

# Smooth min-entropy lower bounds for approximation chains

Presentation for Beyond i.i.d 2023

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[github.com/goforashutosh/approx-chains](https://github.com/goforashutosh/approx-chains)

# Smooth min-entropy

Min-entropy for a state  $\rho_{AB}$  is defined as

$$H_{\min}(A|B)_{\rho} := -\log \inf\{\lambda \in \mathbb{R} : \text{there exists a state } \sigma_B \text{ such that } \rho_{AB} \leq \lambda I_A \otimes \sigma_B\}.$$

Smooth min-entropy is defined as

$$H_{\min}^{\epsilon}(A|B)_{\rho} := \sup_{\tilde{\rho}} H_{\min}(A|B)_{\tilde{\rho}}$$

where the optimization is over states  $\tilde{\rho}$  which are  $\epsilon$  close to  $\rho$  (in purified distance).

The smooth min-entropy characterizes the amount of randomness one can extract from a state when part of it is correlated with a register held by the adversary.

# Rényi entropies

For  $\alpha \in [1/2, 1) \cup (1, \infty]$ , the (optimised) sandwiched Rényi entropy is defined as:

$$H_{\alpha}(A|B)_{\rho} = \sup_{\sigma_B} \frac{1}{1-\alpha} \log \text{tr} \left( \sigma_B^{\frac{1-\alpha}{2\alpha}} \rho_{AB} \sigma_B^{\frac{1-\alpha}{2\alpha}} \right)^{\alpha}$$

for where  $\sigma_B$  is density operator.

These  $\alpha$ -Rényi conditional entropies interpolate between min-entropy and the von-Neumann entropy and help produce tight bounds.

# Smooth max-relative entropy

The max-relative entropy between states  $\rho$  and  $\sigma$  is defined as:

$$D_{\max}(\rho||\sigma) := \inf\{\lambda \in \mathbb{R} : \rho \leq 2^\lambda \sigma\}.$$

The  $\epsilon$ -smooth max-relative entropy between states  $\rho$  and  $\sigma$  is defined as:

$$D_{\max}^\epsilon(\rho||\sigma) := \inf_{\tilde{\rho}} D_{\max}(\tilde{\rho}||\sigma)$$

where the optimization is over states  $\tilde{\rho}$  which are  $\epsilon$  close to  $\rho$  (in purified distance).

# Approximation chains

For a (*big*) state  $\rho_{A_1^n B}$  we define a sequence of states  $(\sigma_{A_1^k B}^{(k)})_{k=1}^n$  as an  $\epsilon$ -approximation chain of  $\rho_{A_1^n B}$  if for every  $1 \leq k \leq n$ ,

$$\|\rho_{A_1^k B} - \sigma_{A_1^k B}^{(k)}\|_1 \leq \epsilon,$$

Notation:  
 $A_1^n$  denotes the  
registers  
 $A_1, A_2, \dots, A_n$

that is, the partial state  $\rho_{A_1^k B}$  can be approximated by  $\sigma_{A_1^k B}^{(k)}$ .

# Approximation chains

$(\sigma_{A_1^k B}^{(k)})_{k=1}^n$  as an  $\epsilon$ -approximation chain of  $\rho_{A_1^n B}$ :  $\|\rho_{A_1^k B} - \sigma_{A_1^k B}^{(k)}\|_1 \leq \epsilon$  for every  $k$ .

Suppose, further that  $H(A_k | A_1^{k-1} B)_{\sigma^{(k)}} \geq c$  for some constant  $c > 0$ . Then, we have

$$H(A_1^n | B)_\rho = \sum_{k=1}^n H(A_k | A_1^{k-1} B)_\rho$$

# Approximation chains

$(\sigma_{A_1^k B}^{(k)})_{k=1}^n$  as an  $\epsilon$ -approximation chain of  $\rho_{A_1^n B}$ :  $\|\rho_{A_1^k B} - \sigma_{A_1^k B}^{(k)}\|_1 \leq \epsilon$  for every  $k$ .

Suppose, further that  $H(A_k | A_1^{k-1} B)_{\sigma^{(k)}} \geq c$  for some constant  $c > 0$ . Then, we have

$$\begin{aligned} H(A_1^n | B)_\rho &= \sum_{k=1}^n H(A_k | A_1^{k-1} B)_\rho \\ &\geq \sum_{k=1}^n H(A_k | A_1^{k-1} B)_{\sigma^{(k)}} - g(\epsilon, |A|) \end{aligned}$$

# Approximation chains

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$$\begin{aligned} H(A_1^n | B)_\rho &= \sum_{k=1}^n H(A_k | A_1^{k-1} B)_\rho \\ &\geq \sum_{k=1}^n H(A_k | A_1^{k-1} B)_{\sigma^{(k)}} - g(\epsilon, |A|) \\ &\geq n(c - g(\epsilon, |A|)) \end{aligned}$$



# Approximation chains

$(\sigma_{A_1^k B}^{(k)})_{k=1}^n$  as an  $\epsilon$ -approximation chain of  $\rho_{A_1^n B}$ :  $\|\rho_{A_1^k B} - \sigma_{A_1^k B}^{(k)}\|_1 \leq \epsilon$  for every  $k$ , then

$$H(A_1^n | B)_\rho \geq \sum_{k=1}^n H(A_k | A_1^{k-1} B)_{\sigma^{(k)}} - ng(\epsilon, |A|).$$

A similar argument cannot be true for smooth min-entropy:

$$H_{min}^\epsilon(A_1^n | B)_\rho \gtrsim \sum_{k=1}^n H_{min}^{\epsilon'}(A_k | A_1^{k-1} B)_{\sigma^{(k)}} - n \text{ small}(\epsilon, |A|)$$

can be shown to be **false**.

# Approximate independence

The state  $\rho_{A_1^n B}$  is such that for every  $1 \leq k \leq n$ ,

$$\|\rho_{A_1^k B} - \rho_{A_k} \otimes \rho_{A_1^{k-1} B}\|_1 \leq \epsilon$$

for some  $\epsilon > 0$ . For simplicity assume  $\rho_{A_k} = \rho_{A_1}$  for all  $k$ .

Note that these  $\epsilon$  are the same. The smoothing parameter depends on  $\epsilon$ .

**Problem:** To prove that the smooth min-entropy of  $A_1^n$  given  $B$  is *large*, or specifically,

$$H_{\min}^{f(\epsilon)}(A_1^n | B)_\rho \gtrsim nH(A_1)_\rho.$$

Need the smoothing parameter to be independent of  $n$ . Particularly the results should work in the regime  $n \gg 1/\epsilon$ .

# Approximate independence: triangle inequality

$\rho_{A_1^n B}$  is such that for every  $k$ :  $\|\rho_{A_1^k B} - \rho_{A_k} \otimes \rho_{A_1^{k-1} B}\|_1 \leq \epsilon$ . Need to prove that  $H_{min}^{f(\epsilon)}(A_1^n | B)_\rho \gtrsim nH(A_1)_\rho$ .

If we were to try to bound the trace distance between  $\rho_{A_1^n B}$  and  $\rho_{A_1} \otimes \rho_{A_2} \otimes \cdots \otimes \rho_B$ , then the best we can do is:

$$\begin{aligned} & \|\rho_{A_1^n B} - \rho_{A_1} \otimes \rho_{A_2} \otimes \cdots \otimes \rho_B\|_1 \\ & \leq \sum_{k=1}^n \|\rho_{A_n} \otimes \rho_{A_{n-1}} \otimes \cdots \otimes \rho_{A_{k+1}} (\rho_{A_1^k B} - \rho_{A_k} \otimes \rho_{A_1^{k-1} B})\|_1 \\ & \leq n\epsilon \end{aligned}$$

and if  $n \gg 1/\epsilon$  then this bound is trivial.

# Entropic triangle inequality

Though,  $n\epsilon$  trace distance yields a trivial bound,  $n\epsilon$  is small as “information” distance.

Idea: Instead of a metric based triangle inequality, use an entropy based triangle inequality:

Suppose, we want to bound  $H_{min}^\delta(A|B)_\rho$  of  $\rho_{AB}$  in terms of  $H_{min}(A|B)_\eta$  of another state  $\eta_{AB}$ .

Say  $D_{max}^\delta(\rho_{AB}||\eta_{AB}) = d$ . Then, there exists  $\tilde{\rho}_{AB} \approx_\delta \rho_{AB}$  such that:

$$\tilde{\rho}_{AB} \leq 2^d \eta_{AB}$$

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Say  $D_{max}^\delta(\rho_{AB}||\eta_{AB}) = d$ . Then, there exists  $\tilde{\rho}_{AB} \approx_\delta \rho_{AB}$  such that:

$$\begin{aligned}\tilde{\rho}_{AB} &\leq 2^d \eta_{AB} \\ &\leq 2^d 2^{-H_{min}(A|B)_\eta} I_A \otimes \sigma_B \\ \Rightarrow H_{min}^\delta(A|B)_\rho &\geq H_{min}(A|B)_\eta - D_{max}^\delta(\rho_{AB}||\eta_{AB})\end{aligned}$$

# Entropic triangle inequality

$H_{min}^\delta$  triangle inequality:

$$H_{min}^\delta(A|B)_\rho \geq H_{min}(A|B)_\eta - D_{max}^\delta(\rho_{AB}||\eta_{AB})$$

**Lemma:** Can be improved to:

$$H_{min}^{\epsilon+\delta}(A|B)_\rho \geq H_\alpha(A|B)_\eta - \frac{\alpha}{\alpha-1} D_{max}^\delta(\rho_{AB}||\eta_{AB}) - \frac{g(\epsilon, \delta)}{\alpha-1}$$

for  $0 < \epsilon, \delta < 1/2$  and  $\alpha \in (1, 2]$ .

This is implied by the triangle inequality:

$$\tilde{D}_\alpha(\rho||\sigma) \leq \tilde{D}_\alpha(\eta||\sigma) + \frac{\alpha}{\alpha-1} D_{max}(\rho||\eta)$$

# Approximate independence

$\rho_{A_1^n B}$  is such that for every  $k$ :  $\|\rho_{A_1^k B} - \rho_{A_k} \otimes \rho_{A_1^{k-1} B}\|_1 \leq \epsilon$ . Need to prove that  $H_{min}^{f(\epsilon)}(A_1^n | B)_\rho \gtrsim nH(A_1)_\rho$ .

How can we bound  $D_{max}^\delta$  distance between  $\rho_{A_1^n B}$  and  $\rho_{A_1} \otimes \rho_{A_2} \otimes \cdots \otimes \rho_B$ ?

If instead we had to bound relative entropy distance, then we have:

$$D(\rho_{A_1^n B} || \rho_{A_1} \otimes \rho_{A_2} \otimes \cdots \otimes \rho_B) = I(A_1 : A_2 : \cdots : A_n : B)_\rho$$

# Approximate independence

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# Approximate independence

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If instead we had to bound relative entropy distance, then we have:

$$\begin{aligned} D(\rho_{A_1^n B} || \rho_{A_1} \otimes \rho_{A_2} \otimes \cdots \otimes \rho_B) &= I(A_1 : A_2 : \cdots : A_n : B)_\rho \\ &= \sum_{k=1}^n I(A_k : A_1^{k-1} B)_\rho \\ &\leq nf(\epsilon, |A|) \end{aligned}$$

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$\rho_{A_1^n B}$  is such that for every  $k$ :  $\|\rho_{A_1^k B} - \rho_{A_k} \otimes \rho_{A_1^{k-1} B}\|_1 \leq \epsilon$ . Need to prove that:  
 $H_{min}^{f(\epsilon)}(A_1^n | B)_\rho \gtrsim nH(A_1)_\rho$ .

For  $\rho_{A_1^n B}$ , we have:  $D(\rho_{A_1^n B} || \rho_{A_1} \otimes \rho_{A_2} \otimes \cdots \otimes \rho_B) \leq nf(\epsilon, |A|)$ .

**Substate theorem [JRS02]:** For two state  $\rho$  and  $\sigma$  and  $\delta \in (0,1)$ , we have

$$D_{max}^\delta(\rho || \sigma) \leq \frac{D(\rho || \sigma) + 1}{\delta^2} + [\text{small term}].$$

This implies for  $\delta = f(\epsilon, |A|)^{1/4} = O\left(\epsilon \log \frac{|A|}{\epsilon}\right)^{1/4}$

$$D_{max}^\delta(\rho_{A_1^n B} || \rho_{A_1} \otimes \rho_{A_2} \otimes \cdots \otimes \rho_B) \leq n\delta^2 + O\left(\frac{1}{\delta^2}\right)$$

# Approximate independence

$\rho_{A_1^n B}$  is such that for every  $k$ :  $\|\rho_{A_1^k B} - \rho_{A_k} \otimes \rho_{A_1^{k-1} B}\|_1 \leq \epsilon$ .

Need to prove that:  $H_{min}^{f(\epsilon)}(A_1^n | B)_\rho \gtrsim nH(A_1)_\rho$ .

For  $\delta = O\left(\epsilon \log \frac{|A|}{\epsilon}\right)^{1/4}$ :  $D_{max}^\delta(\rho_{A_1^n B} || \underbrace{\rho_{A_1} \otimes \rho_{A_2} \otimes \dots \otimes \rho_B}_{=: \eta_{A_1^n B}}) \leq n\delta^2 + O\left(\frac{1}{\delta^2}\right)$

Using the entropic triangle inequality,

$$H_{min}^{2\delta}(A_1^n | B)_\rho \geq H_\alpha(A_1^n | B)_\eta - \frac{\alpha}{\alpha - 1} D_{max}^\delta(\rho_{A_1^n B} || \eta_{A_1^n B}) - \frac{g(\delta, \delta)}{\alpha - 1}$$

# Approximate independence

$\rho_{A_1^n B}$  is such that for every  $k$ :  $\|\rho_{A_1^k B} - \rho_{A_k} \otimes \rho_{A_1^{k-1} B}\|_1 \leq \epsilon$ .

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Using the entropic triangle inequality,

$$\begin{aligned} H_{min}^{2\delta}(A_1^n | B)_\rho &\geq H_\alpha(A_1^n | B)_\eta - \frac{\alpha}{\alpha - 1} D_{max}^\delta(\rho_{A_1^n B} || \eta_{A_1^n B}) - \frac{g(\delta, \delta)}{\alpha - 1} \\ &\geq nH_\alpha(A_1)_\rho - \frac{\alpha}{\alpha - 1} n\delta^2 - \frac{1}{\alpha - 1} O\left(\frac{1}{\delta^2}\right) \end{aligned}$$

# Approximate independence

$\rho_{A_1^n B}$  is such that for every  $k$ :  $\|\rho_{A_1^k B} - \rho_{A_k} \otimes \rho_{A_1^{k-1} B}\|_1 \leq \epsilon$ .

Need to prove that:  $H_{min}^{f(\epsilon)}(A_1^n | B)_\rho \gtrsim nH(A_1)_\rho$ .

For  $\delta = O\left(\epsilon \log \frac{|A|}{\epsilon}\right)^{1/4}$ :  $D_{max}^\delta(\rho_{A_1^n B} || \underbrace{\rho_{A_1} \otimes \rho_{A_2} \otimes \dots \otimes \rho_B}_{=: \eta_{A_1^n B}}) \leq n\delta^2 + O\left(\frac{1}{\delta^2}\right)$

Using the entropic triangle inequality,

$$\begin{aligned} H_{min}^{2\delta}(A_1^n | B)_\rho &\geq H_\alpha(A_1^n | B)_\eta - \frac{\alpha}{\alpha - 1} D_{max}^\delta(\rho_{A_1^n B} || \eta_{A_1^n B}) - \frac{g(\delta, \delta)}{\alpha - 1} \\ &\geq nH_\alpha(A_1)_\rho - \frac{\alpha}{\alpha - 1} n\delta^2 - \frac{1}{\alpha - 1} O\left(\frac{1}{\delta^2}\right) \\ &\geq n(H(A_1)_\rho - 2\delta) - O\left(\frac{1}{\delta^3}\right) \end{aligned}$$

Choose  $\alpha = 1 + \delta$   
and use continuity of  
 $H_\alpha$ :

# Quick and dirty proofs of security

For CHSH based sequential device-independent quantum key distribution (DIQKD), it can easily be shown that there exists a winning threshold  $\omega_0$ , such that if the protocol does not abort:

Here  $A_k$  is Alice's answer in the  $k$ th round

$$H\left(A_k \middle| A_1^{k-1} [\text{Eve's info}]\right)_\rho \geq 1 - \epsilon$$

for every  $k$ .

This implies that:

$$\|\rho_{A_1^k E} - \rho_{A_k} \otimes \rho_{A_1^{k-1} E}\|_1 \leq \text{small}(\epsilon)$$

Problem is that the winning threshold depends on the smoothing parameter (/security parameter).

which in turn implies:  $H_{\min}^{f(\epsilon)}(A_1^n | E)_\rho \geq \Omega(n)$ .

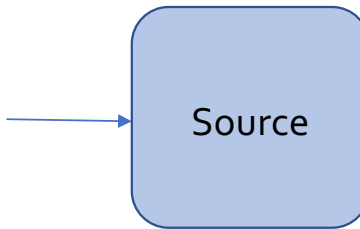
# Source correlations

## BB84 QKD protocol with perfect source:

In each round:



$$\begin{aligned} x &\in_R \{0,1\}, \\ \theta &\in_R \{X,Z\} \end{aligned}$$



$$|x\rangle_\theta$$

State produced by Alice in every round:

$$\hat{\rho}_{X\Theta A} = \sum_{x,\theta} \frac{1}{4} |x, \theta\rangle \langle x, \theta| \otimes H^\theta |x\rangle \langle x| H^\theta$$

and in  $n$  rounds, Alice produces

$$\hat{\rho}_{X\Theta A}^{\otimes n}.$$



# Approximate independence: applications

## Dealing with source correlations:

Suppose the source  $\rho_{X_1^n \Theta_1^n A_1^n}$  satisfies the assumptions:

1. Local states are approximately correct:  $\|\rho_{X_k \Theta_k A_k} - \hat{\rho}_{X \Theta A}\|_1 \leq \epsilon$ .
2. Classical random variables are perfectly produced

# Approximate independence: applications

## Dealing with source correlations:

For  $\epsilon_s = (g'(\epsilon))^{1/4}$ :

$$D_{max}^{\epsilon_s} \left( \rho_{X_1^n \Theta_1^n A_1^n} \parallel \underbrace{\hat{\rho}_{X_1 \Theta_1 A_1}^{(\epsilon)} \otimes \cdots \otimes \hat{\rho}_{X_n \Theta_n A_n}^{(\epsilon)}}_{=: \eta} \right) \leq n\sqrt{g'(\epsilon)} + O_\epsilon(1)$$

Using the triangle inequality:

$$H_{min}^{\epsilon_s + \delta} (X_1^n | E \Theta_1^n \hat{\Theta}_1^n)_{QKD(\rho)} \geq H_\alpha (X_1^n | E \Theta_1^n \hat{\Theta}_1^n)_{QKD(\eta)} - \frac{\alpha}{\alpha - 1} D_{max}^{\epsilon_s}(\rho || \eta) - O(1)$$

QKD protocol performed on  
the state produced by the  
source

QKD protocol performed on  
i.i.d depolarised perfect  
states

# Approximate independence: applications

## Dealing with source correlations:

For  $\epsilon_s = (g'(\epsilon))^{1/4}$ :

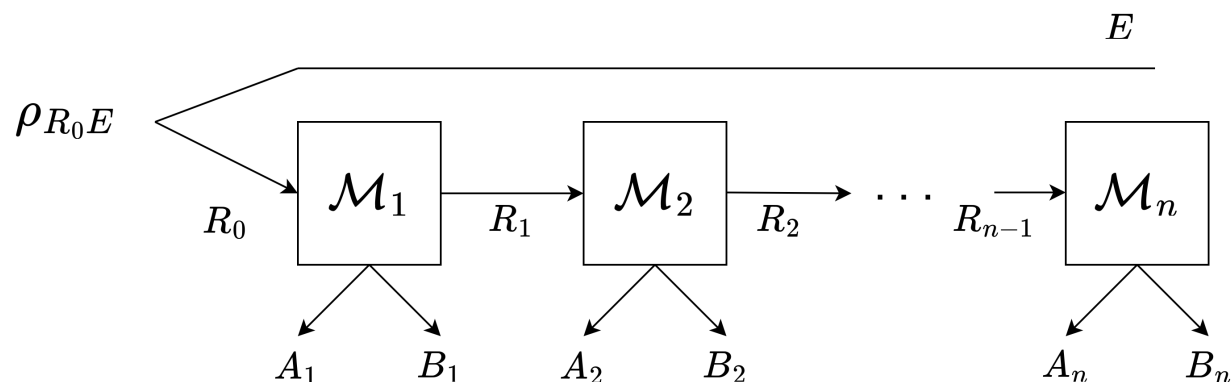
$$D_{\max}^{\epsilon_s} \left( \rho_{X_1^n \Theta_1^n A_1^n} \parallel \underbrace{\hat{\rho}_{X_1 \Theta_1 A_1}^{(\epsilon)} \otimes \cdots \otimes \hat{\rho}_{X_n \Theta_n A_n}^{(\epsilon)}}_{=: \eta} \right) \leq n\sqrt{g'(\epsilon)} + O_\epsilon(1)$$

Using the triangle inequality:

$$H_{\min}^{\epsilon_s + \delta} (X_1^n | E \Theta_1^n \hat{\Theta}_1^n)_{QKD(\rho)} \geq H_\alpha (X_1^n | E \Theta_1^n \hat{\Theta}_1^n)_{QKD(\eta)} - \frac{\alpha}{\alpha - 1} n \underbrace{\sqrt{g'(\epsilon)}}_{\text{Leads to } (g'(\epsilon))^{1/4} \text{ entropy loss per round}} - O(1)$$

**Problem:** the security parameter is lower bounded by the noise of the source  
 → Can be made arbitrarily small by instead using quantum sampling [BF10]

# Approximate entropy accumulation



Entropy accumulation [DFR20] states for  $\rho_{A_1^n B_1^n E} := \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E})$ :

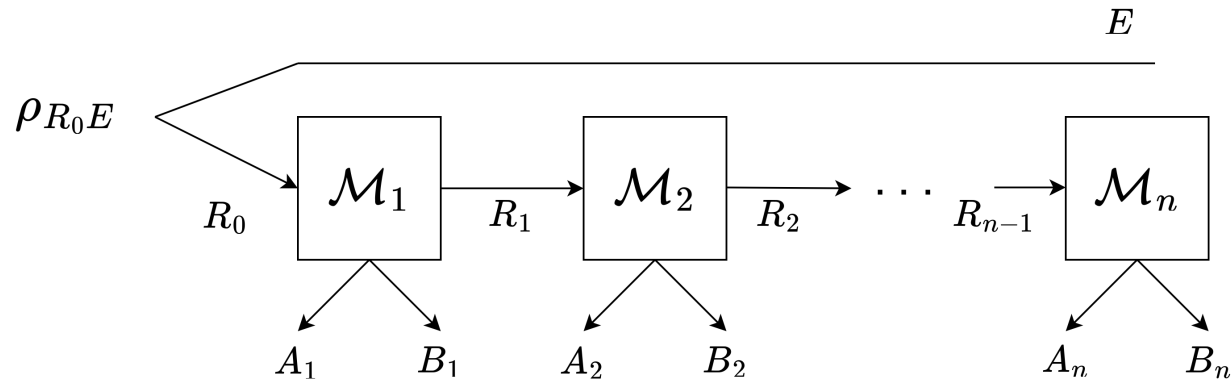
$$H_{min}^{\delta}(A_1^n | B_1^n E)_{\rho} \geq \sum_{k=1}^n \inf_{\omega_{RR_{k-1}}} H(A_k | B_k R)_{\mathcal{M}_k(\omega)} - O(\sqrt{n})$$

as long as for every  $1 \leq k \leq n$ :

$$B_k \leftrightarrow B_1^{k-1} E \leftrightarrow A_1^{k-1}$$

We wish to  
relax these  
assumptions

# Approximate entropy accumulation



Suppose, instead we have  $\|\mathcal{M}_k - \mathcal{N}_k\|_\diamond \leq \epsilon$  and  $\mathcal{N}_k$  satisfy some nice Markov chain properties

It is sufficient to lower bound smooth min-entropy of  $\mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E})$  in terms of  $\mathcal{N}_n \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E})$ .

# Approximate entropy accumulation

In smooth max-relative entropy distance:

$$\begin{aligned} & D_{max}^{\epsilon'} \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_n \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E}) \right) \\ & \leq \tilde{D}_\alpha \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_n \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E}) \right) + \frac{O(1)}{\alpha - 1} \end{aligned}$$

# Approximate entropy accumulation

In smooth max-relative entropy distance:


$$\begin{aligned} & D_{max}^{\epsilon'} \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) || \mathcal{N}_n \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E}) \right) \\ & \leq \tilde{D}_\alpha \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) || \mathcal{N}_n \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E}) \right) + \frac{O(1)}{\alpha - 1} \\ & \leq \tilde{D}_\alpha \left( \mathcal{M}_{n-1} \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) || \mathcal{N}_{n-1} \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E}) \right) + \tilde{D}_\alpha^{reg}(\mathcal{M}_n || \mathcal{N}_n) + \frac{O(1)}{\alpha - 1} \end{aligned}$$

# Approximate entropy accumulation

In smooth max-relative entropy distance:

$$\begin{aligned}
 & D_{max}^{\epsilon'} \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_n \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E}) \right) \\
 & \leq \tilde{D}_\alpha \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_n \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E}) \right) + \frac{O(1)}{\alpha - 1} \\
 & \leq \tilde{D}_\alpha \left( \mathcal{M}_{n-1} \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_{n-1} \circ \dots \circ \mathcal{N}_1(\rho_{R_0 E}) \right) + \tilde{D}_\alpha^{reg}(\mathcal{M}_n \parallel \mathcal{N}_n) + \frac{O(1)}{\alpha - 1} \\
 & \leq \sum_{k=1}^n \tilde{D}_\alpha^{reg}(\mathcal{M}_k \parallel \mathcal{N}_k) + \frac{O(1)}{\alpha - 1}
 \end{aligned}$$

If each of these terms  
were small, we would be  
done



But  $\|\mathcal{M}_k - \mathcal{N}_k\|_\diamond \leq \epsilon$  does not imply any kind of bound on  $\tilde{D}_\alpha^{reg}(\mathcal{M}_k \parallel \mathcal{N}_k)$ .



# Approximate entropy accumulation

Same argument with mixed channels  $\mathcal{N}_k^\delta := (1 - \delta)\mathcal{N}_k + \delta\mathcal{M}_k$ :

$$\begin{aligned} & D_{max}^{\epsilon'} \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_n^\delta \circ \dots \circ \mathcal{N}_1^\delta(\rho_{R_0 E}) \right) \\ & \leq \tilde{D}_\alpha \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_n^\delta \circ \dots \circ \mathcal{N}_1^\delta(\rho_{R_0 E}) \right) + \frac{O(1)}{\alpha - 1} \\ & \leq \tilde{D}_\alpha \left( \mathcal{M}_{n-1} \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_{n-1}^\delta \circ \dots \circ \mathcal{N}_1^\delta(\rho_{R_0 E}) \right) + \tilde{D}_\alpha^{reg}(\mathcal{M}_n \parallel \mathcal{N}_n^\delta) + \frac{O(1)}{\alpha - 1} \\ & \leq \sum_{k=1}^n \tilde{D}_\alpha^{reg}(\mathcal{M}_k \parallel \mathcal{N}_k^\delta) + \frac{O(1)}{\alpha - 1} \end{aligned}$$

# Approximate entropy accumulation

Same argument with mixed channels  $\mathcal{N}_k^\delta := (1 - \delta)\mathcal{N}_k + \delta\mathcal{M}_k$ :

$$\begin{aligned}
 & D_{max}^{\epsilon'} \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_n^\delta \circ \dots \circ \mathcal{N}_1^\delta(\rho_{R_0 E}) \right) \\
 & \leq \tilde{D}_\alpha \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_n^\delta \circ \dots \circ \mathcal{N}_1^\delta(\rho_{R_0 E}) \right) + \frac{O(1)}{\alpha - 1} \\
 & \leq \tilde{D}_\alpha \left( \mathcal{M}_{n-1} \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \parallel \mathcal{N}_{n-1}^\delta \circ \dots \circ \mathcal{N}_1^\delta(\rho_{R_0 E}) \right) + \tilde{D}_\alpha^{reg}(\mathcal{M}_n \parallel \mathcal{N}_n^\delta) + \frac{O(1)}{\alpha - 1} \\
 & \leq \sum_{k=1}^n \tilde{D}_\alpha^{reg}(\mathcal{M}_k \parallel \mathcal{N}_k^\delta) + \frac{O(1)}{\alpha - 1} \\
 & \leq \sum_{k=1}^n D_\alpha^\#(\mathcal{M}_k \parallel \mathcal{N}_k^\delta) + \frac{O(1)}{\alpha - 1}
 \end{aligned}$$

Sharp Rényi divergence  
[FF21]

# Approximate entropy accumulation

**Lemma:** If  $\frac{1}{2} \|\mathcal{N} - \mathcal{M}\|_{\diamond} \leq \epsilon$ , then for  $\mathcal{N}^{\delta} := (1 - \delta)\mathcal{N} + \delta\mathcal{M}$  and  $\alpha > 1$ , we have

$$D_{\alpha}^{\#}(\mathcal{M} || \mathcal{N}^{\delta}) \leq \frac{\alpha + 1}{\alpha - 1} \log \left( (1 + \sqrt{\epsilon})^{\frac{\alpha}{\alpha+1}} + \left( \frac{\sqrt{\epsilon}}{\delta^{\alpha}} \right)^{\frac{1}{\alpha+1}} \right).$$

This is small if, say  $\delta = \epsilon^{\frac{1}{4\alpha}}$ .

We get:

$$\begin{aligned} D_{max}^{\epsilon'} \left( \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) || \mathcal{N}_n^{\delta} \circ \dots \circ \mathcal{N}_1^{\delta}(\rho_{R_0 E}) \right) &\leq \sum_{k=1}^n D_{\alpha}^{\#}(\mathcal{M}_k || \mathcal{N}_k^{\delta}) + \frac{O(1)}{\alpha - 1} \\ &\leq n \cdot O(\epsilon^{\frac{1}{12}}) \end{aligned}$$

for  $\alpha = 2$ .

# Approximate entropy accumulation

We have:

$$\rho_{A_1^n B_1^n E} = \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E})$$

$$\eta_{A_1^n B_1^n E} = \mathcal{N}_n^\delta \circ \dots \circ \mathcal{N}_1^\delta(\rho_{R_0 E})$$

Using the entropic triangle inequality:

$$H_{min}^{\epsilon' + \epsilon''}(A_1^n | B_1^n E)_\rho \geq H_\alpha(A_1^n | B_1^n E)_\eta - \frac{\alpha}{\alpha - 1} \underbrace{D_{max}^{\epsilon'}(\rho || \eta)}_{n \cdot O(\epsilon^{\frac{1}{12}})} - \frac{g(\epsilon', \epsilon'')}{\alpha - 1}$$

Can't use EAT on this because  $\mathcal{N}_k^\delta := (1 - \delta)\mathcal{N}_k + \delta\mathcal{M}_k$ , which mixes the nice channel with the bad channel  $\mathcal{M}_k$ - this could create correlations between  $B_k$  and the previous answers  $A_1^{i-1}$

$n \cdot O(\epsilon^{\frac{1}{12}})$  ✓

# Approximate entropy accumulation

We have:

$$\begin{aligned}\rho_{A_1^n B_1^n E} &= \mathcal{M}_n \circ \dots \circ \mathcal{M}_1(\rho_{R_0 E}) \\ \eta_{A_1^n B_1^n E} &= \mathcal{N}_n^\delta \circ \dots \circ \mathcal{N}_1^\delta(\rho_{R_0 E})\end{aligned}$$

Using the entropic triangle inequality:

$$H_{min}^{\epsilon' + \epsilon''}(A_1^n | B_1^n E)_\rho \geq H_\alpha(A_1^n | B_1^n E)_\eta - \frac{\alpha}{\alpha - 1} \underbrace{D_{max}^{\epsilon'}(\rho || \eta)}_{n \cdot O(\epsilon^{\frac{1}{12}})} - \frac{g(\epsilon', \epsilon'')}{\alpha - 1}$$

Still  $\mathcal{N}_k^\delta := (1 - \delta)\mathcal{N}_k + \delta\mathcal{M}_k$  is good enough- like flipping a coin every round and choosing between the good and bad channel.



$n \cdot O(\epsilon^{\frac{1}{12}})$

# Approximate entropy accumulation

## Theorem:

Suppose  $|A_k| = |A|$ ,  $|B_k| = |B|$  and

Need the size of the side information to be bounded-is necessary

1. Approximation:  $\frac{1}{2} \|\mathcal{M}_k - \mathcal{N}_k\|_\diamond \leq \epsilon$ .
2. Markov conditions: for every  $1 \leq i \leq k-1$  and for all choices of channels  $\Phi_i \in \{\mathcal{M}_i, \mathcal{N}_i\}$ , the state  $\mathcal{N}_k \circ \Phi_{k-1} \circ \dots \circ \Phi_1(\rho_{R_0 E})$  satisfies  $B_k \leftrightarrow B_1^{k-1} E \leftrightarrow A_1^{k-1}$ ,

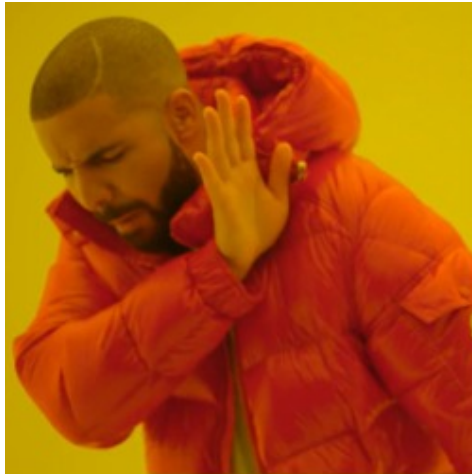
then

$$H_{min}^{\epsilon' + \epsilon''}(A_1^n | B_1^n E)_\rho \geq \sum_{k=1}^n \inf_{\omega_{RR_{k-1}}} H(A_k | B_k R)_{\mathcal{N}_k(\omega)} - nO(\epsilon^{1/24}) - O\left(\frac{1}{\epsilon^{1/24}}\right)$$

Smoothing error is arbitrary

Entropy loss per round is poor due to bad bound for channel divergence

tldr; triangle inequality:



$$d(\rho, \sigma) \leq d(\rho, \eta) + d(\eta, \sigma)$$



$$\begin{aligned} & H_{min}^{\epsilon+\delta}(A|B)_{\rho} \\ & \geq H_{\alpha}(A|B)_{\eta} - \frac{\alpha}{\alpha-1} D_{max}^{\delta}(\rho_{AB} || \eta_{AB}) - \frac{g(\epsilon, \delta)}{\alpha-1} \end{aligned}$$

# Summary

We used the entropic triangle inequality to:

1. create a smooth min-entropy lower bound for approximately independent registers
  - can also create a “weak” approximate AEP– can we do better?
2. provide a solution to the source correlation problem
  - is it practically good enough?
  - can we use different/ better assumptions?
  - better analysis?
3. create an approximate entropy accumulation theorem
  - improve the channel divergence bounds/ rate loss
  - can we relax assumptions further?



Thank you.