### Mathematical Background for Cryptography

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### Part II - Algebraic Structures

#### ALGEBRAIC STRUCTURES

- Cryptography requires sets of integers and specific operations that are defined for those sets.
- ► The combination of the set and the operations that are applied to the elements of the set is called an algebraic structure.
- ▶ Three common algebraic structures: groups, rings, and fields.

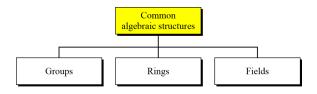


Figure: Common algebraic structure

### Group

A group (G) is a set of elements with a binary operation  $(\bullet)$  that satisfies four properties (or axioms).

- ▶ Closure If a and b are elements of G, then  $c = a \bullet b$  is also an element of G.
- Associativity If a, b and c are elements of G, then  $(a \bullet b) \bullet c = a \bullet (b \bullet c)$
- Existence of identity For all a in G, there exist an element e, called the identity element, such that  $e \bullet a = a \bullet e = a$
- Existence of inverse For each a in G, there exists an element a', called the inverse of a, such that  $a \bullet a' = a' \bullet a = e$

A Commutative group (Abelian group) is group in which the operator satisfies four properties plus an extra property that is commutativity. For all a and b in G, we have  $a \bullet b = b \bullet a$ 

#### Example 1:

The set of residue integers with the addition operator,

 $G = \langle Z_n, + \rangle$ , is a commutative group.

Check the properties. . . . .

#### Example 2:

The set  $Z_{n^*}$  with the multiplication operator,  $G = Z_{n^*}$ , is also an abelian group.

**Example 3 :** Let us define a set  $G = \langle a, b, c, d, \bullet \rangle$  and the operation as shown in Table.

•	а	b	c	d
а	а	b	c	d
b	b	c	d	а
c	С	d	а	b
d	d	а	b	c

#### Example 4:

A very interesting group is the permutation group.

The set is the set of all permutations, and the operation is composition i.e. applying one permutation after another.

Check for properties....

Is the group abelian????

0	[1 2 3]	[1 3 2]	[2 1 3]	[2 3 1]	[3 1 2]	[3 2 1]
[1 2 3]	[1 2 3]	[1 3 2]	[2 1 3]	[2 3 1]	[3 1 2]	[3 2 1]
[1 3 2]	[1 3 2]	[1 2 3]	[2 3 1]	[2 1 3]	[3 2 1]	[3 1 2]
[2 1 3]	[2 1 3]	[3 1 2]	[1 2 3]	[3 2 1]	[1 3 2]	[2 3 1]
[2 3 1]	[2 3 1]	[3 2 1]	[1 3 2]	[3 1 2]	[1 2 3]	[2 1 3]
[3 1 2]	[3 1 2]	[2 1 3]	[3 2 1]	[1 2 3]	[2 3 1]	[1 3 2]
[3 2 1]	[3 2 1]	[2 3 1]	[3 1 2]	[1 3 2]	[2 1 3]	[1 2 3]

Figure: Operation table for permutation group

- ► In the previous example, we showed that a set of permutations with the composition operation is a group.
- ► This implies that using two permutations one after another cannot strengthen the security of a cipher.
- Because we can always find a permutation that can do the same job because of the closure property.

#### Exercise:

Although a group involves a single operation, the properties imposed on the operation allow the use of a pair of operations!!!! How???

- ► Finite Group If the set has a finite number of elements; otherwise, it is an infinite group.
- ▶ Order of a Group |G| The number of elements in the group. If the group is finite, its order is finite
- ▶ Subgroups If  $G = \langle S, \bullet \rangle$  is a group,  $H = \langle T, \bullet \rangle$  is a group under the same operation, and T is a nonempty subset of S, then H is a subgroup of G.
  - If a and b are members of both groups, then  $c = a \bullet b$  is also member of both groups
  - ► The group share the same identity element
  - ▶ If a is a member of both groups, the inverse of a is also a member of both groups
  - ► The group made of the identity element of G,  $H=<e, \bullet>$ , is a subgroup of G
  - Each group is a subgroup of itself

#### Exercise:

Is the group  $H=< Z_{10}, +>$  a subgroup of the group  $G=< Z_{12}, +>$ ?

#### **Solution:**

The answer is no. Although H is a subset of G, the operations defined for these two groups are different. The operation in H is addition modulo 10; the operation in G is addition modulo 12.

#### Exercise:

Is the group H=<0,2,4,6,+> a subgroup of the group  $G=<Z_8,+>$ ?

### Cyclic Subgroups

If a subgroup of a group can be generated using the power of an element, the subgroup is called the **cyclic subgroup**.

$$a^n \to a \bullet a \bullet \dots \bullet a \quad (n \text{ times})$$

### Cyclic Subgroups (cont.)

Four cyclic subgroups can be made from the group  $G = \langle Z_6, + \rangle$ . They are  $H_1 = \langle \{0\}, + \rangle$ ,  $H_2 = \langle \{0, 2, 4\}, + \rangle$ ,  $H_3 = \langle \{0, 3\}, + \rangle$ , and  $H_4 = G$ .

### $0^0 \bmod 6 = 0$

$$1^0 \mod 6 = 0$$
  
 $1^1 \mod 6 = 1$   
 $1^2 \mod 6 = (1+1) \mod 6 = 2$   
 $1^3 \mod 6 = (1+1+1) \mod 6 = 3$   
 $1^4 \mod 6 = (1+1+1+1) \mod 6 = 4$   
 $1^5 \mod 6 = (1+1+1+1+1) \mod 6 = 5$ 

$$2^0 \mod 6 = 0$$
  
 $2^1 \mod 6 = 2$   
 $2^2 \mod 6 = (2 + 2) \mod 6 = 4$ 

$$3^0 \mod 6 = 0$$
  
 $3^1 \mod 6 = 3$ 

$$4^0 \mod 6 = 0$$
  
 $4^1 \mod 6 = 4$   
 $4^2 \mod 6 = (4 + 4) \mod 6 = 2$ 

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5^{0} \mod 6 = 0

5^{1} \mod 6 = 5

5^{2} \mod 6 = 4

5^{3} \mod 6 = 3

5^{4} \mod 6 = 2

5^{5} \mod 6 = 1
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### Cyclic Subgroups (cont.)

Three cyclic subgroups can be made from the group  $G = < Z_{10}^*, x >$ .

They are  $H_1 = \langle \{1\}, x \rangle, H_2 = \langle \{1, 9\}, x \rangle, H_3 = G$ .

$$1^0 \mod 10 = 1$$

$$3^0 \mod 10 = 1$$
  
 $3^1 \mod 10 = 3$   
 $3^2 \mod 10 = 9$ 

$$3^{1} \mod 10 = 3$$
  
 $3^{2} \mod 10 = 9$   
 $3^{3} \mod 10 = 7$ 

$$7^0 \mod 10 = 1$$
 $7^1 \mod 10 = 7$ 
 $7^2 \mod 10 = 9$ 
 $7^3 \mod 10 = 3$ 

$$9^0 \mod 10 = 1$$
  
 $9^1 \mod 10 = 9$ 

### Cyclic Group

A cyclic group is a group that is its own cyclic subgroup.

$$\{e, g, g^2, \dots, g^{n-1}\}\$$
, where  $g^n = e$ 

#### Example:

Three cyclic subgroups can be made from the group

$$G = < Z_{10}^*, x >$$
.

They are  $H_1 = <\{1\}, x>, H_2 = <\{1, 9\}, x>, H_3 = G$ .

The group  $G = \langle Z_{10}^*, x \rangle$  is a cyclic group with two generators, g = 3 and g = 7.

The group  $G = \langle Z_6, + \rangle$  is a cyclic group with two generators, g = 1 and g = 5.

- ▶ Lagrange's Theorem Assume that G is a group, and H is a subgroup of G. If the order of G and H are |G| and |H|, respectively, then, based on this theorem, |H| divides |G|.
- Order of an Element The order of an element is the order of the cyclic group it generates.
- **Example:** In the group  $G = \langle Z_6, + \rangle$ , the orders of the elements are: ord(0) = 1, ord(1) = 6, ord(2) = 3, ord(3) = 2, ord(4) = 3 and ord(5) = 6.
- In the group  $G = \langle Z_{10}^*, x \rangle$ , the orders of the elements are : ord(1) = 1, ord(3) = 4, ord(7) = 4 and ord(9) = 2.

### Ring

- ▶ A Ring,  $R = \langle \{...\}, \bullet, \Box \rangle$ , is an algebraic structure with two operations.
- First operation must satisfy all five properties
- operation must satisfy only the first two
- ► In addition, operation must be distributed over first i.e. for all a, b, and c elements of R, we have,

$$a\Box(b \bullet c) = (a\Box b) \bullet (a\Box c)$$
 and  $(a \bullet b)\Box c = (a\Box c) \bullet (a\Box c)$ 

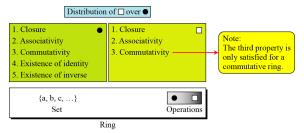


Figure: Commutative Ring

### Ring(cont.)

- ► The set Z with two operations, addition and multiplication, is a commutative ring.
- We show it by  $R = \langle Z, +, x \rangle$ .
- Addition satisfies all of the five properties; multiplication satisfies only three properties.

#### Field

A field, denoted by  $F = \langle \{...\}, \bullet, \square \rangle$  is a commutative ring in which the second operation satisfies all five properties defined for the first operation except that the identity of the first operation has no inverse.

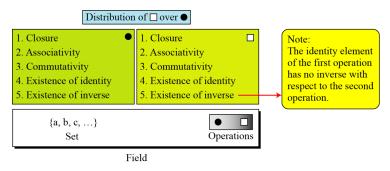


Figure: Field

#### Finite Fields

Galois showed that for a field to be finite, the number of elements should be  $p^n$ , where p is a prime and n is a positive integer.

# A Galois field, GF(p<sup>n</sup>), is a finite field with p<sup>n</sup> elements.

- ► GF(p) Fields
- When n = 1, we have GF(p) field.
- ▶ This field can be the set  $Z_p$ ,  $\{0, 1, ..., p-1\}$ , with two arithmetic operations.

### Field(cont.)

A very common field in this category is GF(2) with the set  $\{0,1\}$  and two operations, addition and multiplication.

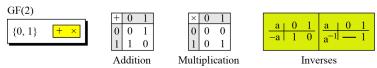


Figure: GF(2) field

We can define GF(5) on the set  $Z_5$  (5 is a prime) with addition and multiplication operators.

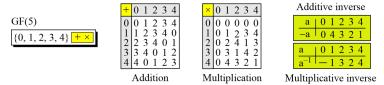


Figure: GF(5) field

### Algebraic Structures with typical oerations supported

Algebraic Structure	Supported Typical Operations	Supported Typical Sets of Integers
Group	(+ −) or (× ÷)	$\mathbf{Z}_n$ or $\mathbf{Z}_n^*$
Ring	(+ −) and (×)	Z
Field	$(+ -)$ and $(\times \div)$	$\mathbf{Z}_p$

### $GF(2^n)$ FIELDS

- In cryptography, we often need to use four operations(addition, subtraction, multiplication and division).
- In other words, we need to use fields.
- ► However, when we work with computers, the positive integers are stored in the computers as n-bit words in which n is usually 8,16,32 and so on.
- ▶ Range of integers is 0 to  $2^n-1$
- ► Hence modulus is ?????
- ▶ What if we want to use field????

### $GF(2^n)$ FIELDS (cont.)

#### Solution 1

Use GF(p), with the set  $Z^p$ , where p is the largest prime number less than  $2^n$ 

But the problem ???

#### Solution 2

Use  $GF(2^n)$ 

Use a set of  $2^n$  words

The elements in this set are n-bit words

E.g. for n=3, the set is  $\{000, 001, 010, 011, 100, 101, 110, 111\}$ 

What is the problem ?

 $2^n$  is not prime

Need to define operations on the set of elements in  $GF(2^n)$ 

### $GF(2^n)$ FIELDS (cont.)

Let us define a  $GF(2^2)$  field in which the set has four 2-bit words:  $\{00, 01, 10, 11\}$ .

We can redefine addition and multiplication for this field in such a way that all properties of these operations are satisfied.

					-					
Addition				Multiplication						
$\bigoplus$	00	01	10	11	$\otimes$	00	01	10	11	
00	00	01	10	11	00	00	00	00	00	
01	01	00	11	10	01	00	01	10	11	
10	10	11	00	01	10	00	10	11	01	
11	11	10	01	00	11	00	11	01	10	
Identity: 00					Ide	enti	ity:	01		

Figure: An example of  $GF(2^2)$  field

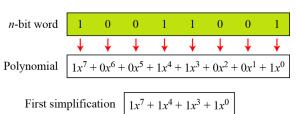
### **Polynomials**

A polynomial of degree n-1 is an expression of the form,

$$f(x) = a_{n-1}x^{n-1} + a_{n-2}x^{n-2} + \dots + a_1x^1 + a_0x^0$$

where  $x_i$  is called the  $i^{th}$  term and  $a^i$  is called coefficient of the  $i^{th}$  term.

We can represent the 8-bit word (10011001) using a polynomial.



Second simplification  $x^7 + x^4 + x^3 + 1$ 

Find the 8-bit word related to the polynomial  $x^5 + x^2 + x$ .

We first supply the omitted terms.

Since n = 8, it means the polynomial is of degree 7.

The expanded polynomial is,

$$0x^7 + 0x^6 + 1x^5 + 0x^4 + 0x^3 + 1x^2 + 1x^1 + 0x^0$$

This is related to the 8-bit word 00100110.

- Operations on polynomials actually involves two operations
- Operation on coefficients and operation on polynomials
- Hence, need to define two fields
- ightharpoonup GF(2) and GF(2<sup>n</sup>)

- Modulus For the sets of polynomials in GF(2<sup>n</sup>), a group of polynomials of degree n is defined as the modulus. Such polynomials are referred to as irreducible polynomials.
- ▶ Irreducible polynomials No polynomial in the set can divide this polynomial, Can not be factored into a polynomial with degree of less than n

Degree	Irreducible Polynomials
1	(x+1),(x)
2	$(x^2 + x + 1)$
3	$(x^3 + x^2 + 1), (x^3 + x + 1)$
4	$(x^4 + x^3 + x^2 + x + 1), (x^4 + x^3 + 1), (x^4 + x + 1)$
5	$(x^5 + x^2 + 1), (x^5 + x^3 + x^2 + x + 1), (x^5 + x^4 + x^3 + x + 1),$ $(x^5 + x^4 + x^3 + x^2 + 1), (x^5 + x^4 + x^2 + x + 1)$

#### Polynomial addition

## Addition and subtraction operations on polynomials are the same operation.

Let us do  $(x^5 + x^2 + x) \oplus (x^3 + x^2 + 1)$  in GF(2<sup>8</sup>). We use the symbol  $\oplus$  to show that we mean polynomial addition. The following shows the procedure:

#### Multiplication

The coefficient multiplication is done in GF(2). The multiplying  $x^i$  by  $x^j$  results in  $x^{i+j}$ . The multiplication may create terms with degree more than n - 1, which means the result needs to be reduced using a modulus polynomial.

#### Example

Find the result of  $(x^5 + x^2 + x) \otimes (x^7 + x^4 + x^3 + x^2 + x)$  in GF(2<sup>8</sup>) with irreducible polynomial  $(x^8 + x^4 + x^3 + x + 1)$ .

➤ To find the final result, divide the polynomial of degree 12 by the polynomial of degree 8 (the modulus) and keep only the remainder.

Polynomial division with coefficients in GF(2)

$$x^{4} + 1$$

$$x^{8} + x^{4} + x^{3} + x + 1$$

$$x^{12} + x^{7} + x^{2}$$

$$x^{12} + x^{8} + x^{7} + x^{5} + x^{4}$$

$$x^{8} + x^{5} + x^{4} + x^{2}$$

$$x^{8} + x^{4} + x^{3} + x + 1$$
Remainder 
$$x^{5} + x^{3} + x^{2} + x + 1$$

**Example:** In GF (2<sup>4</sup>), find the inverse of  $(x^2 + 1)$  modulo  $(x^4 + x + 1)$ .

#### Solution

The answer is  $(x^3 + x + 1)$ 

q	$r_1$	$r_2$	r	$t_I$	$t_2$	t
$(x^2 + 1)$	$(x^4 + x + 1)$	$(x^2 + 1)$	(x)	(0)	(1)	$(x^2 + 1)$
(x)	$(x^2 + 1)$	(x)	(1)	(1)	$(x^2 + 1)$	$(x^3 + x + 1)$
(x)	(x)	(1)	(0)	$(x^2 + 1)$	$(x^3 + x + 1)$	(0)
	(1)	(0)		$(x^3 + x + 1)$	(0)	

#### **Example:**

In GF(2<sup>8</sup>), find the inverse of  $(x^5)$  modulo  $(x^8 + x^4 + x^3 + x + 1)$ .

q	$r_I$	$r_2$	r	$t_I$	$t_2$	t
$(x^3)$	$(x^8 + x^4 + x^3)$	$+x+1$ ) $(x^5)$	$(x^4 + x^3 + x + 1)$	(0)	(1)	(x <sup>3</sup> )
( <i>x</i> + 1)	(x <sup>5</sup> ) (	$x^4 + x^3 + x + 1$	$(x^3 + x^2 + 1)$	(1)	$(x^3)$	$(x^4 + x^3 + 1)$
(x)	$(x^4 + x^3 + x +$	1) $(x^3 + x^2 + 1)$	(1)	(x <sup>3</sup> )	$(x^4 + x^3 + 1)$	$(x^5 + x^4 + x^3 + x)$
$(x^3 + x^2 +$	1) $(x^3 + x^2 + 1)$	(1)	(0)	$(x^4 + x^3 + 1)$	$(x^5 + x^4 + x^3 + x)$	(0)
	(1)	(0)		$(x^5 + x^4 + x^3)$	(0)	

#### References

1. Forouzan, Behrouz A. "Cryptography & Network Security. 2011."

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