

The magnitude of the Short-Time Fourier transform (STFT) with respect to the Gaussian window uniquely determines the phase (up to a global constant phase shift) via the relationship of the partial derivatives of the phase and of the log-magnitude. Based on this relationship, we develop an efficient algorithm for the reconstruction of the phase from the discrete Gabor transform (DGT, sampled and discretized STFT) magnitude, and, by extension, propose a method for reconstruction of the original signal. The algorithm is particularly suitable for audio signals for which the phase reconstruction imperfections are partially masked by the human hearing system. We show that the performance of the algorithm depends on the STFT sampling density.

## Theory

The Short-Time Fourier transform is defined as:

$$(\mathcal{V}_g f)(\omega, t) = \int_{\mathbb{R}} f(\tau) \overline{g(\tau - t)} e^{-i2\pi\omega(\tau - t)} d\tau, \quad \omega, t \in \mathbb{R}$$

$$=: M_g^f(\omega, t) \cdot e^{i\Phi_g^f(\omega, t)}.$$

Using the Gaussian window  $g = \varphi_\gamma$

$$\varphi_\gamma(t) = \left(\frac{\gamma}{2}\right)^{-\frac{1}{4}} e^{-\pi \frac{t^2}{\gamma}}$$

the *phase gradient*  $\nabla \Phi_{\varphi_\gamma}^f$  can be expressed as [Portnoff, 79]

$$\frac{\partial \Phi_{\varphi_\gamma}^f}{\partial \omega}(\omega, t) = -\gamma \frac{\partial}{\partial t} \log(M_{\varphi_\gamma}^f(\omega, t))$$

$$\frac{\partial \Phi_{\varphi_\gamma}^f}{\partial t}(\omega, t) = \frac{1}{\gamma} \frac{\partial}{\partial \omega} \log(M_{\varphi_\gamma}^f(\omega, t)) + 2\pi\omega.$$

The *gradient theorem* recovers the phase up to  $\Phi_{\varphi_\gamma}^f(\omega_0, t_0)$ :

$$\Phi_{\varphi_\gamma}^f(\omega, t) - \Phi_{\varphi_\gamma}^f(\omega_0, t_0) = \int_0^1 \nabla \Phi_{\varphi_\gamma}^f(L(\tau)) \cdot \frac{dL}{d\tau}(\tau) d\tau,$$

$L(\tau) = [L_\omega(\tau), L_t(\tau)]$  is any line  $(\omega_0, t_0) \rightarrow (\omega, t)$ .

## Discrete Phase Gradient

The discrete Gabor transform is defined as:

$$c(m, n) = \sum_{l=0}^{L-1} f(l) \overline{g(l - na)} e^{-i2\pi m(l - na)/M}$$

$$=: s(m, n) \cdot e^{i\phi(m, n)}$$

for  $m = 0, \dots, M-1$ ,  $n = 0, \dots, N-1$ ,  $M = L/b$  number of frequency channels,  $N = L/a$  number of time shifts,  $a$  is a hop factor and  $b$  is a hop factor in frequency.

Approximation of the STFT phase gradient

$$\nabla \phi(m, n) = (\phi_\omega(m, n), \phi_t(m, n)) \approx \nabla \Phi_{\varphi_\lambda}^f(bm, an)$$

Scaled gradient

$$\nabla \phi^{\text{SC}}(m, n) = (b\phi_\omega(m, n), a\phi_t(m, n)) :=$$

$$\left( -\frac{\gamma}{aM} (s_{\log} D_t^T)(m, n), \frac{aM}{\gamma} (D_\omega s_{\log})(m, n) + 2\pi am/M \right)$$

where  $D_t^T, D_\omega$  perform the numerical differentiation along rows (in time) and columns (in frequency) of  $s_{\log} = \log(s)$  respectively.

## Phase Gradient Heap Integration

**Adaptive-path numerical integration (trapez. rule):**

- Start at the largest  $s(m, n)$ , spread the phase to the neighbors and repeat with the next largest coefficient with already computed phase.

**Heap data structure for tuples  $(m, n)$ :**

- Keeps  $(m, n)$  with the max.  $s(m, n)$  at the top.
- Dynamic, efficient heap insertion and deletion.

## The Algorithm

**Input:** Phase gradient  $\nabla \phi^{\text{SC}}(m, n) = (\phi_\omega^{\text{SC}}(m, n), \phi_t^{\text{SC}}(m, n))$ , magnitude of DGT coefficients  $s(m, n)$ , tolerance  $tol$ .

**Output:** Estimate of the DGT phase  $\hat{\phi}(m, n)$ .

Create set  $\mathcal{J} = \{(m, n) : s(m, n) > tol \cdot \max(s(m, n))\}$ ;

Assign random values to  $\hat{\phi}(m, n)_{(m, n) \notin \mathcal{J}}$ ;

Construct empty *heap* for  $(m, n)$ ;

**while**  $\mathcal{J}$  is not  $\emptyset$  **do**

**if** *heap* is empty **then**

    Insert  $(m, n)_{\max} = \arg \max (s(m, n)_{(m, n) \in \mathcal{J}})$  into the *heap*;

$\hat{\phi}(m, n)_{\max} \leftarrow 0$ ;

    Remove  $(m, n)_{\max}$  from  $\mathcal{J}$ ;

**end**

**while** *heap* is not empty **do**

$(m, n) \leftarrow$  remove the top of the *heap*;

**if**  $(m+1, n) \in \mathcal{J}$  **then**

$\hat{\phi}(m+1, n) \leftarrow \hat{\phi}(m, n) + \frac{1}{2} (\phi_\omega^{\text{SC}}(m, n) + \phi_\omega^{\text{SC}}(m+1, n))$ ;

      Remove  $(m+1, n)$  from  $\mathcal{J}$  and insert into the *heap*;

**end**

**if**  $(m-1, n) \in \mathcal{J}$  **then**

$\hat{\phi}(m-1, n) \leftarrow \hat{\phi}(m, n) - \frac{1}{2} (\phi_\omega^{\text{SC}}(m, n) + \phi_\omega^{\text{SC}}(m-1, n))$ ;

      Remove  $(m-1, n)$  from  $\mathcal{J}$  and insert into the *heap*;

**end**

**if**  $(m, n+1) \in \mathcal{J}$  **then**

$\hat{\phi}(m, n+1) \leftarrow \hat{\phi}(m, n) + \frac{1}{2} (\phi_t^{\text{SC}}(m, n) + \phi_t^{\text{SC}}(m, n+1))$ ;

      Remove  $(m, n+1)$  from  $\mathcal{J}$  and insert into the *heap*;

**end**

**if**  $(m, n-1) \in \mathcal{J}$  **then**

$\hat{\phi}(m, n-1) \leftarrow \hat{\phi}(m, n) - \frac{1}{2} (\phi_t^{\text{SC}}(m, n) + \phi_t^{\text{SC}}(m, n-1))$ ;

      Remove  $(m, n-1)$  from  $\mathcal{J}$  and insert into the *heap*;

**end**

**end**

**end**

## Exploiting Partially Known Phase

In case the phase of some of the coefficients of regions of coefficients is known.

- Introduce mask  $\mathcal{M}$  to select the reliable coefficients.
- Identify the border coefficients i.e. coefficients with at least one neighbor with unknown phase.
- Pre-load the heap with the border coefficients.

Formally, execute the following before entering the main loop of the algorithm:

**Additional input:** Set (mask) of indices of coefficients  $\mathcal{M}$  with known phase  $\phi(m, n)_{(m, n) \in \mathcal{M}}$ .

$\hat{\phi}(m, n) \leftarrow \phi(m, n)$  for  $(m, n) \in \mathcal{M}$ ;

**for**  $(m, n) \in \mathcal{M} \cap \mathcal{J}$  **do**

**if**  $(m+1, n) \notin \mathcal{M}$  or  $(m-1, n) \notin \mathcal{M}$  or  $(m, n+1) \notin \mathcal{M}$  or  $(m, n-1) \notin \mathcal{M}$  **then**

    Add  $(m, n)$  to the *heap*;

**end**

**end**

## Implementation

Matlab/GNU Octave implementation available in

- LTFAT <http://ltfat.github.io>
  - constructphase – for complex signals
  - constructphasereal – for real signals
- PHASERET <http://ltfat.github.io/phaseret>
  - pgi – wrapper around constructphasereal
  - rtpgi – real-time version of the algorithm
  - demo.blockproc.phaseret – real-time audio demo

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## References

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- Z. Průša, P. Balazs, P. L. Søndergaard: *A Non-iterative Method for STFT Phase (Re)Construction*, 2016. Preprint available from <http://ltfat.github.io/notes/ltfatnote040.pdf>
- Z. Průša, P. L. Søndergaard: *Real-Time Spectrogram Inversion Using Phase Gradient Heap Integration*, Proc. Int. Conf. Digital Audio Effects DAFx (DAFx-16), 2016. To appear. Preprint available from <http://ltfat.github.io/notes/ltfatnote043.pdf>
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## Experiments

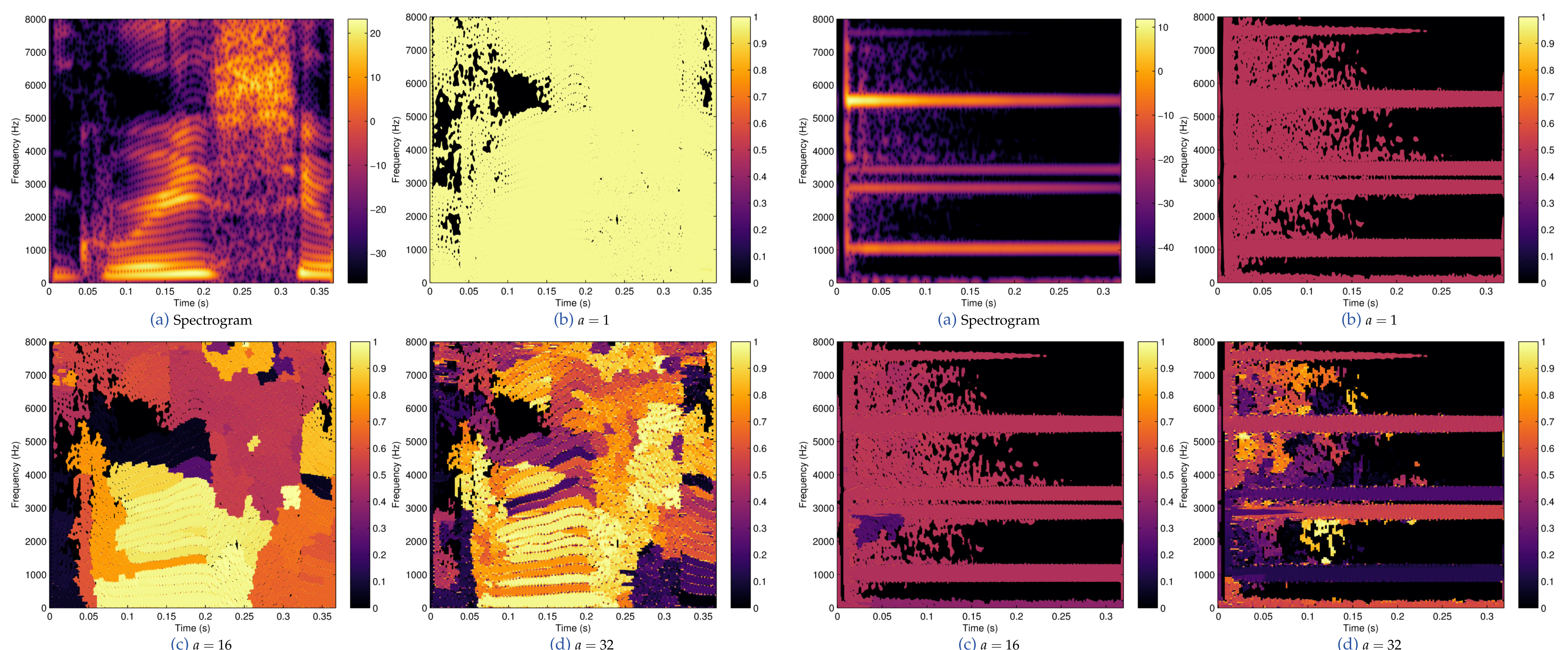


Figure: Spectrogram of a spoken word *greasy* (a). Phase differences of the STFT of the original and reconstructed signals for varying time hop size  $a$  (b) (c) (d).

Figure: Spectrogram of an excerpt from *glockenspiel* (a). Phase differences of the STFT of the original and reconstructed signals for varying time hop size  $a$  (b) (c) (d).