

## **Syntax Analyzer (Parser)**

**Input:** list of tokens produced by scanner/LA

**Output:** tree(syntax) which shows structure of  
program

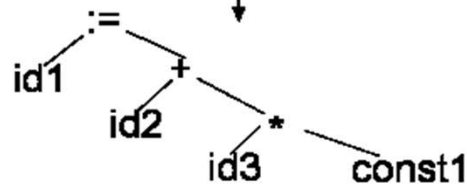
# Recap: Overview

position := initial + rate \* 60 ;

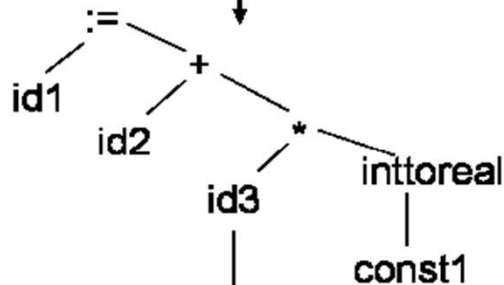
**Lexical analysis**

id1 := id2 + id3 \* const1

**Parsing (syntax analysis)**



**Semantic analysis**



**Intermediate code generator**

temp1 := inttoreal(60)  
temp2 := id3 \* temp1  
temp3 := id2 + temp2  
id1 := temp3

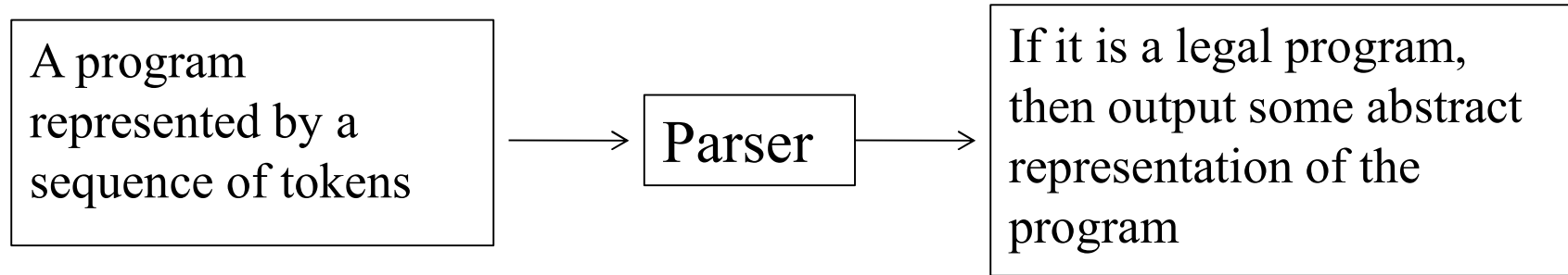
**Code optimization**

temp1 := id3 \* 60.0  
id1 := id2 + temp1

**Code generator()**

MOVF ID3, R2  
MULF #60.0, R2  
MOVF ID3, R1  
ADDF R2, R1  
MOVF R1, ID1

# Introduction

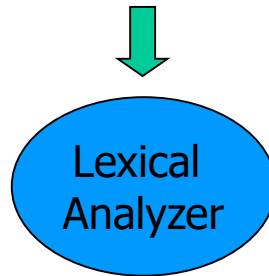


- Abstract representations of the input program:
  - abstract-syntax tree + symbol table
  - intermediate code
  - object code
- **Context free grammar (CFG)** is used to specify the structure of legal programs

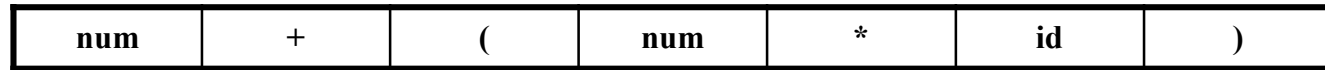
# From text to abstract syntax

program text

5 + (7 \* x)



token stream



Grammar:

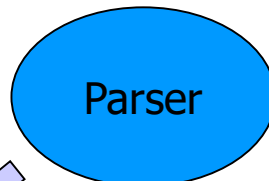
$E \rightarrow \text{id}$

$E \rightarrow \text{num}$

$E \rightarrow E + E$

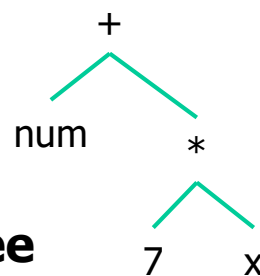
$E \rightarrow E * E$

$E \rightarrow ( E )$

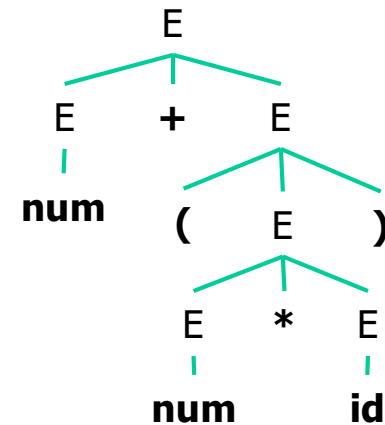


syntax  
error

valid



**Abstract syntax tree**



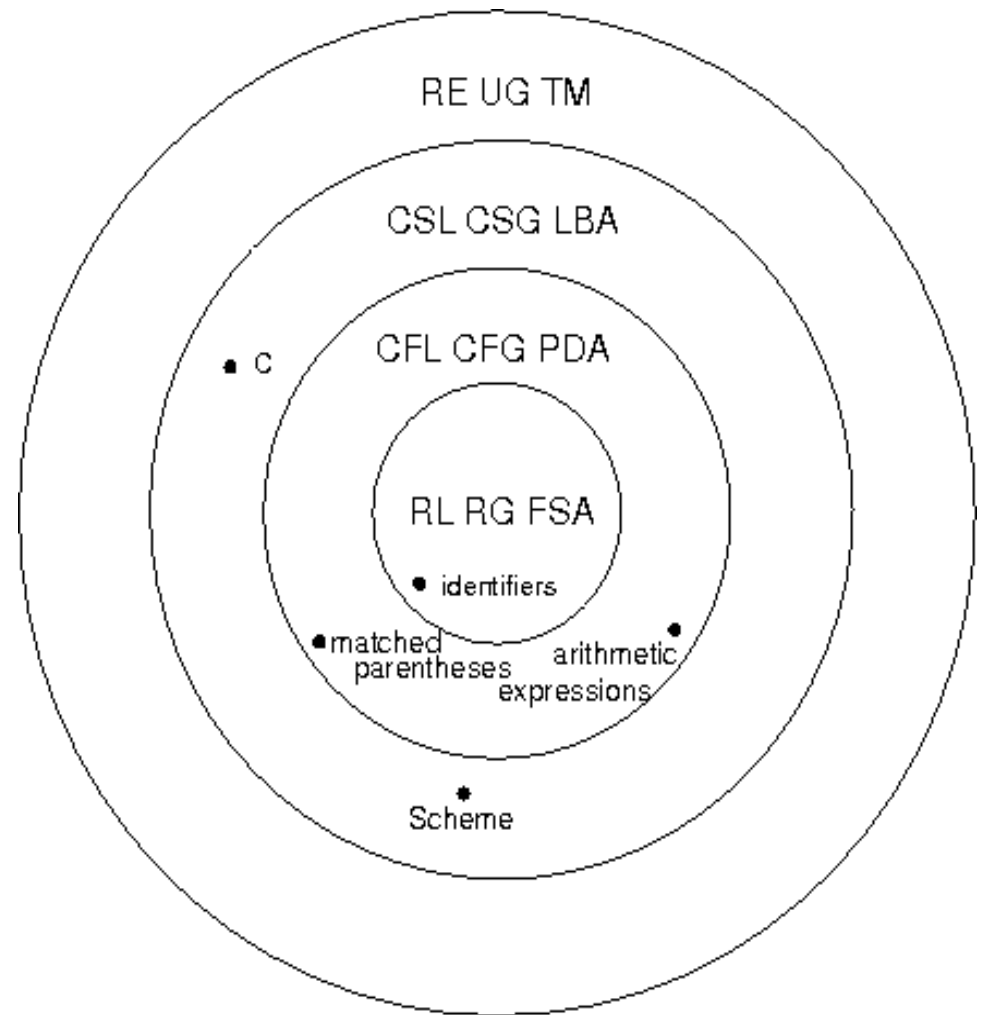
**parse tree**

# Goals of parsing

- Programming language has syntactic rules
  - Context-Free Grammars
- Decide whether program satisfies syntactic structure
  - Error detection
  - Error recovery
  - Simplification: rules on tokens
- Build **A**bstract **S**yntax **T**ree

# Classes of Grammars (The Chomsky Hierarchy)

- **Type-0**: Phrase structured (unrestricted) grammars
  - generate recursively enumerable (unrestricted) languages
  - include all formal grammars
  - implemented with Turing machines
- **Type-1** : Context-sensitive grammars
  - generate context-sensitive languages
  - implemented with linear-bounded automata
- **Type-2** : Context-free grammars
  - generate context-free languages
  - single non-terminal on left
  - non-terminals & terminals on right
  - implemented with pushdown automata
- **Type-3** : Regular grammars
  - generate regular languages
  - no terminals or non-terminals here
  - implemented with finite state automata



# Classes of Grammars

## (The Chomsky Hierarchy)

**Type 0**, Phrase Structure (same as basic grammar definition)

**Type 1**, Context Sensitive

- (1)  $\alpha \rightarrow \beta$  where  $\alpha$  is in  $(N \cup \Sigma)^* N (N \cup \Sigma)^*$ ,  
 $\beta$  is in  $(N \cup \Sigma)^+$ , and  $\text{length}(\alpha) \leq \text{length}(\beta)$
- (2)  $\gamma A \delta \rightarrow \gamma \beta \delta$  where  $A$  is in  $N$ ,  $\beta$  is in  $(N \cup \Sigma)^+$ , and  
 $\gamma$  and  $\delta$  are in  $(N \cup \Sigma)^*$

**Type 2**, Context Free

$A \rightarrow \beta$  where  $A$  is in  $N$ ,  $\beta$  is in  $(N \cup \Sigma)^*$

Linear

$A \rightarrow x$  or  $A \rightarrow x B y$ , where  $A$  and  $B$  are in  $N$  and  $x$  and  $y$  are in  $\Sigma^*$

**Type 3**, Regular Expressions

- (1) left linear  $A \rightarrow B a$  or  $A \rightarrow a$ , where  $A$  and  $B$  are in  $N$  and  $a$  is in  $\Sigma$
- (2) right linear  $A \rightarrow a B$  or  $A \rightarrow a$ , where  $A$  and  $B$  are in  $N$  and  $a$  is in  $\Sigma$

## Type 3 grammar

A grammar is said to be type 3 grammar or regular grammar if all productions in grammar are of the form  $A \rightarrow a$  then  $A \rightarrow aB$  or equivalent of the form  $A \rightarrow a$  or  $A \rightarrow Ba$ .

In other words in any production (set of rules) the left hand string is single nonterminal and the right hand string is either a terminal or a terminal followed by non-terminal.



## Type 2 grammar

A grammar is said to be type 2 grammar or context free grammar if every production in grammar is of the form  $A \rightarrow \alpha$ .

In other words in any production left hand string is always a non-terminal and a right hand string is any string on  $T \cup N$ .

- Example :  $A \rightarrow aBc$

# Type 1 grammar

A grammar is said to type 1 grammar or context sensitive grammar if for every production  $\alpha \rightarrow \beta$ . The length of  $\beta$  is larger than or equal to the length of  $\alpha$ .

for example:

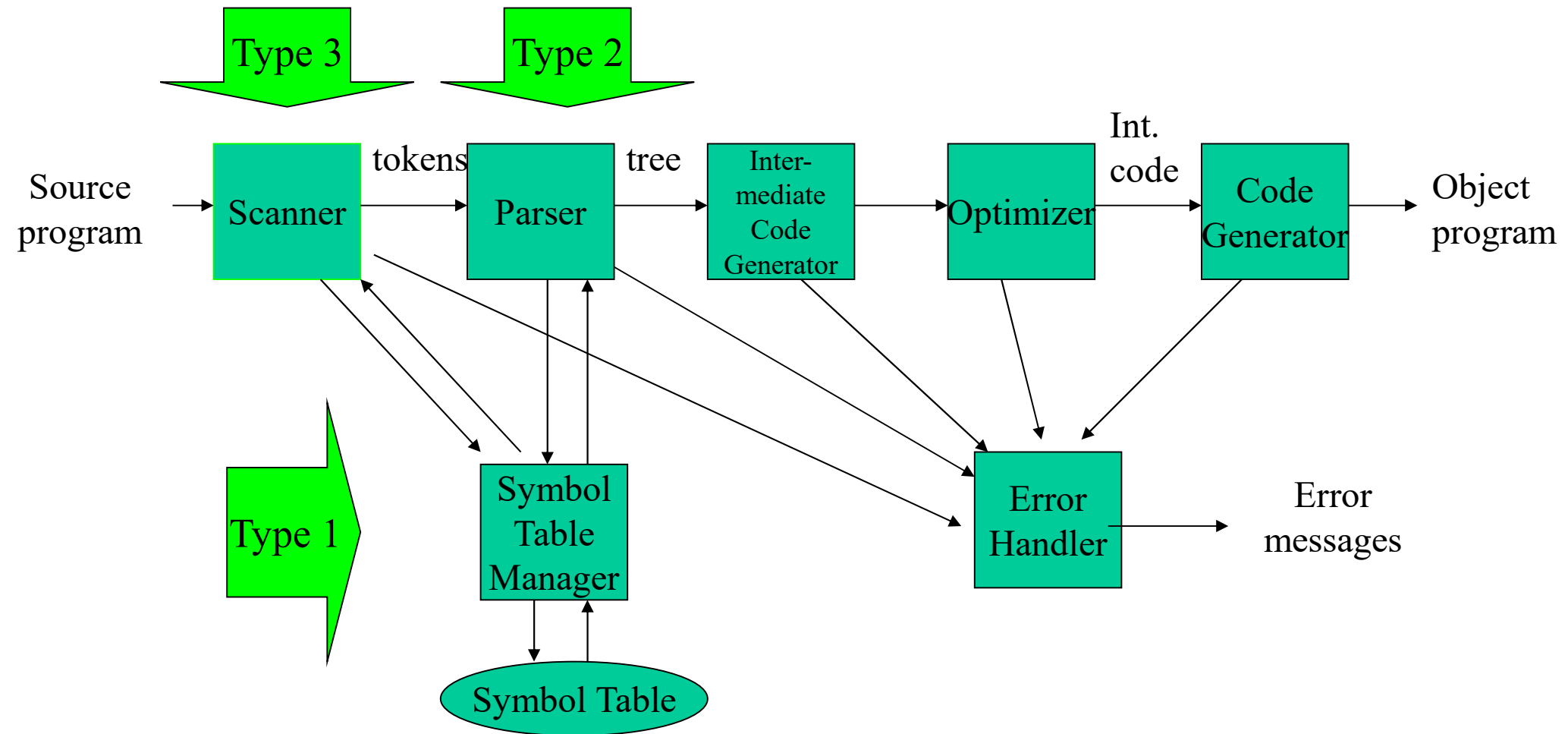
- $A \rightarrow ab$
- $A \rightarrow aA$
- $aAb \rightarrow aBCb$

# Type 0 grammar

A grammar with no restriction is referred to as type 0 grammar . They generate exactly all languages that can be recognized by a Turing machine. These languages are also known as the recursively enumerable languages.

Class 0 grammars are too general to describe the syntax of programming languages and natural languages.

# The Chomsky Hierarchy and the Block Diagram of a Compiler



# CFG vs. Regular Expressions

A regular grammar puts the following restrictions on the productions:

- The LHS can only be a single non terminal
- The RHS can be any number of terminals, with (at most) a single non terminal as its last symbol.

A CFG puts the following restrictions on the productions:

- The LHS can only be a single non terminal (just like the regular grammar)
- The RHS can be any combination of terminals and non terminals (this is the new part).

CFG is more expressive than RE

- Every language that can be described by regular expressions can also be described by a CFG

Example : languages that are CFG but not RE

- if-then-else statement,  $\{a^n b^n \mid n \geq 1\}$

Non-CFG

- $L1 = \{wcw \mid w \text{ is in } (a|b)^*\}$
- $L2 = \{a^n b^m c^n d^m \mid n \geq 1 \text{ and } m \geq 1\}$

# Context Free Grammars

- CFGs

- Add recursion to regular expressions

- Nested constructions

- Notation

$$\begin{aligned} \text{expression} \rightarrow & \text{identifier} \mid \text{number} \mid - \text{expression} \\ & \mid ( \text{expression} ) \\ & \mid \text{expression operator expression} \end{aligned}$$
$$\text{operator} \rightarrow + \mid - \mid * \mid /$$

- **Terminal symbols**

- *Non-terminal symbols*

- Production rule (i.e. substitution rule)

- terminal symbol  $\rightarrow$  terminal and non-terminal symbols

# Derivations

- A derivation shows how to generate a syntactically valid string
  - Given a CFG
  - Example:
    - CFG

$expression \rightarrow identifier$   
 $\quad \quad \quad | number$   
 $\quad \quad \quad | - expression$   
 $\quad \quad \quad | ( expression )$   
 $\quad \quad \quad | expression operator expression$   
 $operator \rightarrow + \mid - \mid * \mid /$

- Derivation of

slope \* x + intercept

# Derivation Example

- Derivation of  $\text{slope} * x + \text{intercept}$

$expression \Rightarrow expression \operatorname{operator} \underline{expression}$   
 $\Rightarrow expression \underline{operator} \text{intercept}$   
 $\Rightarrow \underline{expression} + \text{intercept}$   
 $\Rightarrow expression \operatorname{operator} \underline{expression} + \text{intercept}$   
 $\Rightarrow expression \underline{operator} x + \text{intercept}$   
 $\Rightarrow \underline{expression} * x + \text{intercept}$   
 $\Rightarrow \text{slope} * x + \text{intercept}$

$expression \Rightarrow^* \text{slope} * x + \text{intercept}$

- Identifiers were not derived for simplicity

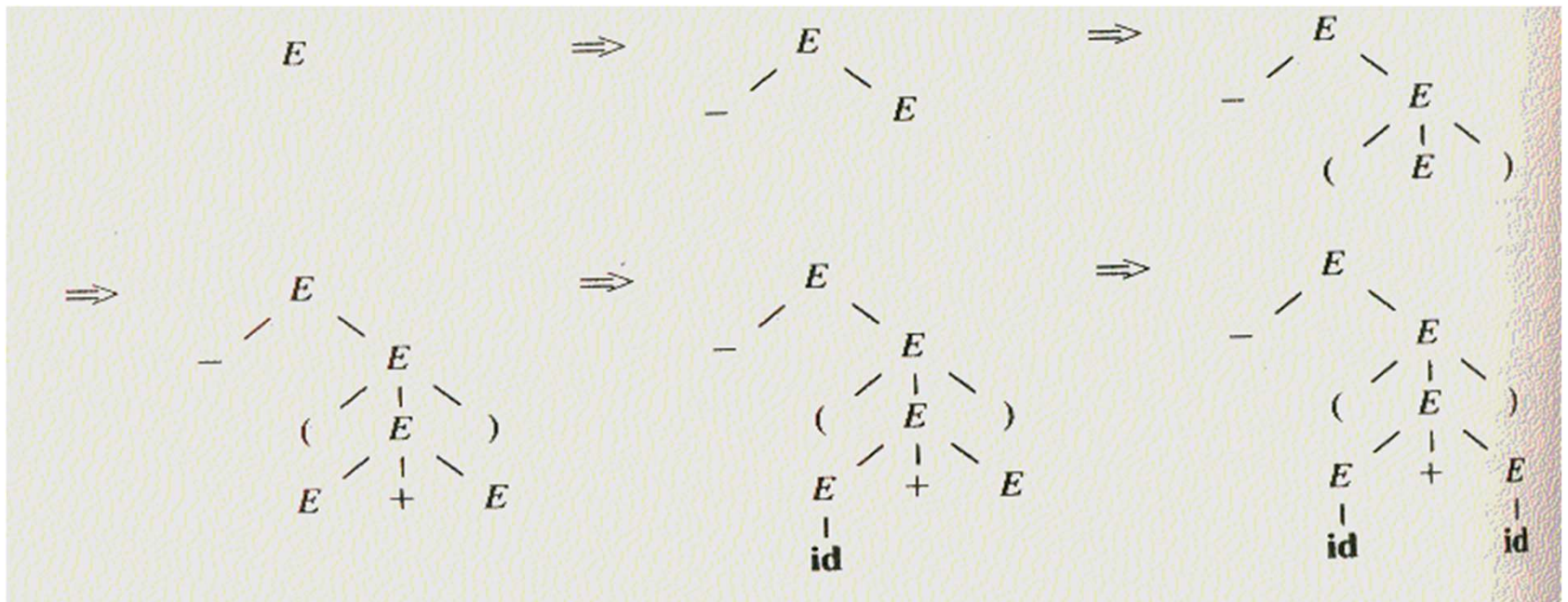


# Parse Trees

- A parse tree is any tree in which
  - The root is labeled with S
  - Each leaf is labeled with a token  $a$  or  $\epsilon$
  - Each interior node is labeled by a nonterminal
  - If an interior node is labeled  $A$  and has children labeled  $X_1, \dots, X_n$ , then  $A ::= X_1 \dots X_n$  is a production.

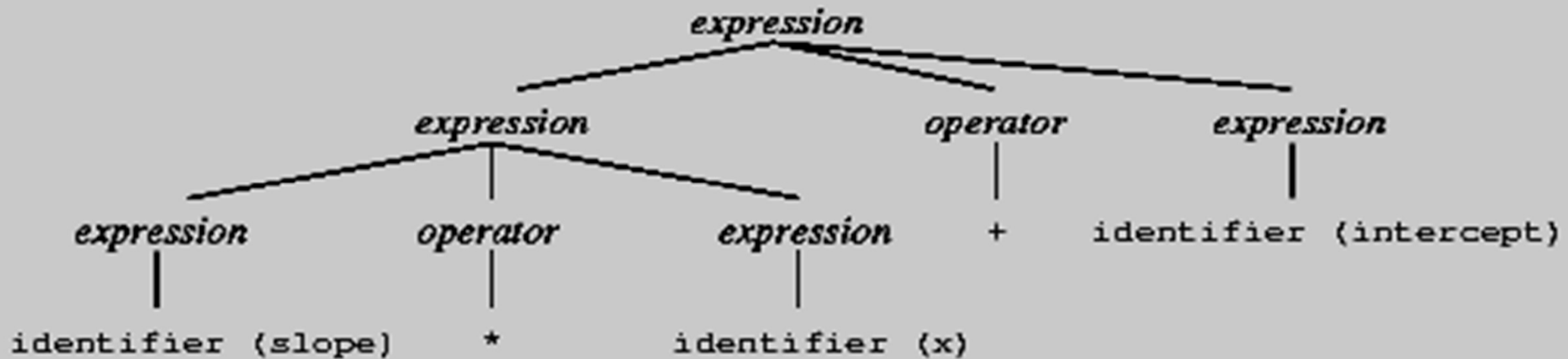
# Parse Trees and Derivations

$E ::= E + E \mid E * E \mid E - E \mid - E \mid ( E ) \mid \text{id}$



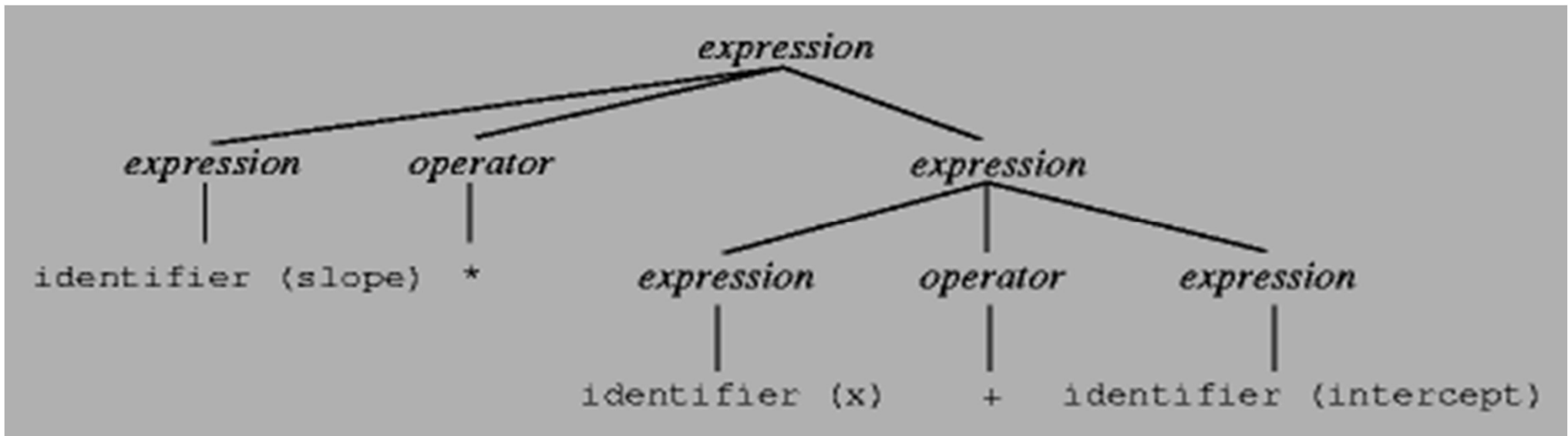
# Parse Trees

- A parse is graphical representation of a derivation
- Example



# Ambiguous Grammars

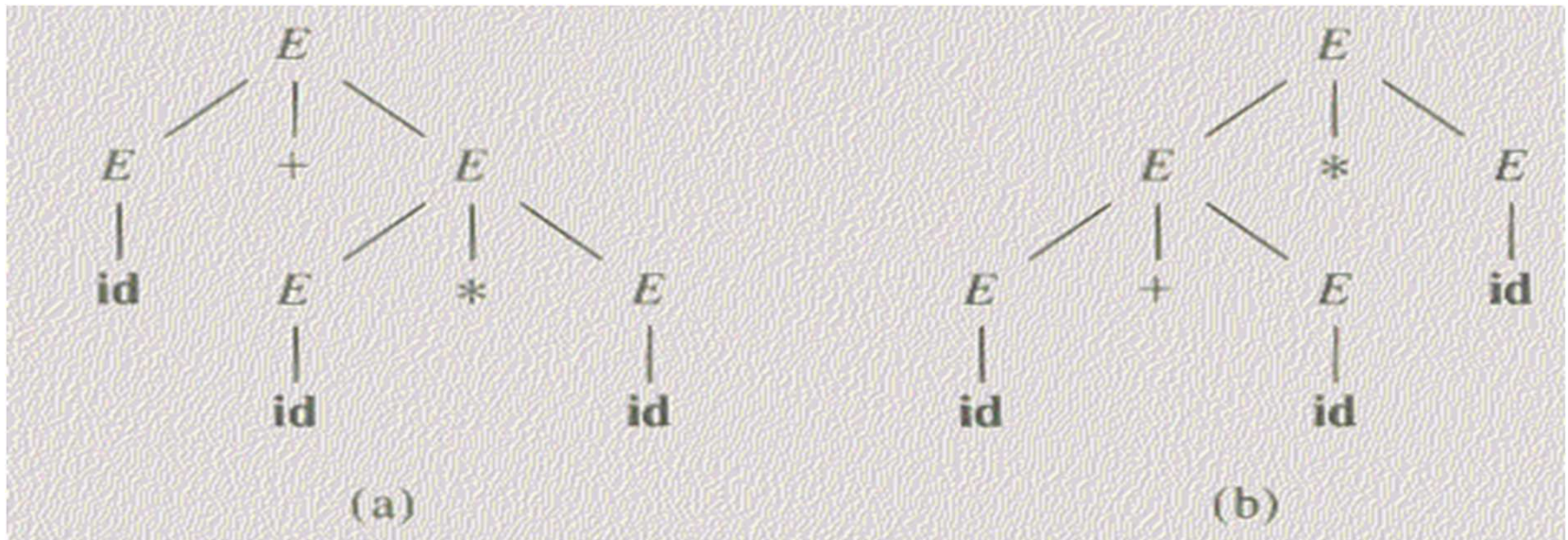
- Alternative parse tree
  - same expression
  - same grammar



- This grammar is ambiguous

# Ambiguity

- A grammar that produces more than one parse tree for some sentence is said to be *ambiguous*.



# Eliminating Ambiguity

- There is no deterministic way of finding out whether a grammar is ambiguous and how to fix it. In order to remove ambiguity, we follow some heuristics.
- There are three parts to this:
  1. Add a non-terminal for each precedence level
  2. Isolate the corresponding part of the grammar
  3. Force the parser to recognize the high-precedence sub expressions first

$E \rightarrow E + E \mid E - E$

$\mid E * E \mid E / E$

$\mid (E) \mid \text{var}$

$E \rightarrow E + T \mid E - T \mid T$

$T \rightarrow T * F \mid T / F \mid F$

$F \rightarrow (E) \mid \text{id}$

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid -TE' \mid \text{eps}$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid /FT' \mid \text{eps}$

# Eliminating Left-Recursion

- Direct left-recursion

$$A ::= A\alpha \mid \beta$$



$$A ::= \beta A'$$

$$A' ::= \alpha A' \mid \varepsilon$$

$$A ::= A\alpha_1 \mid \dots \mid A\alpha_m \mid \beta_1 \mid \dots \mid \beta_n$$



$$A ::= \beta_1 A' \mid \dots \mid \beta_n A'$$

$$A' ::= \alpha_1 A' \mid \dots \mid \alpha_n A' \mid \varepsilon$$

# Eliminating Indirect Left-Recursion

- Indirect left-recursion

- Algorithm

$S ::= Aa \mid b$

$A ::= Ac \mid Sd \mid \varepsilon$

Arrange the nonterminals in some order  $A_1, \dots, A_n$ .

for (i in 1..n) {

  for (j in 1..i-1) {

    replace each production of the form  $A_i ::= A_j \gamma$  by the  
    productions  $A_i ::= \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$  where

$A_j ::= \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$

  }

  eliminate the immediate left recursion among  $A_i$  productions

}



# Left Factoring

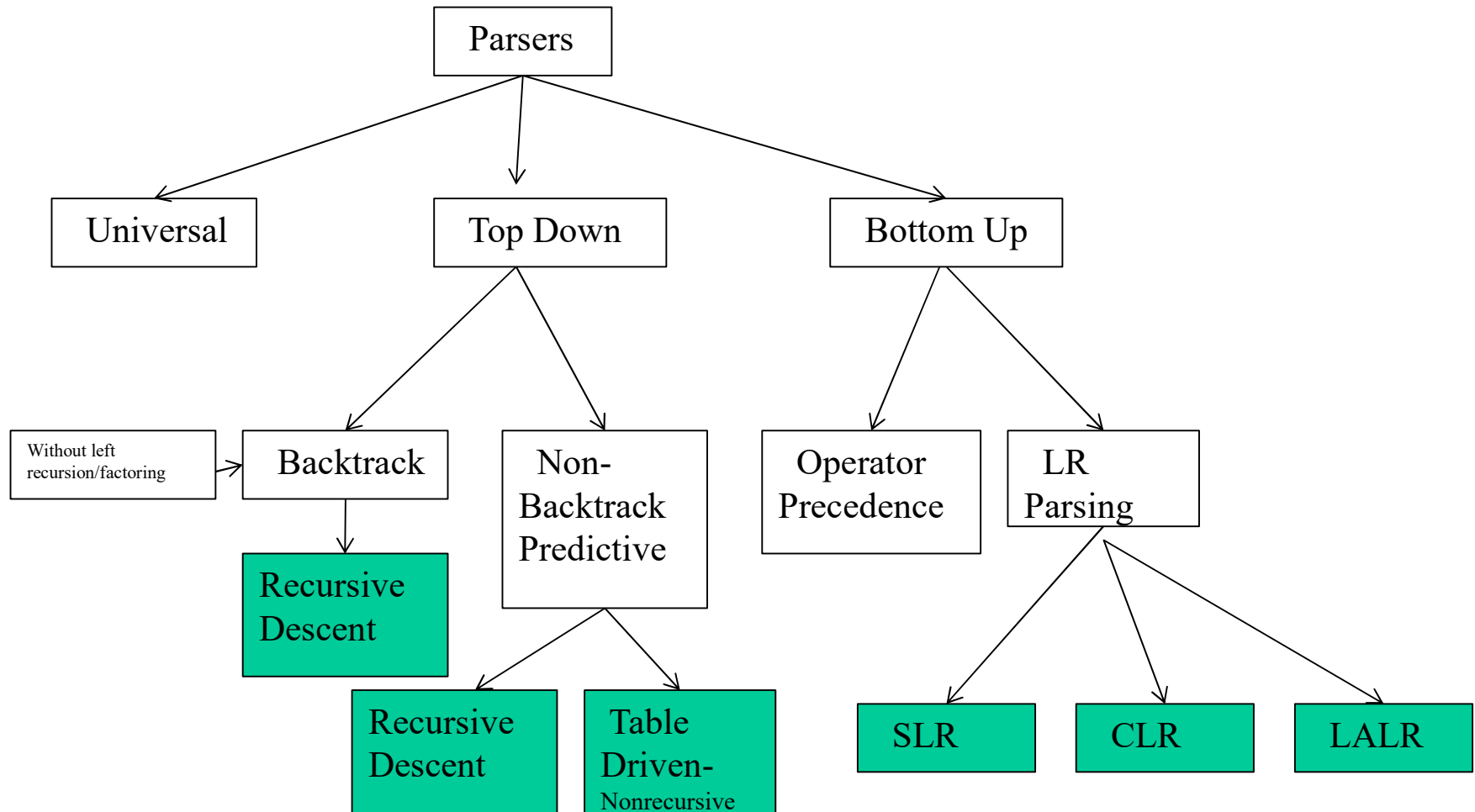
$$A ::= \alpha\beta_1 \mid \dots \mid \alpha\beta_n \mid \gamma$$



$$A ::= \alpha A' \mid \gamma$$

$$A' ::= \beta_1 \mid \dots \mid \beta_n$$

# Types of Parsers



# Top-Down Parsing

- Start from the start symbol and build the parse tree top-down
- Apply a production to a nonterminal. The right-hand of the production will be the children of the nonterminal
- Match terminal symbols with the input
- May require backtracking
- Some grammars are backtrack-free (predictive)

# TDP

- The parse tree is created top to bottom.
- Top-down parser
  - Recursive-Descent Parsing
    - Backtracking is needed (If a choice of a production rule does not work, we backtrack to try other alternatives.)
    - It is a general parsing technique, but not widely used.
    - Not efficient
  - Predictive Parsing
    - no backtracking
    - efficient
    - needs a special form of grammars (LL(1) grammars).
    - **Recursive Predictive Parsing is a special form of Recursive Descent parsing without backtracking.**
    - **Non-Recursive (Table Driven) Predictive Parser is also known as LL(1) parser.**

# Construct Parse Trees Top-Down

- Start with the tree of one node labeled with the start symbol and repeat the following steps until the fringe of the parse tree matches the input string
  1. At a node labeled A, select a production with A on its LHS and for each symbol on its RHS, construct the appropriate child
  2. When a terminal is added to the fringe that doesn't match the input string, backtrack
  3. Find the next node to be expanded
- Minimize the number of backtracks

# Example

Left-recursive

$$\begin{aligned} E &::= T \mid E + T \mid E - T \\ T &::= F \mid T * F \mid T / F \\ F &::= \text{id} \mid \text{number} \mid (E) \end{aligned}$$

Right-recursive

$$\begin{aligned} E &::= T E' \\ E' &::= + T E' \\ &\quad \mid - T E' \\ &\quad \mid e \\ T &::= F T' \\ T' &::= * F T' \\ &\quad \mid / F T' \\ &\quad \mid e \\ F &::= \text{id} \\ &\quad \mid \text{number} \\ &\quad \mid (E) \end{aligned}$$

$x - 2 * y$

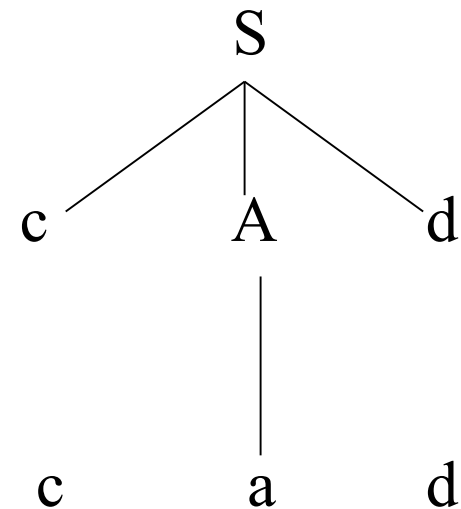
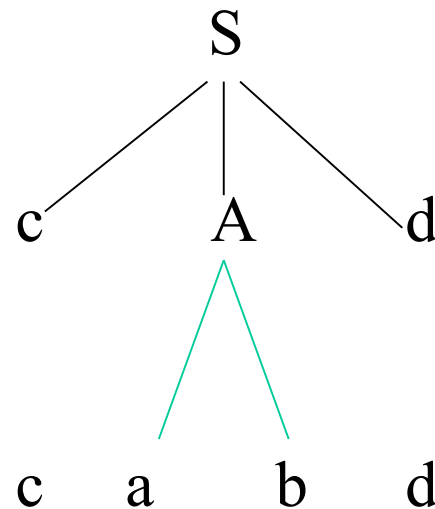
# Recursive-Descent Parsing (uses Backtracking)

- Backtracking is needed.
- It tries to find the left-most derivation.
- Grammar rule of a non-terminal “A” is viewed as a definition of a procedure that will recognize “A”.

$S \rightarrow cAd$

$A \rightarrow ab \mid a$

input: cad



fails, backtrack

# Recursive Descent Parser- Example

- A separate recursive procedure is written for every non-terminals

Procedure S()

```
{  
    if input = 'c'  
    {  
        Advance();    //procedure that is written to advance the input pointer to next position  
        A();  
        if input = 'd'  
        {  
            Advance();  
            return true;  
        }  
        else return false;  
        else return false;  
    }  
}
```



# Cont.

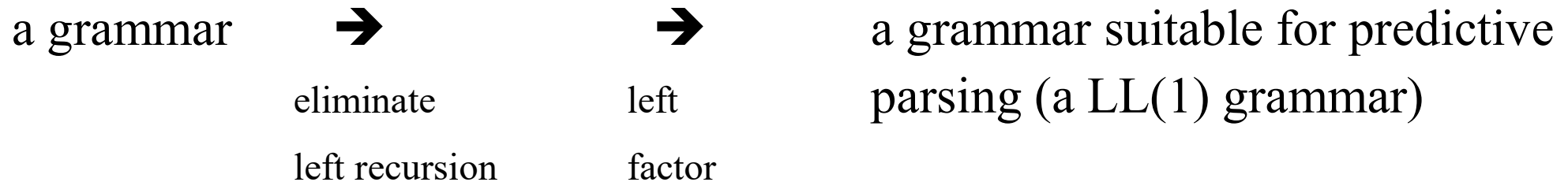
Procedure A()

```
{
  isave=in-ptr;    // i-save saves the input pointer position before each alternate to facilitate backtracking
  If input = 'a'
  {   Advance();
      if input = 'b'
      {
          Advance();
          return true;
      }
  }
  In-ptr=isave
  If input = 'a'
  {   Advance();
      return true;
  }
  return false;
  return false;
}
```

## Cont.

- Problems??
  - Left recursion – ambiguity as how many times to call? Solution – eliminate it
  - Backtracking – when more than one alternative in the rule. Solution – left factoring
  - Very difficult to identify the position of the errors

# Predictive Parser



- When re-writing a non-terminal in a derivation step, a predictive parser can **uniquely** choose a production rule by just looking the current symbol in the input string.

$A \rightarrow \alpha_1 \mid \dots \mid \alpha_n$

input: ... a .....

↑  
current token

# Predictive Parser (example)

```
stmt → if ..... |  
      while ..... |  
      begin ..... |  
      for .....
```

- When we are trying to write the non-terminal *stmt*, if the current token is *if* we have to choose first production rule.
- When we are trying to write the non-terminal *stmt*, we can uniquely choose the production rule by just looking the current token.
- We eliminate the left recursion in the grammar, and left factor it. But it may not be suitable for predictive parsing (not LL(1) grammar).

# Recursive Predictive Parsing

- Each non-terminal corresponds to a procedure.

Ex:  $A \rightarrow aBb$  (This is only the production rule for A)

```
proc A {  
    - match the current token with a, and move to the next token;  
    - call 'B';  
    - match the current token with b, and move to the next token;  
}
```

## Recursive Predictive Parsing (cont.)

$A \rightarrow aBb \mid bAB$

```
proc A {  
  case of the current token {  
    'a': - match the current token with a, and move to the next token;  
         - call 'B';  
         - match the current token with b, and move to the next token;  
    'b': - match the current token with b, and move to the next token;  
         - call 'A';  
         - call 'B';  
  }  
}
```

## Recursive Predictive Parsing (cont.)

- When to apply  $\varepsilon$ -productions.

$$A \rightarrow aA \mid bB \mid \varepsilon$$

- If all other productions fail, we should apply an  $\varepsilon$ -production. For example, if the current token is not a or b, we may apply the  $\varepsilon$ -production.
- Most correct choice: We should apply an  $\varepsilon$ -production for a non-terminal A when the current token is in the follow set of A (which terminals can follow A in the sentential forms).

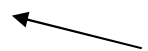
# Recursive Predictive Parsing (Example)

$A \rightarrow aBe \mid cBd \mid C$

$B \rightarrow bB \mid \varepsilon$


$C \rightarrow f$

```
proc A {  
  case of the current token {  
    a: - match the current token with a,  
        and move to the next token;  
        - call B;  
        - match the current token with e,  
        and move to the next token;  
    c: - match the current token with c,  
        and move to the next token;  
        - call B;  
        - match the current token with d,  
        and move to the next token;  
    f: - call C  
  }  
}
```

 **first set of C**

```
proc C {  match the current token with f,  
          and move to the next token; }
```

```
proc B {  
  case of the current token {  
    b: - match the current token with b,  
        and move to the next token;  
        - call B  
    e,d: do nothing  
  }  
}
```

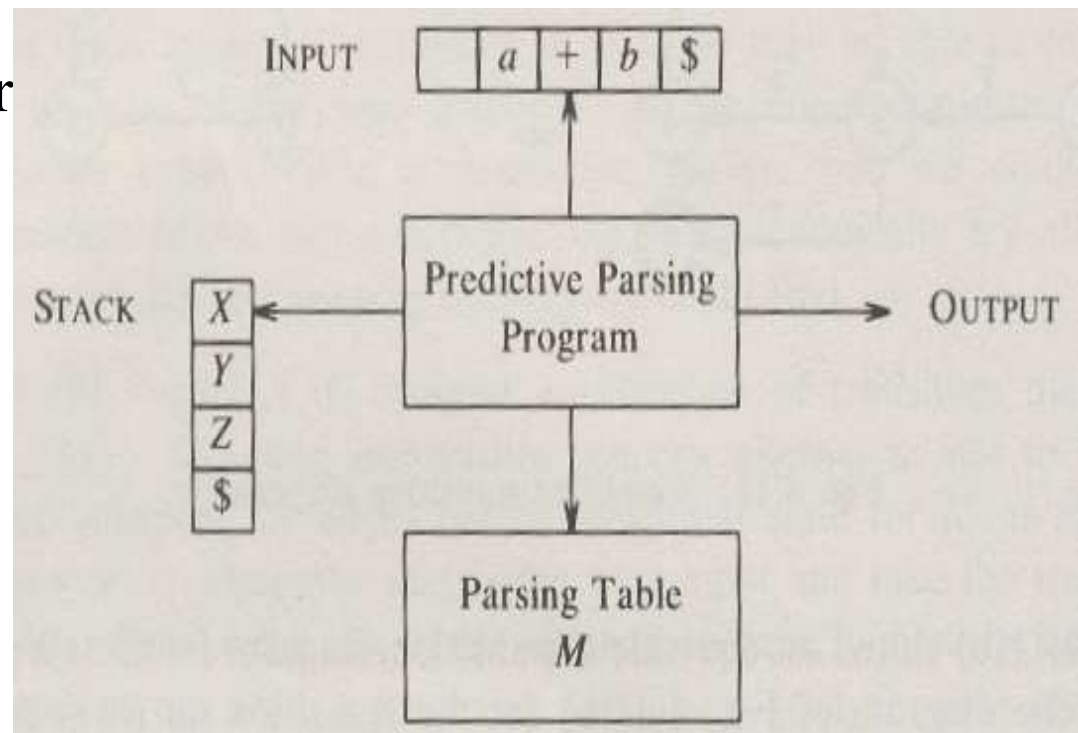
 **follow set of B**



# Non-Recursive Predictive Parsing - LL(1) Parser

- An **LL parser** is a top-down parser for a subset of the context-free grammars. It parses the input from **Left** to right, and constructs a **Leftmost** derivation of the sentence
- Non-Recursive predictive parsing is a table-driven parser.
- It is a top-down parser.
- It is also known as LL(1) Parser

An LL parser is called an LL( $k$ ) parser if it uses  $k$  tokens of lookahead when parsing a sentence



# LL(1) Parser

## input buffer

- our string to be parsed. We will assume that its end is marked with a special symbol \$.

## output

- a production rule representing a step of the derivation sequence (left-most derivation) of the string in the input buffer.

## stack

- contains the grammar symbols
- at the bottom of the stack, there is a special end marker symbol \$.
- initially the stack contains only the symbol \$ and the starting symbol S.       $\$S \leftarrow$  initial stack
- when the stack is emptied (ie. only \$ left in the stack), the parsing is completed.

## parsing table

- a two-dimensional array  $M[A,a]$
- each row is a non-terminal symbol
- each column is a terminal symbol or the special symbol \$
- each entry holds a production rule.

# LL(1) Parser – Parser Actions

set  $ip$  to point to the first symbol of  $w\$$ ;

**repeat**

    let  $X$  be the top stack symbol and  $a$  the symbol pointed to by  $ip$ ;

**if**  $X$  is a terminal or  $\$$  **then**

**if**  $X = a$  **then**

            pop  $X$  from the stack and advance  $ip$

**else**  $error()$

**else**      */\*  $X$  is a nonterminal \*/*

**if**  $M[X, a] \Rightarrow X \rightarrow Y_1 Y_2 \cdots Y_k$  **then begin**

            pop  $X$  from the stack;

            push  $Y_k, Y_{k-1}, \dots, Y_1$  onto the stack, with  $Y_1$  on top;

            output the production  $X \rightarrow Y_1 Y_2 \cdots Y_k$

**end**

**else**  $error()$

**until**  $X = \$$       */\* stack is empty \*/*

parsing table

# LL(1) Parser – Parser Actions

- The symbol at the top of the stack (say  $X$ ) and the current symbol in the input string (say  $a$ ) determine the parser action.
- There are four possible parser actions.
  1. If  $X$  and  $a$  are  $\$$   $\rightarrow$  parser halts (successful completion)
  2. If  $X$  and  $a$  are the same terminal symbol (different from  $\$$ )  
 $\rightarrow$  parser pops  $X$  from the stack, and moves the next symbol in the input buffer.
  3. If  $X$  is a non-terminal  
 $\rightarrow$  parser looks at the parsing table entry  $M[X,a]$ . If  $M[X,a]$  holds a production rule  $X \rightarrow Y_1 Y_2 \dots Y_k$ , it pops  $X$  from the stack and pushes  $Y_k, Y_{k-1}, \dots, Y_1$  into the stack. The parser also outputs the production rule  $X \rightarrow Y_1 Y_2 \dots Y_k$  to represent a step of the derivation.
  4. none of the above  $\rightarrow$  error
    - all empty entries in the parsing table are errors.
    - If  $X$  is a terminal symbol different from  $a$ , this is also an error case.

# LL(1) Parser – Example1

$S \rightarrow aBa$

$B \rightarrow bB \mid \varepsilon$

	a	b	\$
S	$S \rightarrow aBa$		
B	$B \rightarrow \varepsilon$	$B \rightarrow bB$	

LL(1) Parsing  
Table

stack

\$S

\$aBa

\$aB

\$aBb

\$aB

\$aBb

\$aB

\$a

\$

input

abba\$

abba\$

bba\$

bba\$

ba\$

ba\$

a\$

a\$

\$

output

$S \rightarrow aBa$

$B \rightarrow bB$

$B \rightarrow bB$

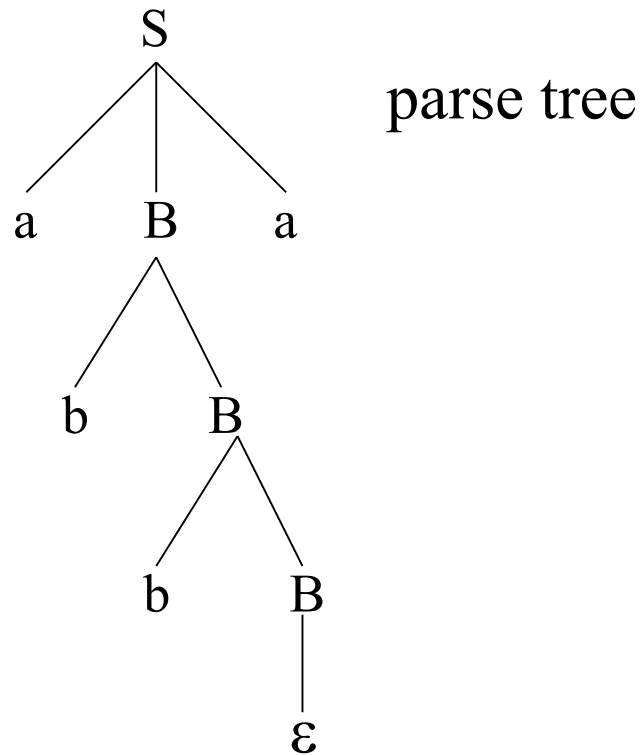
$B \rightarrow \varepsilon$

accept, successful completion

## LL(1) Parser – Example1 (cont.)

Outputs:  $S \rightarrow aBa$      $B \rightarrow bB$      $B \rightarrow bB$      $B \rightarrow \varepsilon$

Derivation(left-most):  $S \Rightarrow aBa \Rightarrow abBa \Rightarrow abbBa \Rightarrow abba$



# LL(1) Parser – Example2

Input= id+id\$

$E \rightarrow TE'$

$E' \rightarrow +TE' \mid \varepsilon$

$T \rightarrow FT'$

$T' \rightarrow *FT' \mid \varepsilon$

$F \rightarrow (E) \mid id$

	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \varepsilon$	$E' \rightarrow \varepsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \varepsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \varepsilon$	$T' \rightarrow \varepsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

# LL(1) Parser – Example2

1.  $E \rightarrow TE'$
2.  $E' \rightarrow +TE'$
3.  $E' \rightarrow \varepsilon$
4.  $T \rightarrow FT'$
5.  $T' \rightarrow *FT'$
6.  $T' \rightarrow \varepsilon$
7.  $F \rightarrow (E)$
8.  $F \rightarrow id$

$FIRST(F) = \{ (, id \}$

$FIRST(T') = \{ *, \varepsilon \}$

$FIRST(T) = \{ (, id \}$

$FIRST(E') = \{ +, \varepsilon \}$

$FIRST(E) = \{ (, id \}$

$FOLLOW(E) = \{ \$, ) \}$

$FOLLOW(E') = \{ \$, ) \}$

$FOLLOW(T) = \{ +, ), \$ \}$

$FOLLOW(T') = \{ +, ), \$ \}$

$FOLLOW(F) = \{ +, *, ), \$ \}$

	id	+	*	(	)	\$
E	1			1		
E'						
T						
T'						
F						



# LL(1) Parser – Example2

<u>stack</u>	<u>input</u>	<u>output</u>
\$E\$	id+id\$	$E \rightarrow TE'$
\$E'T\$	id+id\$	$T \rightarrow FT'$
\$E'T'F\$	id+id\$	$F \rightarrow id$
\$E'T'id\$	id+id\$	
\$E'T'\$	+id\$	$T' \rightarrow \varepsilon$
\$E'\$	+id\$	$E' \rightarrow +TE'$
\$E'T+\$	+id\$	
\$E'T\$	id\$	$T \rightarrow FT'$
\$E'T'F\$	id\$	$F \rightarrow id$
\$E'T'id\$	id\$	
\$E'T'\$	\$	$T' \rightarrow \varepsilon$
\$E'\$	\$	$E' \rightarrow \varepsilon$
\$	\$	accept

# Constructing LL(1) Parsing Tables

1. Eliminate left recursion in grammar G
2. Perform left factoring on the grammar G
3. Find FIRST and FOLLOW for each NT of grammar G
4. Construct the predictive parse table OR LL(1) parse table
5. Check if the given input string can be accepted by the parser

# Compute FIRST

- If  $\alpha$  is a terminal symbol 'a' then  $\text{FIRST}(\alpha) = \{a\}$

**For example**, for grammar rule  $A \rightarrow a$ ,  $\text{FIRST}(a) = \{a\}$

- If  $\alpha$  is a non-terminal symbol 'X' and  $X \rightarrow a\alpha$ , then  $\text{FIRST}(X) = \text{FIRST}(a\alpha) = \{a\}$

**For example** for grammar rule  $A \rightarrow aBC$ ,  $\text{FIRST}(A) = \text{FIRST}(aBC) = \{a\}$

- If  $\alpha$  is a non-terminal 'X' and  $X \rightarrow \epsilon$ , then  $\text{FIRST}(X) = \{\epsilon\}$

**For example** for grammar rule  $A \rightarrow \epsilon$ ,  $\text{FIRST}(A) = \{\epsilon\}$

- If  $X \rightarrow Y_1 Y_2 \dots Y_n$  then add to  $\text{FIRST}(Y_1 Y_2 \dots Y_n)$  **all the non- $\epsilon$  symbols** of  $\text{FIRST}(Y_1)$ . Also **add the non- $\epsilon$  symbols of  $\text{FIRST}(Y_2)$  if  $\epsilon$  is in  $\text{FIRST}(Y_1)$** , the non- $\epsilon$  symbols of  $\text{FIRST}(Y_3)$  if  $\epsilon$  is in both  $\text{FIRST}(Y_1)$  and in  $\text{FIRST}(Y_2)$ , and so on. Finally **add  $\epsilon$  to  $\text{FIRST}(Y_1 Y_2 \dots Y_n)$  if, for all  $i$ ,  $\text{FIRST}(Y_i)$  contains  $\epsilon$ .**

**For example** for rules:  $X \rightarrow Yb$  and  $Y \rightarrow a \mid \epsilon$

$\text{FIRST}(X) = \text{FIRST}(Yb) = \text{FIRST}(Y) = \{a, b\}$

# FIRST Example

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \varepsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \varepsilon$$

$$F \rightarrow (E) \mid \text{id}$$

$$\text{FIRST}(F) = \{ (, \text{id} \}$$

$$\text{FIRST}(T') = \{ *, \varepsilon \}$$

$$\text{FIRST}(T) = \{ (, \text{id} \}$$

$$\text{FIRST}(E') = \{ +, \varepsilon \}$$

$$\text{FIRST}(E) = \{ (, \text{id} \}$$

$$\text{FIRST}(TE') = \{ (, \text{id} \}$$

$$\text{FIRST}(+TE') = \{ + \}$$

$$\text{FIRST}(\varepsilon) = \{ \varepsilon \}$$

$$\text{FIRST}(FT') = \{ (, \text{id} \}$$

$$\text{FIRST}(*FT') = \{ * \}$$

$$\text{FIRST}(\varepsilon) = \{ \varepsilon \}$$

$$\text{FIRST}((E)) = \{ ( \}$$

$$\text{FIRST}(\text{id}) = \{ \text{id} \}$$

# Compute FOLLOW (for non-terminals)

FOLLOW of a non-terminal  $A$  is a set of terminals that follow or occur to the right of  $A$

- If  $S$  is the start symbol  $\rightarrow$   $\$$  is in FOLLOW( $S$ )
- if  $A \rightarrow \alpha B \beta$  is a production rule  
 $\rightarrow$  everything in FIRST( $\beta$ ) is FOLLOW( $B$ ) except  $\epsilon$
- If (  $A \rightarrow \alpha B$  is a production rule ) or  
(  $A \rightarrow \alpha B \beta$  is a production rule and  $\epsilon$  is in FIRST( $\beta$ ) )  
 $\rightarrow$  everything in FOLLOW( $A$ ) is in FOLLOW( $B$ ).

We apply these rules until nothing more can be added to any follow set.

## FOLLOW Example

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \varepsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \varepsilon$$

$$F \rightarrow (E) \mid \text{id}$$

$$\text{FOLLOW}(E) = \{ \$, ) \}$$

$$\text{FOLLOW}(E') = \{ \$, ) \}$$

$$\text{FOLLOW}(T) = \{ +, ), \$ \}$$

$$\text{FOLLOW}(T') = \{ +, ), \$ \}$$

$$\text{FOLLOW}(F) = \{ +, *, ), \$ \}$$

$$\text{First}(E') = \{ +, \text{ep} \}$$

$$\text{First}(T') = \{ *, \text{ep} \}$$

# Constructing LL(1) Parsing Table -- Algorithm

- for each production rule  $A \rightarrow \alpha$  of a grammar  $G$ 
  - for each terminal  $a$  in  $\text{FIRST}(\alpha)$ 
    - ➔ add  $A \rightarrow \alpha$  to  $M[A,a]$
  - If  $\epsilon$  in  $\text{FIRST}(\alpha)$ 
    - ➔ for each terminal  $a$  in  $\text{FOLLOW}(A)$  add  $A \rightarrow \alpha$  to  $M[A,a]$
  - If  $\epsilon$  in  $\text{FIRST}(\alpha)$  and  $\$$  in  $\text{FOLLOW}(A)$ 
    - ➔ add  $A \rightarrow \alpha$  to  $M[A,\$]$
- All other undefined entries of the parsing table are error entries.

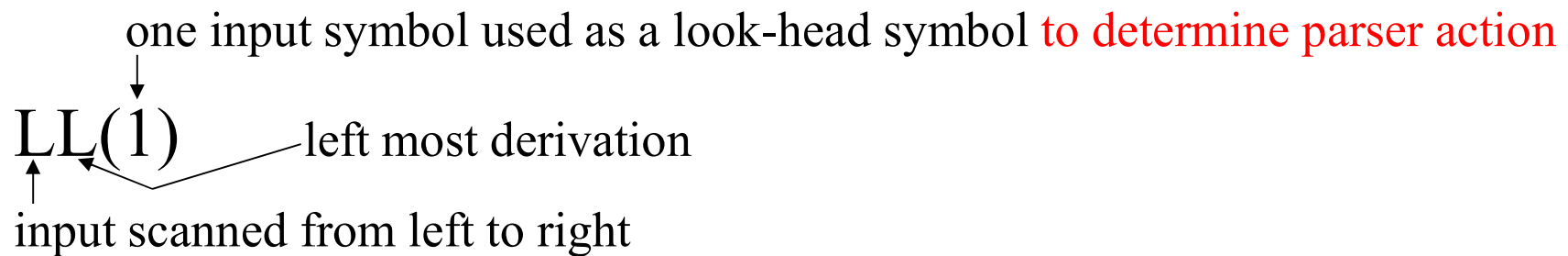
# Constructing LL(1) Parsing Table -- Example

$E \rightarrow TE'$	$FIRST(TE') = \{ (, id \}$	$\rightarrow E \rightarrow TE' \text{ into } M[E, (] \text{ and } M[E, id]$
$E' \rightarrow +TE'$	$FIRST(+TE') = \{ + \}$	$\rightarrow E' \rightarrow +TE' \text{ into } M[E', +]$
$E' \rightarrow \epsilon$	$FIRST(\epsilon) = \{ \epsilon \}$ but since $\epsilon$ in $FIRST(\epsilon)$ and $FOLLOW(E') = \{ \$, ) \}$	$\rightarrow$ none $\rightarrow E' \rightarrow \epsilon \text{ into } M[E', \$] \text{ and } M[E', )]$
$T \rightarrow FT'$	$FIRST(FT') = \{ (, id \}$	$\rightarrow T \rightarrow FT' \text{ into } M[T, (] \text{ and } M[T, id]$
$T' \rightarrow *FT'$	$FIRST(*FT') = \{ * \}$	$\rightarrow T' \rightarrow *FT' \text{ into } M[T', *]$
$T' \rightarrow \epsilon$	$FIRST(\epsilon) = \{ \epsilon \}$ but since $\epsilon$ in $FIRST(\epsilon)$ and $FOLLOW(T') = \{ \$, ), + \}$	$\rightarrow$ none $\rightarrow T' \rightarrow \epsilon \text{ into } M[T', \$], M[T', )]$ and $M[T', +]$
$F \rightarrow (E)$	$FIRST((E)) = \{ ( \}$	$\rightarrow F \rightarrow (E) \text{ into } M[F, (]$
$F \rightarrow id$	$FIRST(id) = \{ id \}$	$\rightarrow F \rightarrow id \text{ into } M[F, id]$



# LL(1) Grammars

- A grammar whose parsing table has no multiply-defined entries is said to be LL(1) grammar.



- *The parsing table of a grammar may contain more than one production rule. In this case, we say that it is not a LL(1) grammar.*

# A Grammar which is not LL(1)

$$S \rightarrow i C t S E \mid a$$

$$E \rightarrow e S \mid \varepsilon$$

$$C \rightarrow b$$

$$\text{FIRST}(iCtSE) = \{i\}$$

$$\text{FIRST}(a) = \{a\}$$

$$\text{FIRST}(eS) = \{e\}$$

$$\text{FIRST}(\varepsilon) = \{\varepsilon\}$$

$$\text{FIRST}(b) = \{b\}$$

	a	b	e	i	t	\$
S	$S \rightarrow a$			$S \rightarrow iCtSE$		
E			$E \rightarrow e S$ $E \rightarrow \varepsilon$			$E \rightarrow \varepsilon$
C		$C \rightarrow b$				

two production rules for  $M[E,e]$

$$\text{FOLLOW}(S) = \{ \$, e \}$$

$$\text{FOLLOW}(E) = \{ \$, e \}$$

$$\text{FOLLOW}(C) = \{ t \}$$

Problem → ambiguity

## A Grammar which is not LL(1) (cont.)

- What do we have to do if the resulting parsing table contains multiply defined entries?
  - If we didn't eliminate left recursion, eliminate the left recursion in the grammar.
  - If the grammar is not left factored, we have to left factor the grammar.
  - If its (new grammar's) parsing table still contains multiply defined entries, that grammar is ambiguous or it is inherently not a LL(1) grammar.
- A left recursive grammar cannot be a LL(1) grammar.
  - $A \rightarrow A\alpha \mid \beta$ 
    - ➔ any terminal that appears in  $\text{FIRST}(\beta)$  also appears  $\text{FIRST}(A\alpha)$  because  $A\alpha \Rightarrow \beta\alpha$ .
    - ➔ If  $\beta$  is  $\epsilon$ , any terminal that appears in  $\text{FIRST}(\alpha)$  also appears in  $\text{FIRST}(A\alpha)$  and  $\text{FOLLOW}(A)$ .
- A grammar is not left factored, it cannot be a LL(1) grammar
  - $A \rightarrow \alpha\beta_1 \mid \alpha\beta_2$ 
    - ➔ any terminal that appears in  $\text{FIRST}(\alpha\beta_1)$  also appears in  $\text{FIRST}(\alpha\beta_2)$ .
- An ambiguous grammar cannot be a LL(1) grammar.

# Properties of LL(1) Grammars

- A grammar  $G$  is LL(1) if and only if the following conditions hold for two distinctive production rules  $A \rightarrow \alpha$  and  $A \rightarrow \beta$ 
  1. Both  $\alpha$  and  $\beta$  cannot derive strings starting with same terminals.
  2. At most one of  $\alpha$  and  $\beta$  can derive to  $\epsilon$ .
  3. If  $\beta$  can derive to  $\epsilon$ , then  $\alpha$  cannot derive to any string starting with a terminal in FOLLOW( $A$ ).

# Example

- Construct predictive parse table for the following grammar. Also show parser actions for the input string - (a,a)

$S \rightarrow a \mid \uparrow \mid (T)$

$T \rightarrow T,S \mid S$

- Eliminate left recursion
- left factor
- First
- Follow
- Construct parsing table – check multiple entries
- Show Actions

## Cont.

- Eliminate left recursion

$S \rightarrow a \mid \uparrow \mid (T)$

$T \rightarrow ST'$

$T' \rightarrow ,ST' \mid \epsilon$

- Its not needed to left factor
- FIRST

$\text{FIRST}(S) = \{a, \uparrow, ( \}$

$\text{FIRST}(T) = \text{FIRST}(ST') = \text{FIRST}(S) = \{a, \uparrow, ( \}$

$\text{FIRST}(T') = \{ , , \epsilon \}$

- FOLLOW

$\text{FOLLOW}(S) = \{ \$ , ) \text{ and } , \}$

$\text{FOLLOW}(T) = \{ ) \}$

$\text{FOLLOW}(T') = \{ ) \}$

- Is following grammar LL(1)? Also trace input string - **ibtaea**

$S \rightarrow iCtSS' \mid a$

$S' \rightarrow eS \mid \epsilon$

$C \rightarrow b$

- Is following grammar LL(1)? Also trace input string – **int\*int**

$$E \rightarrow T + E \mid T$$

$$T \rightarrow \text{int} \mid \text{int} * T \mid ( E )$$

$$E \rightarrow T X$$

$$X \rightarrow + E \mid \varepsilon$$

$$T \rightarrow ( E ) \mid \text{int } Y$$

$$Y \rightarrow * T \mid \varepsilon$$

$$\text{First}( T ) = \{ \text{int}, ( \}$$

$$\text{First}( E ) = \{ \text{int}, ( \}$$

$$\text{First}( X ) = \{ +, \varepsilon \}$$

$$\text{First}( Y ) = \{ *, \varepsilon \}$$

$$\text{Follow}( + ) = \{ \text{int}, ( \} \quad \text{Follow}( * ) = \{ \text{int}, ( \}$$

$$\text{Follow}( ( ) = \{ \text{int}, ( \} \quad \text{Follow}( E ) = \{ \}, \$ \}$$

$$\text{Follow}( X ) = \{ \$, ) \} \quad \text{Follow}( T ) = \{ +, ) , \$ \}$$

$$\text{Follow}( ) ) = \{ +, ) , \$ \} \quad \text{Follow}( Y ) = \{ +, ) , \$ \}$$

$$\text{Follow}( \text{int} ) = \{ *, +, ) , \$ \}$$



# Motivation Behind First & Follow

**First:** Is used to help find the appropriate production to follow given the top-of-the-stack non-terminal and the current input symbol.

Example: If  $A \rightarrow \alpha$ , and  $a$  is in  $\text{First}(\alpha)$ , then when  $a = \text{input}$ , replace  $A$  with  $\alpha$  (in the stack).

(  $a$  is one of first symbols of  $\alpha$ , so when  $A$  is on the stack and  $a$  is input, POP  $A$  and PUSH  $\alpha$ .

**Follow:** Is used when First has a conflict, to resolve choices, or when First gives no suggestion. When  $\alpha \rightarrow \epsilon$  or  $\alpha \xRightarrow{*} \epsilon$ , then what follows  $A$  dictates the next choice to be made.

Example: If  $A \rightarrow \alpha$ , and  $b$  is in  $\text{Follow}(A)$ , then when  $\alpha \xRightarrow{*} \epsilon$  and  $b$  is an input character, then we expand  $A$  with  $\alpha$ , which will eventually expand to  $\epsilon$ , of which  $b$  follows!

( $\alpha \xRightarrow{*} \epsilon$  : i.e.,  $\text{First}(\alpha)$  contains  $\epsilon$ .)