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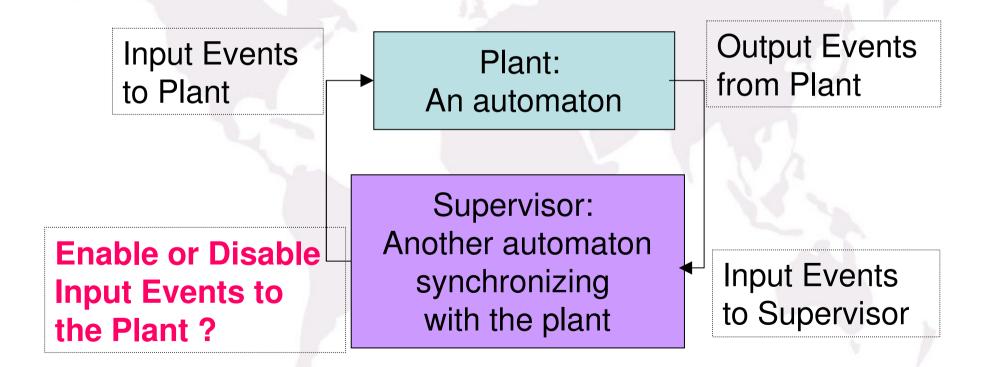
#### DES and SCT

- The supervisory control theory (SCT) of discrete event systems (DES) has been studied since 1980s.
- A widely-accepted framework was established by Dr. Ramadge and Dr. Wonham
  - Plant: a state transition model such as an automaton.
  - Control: Some state transitions are disabled in certain conditions.



#### Control and Feedback

How is the supervisory control implemented?





#### Fundamental Assumptions

- Controllability: We cannot control everything of a system. Only certain factors are controllable.
  - Some transitions can be disabled and some cannot.
- Observability: We cannot know everything about a system. Only partial information of the system can be obtained.
  - Input events are not equal to output events
  - Some information might be missing from input to output.



# Assumption of Controllability

- The state transitions are directly caused by input events
- Only certain input events are possibly disabled
- The events imported to the automaton are partitioned into two disjoint subsets
  - Controllable subset and uncontrollable subset.
  - C: {Input Event} → {Controllable, Uncontrollable}

Controllable events  $\Sigma_c$ 

Uncontrollable events  $\Sigma_{uc}$ 

Cannot be disabled



# Assumption of Controllability

- The system is controlled by disabling certain controllable events that cause undesired state transitions.
- The uncontrollable events cannot be disabled.

Controllable events  $\Sigma_c$ Some of them are disabled in certain states



# Assumption of Observability

- Only partial information can be obtained
  - The input events are projected to output events
  - An observation function

*M*: {Input Event}  $\rightarrow$  {Output Event}  $\cup$  { $\varepsilon$ }

– Some information is missing from the input to the output of a system: Using Empty String  $\boldsymbol{\varepsilon}$ 

Input Events Σ

Projection M

Information Missing



## Assumption of Observability

• A widely-used projection is that the input events are partitioned by two disjoint subsets, i.e., an observable subset and unobservable subset.

 $\sum$ 

Unobservable events  $\Sigma_{uo}$ 

Observable events  $\Sigma_0$ 

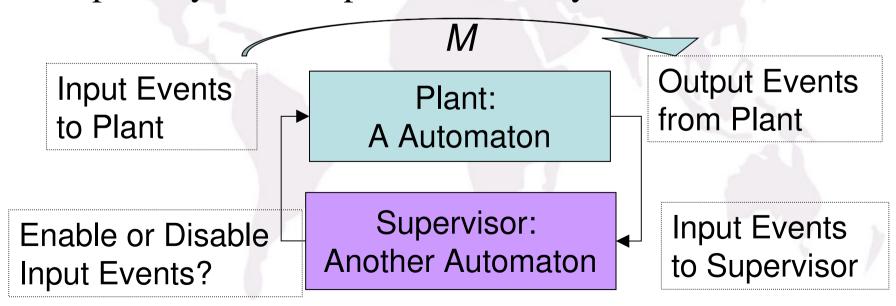
Mapped to empty string  $\mathcal{E}$ :
Unobservalbe events
imply that
information is missing

Mapped to itself: Observalbe events imply that information is preserved in the output



## Assumption of Observability

- Control of a system is implemented by using partial information provided by observable events.
- The observation function characterizes how much information the system provides to the outside, especially to the supervisor to be synthesized.





## Assumptions in SCT

- In sum, the aforementioned study was established based on two important assumptions:
  - (1) We cannot control everything of a system. Only certain events are controllable.
  - (2) We cannot know everything about a system. Only certain events are observable.
- So far the assumptions have been followed up in most of branches in the supervisory control theory
- The controllability and observability of an event are **static properties**: the properties of an event do not change in the system evolvement



## State-Dependency

- Our study will follow the assumptions, but will extend the original assumptions by making the controllability and observability of an event **dynamically changing** in the system evolvement
- The new model is consistent with the existing framework, and it is an extention of the traditional model.

Controllable events  $\Sigma_c$ 

Uncontrollable events  $\Sigma_{uc}$ 

The partition is NOT static, but dynamically changeable



## State-Dependent Controllability

- The new model characterizes the situation that an event is controllable in one state while uncontrollable in another state.
- To depict the state-dependent controllability, a controllability function is introduced.
  - C:  $\{State\}$  ×  $\{Input Event\}$   $\rightarrow$   $\{Controllable, Uncontrollable\}$
- The partition of controllable events and uncontrollable events is dynamically changeable as the system state transits from one to another.



## State-Dependent Observability

- The state-dependent observability assumes that the output of observation does not only depend on the input events, but also the state where the system stays.
- To depict the state-dependent observability, the observation function is renewed.

 $M: \{State\} \times \{Input \ Event\} \rightarrow \{Output \ Event\} \cup \{\epsilon\}$ 

• In other words, the observability of an event is changeable as the system state transits from one to another.



### Comparison

- Traditional Controllability and Observability
  - C: {Input Event} → {Controllable, Uncontrollable}
  - M: {Input Event} → {Output Event} ∪ {ε}
- State-Dependent Controllability and Observability
  - C: {State} × {Input Event} → {Controllable, Uncontrollable}
  - M: {State} × {Input Event} → {Output Event} ∪ {ε}

State-Dependent Controllability and Observability



#### Solution

- Supervisor Existence Problem
  - A necessary and sufficient condition is derived for existence of a supervisor based on (C,L(G))invariability and  $(M, \Sigma_{uo}, L(G))$  of a language.
- Supervisor Synthesis Problem
  - Focus on how to synthesize a required supervisor to meet a given specification.
  - The solvability of the problem is first discussed. Given the specification as a regular language, algorithms are developed to synthesize a required supervisor if it exists.



#### Solution

- State-dependent controllability and observability are extension of the classic model in the tradititional framework, and the solution is also an extension of the classic results.
- The idea is obtained from some practial problems in computer science and manufacturing systems.
  - Coordination of Process and Interruptions Sevice Routine (ISR)
  - Flexible Manufacturing Systems: Transpot Lines with Load and Unload Machines



#### Solution

- Detailed mathematical solutions
  - P. Wang and K. Cai, Supervisory Control of Discrete Event Systems with State-Dependent Controllability (2009), International Journal of System Science. 40(4), 357-366.
  - P. Wang, X. Wang and K. Cai, Supervisory Control of Discrete Event Systems with State-Dependent Observability.

