



## Q01:What are the definitions of Polynomial Problem, Non-deterministic Polynomial Problem, NP Complete Problem and NP-hard Problem?

- prompt:You are an expert in the field of computer science, and you are teaching advanced algorithms. In the next conversation, I'm going to ask you a few questions about the course content. Please answer them in detail.
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- response:

Here are detailed definitions of the key complexity classes: Polynomial Problems (P), Non-deterministic Polynomial Problems (NP), NP-Complete Problems, and NP-Hard Problems.

### i. **Polynomial Problem (P)**

- **Definition:** The class of **Polynomial Problems (P)** consists of problems that can be solved by a deterministic Turing machine in **polynomial time**. In simple terms, these are problems for which we can design an algorithm that can find a solution in time that scales reasonably (i.e., as a polynomial function) with the size of the input.
- **Characteristics:**
  - Polynomial time complexity means that if the input size is  $n$ , the worst-case running time is bounded by a polynomial expression like  $n^k$ , where  $k$  is a constant.
  - Problems in class **P** are generally considered **tractable**, which means they can be solved efficiently for reasonably large inputs.
- **Example:** Sorting an array of integers (using algorithms like Merge Sort or Quick Sort) is a problem in **P**, as it can be solved in  $O(n \log n)$  time, which is polynomial with respect to the input size  $n$ .

### ii. **Non-deterministic Polynomial Problem (NP)**

- **Definition:** A **Non-deterministic Polynomial (NP)** problem is one where a solution can be **verified in polynomial time** by a deterministic Turing machine. In other words, if a solution is provided, we can check its correctness efficiently.
- **Characteristics:**
  - Unlike **P** problems, it's not guaranteed that there is an efficient (polynomial-time) algorithm to **find** a solution, but

if given a candidate solution, verifying it is efficient.

- These problems can also be thought of as being solvable in polynomial time by a **non-deterministic** Turing machine, which is a hypothetical machine that can explore many possible paths simultaneously.

- **Example:** The **subset-sum problem**, where given a set of integers, the goal is to determine if any subset sums to a particular target value, is an **NP** problem. If someone provides you a subset, you can verify in polynomial time if the subset sums to the target value.

### iii. **NP-Complete Problem**

- **Definition:** **NP-Complete** problems are a special subset of **NP** problems. These problems are both in **NP** and are as hard as any other problem in **NP**, in the sense that every other problem in **NP** can be **reduced** to them in **polynomial time**.
- **Characteristics:**
  - If a polynomial-time algorithm could be found for **one** NP-Complete problem, it would imply that **all** problems in **NP** can also be solved in polynomial time, meaning  $P=NP$ .
  - To prove that a problem is NP-Complete, one needs to show:
    - a. The problem is in **NP**.
    - b. There is a polynomial-time **reduction** from another known NP-Complete problem to this problem.

- **Example:** The **Travelling Salesman Problem (TSP)**, in which a salesman must determine the shortest route that visits a set of cities and returns to the starting point, is **NP-Complete**. Given a candidate route, it is easy to verify if the total distance is below a threshold, but finding that route efficiently is not known.

### iv. **NP-Hard Problem**

- **Definition:** An NP-Hard problem is at least as hard as the hardest problems in NP. Unlike NP-Complete problems, NP-Hard problems are not required to be in NP, which means it may not even be possible to verify a solution in polynomial time.
- **Characteristics:**

- Problems in this class might not have any efficient solution, and they might not have an efficient way to verify a solution.
- **NP-Hard** problems are defined by their difficulty, and their complexity might be even higher than problems in **NP**.
- **Example:** The **Halting Problem**, which asks if a given program will eventually stop or continue to run indefinitely, is **NP-Hard**. It is undecidable, meaning there is no algorithm that can determine the answer for all possible inputs. While this problem is **NP-Hard**, it is **not in NP** because it cannot be verified in polynomial time.
- v. **Summary of Relations:**
  - **P** is a subset of **NP**. Every problem that is in **P** is also in **NP** because if you can solve a problem efficiently, you can also verify the solution efficiently.
  - **NP-Complete** problems are the hardest problems in **NP**.
  - **NP-Hard** problems include problems that are at least as difficult as **NP-Complete** problems, but they do not necessarily belong to **NP** and thus might not have efficiently verifiable solutions.
- vi. **Diagrammatic Relationship:**
  - $P \subseteq NP$
  - $NP - Complete \subseteq NP$
  - **NP-Hard** includes **NP-Complete** but is not necessarily a subset of **NP**.

These definitions and relationships are central to understanding computational complexity theory, which classifies problems based on their inherent difficulty and the resources needed to solve them. The famous **P vs NP** problem asks whether every problem for which a solution can be verified quickly (in polynomial time) can also be solved quickly, which remains one of the most important unsolved questions in computer science.

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## Q02:What is the definition of the correctness of an algorithm?

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The **correctness of an algorithm** refers to its ability to **produce the correct output** for **every possible valid input**. Essentially, an algorithm is said to be **correct** if it solves the problem it was intended to solve, meaning it terminates with the desired result that meets the specification.

Correctness of an algorithm can be broken down into two major components:

i. **Partial Correctness**

- **Definition:** An algorithm is said to be **partially correct** if, assuming the algorithm **terminates**, the output is guaranteed to be correct according to the problem specification.
- **Emphasis:** Partial correctness does not guarantee that the algorithm will **terminate** for every input; it only guarantees that whenever it does terminate, the output is correct.

ii. **Termination**

- **Definition:** An algorithm **terminates** if it reaches a point at which it stops after a finite number of steps, regardless of the input provided.
- **Emphasis:** Termination ensures that the algorithm will eventually finish its execution. If an algorithm runs indefinitely for some inputs, it is said to be **non-terminating** for those inputs.

- **Total Correctness**

- An algorithm is considered **totally correct** if it satisfies both **partial correctness** and **termination**. This means:
  - a. The algorithm will always terminate after a finite number of steps (termination).
  - b. When it terminates, it always produces the correct result (partial correctness).

- **Formal Proof of Correctness**

To formally prove the correctness of an algorithm, techniques like **loop invariants** and **mathematical induction** are often used. Typically, correctness is proven by demonstrating:

- **Initialization:** The algorithm produces correct output on initial input values.
- **Maintenance:** For every iteration or recursive step, it is

shown that the intermediate states are consistent with the problem's requirements.

- **Termination:** It is shown that the algorithm eventually reaches a stopping condition and halts.

- **Example**

Consider a simple sorting algorithm, like **Insertion Sort**:

- **Partial Correctness:** If the algorithm completes its process (i.e., terminates), the resulting output should be a sorted version of the input array.
- **Termination:** Since Insertion Sort runs through the list in a finite number of steps, it is guaranteed to terminate for any finite input list.

Thus, **Insertion Sort** is **totally correct** because it always terminates and the output is always sorted.

- **Importance of Correctness**

- **Reliability:** Correctness is crucial because an incorrect algorithm can lead to unreliable results, which is especially problematic in critical systems (e.g., banking, healthcare).
- **Efficiency vs Correctness:** While efficiency is important, correctness is a fundamental requirement. A fast algorithm is useless if it does not produce correct results.

To summarize, the **correctness** of an algorithm ensures that it will always produce the right answer for all valid inputs, making it essential for the reliability and functionality of software systems.

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**Q03:How to prove: If X can be polynomially reduced to problem Y and Y belongs to class P problems, then X also belongs to class P problems.**

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To prove that if **X** can be **polynomially reduced** to problem **Y** (i.e., there exists a polynomial-time reduction from **X** to **Y**), and **Y** belongs to the class **P** problems, then **X** also belongs to the class **P** problems, we need to understand a few concepts clearly:

- i. **Polynomial-Time Reduction:** A problem **X** can be **reduced** to another problem **Y** if there is an algorithm that takes an instance of **X**, transforms it into an instance of **Y**, and the transformation runs in **polynomial time**. This means that if we can solve **Y**, we can use the transformation to solve **X**.
- ii. **Class P Problems:** The class **P** represents the set of problems that can be solved in **polynomial time** by a deterministic Turing machine.

Let's proceed with the proof:

**Proof:**

### **Step 1: Establish the Reduction and Its Properties**

- Suppose there is a problem **X**.
- We assume that **X** can be **polynomially reduced** to problem **Y**.
  - This means there exists a **polynomial-time reduction** function, say **f**, that transforms any instance of **X** into an equivalent instance of **Y**.
  - **f(x)** represents the transformation of an input **x** for **X** to an input for **Y**, and **f** runs in polynomial time.

### **Step 2: Problem Y Belongs to P**

- **Y** belongs to the class **P**.
  - This means that **Y** can be solved by an algorithm **A\_Y** in **polynomial time**.
  - There exists a deterministic algorithm **A\_Y** that, given an instance of **Y**, can solve it in **polynomial time**.

### **Step 3: Use the Reduction to Solve Problem X**

- We need to prove that **X** can also be solved in polynomial time.
- Given an instance **x** of **X**:
  - a. Use the reduction function **f** to transform **x** into **f(x)**, which is an instance of **Y**.
  - b. This transformation runs in **polynomial time**, say  $O(p(n))$ , where  $p(n)$  is a polynomial function of the size of **x**.

- c. Now, solve the transformed problem  $\mathbf{f}(\mathbf{x})$  using the algorithm  $\mathbf{A\_Y}$ .
  - Since  $\mathbf{Y}$  is in  $\mathbf{P}$ ,  $\mathbf{A\_Y}$  runs in polynomial time, say  $O(q(m))$ , where  $\mathbf{m}$  is the size of  $\mathbf{f}(\mathbf{x})$ , and  $\mathbf{q}(\mathbf{m})$  is a polynomial.
  - Because  $\mathbf{f}$  is a polynomial-time reduction, the size of  $\mathbf{f}(\mathbf{x})$  is also polynomially bounded by the size of  $\mathbf{x}$ , i.e.,  $\mathbf{m}$  is  $O(p(n))$

#### Step 4: Total Running Time of Solving $\mathbf{X}$

- The total time to solve  $\mathbf{X}$  using this approach is the time to:
  - a. **Reduce  $\mathbf{x}$  to  $\mathbf{f}(\mathbf{x})$** : This takes  $O(p(n))$ .
  - b. **Solve  $\mathbf{f}(\mathbf{x})$  using  $\mathbf{A\_Y}$** : This takes  $O(q(m))$ , where  $m$  is  $O(p(n))$ .
- Since both  $\mathbf{p}(\mathbf{n})$  and  $\mathbf{q}(\mathbf{m})$  are polynomial functions, the overall running time for solving  $\mathbf{X}$  is also a polynomial function of the input size  $\mathbf{n}$ . Let's denote the total running time as  $O(r(n))$ , where  $\mathbf{r}(\mathbf{n})$  is also a polynomial (since a polynomial of a polynomial is still a polynomial).

#### Step 5: Conclusion

- We have shown that the problem  $\mathbf{X}$  can be solved in polynomial time using the reduction to  $\mathbf{Y}$  and then solving  $\mathbf{Y}$ .
- Therefore,  $\mathbf{X}$  belongs to the class  $\mathbf{P}$ .

#### Summary of the Proof:

- If  $\mathbf{X}$  can be polynomially reduced to  $\mathbf{Y}$ , and  $\mathbf{Y}$  is in  $\mathbf{P}$ , then  $\mathbf{X}$  can also be solved in polynomial time.
- The existence of a polynomial-time reduction from  $\mathbf{X}$  to  $\mathbf{Y}$  allows us to leverage the polynomial-time solvability of  $\mathbf{Y}$  to conclude that  $\mathbf{x}$  is also in  $\mathbf{P}$ .

Thus, we have proven that if  $\mathbf{X}$  can be polynomially reduced to  $\mathbf{Y}$ , and  $\mathbf{Y}$  is in  $\mathbf{P}$ , then  $\mathbf{X}$  is also in  $\mathbf{P}$ .

#### Q04:How do you prove that the K-th largest subset problem is NP-hard?

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questions about the course content. Please answer them in detail.

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To prove that the **K-th Largest Subset Problem** is **NP-hard**, we need to reduce a known **NP-hard** problem to the **K-th Largest Subset Problem** in **polynomial time**. By doing this, we demonstrate that solving the K-th Largest Subset Problem is at least as hard as solving a known NP-hard problem.

### **Problem Statement: K-th Largest Subset Problem**

The **K-th Largest Subset Problem** can be defined as follows:

- Given a set of **n** elements, each with an associated weight, and a positive integer **K**, the task is to find the **K-th largest** subset according to the sum of the weights.
- The sum of the elements in each subset should be compared, and we need to determine the subset with the **K-th** largest sum.

### **General Approach for Proving NP-Hardness**

To prove that a problem is **NP-hard**, a common approach is to show that a known NP-hard problem can be **polynomially reduced** to the given problem. In other words, if we can transform a known NP-hard problem into the **K-th Largest Subset Problem** in polynomial time, then the **K-th Largest Subset Problem** is at least as hard as the original NP-hard problem.

In this proof, we will use the **Subset Sum Problem** or **Partition Problem**, which is a well-known NP-hard problem, and reduce it to the **K-th Largest Subset Problem**.

### **Subset Sum Problem**

- **Definition:** Given a set of integers **S** and a target value **T**, the goal is to determine if there exists a subset of **S** whose elements sum to **T**.
- **Complexity:** This problem is known to be **NP-complete**.

### **Reduction from Subset Sum to K-th Largest Subset Problem**

The reduction works as follows:

#### **i. Problem Setup:**

- \* Consider an instance of the **Subset Sum Problem** where we are given a set **S = {s1, s2, ..., sn}** and a target value **T**.
- \* We want to determine if there is a subset of **S** whose sum equals **T**.

#### **ii. Transform the Subset Sum Problem into the K-th Largest Subset**



**Problem:**

- \* Construct an instance of the **K-th Largest Subset Problem** using the set **S**.
- \* Assign the weights of the elements in **S** to be the same as their values.
- \* Set **K** such that it corresponds to a ranking that helps us identify whether a subset with the sum **T** exists.

iii. **Key Insight:**

- \* If we can find the subset whose sum is exactly equal to **T** by determining whether it is among the top **K** largest subsets, then we have essentially solved the **Subset Sum Problem**.
- \* More specifically, if we set **K** such that we are effectively looking for subsets in descending order of their sums, we can determine if a subset with sum **T** is present.

iv. **Reduction Completeness:**

- \* We need to argue that solving the **K-th Largest Subset Problem** allows us to answer the **Subset Sum Problem**.
- \* By solving the **K-th Largest Subset Problem** for a sufficiently large value of **K** (i.e., enough to consider all possible subsets of **S**), we can determine if a subset exists whose sum is exactly **T**.
- \* If we can find such a subset through this ranking process, it implies that the **Subset Sum Problem** has a solution.

v. **Polynomial-Time Transformation:**

- \* The transformation from the **Subset Sum Problem** to the **K-th Largest Subset Problem** is done in **polynomial time** since we are essentially reusing the set **S** and assigning weights, without any exponential or complex operations.
- \* Therefore, the reduction is a **polynomial-time reduction**.

**Conclusion:**

- Since the **Subset Sum Problem** is **NP-hard**, and we have shown that it can be reduced in polynomial time to the **K-th Largest Subset Problem**, it follows that the **K-th Largest Subset Problem** must also be **NP-hard**.
- This is because solving the **K-th Largest Subset Problem** would allow us to solve the **Subset Sum Problem**, which is known to be **NP-**

**hard.**

Thus, the **K-th Largest Subset Problem** is **NP-hard**.

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**Q05:What are the cutting-edge researches on P=NP problem?**

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The **P vs. NP** problem remains one of the most profound open questions in computer science and mathematics. Recent research has explored various innovative approaches to address this challenge:

i. **Geometric Complexity Theory(GCT)**

- **Overview:** GCT is an ambitious program that applies advanced tools from algebraic geometry and representation theory to tackle the P vs. NP problem.
- **Approach:** By analyzing the symmetries inherent in computational problems, GCT aims to establish lower bounds on computational complexity.
- **Progress:** While GCT has provided deep insights into the structural aspects of complexity classes, it is acknowledged that a definitive resolution of P vs. NP through this approach may require extensive time and further development.

ii. **Thermodynamic Perspectives**

- **Overview:** This line of research explores the intersection of thermodynamics and computational complexity.
- **Approach:** By interpreting computational processes through thermodynamic concepts like entropy, researchers aim to understand the inherent 'information cost' associated with NP problems.
- **Progress:** The introduction of methods such as Entropy-Driven Annealing (EDA) has provided novel frameworks to analyze the energy landscapes of computational problems, offering fresh

perspectives on the P vs. NP dilemma.

iii. **Utilization of Large Language Models (LLMs)**

- **Overview:** The advent of advanced LLMs, such as GPT-4, has opened new avenues for exploring complex mathematical problems.
- **Approach:** Researchers have employed LLMs to engage in Socratic reasoning, facilitating in-depth dialogues to explore potential proofs and insights related to P vs. NP.
- **Progress:** Preliminary studies have demonstrated that LLMs can generate proof schemas and engage in rigorous reasoning, aligning with existing theoretical perspectives.

iv. **Graph-Theoretic Transformations**

- **Overview:** This approach focuses on transforming complex computational problems into more tractable forms using graph theory.
- **Approach:** By converting general graphs into perfect graphs, researchers aim to leverage known polynomial-time algorithms applicable to perfect graphs to address NP-complete problems.
- **Progress:** While this method has shown promise for specific problem instances, generalizing it to a broader class of NP problems remains a significant challenge.

Despite these innovative efforts, the P vs. NP problem continues to elude a definitive resolution. The complexity and depth of the problem suggest that a breakthrough may require novel paradigms and interdisciplinary approaches. The ongoing research not only advances our understanding of computational complexity but also enriches the broader field of theoretical computer science.