

# 1 Eco-evolutionary dynamics of mutualisms

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## 4 Abstract

5 Mutualism has long been studied as a conundrum for evolutionary theory. In the  
6 short run species that exploit other species would have a fitness advantage over a mu-  
7 tually costly relationship. How such mutualisms are then maintained in the long run is  
8 a valid question. However studies investigating this question often neglect the impor-  
9 tance of within species interactions. Separating inter and intraspecies interactions may  
10 not be always possible especially if the same individuals act within and between species.  
11 Feedbacks between inter and intraspecies interactions are then inevitable and need to be  
12 taken into account. Including population dynamics adds an ecological component to the  
13 study. Herein we study the full eco-evolutionary dynamics of mutualism between two  
14 species when a variety of intraspecies interactions are possible. Our results show that  
15 while mutualism can turn into parasitism by overexploitation, for some intraspecies dy-  
16 namics, mutualism can be maintained even while maintaining exploiters in the species  
17 composition.

18 Keywords: mutualism, evolutionary game theory, multiple players, population dynamics, sea-  
19 sonality

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## 34 **1 Introduction**

35 "Is there anything left to say about mutualisms .... The authors of this volume apparently think  
 36 there is something to say, but I wonder if we are not beating a dead horse." - Janzen (1985).

37 Mutualisms have been debated over for a long time. As with many concepts, we can  
 38 trace back the study of mutualism to Aristotle (Aristotle (Translator - Allan Gotthelf), 1991).  
 39 Formally the Belgian zoologist Pierre van Beneden coined the term mutualism in 1873 (Bron-  
 40 stein, 2003). The study of mutualistic relationships, interspecific interactions that benefit both  
 41 species, is rich in empirical as well as theoretical understanding (Boucher, 1985; Hinton, 1951;  
 42 Wilson, 1983; Bronstein, 1994; Pierce et al., 2002; Kiers et al., 2003; Bshary and Bronstein,  
 43 2004) (Poulin and Vickery, 1995; Doebeli and Knowlton, 1998; Noë, 2001; Johnstone and  
 44 Bshary, 2002; Bergstrom and Lachmann, 2003; Hoeksema and Kummel, 2003; Akçay and  
 45 Roughgarden, 2007; Bshary et al., 2008). Most examples of mutualisms lend themselves to  
 46 the idea of direct reciprocity (Trivers, 1971) and have thus been extensively studied using evo-  
 47 lutionary game theory. Classical evolutionary games are usually limited to dyadic interactions  
 48 (Weibull, 1995; Hofbauer, 1996; Hofbauer and Sigmund, 1998). Between two species then,  
 49 the fundamental interaction is between two individuals, one from each species, and the sum  
 50 of many such interactions determines the evolutionary dynamics. However, this is clearly a  
 51 simplification as has been shown by numerous studies (Noë and Hammerstein, 1995; Noë,  
 52 2001; Kiers et al., 2003; Stanton, 2003; Stadler and Dixon, 2008).

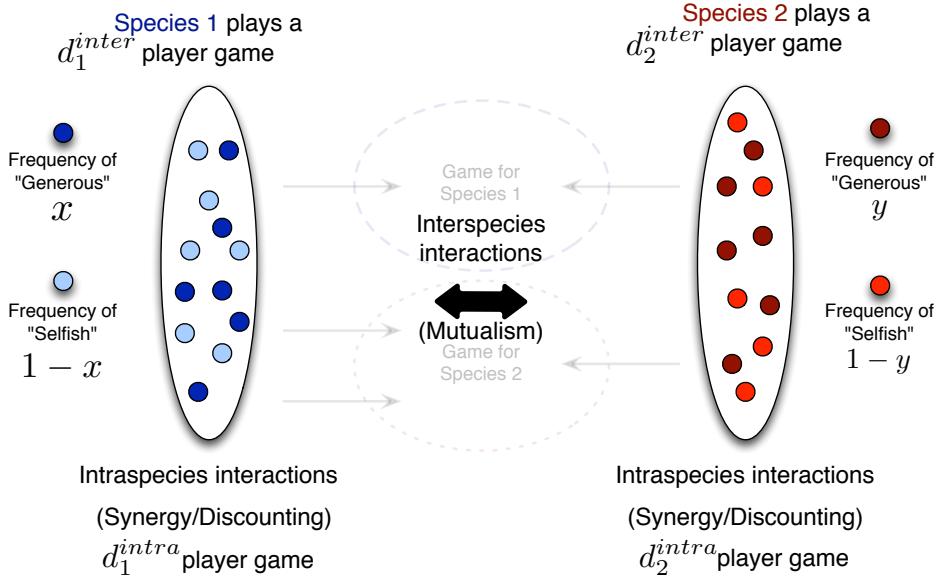
53 A well studied example of a one-to-many interaction is that of the plant-microbe mutual-  
 54 ism wherein leguminous hosts prefer rhizobial symbionts that fix more nitrogen (Kiers et al.,  
 55 2003), or where plants provide more carbon resources to fungal strains that are providing  
 56 better access to nutrients (Kiers et al., 2011). As an example of an animal host, mutualis-  
 57 tic relationship between the bioluminescent bacteria *Vibrio fischeri* and *Euprymna scolopes*,

58 the bobtail squid (McFall-Ngai, 2014) is a paradigm. Numerous bacteria are hosted in the  
59 crypts of the squid’s light organ, where they produce light despite it being costly to do so.  
60 The bacteria mature and develop within the squid, however those that fail to produce bio-  
61 luminescence are evicted. While the variation in the phenotypes of the interacting partners  
62 has been acknowledged, the usual analysis focuses on the interaction between the two species  
63 without addressing this additional complexity. The classic example of ants and aphids or but-  
64 terfly larvae (Pierce et al., 1987; Hölldobler and Wilson, 1990) is an excellent exposition of  
65 many player interactions. Numerous ants tend to each of the soft bodied creatures, providing  
66 them with shelter and protection from predation and parasites, in exchange for honeydew, a  
67 rich source of food for the ants (Hill and Pierce, 1989; Stadler and Dixon, 2008). This is a  
68 one-to-many interaction from the perspective of the larva.

69 While inferring the particular type of interspecific symbiosis (mutualism, parasitism or  
70 commensalism) might be possible, identifying and quantifying the underlying intraspecific  
71 variation can be a daunting task (Behm and Kiers, 2014). Intraspecific interactions are usu-  
72 ally studied in isolation and separate from the interspecies relationships. For example while  
73 cohorts of cleaner fish together have been taken to determine the quality of a cleaning station  
74 (Bshary and Schäffer, 2002; Bshary and Noë, 2003), this can also drive variation of quality  
75 of cleaning within a cleaning station via interactions of individual cleaner fish amongst them-  
76 selves. In this manuscript we look at the broader picture of how the evolutionary dynamics  
77 within a species are shaped when both the inter as well as intraspecies dynamics are taken  
78 together. We find that including the full range of interactions provides us with a set of rich and  
79 intricate dynamics which are not possible when either one of these dimensions is ignored.

80 Mutualistic relationships are, by definition, between species, and timing may be crucial for  
81 their maintenance. It is natural to imagine that the observed mutualism may be seasonal and  
82 the interactions are not a continuous feature of the evolutionary trajectory of a species. Three  
83 species of spiderhunter sunbirds, *Arachnothera*, pollinate the evergreen subtropical “lipstick  
84 plant”, *Aeschynanthus speciosus*, only twice a year. A wildly changing ecology can affect  
85 the flowering time of some plants and the maturation of the dispersers they depend on, easily  
86 disrupting such delicately balanced mutualistic interactions. Unless both interacting species  
87 can respond in a similar fashion such a mutualism will break down (Warren and Bradford,  
88 2014). We tackle this seasonality by varying the duration of the impact of intraspecies and  
89 interspecies dynamics.

90 To complete the ecological picture we include population dynamics to the evolutionary  
91 process of the mutualists. The two species can often occupy different niches and Such dy-  
92 namics informs us about the population densities we might expect to find the interactors to  
93 evolve to. We demonstrate the crucial nature of the feedback between population and evo-



**Figure 1: Evolutionary dynamics with combined inter-intra-species dynamics.** We assume the interactions between species to be mutualistic described by the snowdrift game (Bergstrom and Lachmann, 2003; Souza et al., 2009; Gokhale and Traulsen, 2012). Species 1 plays a  $d_1^{inter}$  player game with species 2 while species 2 plays a  $d_2^{inter}$  player game. Each species has two types of players “Generous” and “Selfish” who besides interacting with the members of other species, also take part in intraspecies dynamics. For intraspecies interactions we assume a general framework of synergy and discounting which can recover the *classical* outcomes of evolutionary dynamics(Eshel and Motro, 1988; Hauert et al., 2006b; Nowak, 2006)

lutionary dynamics which can maintain mutualisms preventing either or both species from going extinct. Beginning with the previously studied interspecies dynamics as the foundational framework (Gokhale and Traulsen, 2012) we increase the complexity of the system by including intraspecies dynamics, and then seasonality. For the complete eco-evolutionary picture to emerge we include population dynamics next. The rich dynamics observed provides us with novel insights about the immense asymmetries in mutualisms and the fragility of such delicately balanced interactions.

101 **2 Model and Results**

102 **2.1 Interaction dynamics**

103 **2.1.1 Interspecies**

104 Focusing on mutualism, the interspecies dynamics is given by the multiplayer version of the  
105 snowdrift game (Bergstrom and Lachmann, 2003; Souza et al., 2009; Gokhale and Traulsen,  
106 2012) (also known as hawk-dove, or chicken). A common benefit is generated by contributions  
107 from both species but there is a cost involved to it and species do not need to contribute  
108 equally. However the individuals in each species could get away with contributing a bit less  
109 than other individuals. Hence for example if producing brighter light comes at a premium for  
110 the *Vibrio* in the squid then the dimmer *Vibrio* would be better off (Not producing any light  
111 is not an option as the squid then actively evicts these bacteria) (McFall-Ngai, 2014). We  
112 assume that each species consists of two types of individuals “Generous”  $G$  and “Selfish”  $S$ .  
113 If enough individuals are “Generous” and contributing to the generation of mutual benefits  
114 then other individuals can get away with being selfish (not contributing). But all individuals  
115 in the game lose out if not enough are generous. Hence both species cannot be completely  
116 “selfish”, as per the definition of mutualism. This interaction framework corresponds to that  
117 of a multiplayer version of a snowdrift game and is discussed in detail in the Supplementary  
118 Material (SI). Hence the pressure is on a species to make the partner “Generous” while itself  
119 being “Selfish”. The fitness of each of the types within a species depends on the composition  
120 of the other species. Denoting the frequency of the “Generous” types in species 1 ( $G_1$ ) as  
121  $x$ , and that in species 2 ( $G_2$ ) as  $y$ , the fitness of  $G_1$  is given by  $f_{G_1}^{inter}(y)$  and that of  $G_2$  as  
122  $f_{G_2}^{inter}(x)$ .

123 **2.1.2 Intraspecies**

124 For intraspecies dynamics we do not restrict ourselves to any particular interaction structure  
125 and thus make use of the general multiplayer evolutionary games framework (Gokhale and  
126 Traulsen, 2010, 2014). Moving from the interspecies dynamics, the two types already de-  
127 scribed are “Generous” and “Selfish”. Thus we already have each species containing two  
128 different types of individuals. It is possible that a different categorisation exists within a  
129 species. Thus if the interactions within a species are say between “Cooperators” and “Defec-  
130 tors”, these types could be made up of a combination of “Generous” and “Selfish” individuals.  
131 However for the sake of simplicity we study the dynamics between “Generous” and “Selfish”  
132 types within a species where the types are defined at the interspecies level. The cost benefit  
133 framework described in (Eshel and Motro, 1988; Hauert et al., 2006b) allows us to transition

134 between four classic scenarios of evolutionary dynamics (Nowak and Sigmund, 2004). For  
 135 example in our case we can have a dominance of the “Generous” type or the “Selfish” type  
 136 or both the types can invade from rare resulting in a co-existence or bistability if both pure  
 137 strategies are mutually non-invasive. For the intraspecies interactions the fitness of a  $G_1$  is  
 138 then given by  $f_{G_1}^{intra}(x)$  and that of  $G_2$  is given by  $f_{G_2}^{intra}(y)$  and similarly for the “Selfish”  
 139 types.

## 140 2.2 Combined dynamics

141 Putting together intra and interspecific dynamics provides a complete picture of the possible  
 142 interactions occurring. While we are interested in mutualism at the level of the interspecies  
 143 interactions there are four possible interactions within each species (Nowak and Sigmund,  
 144 2004; Hauert et al., 2006b) (dominance of either type, coexistence or bistability). Since the  
 145 within species interactions for the two different species do not need to be the same, there are  
 146 in all sixteen different possible combinations. Assuming additivity in the fitnesses of inter-  
 147 and intraspecies fitnesses, the combined fitness of each of the two types in the two species are  
 148 given by,

$$\begin{aligned}
 f_{G_1}(x, y) &= pf_{G_1}^{inter}(y) + (1 - p)f_{G_1}^{intra}(x) \\
 f_{S_1}(x, y) &= pf_{S_1}^{inter}(y) + (1 - p)f_{S_1}^{intra}(x) \\
 f_{G_2}(x, y) &= pf_{G_2}^{inter}(x) + (1 - p)f_{G_2}^{intra}(y) \\
 f_{S_2}(x, y) &= pf_{S_2}^{inter}(x) + (1 - p)f_{S_2}^{intra}(y)
 \end{aligned} \tag{1}$$

The parameter  $p$  tunes the impact of each of the interactions on the final fitness that eventually  
 drives the evolutionary dynamics. For  $p = 1$  we recover the well studied case of the Red King  
 dynamics (Gokhale and Traulsen, 2012), while for  $p = 0$  the dynamics of the two species are  
 decoupled and can be individually studied by the synergy/discounting framework of nonlinear  
 social dilemmas (Hauert et al., 2006b). Of interest here is the continuum described by the  
 intermediate values of  $p$ . However that means we need to track the qualitative dynamics of  
 sixteen possible intraspecies dynamics as  $p$  changes gradually from 0 to 1 (Appendix C). The  
 time evolution of the “Generous” types in both species is then given by,

$$\begin{aligned}
 \dot{x} &= r_x x (f_{G_1}(x, y) - \bar{f}_1(x, y)) \\
 \dot{y} &= r_y y (f_{G_2}(x, y) - \bar{f}_2(x, y))
 \end{aligned} \tag{2}$$

149 This approach provides us with a powerful method to incorporate a multitude of realistic con-  
 150 cepts in the analysis. For example the number of players involved in a game, which has been

151 shown to be a crucial factor in determining the evolutionary dynamics could be different for  
152 each interactions, inter and intraspecies interactions for species 1 ( $d_1^{inter}$ ,  $d_1^{intra}$ ) and simi-  
153 larly for species 2 ( $d_2^{inter}$ ,  $d_2^{intra}$ ). The interspecies interactions are proxied by the multiplayer  
154 snowdrift game which can incorporate threshold effects. For example a certain number of  
155 “Generous” cleaner fish may be required to clean the host or a certain number of “Generous”  
156 ants required to protect larva from predators. We can have  $M_1$  and  $M_2$  as the thresholds in the  
157 two species. Since the interaction matrices for the inter and intraspecies dynamics are com-  
158 pletely different, in principle, we can have different costs and benefits for the four games (two  
159 snowdrift games from the perspective of each species and the intragames within each species).

160 We can have a diverse and rich set of dynamics possible which brings into question the  
161 study of coevolution based on only interspecies interactions. For a given set of parameter  
162 values but the the full spectrum of possible dynamics, see Figure A.1. Even under a large  
163 number of assumptions and even if the intraspecies dynamics accounts for only 33% ( $1 - p$ ) of  
164 the cumulative fitness, we can see drastically different qualitative dynamics which is capable  
165 of explaining the persistence of exploiters (Fig. 2).

## 166 2.3 Seasonality

167 Many mutualisms are observed only during certain periods of a year. Such seasonal or episodic  
168 mutualism run a high risk of phenological partner mismatch as a result of climate change  
169 (Rafferty et al., 2015). While tropical species, such as the various varieties of fig (*Ficus*)  
170 can flower all year round, their mutualistic relationships (with wasps) run a lower risk. For  
171 example in the ant-aphid mutualism, the number of attending ants was seen to increase till  
172 June and declined after late July and the aphid colonies went rapidly (within a month) extinct  
173 in the absence of attending ants (Yao et al., 2000; Yao and Akimoto, 2009). For the evolution  
174 of a species this means that the effect of interspecific interaction changes over time.

175 To analyse such episodic mutualistic events, instead of a static variable  $p$  measuring the  
176 impact of interspecific interaction on fitness we make use of a time-dependent function  $p(t) =$   
177  $(1 + \sin(at))/2$ . For the particular parameter set used in Fig. 2 ( $p = 0.666$  panel), introducing  
178 seasonality still maintains the two interior fixed points (they are closer to each other for  $p =$   
179 0.5), but this is seen only when the oscillations in  $p(t)$  are comparable,  $a = 1$ , or faster,  $a = 10$ ,  
180 with respect to the evolutionary timescale. For slower oscillations  $a = 0.1$  we see cyclic  
181 behaviour which is prominent in species 2 more than in species 1. Very slow oscillations mean  
182 that the system spends longer close to the starting value of  $p(t)$  and hence the phase in which  
183  $p(t)$  starts becomes more and more important for smaller and smaller  $a$ . This is especially  
184 interesting if the stability of the system is qualitatively affected over the  $p$  continuum.

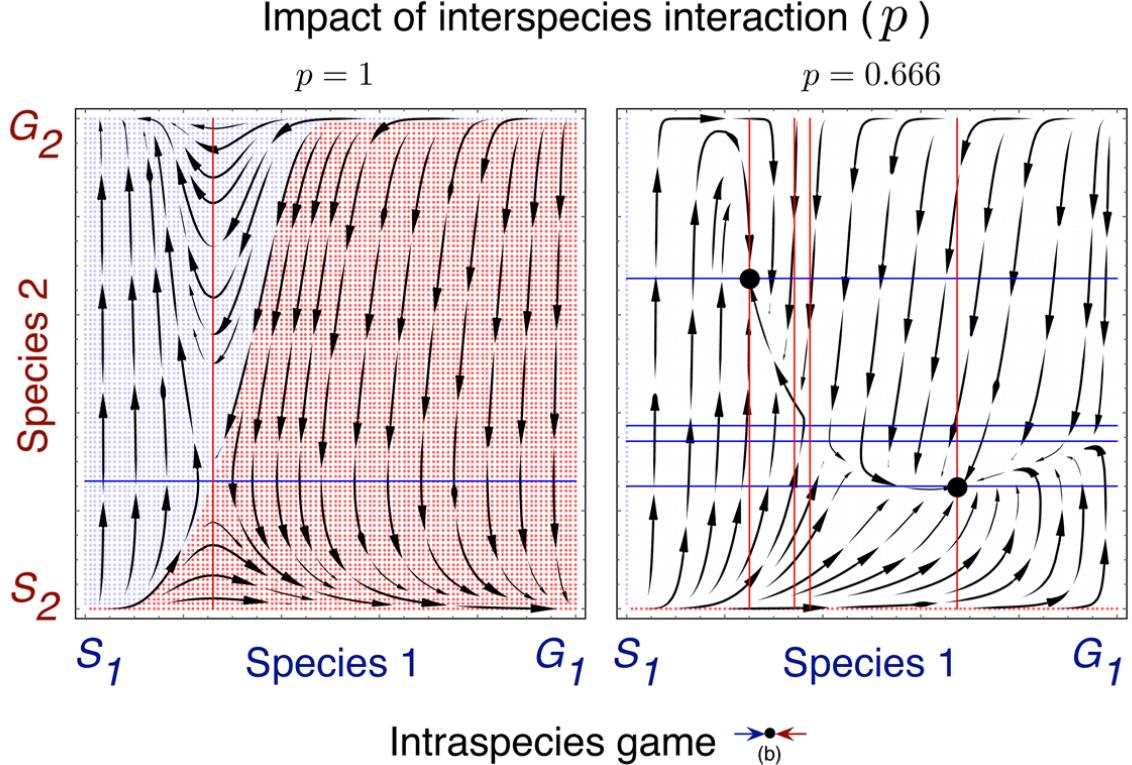
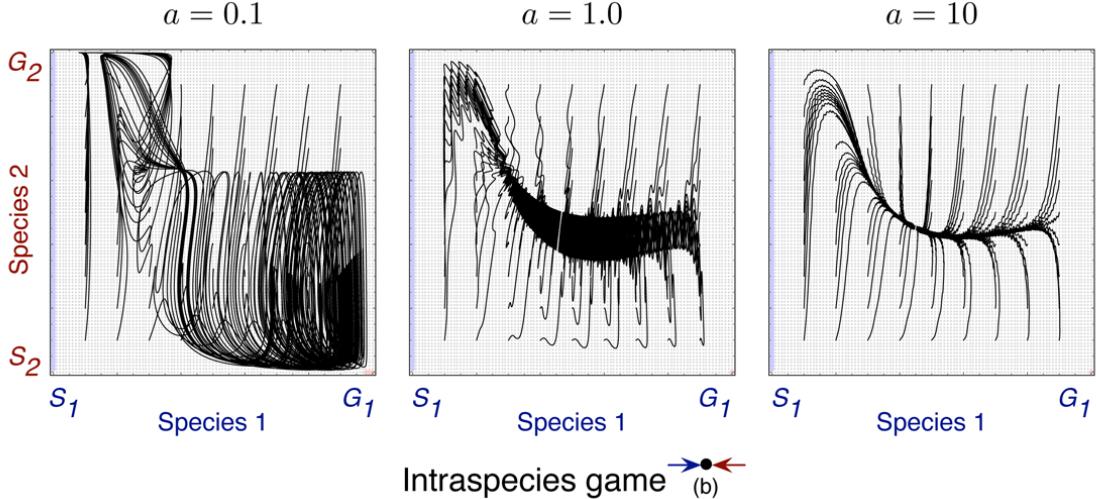
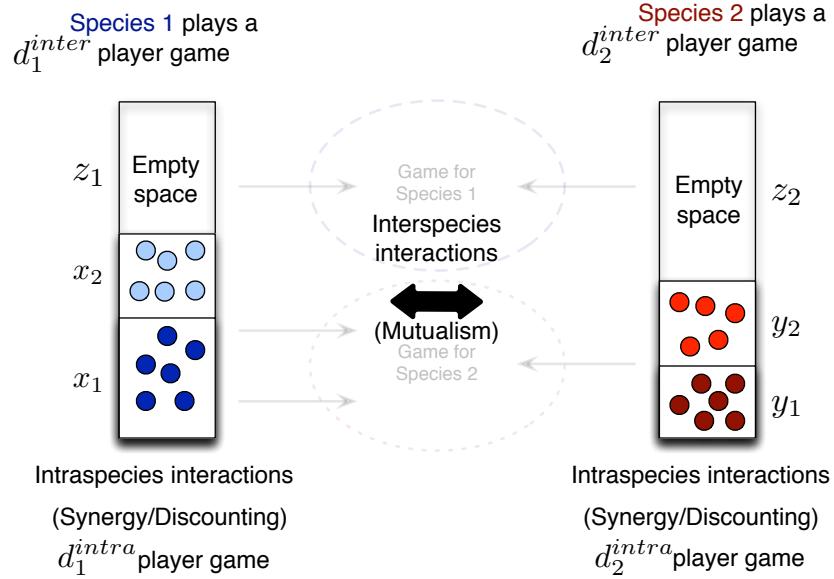


Figure 2: **Change in evolutionary dynamics due to inclusion of intraspecies dynamics.** When the fitness of the “Generous” and “Selfish” types in both the species is solely determined by the interactions which occur between species (in this case mutualism,  $p = 1$ ) then we recover the dynamics as studied previously in (Gokhale and Traulsen, 2012). The colours represent the initial states which result in an outcome favourable for species 1 (blue leading to  $(S_1, G_2)$ ) and species 2 (red, leading to  $(G_1, S_2)$ ). This can result in the Red King effect and other possible complexities as discussed recently in (Gao et al., 2015). However when we start including intraspecies dynamics the picture can be very different. Even when the impact of intraspecies dynamics is only a  $1/3$  on the total fitness of the “Generous” and “Selfish” types we see a very qualitatively different picture. Two fixed points are observed where both the “Generous” and “Selfish” types can co-exist in both the species. All initial states in the interior lead to either one of these fixed points (hence the lack of colours). However it is still possible to characterise the “successful” species as one of the equilibrium is favoured by one species than the other. The horizontal isoclines are for species 1 while the vertical ones are for species 2. The analysis was done for a 5 player game  $d_1^{inter} = d_2^{inter} = d_1^{intra} = d_2^{intra} = 5$ ,  $b = 2$ ,  $c = 1$  and  $r_x = r_y/8$  for the interspecies mutualism game while additionally  $\tilde{b}_1 = \tilde{b}_2 = 10$  and  $\tilde{c}_1 = \tilde{c}_2 = 1$  and  $\omega_1 = \omega_2 = 3/4$  for the two intraspecies games within each species. Note that even with symmetric games within each species we can a qualitatively drastic difference when compared to the dynamics excluding intraspecies interactions. For different intraspecies interactions within each species and for varying  $p$  see SI.

**Impact of interspecies interaction,**  $p(t) = \frac{1 + \sin(at)}{2}$



**Figure 3: Seasonal changes in the interspecies interactions affecting the evolutionary dynamics within species.** We model the impact of the interspecies interaction on the fitness of the different types as in Eqs. 1 however instead of a static value for  $p$  we introduce seasonality via a simple sine function as  $p(t) = (1 + \sin(at))/2$ . Here,  $a$  denotes how the seasonality time scale relates to the inter-intra-species interactions timescale. A large  $a$  denotes multiple bouts of mutualism affecting fitness for a given evolutionary time step while a small  $a$  denotes fewer of such bouts within the same evolutionary time step. The trajectories shown in the panels are obtained by numerical interactions with initial conditions  $x = y = \{0.1, 0.9\}$  and a step size of  $\Delta x = \Delta y = 0.1$ . The background colour is obtained by a finer grain of  $\Delta x = \Delta y = 0.01$  and depict the same outcomes as in Fig. 2, with gray representing the outcome that none of the edge equilibria are reached. For comparable or larger  $a$  the dynamics under oscillations can be captured by the average dynamics (at  $p = 0.5$ ) however for small  $a$  we see qualitatively different outcome. Furthermore the phase in which the oscillating function begins is more important for smaller and smaller  $a$  especially if the stability of the fixed points changes as  $p$  changes (see Fig. A.1 panel (b) x (b) across the  $p$  continuum).



**Figure 4: Population and evolutionary dynamics with combined inter-intra-species dynamics.** As with the interactions described in Fig. 1 the two species consist of two types of individuals “Generous” and “Selfish”. Since the two species can in principle occupy different environmental niches, they can have non-overlapping population carrying capacities. The normalised carrying capacity in both species is 1 and we have  $x_1 + x_2 + z_1 = 1$  (for species 1) where  $x_1$  and  $x_2$  are the densities of the “Generous” and “Selfish” types respectively (similarly with  $y$  and  $z$  in species 2). The parameter  $z_1$  represents the remaining space into which the population can still expand into. For  $z_1 = 0$  the species 1 is at its carrying capacity while for  $z_1 = 1$  it is extinct.

## 185 2.4 Population dynamics

186 Until now we have considered that each species consists of two types of individuals and they  
 187 make up the population of that species. However populations sizes change over time. As-  
 188 suming that ecological changes are fast enough that they can be averaged out, we can usually  
 189 ignore their effect on the evolutionary dynamics. It is now possible to show that evolution can  
 190 happen at fast timescales, comparable to those of the ecological dynamics (Post and Palko-  
 191 vacs, 2009; Beaumont et al., 2009; Hanski, 2011; Sanchez and Gore, 2013). Hence we need  
 192 to tackle not just evolutionary but eco-evolutionary dynamics together.

To include population dynamics in the previously considered scenario, we reinterpret  $x_1$  now as the fraction of “Generous” types and  $x_2$  as the fraction of “Selfish” types in species 1. Further we have  $z_1 = 1 - x_1 - x_2$  as the empty spaces in the niche occupied by species 1. Similarly we have  $y_1, y_2$  and  $z_2$  (Fig. 4). This approach has previously been explored in terms of social dilemmas in (Hauert et al., 2006a). We adapt and modify it for two species and hence

now the dynamics of this complete system is determined by the following set of differential equations,

$$\begin{aligned}\dot{x}_1 &= r_x x_1 (z_1 f_{G_1} - e_1) \\ \dot{x}_2 &= r_x x_2 (z_1 f_{S_1} - e_1) \\ \dot{z}_1 &= -\dot{x}_1 - \dot{x}_2\end{aligned}\tag{3}$$

for species 1, and

$$\begin{aligned}\dot{y}_1 &= r_y y_1 (z_2 f_{G_2} - e_2) \\ \dot{y}_2 &= r_y y_2 (z_2 f_{S_2} - e_2) \\ \dot{z}_2 &= -\dot{y}_1 - \dot{y}_2\end{aligned}\tag{4}$$

for species 2. We have introduced  $e_1$  and  $e_2$  as the death rates of the two species. Setting  $e_1 = \frac{z_1(x_1 f_{x_1} + x_2 f_{x_2})}{x_1 + x_2}$  and  $e_2 = \frac{z_2(y_1 f_{G_2} + y_2 f_{S_2})}{y_1 + y_2}$  we recover the two species replicator dynamics as in Eqs. 2 (For the sake of brevity we avoid showing the fitnesses in their the functional forms). In this setup however the fitnesses need to be re-evaluated as now we need to account for the presence of empty spaces (See SI). The dynamics is simplified by focusing on the proportion of “Generous” types in both the species thus  $g_1 = x_1/(1 - z_1)$  and  $g_2 = y_1/(1 - z_2)$  whose time evolution is given by,

$$\begin{aligned}\dot{g}_1 &= r_x z_1 g_1 (1 - g_1) (f_{G_1} - f_{S_1}) \\ \dot{z}_1 &= e_1 (1 - z_1) - r_x z_1 (1 - z_1) (g_1 f_{G_1} - (1 - g_1) f_{S_1})\end{aligned}\tag{5}$$

and

$$\begin{aligned}\dot{g}_2 &= r_y z_2 g_2 (1 - g_2) (f_{G_2} - f_{S_2}) \\ \dot{z}_2 &= e_2 (1 - z_2) - r_y z_2 (1 - z_2) (g_2 f_{G_2} - (1 - g_2) f_{S_2})\end{aligned}\tag{6}$$

193 where everywhere we have  $x_1 = g_1(1 - z_1)$  (with  $x_2 = (1 - g_1)(1 - z_1)$ ) and  $y_1 = g_2(1 - z_2)$   
194 (with  $y_2 = (1 - g_2)(1 - z_2)$ ) in the fitnesses as well.

195 Interactions at varying population densities affect the group size formation which now  
196 includes the possibilities of player positions being left empty. Thus for smaller population  
197 densities the interactions groups are small and vice versa for lager densities. Effect of group  
198 size on the evolutionary dynamics is a well documented phenomena which can potentially  
199 change the results qualitatively (Pacheco et al., 2009; Souza et al., 2009). Such a two species  
200 multi-type interaction system is a complicated as well as a realistic depiction of most of the  
201 mutualisms observed in nature. However given this complexity, we need to look at the dy-  
202 namics within the two species simultaneously.

203 We take the most stable situation observed in the dynamics when population dynamics is  
204 absent (Fig. 2) which shows two internal stable equilibria and add population dynamics to it.  
205 The results are summarised in Figure 5 where we plot the evolutionary parameter (fraction of  
206 “Generous” in each species) against the ecological parameter, the population density (or rather  
207 in this case the empty spaces) .

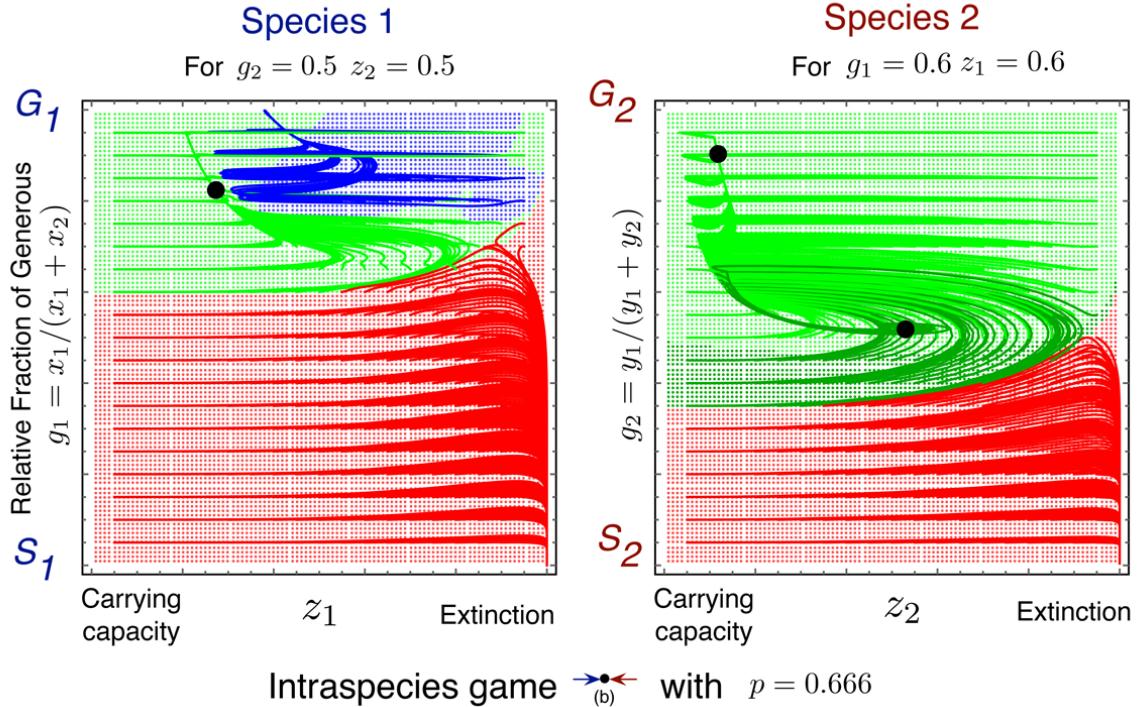
## 208 3 Discussion

209 Mutualistic interactions have been implicated as one possible mechanism facilitating the suc-  
210 cess of invasive species (Richardson et al., 2000). However mutualism based invasions also  
211 have the possibility to change the composition of the supporting local species. Usually when  
212 interspecies relationships such as mutualism (or antagonist relationships as in predator-prey)  
213 are considered, the within species interactions are ignored for the sake of convenience. The  
214 converse is the case when the intraspecies interactions are of interest. The major body of work  
215 focusing on within population social dilemmas between “Cooperators” and “Defectors” is an  
216 example of the same. Obviously this is an assumption which is very useful when distilling  
217 the interactions at different community scales. However when the inter and intraspecies in-  
218 teractions are interdependent then the feedbacks between the two levels cannot be ignored  
219 (Schluter and Foster, 2012).

220 In principle the framework developed herein is capable of handling a diverse array of inter-  
221 and intraspecific interactions. For interspecific interactions our focus is on mutualism. Mutu-  
222 alistic interactions between two species can be represented by a bimatrix game. The compo-  
223 nents of each of the two game matrices need not be correlated as long as they independently  
224 satisfy the inequalities leading to a Snowdrift games. Including realistic phenomena such as  
225 intraspecies interactions, population dynamics and seasonality we show that maintenance of  
226 mutualism is possible. A fragile balance of parameters maintains mutualism. If within each  
227 species the “Generous” and “Selfish” interactions result in coexistence then it can outweigh  
228 the competition which they experience at the interspecies level. Note however that at the in-  
229 terspecies level the competition of a “Generous” individuals is with the “Selfish” individuals  
230 from the other species. While the “Selfish” individuals from the other species can drive “Gen-  
231 erous” individuals within a species extinct, co-existence between “Generous” and “Selfish”  
232 within the same species can overcome the pressure for extinction. In this way mutualism can  
233 be maintained but it comes at a cost of also maintaining a significant level of exploiters. In  
234 fact the coevolutionary dynamics between the two species is determined together by the inter-  
235 as well as the intraspecific interactions.

236 While the simple case makes predictions possible, including seasonality inserts a time

## Evolutionary and population dynamics



**Figure 5: Dynamics of evolutionary strategies and population density for an intraspecies coexistence game with interspecies mutualism.** With exactly the same parameters as that of Figure 2 with symmetric death rates  $e_1 = e_2 = 0.05$  we show two different numerically evaluated examples. Left Panel: shows the outcomes in species 1 when starting from 0.5 fraction of “Generous” individuals in species 2 at half carrying capacity  $z_2 = 0.5$ . While most of the initial conditions lead to an extinction of species 1 (red), there exists a fixed point which can be reached when most of species 1 is “Generous” and close to carrying capacity (green). For the same or higher fraction of  $G_1$  but lower population density, species 1 can end up being completely “Generous” (blue). Right Panel: shows the outcomes in species 2 when starting from 0.6 fraction of “Generous” individuals in species 1 with empty spaces proportion of  $z_1 = 0.6$ . When species 2 is mostly made up of “Selfish” types then it leads to species extinction (red). For intermediate levels of “Generous” individuals there exists an internal equilibrium (dark green). However another stable equilibrium exists as well as even higher densities of “Generous” types closer to full carrying capacity (green). Equilibrium selection is thus possible for species 2 in this case where it is preferable to have an intermediate number of “Selfish” types.

dependent factor which makes analytical reasoning difficult. However given the patterns of episodic interactions and studies of mutualistic relationship obtained from field studies over the decades it might be possible to include the seasonal component in future analysis on realistic systems to see how the interactions are going to change under drastic climate change. Including this feature informs us of the dependence of the mutualism on environmental factors. The difference in the timescales of the evolutionary process and environmental fluctuations highlights the fact that averaging out the environmental effects might not always be possible. The system can show qualitatively different behaviour from the average dynamics depending on the kind of interactions initially involved within and between species.

(One paragraph to discuss population dynamics and extinctions. Future work of including seasonality to population dynamics. Colony Collapse disorder *Apis mellifera* example)

Our study shows the critical nature of mutualism and the sliver of parameter space where they are maintained. A slight change in the values can either end up in a system where one of the mutualist is completely exploited by the other species or even leads to extinction of both types in case of obligate mutualisms. Going back to Janzen (1985) an appropriately succinct summary would be, ‘A mutualist today may be a parasite of the mutualism tomorrow’.

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## 383 A Interspecies Evolutionary Dynamics

384 Traditional coevolutionary models consider interspecific dependence only (Roughgarden, 1976;  
385 Roughgarden et al., 1983). Since in our case each the interactions between the species are mu-  
386 tualistic and each species consists of two types of individuals “Generous” and “Selfish”, the  
387 following Snowdrift game is an appropriate representation of the interactions.

### 388 The snowdrift game

#### 389 Two player setting

390 Two drivers are stuck in a snowdrift. They must shovel away the snow (paying the cost  $c$ )  
391 to reach home (benefit  $b$ ) but there are three possible outcomes to this scenario. One of the  
392 driver shovels while the other stays warm in the care ( $b - c$  and  $b$ ), both the drivers share the  
393 workload and shovel away the snow ( $b - c/2$  and  $b - c/2$ ) or none of them gets out of the care  
394 and they both remain stuck (0 and 0).

Putting this game in perspective of the two species (i.e. the two drivers represent the two different species) we get the matrix,

		Species 1 payoff:		Species 2 payoff:	
		Species 2		Species 1	
		$G_2$	$S_2$	$G_1$	$S_1$
Species 1	$G_1$	$b - c/2$	$b - c$	$G_2$	$b - c/2$
	$S_1$	$b$	0	$S_2$	$b$

395 where strategy  $G$  stands for being “Generous” and shoveling the snow while  $S$  stands for  
396 being “Selfish” and just sitting in the car. For  $b = 2$  and  $c = 1$  we recover the matrix used in  
397 (Bergstrom and Lachmann, 2003).

398 For the snowdrift game in a single population for which the pairings are formed at ran-  
399 dom, there exists a single, stable internal equilibrium. Hence the population will evolve to a  
400 polymorphism which is a combination of “Generous” and “Selfish” individuals. But in a two  
401 species system (pairs still random, but one from each species), this stable equilibrium turns  
402 into a saddle point: a small deviation from this fixed point leads the system to one of the stable  
403 fixed point where one of the species is completely “Generous” and the other one is completely  
404 “Selfish”.

405 **Multiplayer setting**

406 Following Souza et al. (Souza et al., 2009), a multiplayer snowdrift game can be described by  
 407 the payoff entries

$$\begin{aligned}\Pi_{G_1}(k) &= \begin{cases} b - \frac{c}{k} & \text{if } k \geq M \\ -\frac{c}{M} & \text{if } k < M \end{cases} \\ \Pi_{S_1}(k) &= \begin{cases} b & \text{if } k \geq M \\ 0 & \text{if } k < M. \end{cases}\end{aligned}\quad (\text{A.1})$$

All players get the benefit  $b$  if the number of generous individuals in both species combined,  $k$ , is greater than or equal to the threshold  $M$ . For the generous individuals, their effort is subtracted from the payoffs. The effort is shared if the quorum size is met ( $\frac{c}{M}$ ), but is in vain for  $k < M$ . (I'm confused here: why is  $\frac{c}{k}$  lost if above the threshold but  $\frac{c}{M}$  lost if not?) (So below the threshold all cooperators are trying their best by putting in  $c/M$  as  $M$  is the threshold but as soon as the threshold is crossed then they can put in less  $c/k$  as  $k$  will be larger than  $M$ ) For two player games we had  $k = 1$  but multiplayer games provide the possibility of exploring this threshold aspect of collective action games. From these payoff entries we need to calculate the average fitnesses. For simplicity we just illustrate the fitnesses of the strategies in species 1. For a  $d_1^{inter}$  player game for species 1 we need to pick  $d_1^{inter} - 1$  other individuals from species 2. Assuming random sampling the composition of the formed groups is given by a binomial distribution. Summing over all possible compositions of groups we arrive at the average fitnesses of the two strategies in species 1,

$$\begin{aligned}f_{G_1}^{inter}(y) &= \sum_{k=0}^{d_1^{inter}-1} \binom{d_1^{inter}-1}{k} y^k (1-y)^{d_1^{inter}-1-k} \Pi_{G_1}(k+1) \\ f_{S_1}^{inter}(y) &= \sum_{k=0}^{d_1^{inter}-1} \binom{d_1^{inter}-1}{k} y^k (1-y)^{d_1^{inter}-1-k} \Pi_{S_1}(k),\end{aligned}\quad (\text{A.2})$$

408 and similarly  $f_{G_2}^{inter}$  and  $f_{S_2}^{inter}$  for species 2.

409 Note that here for the sake of notation we have assumed the same values of benefits and  
 410 costs, thresholds for the two species. However along with the number of player  $d_1^{inter}$  and  
 411  $d_2^{inter}$ , these parameters could be very well different for the two species. For asymmetric bi-  
 412 matrix games there is a difference in the dynamics between the standard replicator dynamics  
 413 and the alternative dynamics put forward by Maynard-Smith (?). In this case the replicator  
 414 equations cannot be simplified by removing the average fitness from the denominator and can  
 415 give rise to qualitatively different dynamics.

## 416 B Intraspecies Evolutionary Dynamics

417 For elucidating the intraspecies dynamics we will focus on species 1 as the analysis is analogous  
 418 for species 2. Within species dynamics can in principle be completely different from the  
 419 between species interactions. We can have a multistrategy multiplayer game within a species  
 420 but to keep things simple we assume a two strategy multiplayer game. The partitioning of the  
 421 individuals into two strategies follows the same partitioning as in the inter species interactions  
 422 as of “Generous” and “Selfish”. In principle we could have two different labels for the strategies  
 423 in the intraspecies interactions and the “Generous” and “Selfish” categories could be split  
 424 into them. However for the sake of simplicity we assume the same categorisation as at the  
 425 inter species level.

## 426 Synergy/Discounting Framework

We model the within species interactions by making use of a general framework of costs and non-linear benefits (Eshel and Motro, 1988; Hauert et al., 2006b) which can potentially encompass all different types of (traditionally studied) social interaction structures qualitatively (Nowak, 2006), i.e., dominance of either type, coexistence and bistability. Since the categorisation of the strategies at the intraspecies level is the same as that of the inter species level, for species 1,  $x$  and  $1 - x$ , are the frequencies of “Generous” and “Selfish” type. (Q: is this because they are the very same players? i.e. are we assuming a Generous player in the inter is a Cooperative one in the intra?) (Yes. Now described above) The “Generous” and “Selfish” in species 1 play a  $d_1^{intra}$  player game. Thus the fitnesses of of the two types are defined as (Hauert et al., 2006b),

$$f_{G_1}^{intra}(x) = \sum_{k=0}^{d_1^{intra}-1} \binom{d_1^{intra}-1}{k} x^k (1-x)^{d_1^{intra}-1-k} \Gamma_{G_1}(k+1)$$

$$f_{S_1}^{intra}(x) = \sum_{k=0}^{d_1^{intra}-1} \binom{d_1^{intra}-1}{k} x^k (1-x)^{d_1^{intra}-1-k} \Gamma_{S_1}(k). \quad (\text{A.3})$$

where the payoffs are given by,

$$\Gamma_{S_1}(k) = \frac{\tilde{b}}{d_1^{intra}} \sum_{i=0}^{k-1} \omega^i$$

$$\Gamma_{G_1}(k) = \Gamma_{S_1}(k) - \tilde{c}. \quad (\text{A.4})$$

427 Thus the “Selfish” get a fraction of the benefit which is scaled by the factor  $\omega$ , which de-  
 428 termines whether the benefits are linearly accumulating ( $\omega = 1$ ) for increasing number of

<sup>429</sup> “Generous” individuals, synergistically enhanced ( $\omega > 1$ ) or saturating ( $\omega < 1$ ). Note that  
<sup>430</sup> the costs and benefits in the within species game need not be the same as in between species  
<sup>431</sup> ( $b \neq \tilde{b}$  and  $c \neq \tilde{c}$ ).

## <sup>432</sup> C Combined Evolutionary Dynamics

The average payoffs are then assumed to be a linear combination of the interspecies and intraspecies interactions where the parameter  $p$  determines the strength of each of the interactions such that,

$$\begin{aligned} f_{G_1}(x, y) &= pf_{G_1}^{inter}(y) + (1 - p)f_{G_1}^{intra}(x) \\ f_{S_1}(x, y) &= pf_{S_1}^{inter}(y) + (1 - p)f_{S_1}^{intra}(x). \end{aligned} \quad (\text{A.5})$$

Following the same procedure for the two strategies in species 2 leads to the average fitness

$$\begin{aligned} \bar{f}_1(x, y) &= xf_{G_1}(x, y) + (1 - x)f_{S_1}(x, y) \\ \bar{f}_2(x, y) &= yf_{G_2}(x, y) + (1 - y)f_{S_2}(x, y). \end{aligned} \quad (\text{A.6})$$

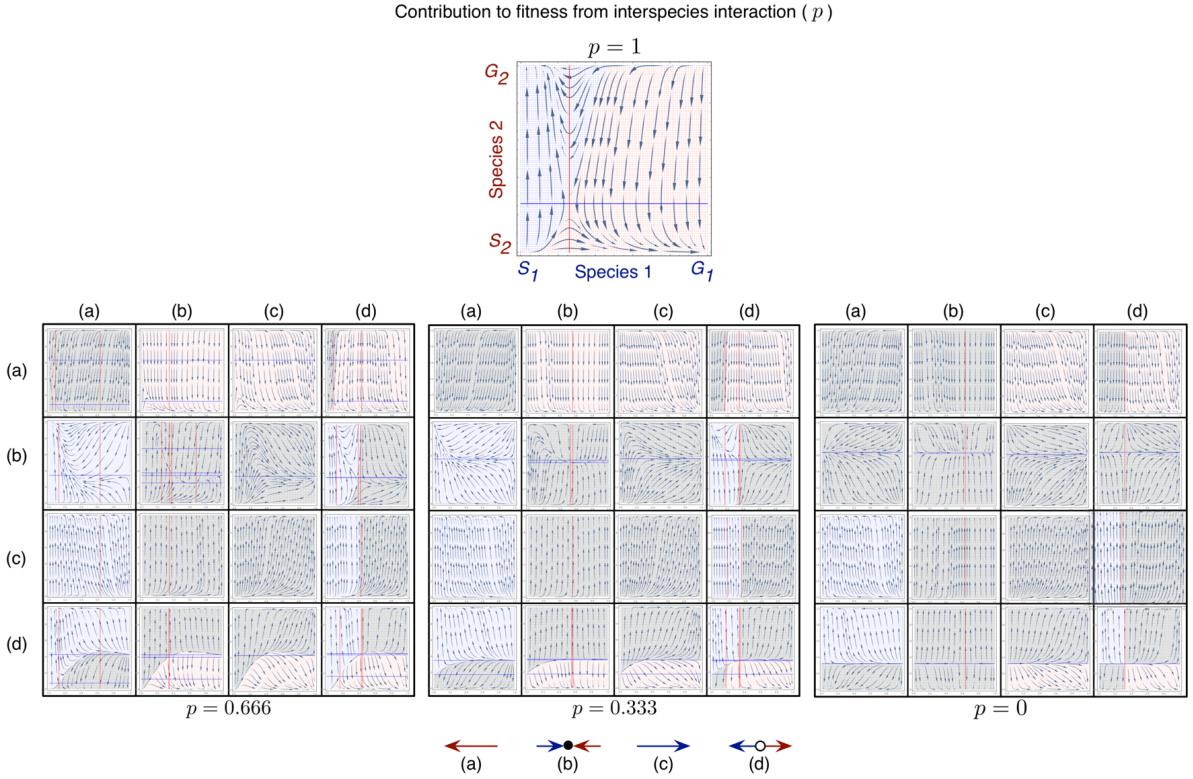
The time evolution of the “Generous” types in both the species will give us the complete dynamics of the system. However since the two interaction species are by definition different organisms, they can have different rates of evolution. Thus if species 1 evolves at the rate  $r_x$  while species 2 at rate  $r_y$  then we have,

$$\begin{aligned} \dot{x} &= r_x x (f_{G_1}(x, y) - \bar{f}_1(x, y)) \\ \dot{y} &= r_y y (f_{G_2}(x, y) - \bar{f}_2(x, y)). \end{aligned} \quad (\text{A.7})$$

## <sup>433</sup> D Population dynamics

For brevity we begin with the description of population dynamics in species 1. The two types in species 1, “Generous” and “Selfish” need not sum up to 1 i.e. the population may not always be at its carrying capacity. Hence if the empty space in the niche occupied by species 1 is  $z_1$ , then we have  $x_1 + x_2 + z_1 = 1$  where  $x_1$  and  $x_2$  are the densities of “Generous” and “Selfish” types. The population dynamics then is dictated by,

$$\begin{aligned} \dot{x}_1 &= r_x x_1 (z_1 f_{G_1} - e_1) \\ \dot{x}_2 &= r_x x_2 (z_1 f_{S_1} - e_1) \\ \dot{z}_1 &= -\dot{x}_1 - \dot{x}_2 \end{aligned} \quad (\text{A.8})$$



**Figure A.1:**  $d_1^{inter} = d_2^{inter} = 5$ ,  $b = 2$ ,  $r_x = r_y/8$ ,  $M_1 = M_2 = 1$  and  $c = 1$  for the interspecies game. As for the intraspecies games we have  $d_1^{intra} = d_2^{intra} = 5$  and  $\tilde{b} = 10$  with (a)  $\tilde{c} = 3$ ,  $\omega = 3/4$ , (b)  $\tilde{c} = 1$ ,  $\omega = 3/4$ , (c)  $\tilde{c} = 1$ ,  $\omega = 4/3$  and (d)  $\tilde{c} = 3$ ,  $\omega = 4/3$ , the exact same parameter values as in (Hauert et al., 2006b).

and for species 2

$$\begin{aligned}\dot{y}_1 &= r_y y_1 (z_2 f_{G_2} - e_2) \\ \dot{y}_2 &= r_y y_2 (z_2 f_{S_2} - e_2) \\ \dot{z}_2 &= -\dot{y}_1 - \dot{y}_2\end{aligned}\tag{A.9}$$

We have  $e_1$  and  $e_2$  as the death rates for the two species. For the special case of  $e_1 = \frac{z_1(x_1 f_{x_1} + x_2 f_{x_2})}{x_1 + x_2}$  and  $e_2 = \frac{z_2(y_1 f_{G_2} + y_2 f_{S_2})}{y_1 + y_2}$  we recover the two species replicator dynamics as in Eqs. A.7. The fitnesses however need to be reevaluated in this setup. For example in species

1 the fitness for type  $G_1$  is,

$$f_{G_1}^{inter} = \sum_{S=2}^{d_1} \binom{d_1 - 1}{S - 1} z_2^{d_1 - S} (1 - z_2)^{S-1} P_G^{inter}(S, y_1, y_2, z_2)$$

$$f_{G_1}^{intra} = \sum_{S=2}^{d_1} \binom{d_1 - 1}{S - 1} z_1^{d_1 - S} (1 - z_1)^{S-1} P_G^{intra}(S, x_1, x_2, z_1) \quad (\text{A.10})$$

$$f_{G_1} = f_{G_1}^{inter} + f_{G_1}^{intra} \quad (\text{A.11})$$

and similarly for type  $S_1$  where the payoff functions are defined as,

$$P_G^{inter}(S, p, q, r) = \sum_{k=0}^{S-1} V(S, p, q, r) \Pi_{G_1}(k+1) \quad (\text{A.12})$$

$$P_G^{intra}(S, p, q, r) = \sum_{k=0}^{S-1} V(S, p, q, r) \Gamma_{G_1}(k+1) \quad (\text{A.13})$$

$$P_S^{inter}(S, p, q, r) = \sum_{k=0}^{S-1} V(S, p, q, r) \Pi_{S_1}(k) \quad (\text{A.14})$$

$$P_S^{intra}(S, p, q, r) = \sum_{k=0}^{S-1} V(S, p, q, r) \Gamma_{S_1}(k) \quad (\text{A.15})$$

<sup>434</sup> where  $V(S, p, q, r) = \binom{S-1}{k} \left(\frac{p}{1-r}\right)^k \left(\frac{q}{1-r}\right)^{S-1-k}$  is the probability of having a  $k$  “Generous”(Cooperator) individuals and  $S - 1 - k$  “Selfish”(Defector) individuals in the inter(intra) species game. and the actual payoffs are calculated as per Eqs. A.1 and A.4.