
... (if (empty? \cdr x) (step \car x) (ckpoint x)))))
... (fix x$[#0 p])$[grade-up p])
```

The result is only one of the two correct solutions:

```
--> dom3 domains
(1 2 4 3)
--> dom3 domains
(1 2 4 3)
```

Going back to the topic of sorting, if knowing the permutation that sorts a list is not necessary, it is more efficient to use the functions sort-asc and sort-desc. The basic usage of these functions is demonstrated as follows:

```
--> sort-asc '(3 2 1)
(1 2 3)
--> sort-desc '(2 3 1)
(3 2 1)
--> sort-asc '("julia" "leah" "anna" "kamila" "lisa")
["anna"
"julia"
"kamila"
"leah"
"lisa"]
```

Of course, the functions sort-asc and sort-desc can process lists with a given comparator function that provides a total order between atoms of desired type, hence as an example, it is possible to sort a numeric list according to the absolute value:

```
--> sort-asc [- abs@#0 abs@#1] '(3 -2 1 6J-4 3J4 -19)
(1 -2 3 3J4 6J-4 -19)
```

# 2.11. Using glyphs

KamilaLisp in spirit of APL provides glyphs (or glyph combinations) for common operations. Using the functions meta:to-glyphs and meta:to-ascii, it is possible to transform code that uses glyphs into code that uses exclusively ASCII characters. The following example demonstrates the use of the aforementioned functions:

```
(let-seq
           (defun step x \c (fix (:filter $(/= x) xs)))
           (defun ckpoint x (
            try-catch
              (step \\car x)
              (if (empty? \c (raise error) (&0 \c)))
           (if (empty? \\cdr x) (step \\car x) (ckpoint x))))))
     (fix x$[#0 p])$[grade-up p])"
Ö← dom3 x (O⊢"
  (O← p \¼ \:ρ x)
  (Ö← fix x (→ x
   (0 '0)
   (('x '...xs)
     (o⊢"
       (Ö← step x \® x (fix (:† $(≠ x) xs)))
       (Ö← ckpoint x (‼
         ($ (□♥? \▼ x) (↑!! error) (&0 \▼ x))))
       (fix x$[#0 p])$[\( \bar{p} \)])
```

## 2.12. Strings and regular expressions

KamilaLisp offers a dozen of string processing functions. Changing the case of letters in a string is done using str:upper and str:lower as follows:

```
--> str:upper "Hello"
HELLO
--> str:lower "I am Kamila"
i am kamila
```

String formatting should already be familiar to the reader from the previous parts of the book:

```
--> str:format "Hello! Your dice roll is {random 6}."

Hello! Your dice roll is 2.

--> str:format "Braces: {{}}."

Braces: {}.
```

String splitting can be done in a variaty of ways. The specialised function str:lines splits a string into a vector of lines:

```
--> str:lines (io:get-file "/etc/shells")
["# /etc/shells: valid login shells"

"/bin/sh"

"/bin/bash"

"/bin/rbash"

"/usr/bin/rbash"

"/usr/bin/rbash"
```

```
"/usr/bin/dash"
"/bin/zsh"
"/usr/bin/zsh"
"/usr/bin/sh"]
```

A more general solution is to use regex:split, which splits a string on every occurence of a regular expression.

```
--> regex:split ":" (sh:getenv "PATH")
["/usr/local/sbin"
"/usr/sbin"
"/usr/sbin"
"/sbin"
"/bin"]
```

The two other uses of regular expressions in KamilaLisp entail matching and replacing. The regex:matches? function determines whether the given string matches a regular expression:

```
--> regex:matches? "[A-Za-z]+" "AlphanumericString"
1
```

Finding matches in a string is done using regex:match-all:

```
--> (regex:match-all "[A-Za-z]+"

"The quick brown fox jumps over the lazy dog."

(lambda (m) m.match))

["The"

"quick"

"brown"

"fox"

"jumps"

"over"

"the"

"lazy"

"dog"]
```

The third argument to regex:match-all is a function that is applied to each match. The function is passed a match object, which contains the following fields:

- match the matched string.
- offset offset of the match.
- length the length of the match.
- groups a vector of matched groups, each containing the match, offset, length fields.

# Chapter 3

# Functional data structures

This chapter describes more intricate details regarding more complex data structures, their representations in functional programs and their applications in the context of real-world problems.

## 3.1. Combinator calculi

Combinatory logic is a notation to eliminate the need for quantified variables in mathematical logic. It is based on *combinators*, which were introduced by Schönfinkel with the idea of providing an analogous way to build up functions, and to remove any mention of variables. A combinator is a higher-order function that uses only function application and earlier defined combinators to define a result from its arguments. Implementation of various combinators in KamilaLisp is generally very straightfoward, due to the language's functional nature.

There are many different combinator calculi, but the SKI basis is used the most commonly. It is based on three combinators, S, K and I, which are defined as follows:

- S is the *substitution combinator*, which takes three arguments and applies the first to the second and third, then applies the result to the third argument. It is defined as  $(\lambda \times y \times z \cdot x \times z)$ .
- K is the *constant combinator*, which takes two arguments and returns the first one. It is defined as  $(\lambda \times y \cdot x)$ .
- I is the *identity combinator*, which takes one argument and returns it. It is defined as  $(\lambda \times ... \times)$ .

These combinators can be used to define any other combinator, because the SKI basis is complete. It is important to note that the SK basis on its own would also be complete, since the I combinator can be written in the SK basis as follows:

```
SKK = (\(\lambda\x\) y z . x z (y z)) (\(\lambda\x\) y . x) (\(\lambda\x\) y . x)
= (\(\lambda\x\) y x) (\(\lambda\x\) x x)
= (\(\lambda\x\) . x)
= I
```

These definitions can be easily translated into KamilaLisp:

```
--> def S (λ x (λ y (λ z ((x z) (y z)))))
(λ x . (λ y (λ z ((x z) (y z)))))
--> def K (λ x (λ y x))
(λ x . (λ y x))
--> def I (λ x x)
(λ x . x)
```

This allows for the further verification of the hypothesis that SKK = I:

```
--> ((S K) K) 'a
a
```

There are infinitely many combinators that can be expressed in the SK basis, a few of which are given below:

- A (apply) SK(SK)  $\lambda$  a b . a b, known as \$ in Haskell.
- B (bluebird)  $S(KS)K \lambda$  a b c . a (b c), known as . in Haskell.
- C (cardinal) S(BBS)(KK) λ a b c . a c b, known as flip in Haskell.
- T (thrush) CI λ a b . b a, known as (&) in Haskell.
- R (robin) BBT  $\lambda$  a b c . b c a.

Three particularly interesting combinators are the  $\omega$ ,  $\Omega$  and Y combinators. The  $\omega$  combinator is defined as  $\lambda \times x$ , primarily useful for duplicating a function, for example:

```
\omega (\lambda \times . \times + 1) = (\lambda \times . \times \times) (\lambda \times . \times + 1)
= (\lambda \times . \times + 1) (\lambda \times . \times + 1)
= (\lambda \times . (\times + 1) + 1)
= \lambda \times . \times + 2
```

The  $\Omega$  combinator is defined as  $\omega$   $\omega$  = ( $\lambda$  x . x x) ( $\lambda$  x . x x). Consider an attempt at evaluating this expression:

```
\Omega = \omega \ \omega = (\lambda \ x \ . \ x \ x) \ (\lambda \ x \ . \ x \ x) = (\lambda \ x \ . \ x \ x) \ (\lambda \ x \ . \ x \ x) = \dots
```

It is clearly impossible to ascribe a value to this expression, since the attempts at  $\beta$ -reduction will be unsuccessful, which makes the  $\Omega$  combinator a curious and instructive introduction to the concept of the fixed point combinator.

In combinatory logic for computer science, a fixed-point combinator is a higher-order function that returns some fixed point of its argument function, if one exists. Intuitively, fix f = f(fix f). The implementation of the fixed-point combinator that is of particular interest is the Y combinator. It is defined as follows:

```
Y = \lambda f \cdot (\lambda x \cdot f(x x)) (\lambda x \cdot f(x x))
```

The most interesting thing about the Y combinator is that it can be used to formally define recursive functions in a notation that does not support recursion. Of course, Y can not be ascribed a value per Y f = f (Y f) and hence Y Y = Y (Y Y). Consider the following definition of the Y combinator in KamilaLisp:

```
--> defun Y f ((λ x \x x) (λ x \f \λ y \(x x) y))
(λ f . ((λ x (x x)) (λ x (f (λ y ((x x) y)))))
```

This definition can be used to define a canonical example of a recursive function, the Ackermann function - earliest-discovered examples of a total computable function that is not primitive recursive:

```
A(0,n) = n+1

A(m+1,0) = A(m,1)

A(m+1,n+1) = A(m,A(m+1,n))
```

The definition of the Ackermann function using the Y combinator in KamilaLisp is given by:

```
--> def A \Y (lambda f (lambda a
... (if (= (car a) 0) (+ (cadr a) 1)
... (if (= (cadr a) 0) (f \tie (- (car a) 1) 1)
... (f \tie (- (car a) 1) (f \tie (car a) (- (cadr a) 1))))))
```

The invocation requires putting the arguments in a list and passing it to the function:

```
--> A '(3 3)
61
```

A simpler example would be the factorial function:

```
--> def fact \Y (lambda f (lambda x
... (if (= x 0) 1 (* x \f (- x 1)))))
--> fact 10
3628800
```

An evaluator for SKI calculus can be written by merging together a few independent parts. Starting with a function that performs a single evaluation step on a properly parenthesised SKI expression:

The evaluation function minds various special cases including SKx=I and S(K(SK))K=SK, as they are impossible to derive using standard term-rewriting combinator calculi  $\beta$ -reduction (rudimentary  $\eta$ -expansion would be required<sup>1</sup>). The next step is to define a function that properly parenthesises a SKI expression:

```
(defun SKI-lp x
  (match (reverse x)
      (('a) (SKI-lp a))
      (('a '...as) (tie (SKI-lp (reverse as)) (SKI-lp a)))
      ('a a)))
```

<sup>&</sup>lt;sup>1</sup>Barendregt's "The Lambda Calculus" provides an extension to the CL theory with a list of 5  $A_{\beta}$  axioms. Corollary 7.3.15 states that CL +  $A_{\beta}$  is equivalent to  $\lambda$ , hence in principle one can use only these laws to prove the special cases, however implementing this is beyond the scope of this book.

The final step is to combine these functions and converge the step function:

```
(defun SKI x (converge SKI-step (SKI-lp x)))
```

Validity of the code can be rudimentarily verified by evaluating an expression with known result:

```
--> SKI '(S I I K)
(K K)
--> ; SIIK=((SI)I)K=(IK)(IK)=KK
```

## 3.2. Church encoding

In mathematics, Church encoding is a method of representing data and operators in the lambda calculus.

#### 3.2.1. Natural numbers

The Church numerals are the representations of natural numbers under Church encoding. They can be easily defined in terms of iterated function composition:

	Function definition
0	0 f x = x
1	1 f x = f x
2	2 f x = f (f x)
3	0 f x = x $1 f x = f x$ $2 f x = f (f x)$ $3 f x = f (f (f x))$
:	•
n	$n f x = f^n x$

This concept can be trivially translated into KamilaLisp. For example, the Church numerals for 0 and 3 are given by:

```
--> defun c0 f #0
(λ f . #0)
--> defun c3 f (λ x (f (f (f x))))
(λ f . (λ x (f (f (f x))))
```

To verify the correctness of this definition and further experiments with Church numerals, the following function is defined to convert an arbitrary Church-encoded numeral into a natural number:

```
--> defun nat f ((f $(+ 1)) 0)
(λ f . ((f $(+ 1)) 0))
--> nat c3
3
```

This function utilises the fact that Church encoding is in fact identical to iterated function composition, hence the natural successor function \$(+ 1) can be applied to it with a starting value of 0. To obtain the Church numeral for any natural number, define the following *successor* function:

```
--> defun succ x (λ f (λ a (f ((x f) a))))
(λ x . (λ f (λ a (f ((x f) a))))
```

Using these, it is possible to verify that succ(succ(0)) = 2:

```
--> nat (succ (succ c0))
2
```

Using these properties, it is possible to define a function that yields the Church-encoded numeral for any given natural number:

```
--> defun church n (if n (succ (&0 (- n 1))) c0)
(λ n . (if n (succ (&0/syn (- n 1))) c0))
--> nat (church 5)
5
```

Before giving more examples of operations on Church numerals, it is important to point out that using the helper functions church and nat or built-in natural number arithmetic functions would completely defeat the purpose of Church encoding in the first place, hence they will be used only to verify concrete results, and not to define new functions.

Addition of Church numerals can be easily defined in terms of their composition as  $f^{m+n} x = f^m(f^n x)$ , arguing for two definitions - one using the succ function and the other using the identity verbatim:

```
--> defun add (x y) ((x succ) y)
(λ x y . ((x succ) y))
--> nat (add (church 3) (church 5))
8
--> defun add (m n) (λ f (λ x ((m f) ((n f) x))))
(λ m n . (λ f (λ x ((m f) ((n f) x)))))
--> nat (add (church 6) (church 5))
```

Multiplication of Chruch numbers follows the same rule,  $f^{m \times n} x = f^m(f^n x)$ . It is important to notice what role the function composition aspect of Church numerals plays in this definition: the function f is applied to the result of the composition of n copies of f, which is then applied to n. Notice how currying is used to avoid the need for explicit application of n:

```
--> defun mul (m n) (λ f (m (n f)))
(λ m n . (λ f (m (n f))))
--> nat \mul (church 5) (church 6)
30
```

Exponentiation follows the same rule and can be very succinctly defined as follows due to currying:

```
--> defun cexp (m n) (n m)
(λ m n . (n m))
--> nat \cexp (church 5) (church 3)
125
```

Subtraction is considerably more difficult to define. The natural numbers form a commutative monoid  $(\mathbb{N}, +, 0)$ . A binary relation  $\sim$  on this monoid is defined as  $m \sim n$  if and only if m = n + k for some  $k \in \mathbb{N}$ .  $\sim$  is obviously reflexive and transitive, while  $\mathbb{N}$  is also naturally ordered since  $\sim$  is

also antisymmetric, making it a partial order. Furthermore, for all pairs of elements  $a \in \mathbb{N}$  and  $b \in \mathbb{N}$  there exists a unique smallest element k such that  $a \sim b + k$ , hence  $\mathbb{N}$  is a commutative monoid with monus, a - b of any two elements a and b, which can be defined as this unique smallest element k such that  $a \sim b + k$ . In  $\mathbb{N}$  the monus operator is a saturating variant of standard subtraction between two integers such that  $a - b = \max(a - b, 0)$ .

To implement saturating subtraction, a predecessor function needs to be defined such that pred(0) = 0 and pred(n+1) = n for all  $n \in \mathbb{N}$ , hence the predecessor function must return a function that applies its parameter n-1 times. This is achieved by building a container around f and x, which is initialized in a way that omits the application of the function the first time:

```
--> defun pred n (λ f (λ x (((n (λ g (λ h (h (g f))))) (λ u x)) (λ u u))))
(λ n . (λ f (λ x (((n (λ g (λ h (h (g f))))) (λ u x)) (λ u u)))))
--> nat (pred (church 5))
4
```

This definition of pred can be simplified using the K and I combinators, however it is required to define a new combinator  $F = \lambda$  a b c . c (b a), which can be written written in terms of B and T as  $B(B \ T)T$ :

```
--> def F (λ a (λ b (λ c (c \b a))))
(λ a b c . (c (b a)))
--> defun pred x (λ f (λ a (((x (F f)) (K a)) I)))
(λ x . (λ f (λ a (((x (F f)) (K a)) I))))
--> nat (pred (church 5))
4
--> nat (pred (church 0))
0
```

It is sufficient to apply the pred function to a Church numeral m, n times to obtain the Church numeral m-n. Once again, this can be done by using currying and the fact that a Church numeral is essentially iterated application of a function:

```
--> defun sub (m n) ((n pred) m)
(λ m n . ((n pred) m))
--> nat (sub (church 5) (church 3))
2
--> nat (sub (church 3) (church 5))
0
```

#### 3.2.2. Boolean domain

Boolean numbers can also be encoded using Church encoding. It is perhaps not very surprising, since  $\mathbb{B} = \{0,1\}$ , arguing for the following definitions of true and false:

```
--> defun bt f (λ x (f x))
(λ f . (λ x (f x)))
--> defun bf f (λ x x)
(λ f . (λ x x))
```

However, it is more convenient to define them as combinators, so that T t f = t and F t f = f, which can be done as follows:

```
--> def T (λ t (λ f t))
(λ t f . t)
--> def F (λ t (λ f f))
(λ t f . f)
```

This definition allows predicates (functions returning logical values) to directly act as if-clauses. A function returning a Boolean, which is then applied to two parameters, returns either the first or the second parameter. Consequently, functions that convert between Church-encoded Booleans and standard natural numbers are given as follows:

```
--> defun b2n b ((b 1) 0)
(λ b . ((b 1) 0))
--> defun n2b n (if n T F)
(λ n . (if n T F))
```

It is important to point out that true and false are defined canonically using the SK basis as T = K and F = SK. This definition allows for a particularly elegant definition of negation, as the t and f arguments are simply swapped:

```
--> defun bnot b ((b F) T)
(λ b . ((b F) T))
--> b2n \bnot T
0
--> b2n \bnot F
1
```

To define negation in terms of the SKI basis it is sufficient to use the C combinator, which is defined as  $C = \lambda$  a b c . a c b, and written as S(BBS)(KK), which in turn  $\beta$ -reduces using the definition of B to S(S(K(S(KS)K))S)(KK). The validity of this reasoning is verified when applying this expression to K and SK:

```
--> SKI '(S(S(K(S(K S)K))S)(K K) (S K))

K
--> SKI '(S(S(K(S(K S)K))S)(K K) K)
(S K)
```

The next two operations to implement for Boolean values is logical AND and OR conjunctions:

```
--> defun bor x (λ y ((x T) y))
(λ x . (λ y ((x T) y)))
--> defun band x (λ y ((x y) F))
(λ x . (λ y ((x y) F)))
```

Verify the truth tables:

```
--> defun tabulate f (discard \
... :(lambda x \io:writeln \str:format
... "{b2n \\car x} f {b2n \\cadr x} = {b2n \\(f (car x)) (cadr x)}")
... (tie (tie T T) (tie T F) (tie F T) (tie F F)))
```

```
--> tabulate bor

1 f 1 = 1

1 f 0 = 1

0 f 1 = 1

0 f 0 = 0

--> tabulate band

1 f 1 = 1

1 f 0 = 0

0 f 1 = 0

0 f 0 = 0
```

Of course, the band and bor functions can also be written in the SKI basis. It is apparent that band = R(KI) and bor = TK. Per the definitions of these combinators:

## 3.2.3. Natural number division and comparisons

Elementary comparisons between Church-encoded natural numbers are rather straightforward. The following definitions of leq? and zero? are given as follows:

```
--> defun zero? n ((n (λ x F)) T)
(λ n . ((n (λ x F)) T))
--> defun leq? n (λ m (zero? (sub n m)))
(λ n . (λ m (zero? (sub n m))))
```

The definition of zero? uses the fact that a Church number greater than zero will iterate the function ( $\lambda$  x F) at least once, while a zero (an identity function) will simply yield T. The second function that utilises the monus operator uses sub and zero? to determine whether the first number is less than or equal to the second number. Defining equality involves computing the absolute difference between two numbers, given as |a-b| = (x-y) + (y-x):

```
--> defun eq? n (λ m (zero? (add (sub n m) (sub m n))))
(λ n . (λ m (zero? (add (sub n m) (sub m n)))))
```

Other kinds of inequalities can be defined using these functions as follows:

```
--> defun gt? n (λ m (bnot ((leq? n) m)))
(λ n . (λ m (bnot ((leq? n) m))))
--> defun lt? n (λ m ((gt? m) n))
(λ n . (λ m ((gt? m) n)))
--> defun geq? n (λ m (bnot ((lt? n) m)))
(λ n . (λ m (bnot ((lt? n) m)))
```

Defining the division of Church-encoded natural numbers is considerably more involved than multiplication or exponentiation. To define division in  $\mathbb{N}$ , consider the numbers  $a,b\in\mathbb{N}$  such that  $b\neq 0$ . It is apparent that in  $\exists_1q,r\in\mathbb{N}: a=qb+r,r< b$  where q is the quotient and r is the remainder. The following definition of division is based on this observation:

$$n/m = \text{if } n \ge m \text{ then } 1 + (n-m)/m \text{ else } 0$$

This mathematical definition can be easily written in KamilaLisp:

```
--> defun div n (\lambda m (((
... ((geq? n) m)
... (\lambda _ \succ ((div (sub n m)) m)))
... (\lambda _ c0)) 'nil))
--> nat \((div c6) c3
2
--> nat \((div c6) (succ (succ c0)))
3
--> nat \((div c6) (succ c0))
```

Curiously, division by zero overflows the stack:

```
--> (div c3) c0
StackOverflowError thrown in thread 0X7ef82753:
null
```

The definition of modulus can be easily conjectured using already implemented truncating division:

$$n \mod m = n - (n/m) \cdot m$$

Transcribing this definition into KamilaLisp:

```
--> defun cmod n (λ m (sub n (mul ((div n) m) m)))
(λ n . (λ m (sub n (mul ((div n) m) m))))
--> nat \(cmod c6) (succ c3)
2
```

#### 3.2.4. Pairs

Church pairs are the Church encoding of the pair type. The pair is represented as a function that takes a function argument. When given its argument it will apply the argument to the two components of the pair. The definition of Church pairs in KamilaLisp is as follows:

```
--> defun pair (x y) (λ f ((f x) y))
(λ x y . (λ f ((f x) y)))
--> def fst (λ p (p K))
(λ p . (p K))
--> def snd (λ p (p (K I)))
(λ p . (p (K I)))
```

It is easy to verify the correctness of this approach:

```
--> fst (pair 'a 'b)
a
--> snd (pair 'a 'b)
b
```

Pairs are canonically used in implementing signed Church numerals, where each signed Church numeral is a pair of two Church-encoded natural numbers, the first representing the positive part and the second representing the negative part. Consequently, rational numbers can be encoded as a pair of a signed Church numeral and a Church-encoded natural number, where the earlier represents the numerator, while latter represents the denominator. Using similar logic, it is also possible to encode computable real numbers, i.e. numbers that can be approximated by some computable function  $f: \mathbb{N} \to \mathbb{Z}$  such that given any  $n \in \mathbb{N}$ , the following holds:

$$\frac{f(n)-1}{n} \le a < \frac{f(n)+1}{n}$$

Complex numbers are naturally encoded as a pair of real numbers.

#### 3.2.5. Lists

Exploring the Church encoding for lists is particularly beneficial for encoding other, more complex data structures. In practice, a string can be encoded as a list of code points, a graph can be encoded as a list of edges, and a tree can be encoded as a list of nodes. This adheres to the Church-Turing thesis, a consequence of which is that any data type or calculation may be encoded in lambda calculus.

There are many ways to encode lists using the Church encoding. The most straightforward of them is to use pairs to build up a linked list. It is obvious that cons can be defined as pair, car is fst, cdr is snd and nil is F. cempty? is thus defined as follows:

```
--> defun cempty? l ((l (λ h \λ t \λ d F)) T)
(λ l . (l (λ _ F) T))
--> b2n \cempty? F
1
--> b2n \cempty? (pair 'a (pair 'b F))
0
```

Given these functions, it is possible to give recursive implementations of common list-related abstractions over recursion (map, foldl, foldr, filter, etc.).

The canonical way to represent a list in Church encoding is to define it as a right-fold with the cons and nil arguments, so that for example '(1 2 3) becomes  $\lambda$  c n . c 1 (c 2 (c 3 n)). nil is particularly easy to define, as it merely returns the n component of the argument pair:

```
--> def cnil (λ (c n) n)
(λ c n . n)
```

ccons is a function of an element x and a list xs, so that it yields  $\lambda$  c n . c x (xs c n) - creating a new "cons cell" and setting its nil value to the list:

```
--> defun ccons (x xs) (λ (c n) (c x (xs c n)))
(λ x xs . (λ (c n) (c x (xs c n))))
```

Because this Church-encoded list is essentially a right-fold, it is easy to write functions that convert from Church-encoded lists to regular lists and vice versa:

```
--> defun to-list (l) (l cons 'nil)
(λ l . (l cons 'nil))
--> defun from-list (l) (foldr ccons cnil l)
(λ l . (foldr ccons cnil l))
--> to-list \ccons 'a \from-list '(b c)
(a b c)
```

As demonstrated in Chapter 2, right-folds are extremely powerful and can be used to implement append, map, filter and many other list processing devices. Implementations of append and all are presented below:

```
--> defun cappend (xs ys) (lambda (c n) (xs c (ys c n)))
(λ xs ys . (lambda (c n) (xs c (ys c n))))
--> to-list \cappend (from-list '(a b)) (from-list '(c d))
(a b c d)
--> defun call (xs p) (xs (lambda (x xs) (and (p x) xs)) true)
(λ xs p . (xs (lambda (x xs) (and (p x) xs)) true))
--> call (from-list '(1 2 3 4 5)) (lambda x (< x 5))
0
--> call (from-list '(1 2 3 4)) (lambda x (< x 5))
```

## 3.3. Sets

Sets in KamilaLisp don't differ fundamentally from lists. KamilaLisp sets are *ordered*, meaning that operations on them such as union, intersection, taking the unique values, etc... - are all performed in a deterministic manner. As a consequence, sets already inherit many useful list functions, such as map, filter and all sorts of folds. Functions specific to sets are demonstrated below:

```
--> union '(1 2 3 5 6) '(2 3 5 7)
(1 2 3 5 6 7)
--> intersection '(1 2 3 5 6) '(2 3 5 7)
(235)
--> unique '(1 2 3 5 6)
(1 2 3 5 6)
--> without '(1 2 3 5 6) '(5 6)
(1 2 3)
--> powerset '(1 2 3)
[nil
 (1)
 (2)
 (1\ 2)
 (1\ 3)
 (2 3)
 (1 2 3)
 (3)]
```

Set insertion is admittedly not a built-in function, but it can be easily implemented using cons and in?:

```
--> defun set-insert (el set) (if (in? el set) set (cons el set))
(λ el set . (if (in? el set) set (cons el set)))
--> set-insert 5 '(1 2 3 4)
(5 1 2 3 4)
--> set-insert 5 '(1 2 3 4 5)
(1 2 3 4 5)
```

## 3.4. Queues

Queues are implemented in KamilaLisp using lists. This section will focus primarily on LIFO<sup>2</sup> queues, FIFO<sup>3</sup> queues and priority queues. KamilaLisp generally encourages ad-hoc implementations of queues, since the elementary operations on LIFO and FIFO queues can be trivially implemented using list processing functions. For example, pop-front could be implemented as [tie car cdr], push-back is just append while push-front is cons. Because KamilaLisp internally equates lists and vectors, this approach generally exhibits good performance characteristics. It is however possible to implement FIFO queues using two stacks<sup>4</sup>: an inbox and outbox. This way pushing an element to the queue is merely adding an element to the inbox FIFO stack, while popping from the queue reduces to popping from the outbox if it is non-empty. If the outbox is empty, data from inbox is transferred to outbox:

```
--> defun alt-q-push (el q) (tie (cons el (car q)) (cadr q))
--> defun alt-q-pop q (
... if (empty? \cadr q)
... (&0 \[tie cadr car] q)
... ([tie car@cadr \tie car cdr@cadr] q))
```

The implementation of a priority queue is a bit more involved. While insertion into a priority queue could be implemented by simply appending the new element to the queue and sorting it according to the priorities, this would be a rather inefficient solution. Instead, the following implementation of priority queue insertion is suggested:

```
--> (defun insert-pq (q el)
... \insert q el
... \count (lambda x \< (car x) (car el)) q)
```

This function takes a priority queue q and an element et and inserts et into q at the correct position. The insert function is a generic function that takes a list, an element and a position and inserts the element at the given position. The count function in this scenario counts elements with priority smaller than the priority of et.

One algorithm that particularly benefits from priority queues is *Huffman coding*. Huffman coding is a lossless compression algorithm that uses a variable-length code to represent the most common symbols in a file. The algorithm is based on the observation that symbols that occur more frequently in a file can be represented with fewer bits than symbols that occur less frequently. The algorithm works by building a binary tree, where the leaves are the symbols in the file and the internal nodes are the

<sup>&</sup>lt;sup>2</sup>LIFO: Last-In-First-Out

<sup>&</sup>lt;sup>3</sup>FIFO: First-In-First-Out

<sup>&</sup>lt;sup>4</sup>As conventionally done in OCaml or Haskell, since a linked list can be inexpensively used as a FIFO stack.

sums of the frequencies of the symbols in the leaves below them. To implement Huffman coding, start by computing the frequencies of each symbol in a buffer buf and sorting the symbols by the frequencies in ascending order:

```
--> def freq-tab \:[tie tally@cadr car] \group buf
--> def freq-sorted freq-tab$[grade-up \car%[1] freq-tab]
```

The next step is to define a function that performs a single iteration of the tree building algorithm. The two least common symbols are combined into a single symbol, which is then inserted into the list of symbols in the correct position with combined frequencies:

```
--> (defun huffman-step pq
... \if (= 1 \tally pq) pq
... \insert-pq (cddr pq)
... \tie (+ (caar pq) (car@cadr pq))
... (tie (cadr@car pq) (cadr@cadr pq)))
```

The Huffman tree can be finally built by converging the step function:

```
--> def huffman-tree \car@cdar \converge huffman-step freq-sorted
```

To encode symbols in the buffer using the huffman tree, it needs first be labelled with the bit sequences that represent the symbols. This is accomplished in the following way:

```
--> (defun tag (t code) (
... if (= 0 \tally t)
... (tie t (reverse code))
... (append (tag (car t) (cons 0 code))
... (tag (cadr t) (cons 1 code)))))
--> (def huffman-tab \bipartition rank \tag huffman-tree 'nil)
```

Given the Huffman table, it is now possible to encode the buffer. Because Huffman codes are variable-length, it is important that the encoded buffer is padded with zeros to the nearest multiple of 8 to form a full byte. This is accomplished by the following function:

```
--> (def encoding-ids
... \flatten (car huffman-tab)$[
... $(car@index-of&[tie #0])%[0 1] buf (cdr huffman-tab)])
--> def padded \take (bit:and (+ (tally encoding-ids) 7) -8) encoding-ids
```

Finally, instead of encoding all the auxiliary data into a buffer or file, for simplicity return the huffman table, encoded bits and the bit length verbatim:

```
--> (tie (tally encoding-ids) huffman-tab
\:$(- _ 128)@:$(decode 2)
\partition (cycle (tally padded) (take 8 '(1))) padded)
```

To reverse the encoding process, assume that buf is now defined as the result above. First, the bit stream, bit length and huffman table are extracted from the buffer:

```
--> def bit-len (car buf)
--> def huffman-table (cadr buf)
--> (def bit-stream (take bit-len
... \flatten@:(lambda x \reverse@take 8 \reverse@encode 2 (+ 128 x))
... \car@cddr buf))
```

The step function that performs a single iteration of the decoding algorithm is defined as follows:

```
--> (defun unhuffman-step (stream dec) (let-seq
... (def match-idx \car@where@:starts-with (tie stream) (car huffman-table))
... (def match-len \tally (car huffman-table)$[#0 match-idx])
... (def new-dec (append dec \tie (cadr huffman-table)$[#0 match-idx]))
... (def new-stream (drop match-len stream))
... (if (= 0 \tally new-stream) new-dec \&0 new-stream new-dec)))
```

First, the function finds the Huffman table entry index which is the prefix of the bit stream (match-idx). Then the length of the prefix is computed. The decoded symbol is then appended to the decoded buffer and the prefix is dropped from the bit stream. The function is then recursively applied to the remaining bit stream and the new decoded buffer. Finally, the function is applied as follows:

```
--> unhuffman-step bit-stream 'nil
```

## 3.5. Dictionaries

KamilaLisp implements dictionaries as persistent maps. Like every other data structure in KamilaLisp, they are immutable. Dictionaries in KamilaLisp are chiefly used as key-value storage and in process of implementing prototype-based object orientation. The following example illustrates dictionary constructors:

```
--> def fruits %{
... "apple" => "Apfel",
... "pear" => "Birne",
... "apricot" => "Aprikose"
... }
--> def digits %{
... 1 => '("Ein" "One"),
... 2 => '("Zwei" "Two"),
... 3 => '("Drei" "Three"),
... 4 => '("Vier" "Four")
... }
```

It is important to emphasise that KamilaLisp primarily employs data constructors, not literals. This means that the dictionary constructors demonstrated above are not literals, but rather functions with special syntax that return dictionaries. The only way to create a literal is to quote data. The difference between data constructors and literals is illustrated on the following example:

```
--> def a 5
5
--> def my-map %{
```

```
... a => 'a,
... 'a => 'a,
... (+ a 5) => 'a
... }
{5=a, 10=a, a=a}
```

Adding and removing data to and from dictionaries is done using the hashmap:adjoin and hashmap:minus. The following example illustrates the usage of these functions:

```
--> def fruits-b \hashmap:adjoin fruits "banana" "Banane" {"pear"="Birne", "apple"="Apfel", "banana"="Banane", "apricot"="Aprikose"} --> def digits-3 \hashmap:minus digits 3 {1=("Ein" "One"), 2=("Zwei" "Two"), 4=("Vier" "Four")}
```

A dictionary can be turned into or created from a plain list using hashmap:as-list and hashmap:from-list, respectively:

```
--> hashmap:as-list digits
((1 ("Ein" "One")) (2 ("Zwei" "Two")) (3 ("Drei" "Three")) (4 ("Vier" "Four")))
--> hashmap:from-list '(("one" "Ein") ("two" "Zwei"))
{"one"="Ein", "two"="Zwei"}
```

The functions hashmap:contains-key? and hashmap:contains-value? can be used to check whether a dictionary contains a given key or value:

```
--> hashmap:contains-key? digits 3

1
--> hashmap:contains-value? digits '("Drei" "Three")

1
--> hashmap:contains-key? digits-3 3

0
--> hashmap:contains-value? digits-3 '("Drei" "Three")

0
```

There are three different ways to query values from a dictionary. The first, general way allows to query a dictionary for a given key using hashmap:get and return nil if the key is not present in the dictionary. The second way is to use hashmap:get-or which returns the given default value if the key is not present in the dictionary. The last way is to use the dot operator to query the value of a given key of type string. The following example illustrates the usage of all these approaches:

```
--> hashmap:get digits 3

["Drei"

"Three"]

--> hashmap:get digits 5

--> hashmap:get-or digits 5 '("Funf" "Five")

["Funf"

"Five"]

--> ?my-dict.pear

Birne

--> ?my-dict.apple

Apfel

--> ?my-dict.potato
```

Dictionaries also implement a modified version of **group** that groups the values of a list and creates a dictionary from the result. The following example illustrates the usage of this functionality:

```
--> def data '("apple" "pear" "apple" "pear" "pear" "apricot")

["apple"
    "apple"
    "pear"
    "pear"
    "apricot"]

--> hashmap:group data

{"pear"=(1 3 4), "apple"=(0 2), "apricot"=(5)}
```

Merging and subtracting dictionaries is performed using the hashmap:merge and hashmap:without functions. When merging, existing keys are overwritten. When subtracting, keys from the second dictionary that are present in the first dictionary are removed.

```
--> hashmap:merge %{1 => 2, 3 => 4} %{1 => 3, 2 => 6} {1=3, 2=6, 3=4} --> hashmap:without %{1 => 2, 3 => 4} %{1 => 3, 2 => 6} {3=4}
```

Finally, maps can be turned into a list of keys or a list of values using hashmap:key-list and hashmap:value-list, respectively:

```
--> hashmap:key-list fruits
["pear"
    "apple"
    "apricot"]
--> hashmap:value-list fruits
["Birne"
    "Apfel"
    "Aprikose"]
```

The joint key-value pairs from a dictionary can be processed using hashmap:process:

```
--> hashmap:process %{1 => 1, 2 => 4, 3 => 9, 4 => 16} :[tie car $(* 2)@cadr] {1=2, 2=8, 3=18, 4=32}
```

#### 3.6. Relations

Formally speaking, a (binary) relation  $\sim$  over a set X can be seen as a set of ordered pairs (x,y) of members of X. The relation  $\sim$  holds between x and y if (x,y) is a member of  $\sim$ . In KamilaLisp, such relation can also be seen as a function  $\sim$ :  $X \times X \to \mathbb{B}$ , where  $\sim (x,y) = 1$  if (x,y) is a member of  $\sim$  and  $\sim (x,y) = 0$  otherwise. Generally speaking, it is impossible to ascribe properties to relations defined as a function on an infinite set (e.g.  $\mathbb{R}$ , like the built-in relations /= or <) without symbolic manipulation. When studying the properties of relations (reflexivity, transitivity, etc...) it is therefore vital to define a relation as a finite set of ordered pairs. It is also allowed for a binary relation to associate the elements of one set (the domain) with the elements of another set (the codomain). If this is the case, a binary relation over sets X and Y is an element of the power set  $X \times Y$ . Since the latter set is ordered by

inclusion, each relation has a place in the lattice of subsets of  $X \times Y$ . A binary relation is called a homogeneous relation when X = Y. A binary relation is also called a heterogeneous relation when it is not necessary that X = Y. Union of relations is defined like the union of sets: if  $\sim$  and  $\sim'$  are relations over X, then  $\sim \cup \sim' = \{(x,y) : x \sim y \lor x \sim' y\}$ . For example, the union of the relations  $\prec$  and  $\prec$  is the relation  $\prec$ =. Intersection of relations follows the same pattern. If  $\sim$  is a relation over X and X and X are relation over X and X and X defined by X defined by X defined by X and X defined by X define

```
--> def ~1 '((1 2) (2 3) (3 4) (4 5) (5 6))
--> def ~2 '((2 4) (3 6) (4 8) (5 10) (6 12))
```

The following function can be used to compute the composition of two relations:

```
--> defun r-compose (r1 r2) (
... let* (r2x \:car r2)
... \flatten \:(lambda x
... \let* ((a b) x)
... \:$(cons a)@:cadr r2$[find-idx r2x b]) r1)
--> r-compose ~1 ~2
[(1 4)
(2 6)
(3 8)
(4 10)
(5 12)]
```

Both of the relations do not need to be injective:

```
--> def ~1 '((1 1) (2 4) (3 9))
--> def ~2 '((1 1) (1 -1) (4 2) (4 -2) (9 3) (9 -3))
--> r-compose ~1 ~2

[(1 1)
    (1 -1)
    (2 2)
    (2 -2)
    (3 3)
    (3 -3)]
```

An identity relation (the identity element for relation composition) for  $n \in \mathbb{N}$  up to m is given as  $:[/\ \#0\ \#0] \circ \iota \ m$ .

If  $\sim$  is a binary relation over sets X and Y, then the converse of  $\sim$  is the relation  $\sim^T$  over Y and X defined by  $\sim^T = \{(y,x): x \sim y\}$ . Iff<sup>5</sup> a relation is symmetric it is also its own converse for example, = and /= are their own converses. The relations < and > are mutually converses of each other. The converse of a relation can be trivially computed using :reverse. In the monoid of binary endorelations on a set (with the binary operation on relations being the composition of relations), the converse relation does not satisfy the definition of an inverse from group theory. The converse relation does satisfy the (weaker) axioms of a semigroup with involution:  $(L^T)^T = L$  and  $(L \circ R)^T = R^T \circ L^T$  (distributive law). R is a symmetric binary relation over X and Y iff  $R^T = R$ , thus  $R = \{(a,b): aRb \Leftrightarrow bRa\}$ , so  $(a,b) \in R \Rightarrow (b,a) \in R$ . This can be easily checked for using

 $<sup>^{5}</sup>$ If and only if.

[same-elements #0 :reverse]. Uniqueness properties (injectivity and functionality) are checked for using, respectively, [same-elements unique #0]@:car and [same-elements unique #0]@:cadr.

Homogenous relations have the following properties of particular interest:

- Reflexivity:  $x \sim x$  for all  $x \in X$  def reflexive? all@:(lift =).
- Irreflexivity:  $x \sim x$  does not hold for any  $x \in X$  def irreflexive? none@:(lift =).
- Symmetric:  $x \sim y$  implies  $y \sim x$  def symmetric? [same-elements #0 :reverse].
- Antisymmetric:  $x \sim y$  and  $y \sim x$  implies x = y in other words, unless x = y then both  $x \sim y$  and  $y \sim x$  cannot hold [not-same-elements #0 :reverse]@\$(filter \lift /=).
- Asymmetric: if  $x \sim y$  then  $y \sim x$  does not hold in other words, the relation must be antisymmetric and irreflexive.
- Transitive: if  $x\sim y$  and  $y\sim z$  then  $x\sim z$  def transitive? [all@:^in? tie@#0 \rcompose #0 #0].

The transitive closure of a binary relation  $\sim$  on a set X is the smallest relation on X that contains  $\sim$  and is transitive. "Smallest" is chiefly taken in its usual sense, of having the fewest related pairs. To begin solving this problem, start by turning the relation into a matrix using where-mask. The next step is converging a function that finds a transitive closure using inner product with or as the accumulation part and and as the mapping part. The function transitive-closure is defined as follows:

Implementing the reflexive closure - the smallest relation on X that contains  $\sim$  and is reflexive - is considerably simpler and accomplished by :[tie #0 #0]@unique@flatten.

# 3.7. Graphs

A graph is a data structure consisting of a set of objects (vertices) in which some pairs of the objects are related (linked). It is important to recognise how graphs are more general than trees - a tree is merely a connected undirected acyclic graph. The following elementary properties of graphs are considered:

- A graph is either **directed** or **undirected**. This property specifies whether the links between vertices are ordered or not.
- A graph may be declared to contain **self-loops**. This property specifies whether a vertex may be linked to itself.

- A graph may be declared to contain **multiple edges**. This property specifies whether a vertex may be linked to another vertex more than once.
- A graph may be **weighted**, in which case each link is assigned a weight (a real number represented as a machine word; i.e. not arbitrary precision).

Depending on the declared properties of the graph, certain graphs are assigned names:

- An undirected, unweighted graph with no self-loops and no multiple edges is called a **simple** graph.
- An undirected, unweighted graph with no self-loops and multiple edges is called a **multigraph**.
- An undirected, unweighted graph with that allows for self-loops and multiple edges is called a **pseudograph**.

Directed and weighted variants of these graphs exist, but they do not have unique names. For instance, a directed and weighted graph that allows for multiple edges but no self-loops will be referred to as a directed and weighted multigraph.

#### 3.7.1. Graph constructors

Constructing a graph is done using the following KamilaLisp functions, all of which have similar interfaces explained later on in the book:

- graph:simple constructs a simple graph.
- graph:simple-weighted constructs a weighted simple graph.
- graph:simple-directed constructs a directed simple graph.
- graph:simple-directed-weighted constructs a directed and weighted simple graph.
- graph:multi constructs a multigraph.
- graph:multi-weighted constructs a weighted multigraph.
- graph:multi-directed constructs a directed multigraph.
- graph:multi-directed-weighted constructs a directed and weighted multigraph.
- graph:pseudo constructs a pseudograph.
- graph:pseudo-weighted constructs a weighted pseudograph.
- graph:pseudo-directed constructs a directed pseudograph.
- graph:pseudo-directed-weighted constructs a directed and weighted pseudograph.

Constructing a graph requires specifying the edges and vertices:

```
--> def my-graph \graph:simple
... '(kamila lena julia anna diana)
... '((diana anna) (kamila julia) (julia lena) (lena anna))

Graph(
Vertices=[kamila, lena, julia, anna, diana],
Edges=[(diana => anna), (kamila => julia), (julia => lena), (lena => anna)]
)
```

#### 3.7.2. Elementary graph operations

The following elementary operations are defined on all graphs, regardless of their type.

.has-vertex? - checks whether a vertex is present in the graph.

```
--> my-graph.has-vertex? 'kamila
1
--> my-graph.has-vertex? 'jacob
0
```

.has-edge? - checks whether an edge is present in the graph.

```
--> my-graph.has-edge? '(lena anna)
1
--> my-graph.has-edge? '(lena kamila)
0
```

.edges-of - returns all the edges associated with a vertex.

```
--> my-graph.edges-of 'anna
[(diana anna)
(lena anna)]
```

- .incoming-edges-of returns the set of all edges incoming into the specified vertex. This operation is only useful for directed graphs. For undirected graphs, it is equivalent to .edges-of.
- .outgoing-edges-of returns the set of all edges outgoing from the specified vertex. This operation is only useful for directed graphs. For undirected graphs, it is equivalent to .edges-of.
- .adjoin-vertex adds a vertex to the graph.

```
--> def graph2 \my-graph.adjoin-vertex 'rose

Graph(

Vertices=[kamila, lena, julia, anna, diana, rose],

Edges=[(diana => anna), (kamila => julia), (julia => lena), (lena => anna)]
)
```

.adjoin-edge - adds an edge to the graph.

```
--> def graph2 \graph2.adjoin-edge '(rose lena)

Graph(

Vertices=[kamila, lena, julia, anna, diana, rose],

Edges=[(diana => anna), (kamila => julia), (julia => lena),

(lena => anna), (rose => lena)]

)
```

.degree-of - returns the degree of a vertex. The degree of a vertex is the number of edges incident to it.

```
--> graph2.degree-of 'lena
3
```

.minus-edge and .minus-vertex operate in a similar fashion to .adjoin-edge and .adjoin-vertex, but they remove the specified edge or vertex from the graph. If the specified edge or vertex is not present in the graph, the graph is returned unchanged.

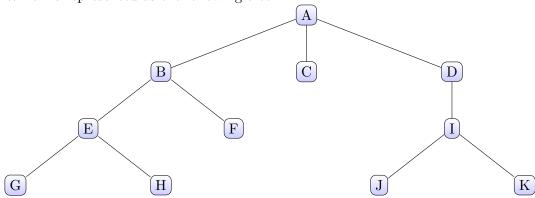
.adjoin-edge-set, .adjoin-vertex-set, .minus-vertex-set, .minus-edge-set perform operations analogical to the ones described above, but work in batches by adjoining multiple edges or vertices, or removing multiple edges or vertices.

#### 3.7.3. Breadth-First and Depth-First Search

Define a graph object that will be subsequently searched:

```
--> def my-graph \graph:simple-directed
'(a b c d e f g h i j k)
'((a b) (a c) (a d) (b e) (b f) (e g) (e h) (d i) (i j) (i k))
```

Which is represented as the following tree:



Breadth-first and depth-first search functions require three or four arguments. The first argument is the graph to be searched. The second argument is the vertex from which the search will start. The third argument is a function that will be applied to each vertex as it is visited. The fourth argument is optional and is a function that will be applied to each edge as it is visited.

The pseudocode for the search functions is as follows:

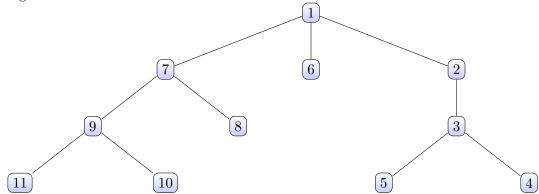
```
vertex_iterator = ...
result = '()
while(vertex_iterator.hasNext()):
    vertex = vertex_iterator.next()
    result, stop = visit(vertex, result)
    if(stop)
        break
return result
```

Breadth-first and depth-first search starting from the edge 'a shows the following access sequences:

```
--> reverse@graph:bfs my-graph 'a [tie cons 0] 'nil
(a b c d e f i g h j k)
--> reverse@graph:dfs my-graph 'a [tie cons 0] 'nil
(a d i k j c b f e h g)
```

In the breadth-first case, incidentally the edges are traversed in the same order in which they were

defined. In the depth-first case, the algorithm descends to the deepest vertices before visiting the next sibling:



### Applied mathematics

This chapter describes the usage of KamilaLisp to achieve various results in applied mathematics.

#### 4.1. Polynomials

KamilaLisp does not support a native polynomial data type, however, throughout the section a *litte-endian* representation is assumed, such that for instance the polynomial  $P(x) = 2x^2 + 3x + 1$  is represented as the list (1,3,2).

#### 4.1.1. Numerically evaluating rational sums and integrals

Suppose that we want to compute the values of the following expressions, given some polynomial functions P(x) and Q(x):

$$\sum_{i=1}^{\infty} \frac{P(i)}{Q(i)}$$

$$\int_{1}^{\infty} \frac{P(x)}{Q(x)} dx$$

The problem is that these expressions may not converge, or may converge very slowly. Precisely,  $\deg P(x) - \deg Q(x) < -1$  is a sufficient condition for divergence. To demonstrate a particular case of performing the summation, consider the following:

$$\sum_{k=1}^{\infty} \frac{1}{k^2 - a}$$

where a is a constant. The following equalities hold (the first equality: only for  $\sqrt{a} \in \mathbb{C} \setminus \mathbb{Z}$ ):

$$\sum_{k=1}^{\infty} \frac{1}{k^2 - a} = \frac{1 - \sqrt{a\pi}\cot(\sqrt{a\pi})}{2a} = \frac{1}{2\sqrt{a}} \left(\psi\left(1 + \sqrt{a}\right) - \psi\left(1 - \sqrt{a}\right)\right)$$

To attempt at understanding why is this happening, recall the following identity due to Euler (1770) for  $z \in \mathbb{C} \setminus \mathbb{Z}$ :

$$\pi \cot(\pi z) = z^{-1} + \sum_{n=1}^{\infty} \frac{2z}{z^2 - v^2}$$

A concise proof using Mittag-Leffler's theorem follows. Given  $b_n$  as the residues:

$$f(z) = f(0) + \sum_{n=1}^{\infty} b_n \left( \frac{1}{z - z_n} + \frac{1}{z_n} \right) = f(0) + \sum_{n=1}^{\infty} \frac{zb_n}{z_n(z_n - z)}$$

Using the contour integral where  $C_N$  is a circle enclosing first N poles of f:

$$I_N = \oint_{C_N} \frac{f(\omega)d\omega}{\omega(\omega - z)}$$

Using the residue theorem we find:

$$I_N = -2\pi i \frac{f(0)}{f(z)} + 2\pi i \frac{f(z)}{z} + \sum_{n=1}^{N} \frac{2\pi i b_n}{z_n(z_n - z)}$$

Consider  $\cot z - z^{-1}$  to remove a singularity.  $b_n$  is found using the residue theorem as follows:

$$b_n = \lim_{z \to n\pi} (z - n\pi) \cot z = \lim_{z \to n\pi} (z - n\pi) \frac{z \cos z - \sin z}{z \sin z} = 1$$

Hence:

$$\cot z - z^{-1} = \sum_{n=1}^{N} \frac{1}{z - n\pi} + \frac{1}{n\pi} + \frac{1}{z + n\pi} - \frac{1}{n\pi}$$

Substitute and rearrange:

$$\pi \cot(\pi z) = z^{-1} + \sum_{n=1}^{N} \left( \frac{1}{z-n} + \frac{1}{z+n} \right) = z^{-1} + \sum_{n=1}^{N} \frac{2z}{z^2 - n^2}$$

Knowing where the first part of the equality comes from, the next logical step is reasoning about the second equality:

$$\frac{1 - \sqrt{a}\pi \cot(\sqrt{a}\pi)}{2a} = \frac{1}{2\sqrt{a}} \left( \psi \left( 1 + \sqrt{a} \right) - \psi \left( 1 - \sqrt{a} \right) \right)$$

Use the recurrence relation of the digamma function once:

$$\frac{1 - \sqrt{a}\pi \cot(\sqrt{a}\pi)}{2a} = \frac{1}{2\sqrt{a}} \left( \frac{1}{\sqrt{a}} + \psi(\sqrt{a}) - \psi(1 - \sqrt{a}) \right)$$

Now it is possible to apply the digamma reflection formula given as follows:

$$\psi(1-x) - \psi(x) = \pi \cot \pi x$$

The problem hence reduces to:

$$\frac{1}{2\sqrt{a}} \left( \frac{1}{\sqrt{a}} - \pi \cot \pi \sqrt{a} \right) = \frac{1}{2\sqrt{a}} \left( \frac{1 - \sqrt{a}\pi \cot \pi \sqrt{a}}{\sqrt{a}} \right) = \frac{1 - \sqrt{a}\pi \cot \pi \sqrt{a}}{2a}$$

The general algorithm for convergent series implemented below is as follows. Consider polynomials P(x) and Q(x) that have only unique roots (i.e. the multiplicity of each is 1). Denote the roots of the polynomial Q(x+1) (obtained using the most convenient means) as  $R_0 \dots R_m$ . The following equality holds if P(x) = 1:

$$\sum_{n=1}^{\infty} \frac{1}{Q(n)} = -\sum_{\omega \in R} \frac{\psi(-\omega)}{Q'(\omega+1)}$$

If  $P(x) \neq 1$  (i.e. the rational function is not an inverse of some polynomial), the formula is as follows:

$$\sum_{n=1}^{\infty} \frac{P(n)}{Q(n)} = -\sum_{\omega \in R} \frac{P(\omega+1)\psi(-\omega)}{Q'(\omega+1)}$$

To start, define a few helper functions - evaluating a polynomial at a point, computing the symbolic derivative of a polynomial and substituting x + 1 into the polynomial to transform the *litte-endian* coefficient list:

```
(def polyevl
  [(inner-product cmplx64:+ cmplx64:*) #0 ^cmplx64:**&[#0 range@tally]])

(def polyderv cdr@[* #0 range@tally])

(defun poly+1 (c)
  (let-seq
    (def bin-mat (:[:^binomial #0 \range $(+ 1)]@range@tally c))
    (def coeff-mat (:$(take (tally c)) (* c bin-mat)))
    (:$(foldl1 +)@transpose coeff-mat)))
```

The function is trivially defined as follows:

```
(defun rsum (p q) (let-seq
        (def q+1 (poly+1 q))
        (def p+1 $(polyevl (poly+1 p)))
        (def q+1p $(polyevl (polyderv q+1)))
        (def R (cmplx64:solve q+1))
        (def V [/ [* p+1 cmplx64:digamma@-] q+1p])
        (cmplx64:neg (foldl + 0 (:V R)))))
```

The integral case is defined similarly; except the digamma factor is replaced with a logarithm factor such that we sum  $\frac{P(w)\log(x-w)}{Q'(w)}$  for roots w; the rat-int function returns the numeric antiderivative of the specified rational function.

```
(defun rat-int (p q)
  (let-seq
  (def qp \polyderv q)
  (def qr \cmplx64:solve q)
  (def q' \:$(polyevl qp) qr)
  (λ x \-@foldl + 0 \^/ q' \:(λ r \^polyevl r \* p \ln@- x r) qr)))
```

Using rsum we estimate the value of  $\sum_{k=1}^{\infty} \frac{1}{k^2 - 2}$  as follows:

```
--> rsum '(1) '(-2 0 1)
-0.056810407700620236J4.879779454488352E-17
```

The result is correct to approximately 17 decimal places, as seen by the imaginary part of the result, which in reality is zero. To compute the value of this sum, use the previously proven identity:

$$\sum_{k=1}^{\infty} \frac{1}{k^2 - 2} = \frac{1 - \sqrt{2}\pi \cot(\sqrt{2}\pi)}{4} = \frac{1}{2\sqrt{2}} \left( \psi \left( 1 + \sqrt{2} \right) - \psi \left( 1 - \sqrt{2} \right) \right)$$

Verifying the result using KamilaLisp yields:

```
--> flt64:* 0.25 (flt64:- 1 ([flt64:* #0 flt64:cot] (flt64:pi@flt64:sqrt 2)))
-0.056810407700620236

--> (flt64:/
... (foldl1 - (:flt64:digamma ([tie flt64:+ flt64:-] 1 (flt64:sqrt 2))))
... ([flt64:* #0 flt64:sqrt] 2))
-0.05681040770062016
```

For a practical application of this property, consider the Catalan constant defined as follows:

$$K = \sum_{k=0}^{\infty} \frac{(-1)^k}{(2k+1)^2}$$

Splitting the even and odd terms yields the following two sums:

$$K = 1 + \sum_{n=1}^{\infty} \frac{1}{(4n+1)^2} - \frac{1}{9} - \sum_{n=1}^{\infty} \frac{1}{(4n+3)^2}$$

Before the sum can be computed, a limitation of the algorithm must be taken care of. The polynomial is modified by adding and subtracting a known constant factor and taking the average:

```
--> (defun rsum-avg (p q c)
... (flt64:* 0.5 (foldl1 flt64:+
... (:rsum (tie p) (:cons ([tie flt64:+ flt64:-] (car q) c) (tie@cdr q))))))
```

Hence the Catalan constant is defined in KamilaLisp using rsum-avg as follows:

```
--> (def K (flt64:+ (/ 8 9)
... (- (rsum-avg '(1) '(1 8 16) 0.0001) (rsum-avg '(1) '(9 24 16) 0.0001)))
0.9159655942089728
```

The value obtained has 11 correct digits.

#### 4.1.2. Polynomial discriminants

Let P(x) denote general monic polynomial of degree n i.e. of the form

$$P(x) = (x - x_1)(x - x_2) \cdots (x - x_n)$$

Let  $V(x_1, x_2, \dots, x_n)$  denote the Vandermonde determinant in  $x_1, x_2, \dots, x_n$ 

$$V(x_1, x_2, \cdots, x_n) = \prod_{1 \le i \le j \le n} (x_j - x_i)$$

The discriminant of P(x) is denoted by  $\Delta_P$  and it is defined as:

$$\Delta_P = V(x_1, x_2, \dots, x_n)^2 = \prod_{1 \le i \le j \le n} (x_j - x_i)^2$$

The algorithm starts by computing the cartesian product of the roots of the polynomial and subtracting them. Then, a triangular matrix is built as a mask for the product of the roots. After multiplying the product and the mask, the result is flattened and multiplied together:

```
--> defun Δ (p) (re (foldl1 * (flatten@replicate
... ([(outer-product <) #0 #0] (range@tally p))
... (** (outer-product - p p) 2))))
```

### 4.2. Integer arithmetic coding

Integer arithmetic coding is a form of entropy encoding used in lossless data compression. A string of characters is usually represented using a fixed number of bits per character (e.g. using ASCII). When a string is compressed using arithmetic coding, frequently used characters will be stored using fewer bits and less frequently occurring characters will be stored with more bits, resulting in fewer bits used in total. Unlike Huffman coding presented previously in the book, integer arithmetic coding is optimal.

The algorithm behind integer arithmetic coding is as follows:

- Pick a large integer which is a multiple of 4 and call it M (the maximum).
- Define the interval [L, H) = [0, M) and k = 0 (the underflow count).
- Perform underflow expansion: While  $M/4 \le L \le M/2 \le H \le 3M/4$ , update the interval by reassigning L to 2L M/2 and H to 2H M/2.
- Perform rescaling: If  $H \leq M/2$ , then double L and H, add  $01^k$  to the code word, reset k to 0 and go back to the underflow expansion step.
- Perform rescaling: If  $L \ge M/2$ , then update the interval by reassigning L as 2L M and H as 2H M. Add  $10^k$  to the code word, reset k to 0 and go back to the underflow expansion step.
- If all symbols are encoded:
  - If L < M/4 add  $01^{k+1}$  to the code word.
  - Otherwise add  $10^{k+1}$  to the code word.

and terminate.

• Encode the symbol by reassigning the range as follows:

$$[L,H) \Rightarrow \left[ L + \left| \sum_{j=1}^{i-1} p_j(H-L) \right|, L + \left| \sum_{j=1}^{i} p_j(H-L) \right| \right]$$

Notice that due to the behaviour of the floor function, the following situation may occur:

$$\left| \sum_{j=1}^{i-1} p_j(H - L) \right| = \left| \sum_{j=1}^{i} p_j(H - L) \right|$$

This situation is prevented by approperiately choosing M, such that it is divisible by 4. Further, the following must hold:

$$M \ge \frac{4}{p_{\min}} - 8$$
, where  $p_{\min} = \min_{i} p_{i}$ 

A KamilaLisp implementation (obtained by directly translating the procedure above) follows:

```
(defun ari-enc (b M p) ((lambda (b M L H k p)
```

```
; Step 1: Underflow Expansion
(if (and (<= (/ M 4) L) (< L (/ M 2)) (< (/ M 2) H) (<= H (/ (* 3 M) 4)))
  (\&0\ b\ M\ (-\ (*\ 2\ L)\ (/\ M\ 2))\ (-\ (*\ 2\ H)\ (/\ M\ 2))\ (+\ k\ 1)\ p)
; Step 2: Rescaling (pt 1.)
(if (<= H (/ M 2))
  (append (cons 0 (replicate k 1)) (&0 b M (* 2 L) (* 2 H) 0 p))
; Step 3: Rescaling (pt 2.)
(if (>= L (/ M 2))
  (append (cons 1 (replicate k 0)) (&0 b M (- (* 2 L) M) (- (* 2 H) M) 0 p))
; Step 4: All symbols encoded?
(if (empty? b)
  (if (<= L (/ M 4))
    (cons 0 (replicate (+ k 1) 1)); L <= M/4
    (cons 1 (replicate (+ k 1) 0))); H >= 3M/4
(let ((HL (- H L)) (h (car b)))
  (&0 (cdr b) M
    (+ L (floor (foldl + 0 (* HL (take h p)))))
    (+ L (floor (foldl + 0 (* HL (take (+ h 1) p)))))
    k p))))))) b M O M O p))
```

Consider the alphabet  $\Sigma = \{A, B, EOF\}$  with the probabilities  $p_A = 0.6$ ,  $p_B = 0.3$  and  $p_{EOF} = 0.1$ . The message A B A EOF is thus encoded considering M = 16 as follows:

```
--> ari-enc '(0 1 0 2) 16 '(0.6 0.3 0.1)
(0 1 1 0 1 0 0 1)
```

### 4.3. Finite-precision arithmetic

KamilaLisp offers a wide range of finite precision (flt64 and cmplx64) functions. Outside of the functions that correspond to existing, arbitrary precision primitives (e.g. log2, sqrt, \*\*, sin), KamilaLisp also offers the evaluation of various special functions in the finite precision domain.

# Programming language theory

- 5.1. Lexical analysis
- 5.2. Parsing techniques

# KamilaLisp as a shell

- 6.1. Operating system information
- 6.2. File management
- 6.3. Process management

# Symbolic manipulation

- 7.1. Polynomials
- 7.2. Mathematical functions
- 7.3. Limits
- 7.4. Derivatives
- 7.5. Indefinite integration
- 7.6. Series expansion

# Concurrent programming and networking

- 8.1. Tasks and daemons
- 8.2. Message passing
- 8.3. Sockets
- 8.4. HTTP servers

## Codecs and data formats

- 9.1. XML
- 9.2. **JSON**
- 9.3. CSV
- 9.4. bzip2
- 9.5. gzip
- 9.6. xz
- 9.7. lz4
- 9.8. base64
- 9.9. zip
- 9.10. tar

# Appendix A - primitive functions