

# Theory of Computation Assignment no. 11

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- (1) a. If  $A \preceq B$  then there exists a truth preserving reduction from  $A$  to  $B$ . Furthermore, if  $B \preceq C$  then there exists a truth preserving reduction from  $B$  to  $C$ . Thus, we know that there is an algorithm  $M_1$  (i.e. Turing machine) that takes an input word  $x$  and outputs a word  $y$  such that if  $x \in A$  then  $y \in B$  and if  $x \notin A$  then  $y \notin B$ . We also know that there is an algorithm  $M_2$  (i.e. Turing Machine) that takes an input word  $y$  and outputs a word  $z$  such that if  $y \in B$  then  $z \in C$  and if  $y \notin B$  then  $z \notin C$ . Combining  $M_1$  and  $M_2$  together, we can create an algorithm  $M_3$  (i.e. Turing machine) that takes an input  $x$  and first simulates  $M_1$  to get an output  $y$ . Then  $M_3$  will simulate  $M_2$  on  $y$  to get  $z$ . Firstly, since  $M_1$  and  $M_2$  is computable we know that  $M_3$  is computable. Secondly, we see that if  $x \in A$  then  $y \in B$  then  $z \in C$  (or if  $x \notin A$  then  $y \notin B$  then  $z \notin C$ ) which implies that  $x \in A$  then  $z \in C$  (or  $x \notin A$  then  $z \notin C$ ) which proves that  $\preceq$  is transitive. Thus, we conclude if  $A \preceq B$  and  $B \preceq C$  then  $A \preceq C$ .
- b. If  $A \preceq_p B$  then there exists a truth preserving polynomial time reduction from  $A$  to  $B$ . Furthermore, if  $B \preceq_p C$  then there exists a truth preserving polynomial time reduction from  $B$  to  $C$ . Thus, we know that there is an algorithm  $M_1$  (i.e. Turing machine) that takes an input word  $x$  and outputs a word  $y$  such that if  $x \in A$  then  $y \in B$  and if  $x \notin A$  then  $y \notin B$  in some time polynomial in the length of the input  $x$  (where  $|x| = n$ ). We also know that there is an algorithm  $M_2$  (i.e. Turing Machine) that takes an input word  $y$  and outputs a word  $z$  such that if  $y \in B$  then  $z \in C$  and if  $y \notin B$  then  $z \notin C$  in some time polynomial in length of the input  $y$  (where  $|y| = o$ ). Combining  $M_1$  and  $M_2$  together, we can create an algorithm  $M_3$  (i.e. Turing machine) that takes an input  $x$  and first simulates  $M_1$  to get an output  $y$ . Then  $M_3$  will simulate  $M_2$  on  $y$  to get  $z$ . Firstly, since  $M_1$  and  $M_2$  is computable we know that  $M_3$  is computable. Secondly, we see that if  $x \in A$  then  $y \in B$  then  $z \in C$  (or if  $x \notin A$  then  $y \notin B$  then  $z \notin C$ ) which implies that  $x \in A$  then  $z \in C$  (or  $x \notin A$  then  $z \notin C$ ). Thirdly, since  $M_1$  runs in polynomial time in the length of the input  $x$  (where  $|x| = n$ ) and since  $M_2$  runs in polynomial time in the length of input  $y$  where  $|y| = o$  we know that  $M_3$  also runs in polynomial time in the length of the input  $x$ . All three points combined proves that  $\preceq_p$  is transitive and we conclude if  $A \preceq_p B$  and  $B \preceq_p C$  then  $A \preceq_p C$ .
- c. We can use the same reduction as the one for all  $L \in RE$ ,  $L \preceq ACCEPT$ . Let  $M_f$  be a reduction algorithm from  $L$  to  $ACCEPT$  and  $M$  be a Turing machine such that  $L(M) = L$ . We know that  $M$  exists since we assume that  $L \in RE$ . Then,  $M_f$ , given an input  $w$ , creates the Turing machine  $M$  described above and then outputs the descriptions of  $M$  and  $w$  (i.e.  $(\langle M \rangle, \langle w \rangle)$ ). We see that  $M_f$  is a truth-preserving reduction from  $L$  to  $ACCEPT$  since if a word  $w \in L(M)$  then  $\langle M, w \rangle \in ACCEPT$  and if  $w \notin L(M)$  then  $\langle M, w \rangle \notin ACCEPT$ . Furthermore,  $M_f$  is computable since we can encode both  $M$  and  $w$  according to the encoding scheme discussed in class. Finally, we know that the runtime of  $M_f$  is polynomial in the length of  $w$  (where  $|w| = n$ ) since  $M$  is given (i.e. a constant) meaning we can encode both  $M$  and  $w$  in polynomial time. Thus, we conclude that for all  $L \in RE$ ,  $L \preceq_p ACCEPT$ .
- d. Let  $A \in R$  and  $B \subseteq \Sigma^*$  such that  $B \notin \{\emptyset, \Sigma^*\}$ . Then there exists a Turing machine  $M$  that decides  $A$  because we assume that  $A \in R$  and there exists two words  $w_1, w_2 \in \Sigma^*$  such that  $w_1 \in B$  and  $w_2 \notin B$  because we assume that  $B \notin \{\emptyset, \Sigma^*\}$ . To get a truth preserving reduction from  $A$  to  $B$  consider the following algorithm  $M_f$  (i.e. Turing machine).  $M_f$  given an input  $w$  will simulate  $M$  on  $w$  where if  $M$  accepts then  $M_f$  outputs  $w_1$  and if  $M$  rejects then  $M_f$  outputs  $w_2$ . Firstly,  $M_f$

is computable since by assumption we know that  $M$  is computable (because  $A \in R$ ). Secondly, if  $w \in A$  then  $M$  will accept and  $M_f$  outputs  $w_1 \in B$  and if  $w \notin A$  then  $M$  will reject and  $M_f$  outputs  $w_2 \notin B$  which means that  $M_f$  is a truth-preserving reduction from  $A$  to  $B$ . Thus, we conclude that  $A \preceq B$  for every two languages  $A, B \in R$ , as long as  $B$  is not the empty language nor the language containing all words.

- e. Let  $A \in P$  and  $B \subseteq \Sigma^*$  such that  $B \notin \{\emptyset, \Sigma^*\}$ . Then there exists a Turing machine  $M$  that computes  $A$  in polynomial time in the length of some input  $w$  (where  $|w| = n$ ) because we assume that  $A \in P$ . Furthermore, we know that there exists two words  $w_1, w_2 \in \Sigma^*$  such that  $w_1 \in B$  and  $w_2 \notin B$  because we assume that  $B \notin \{\emptyset, \Sigma^*\}$ . To get a truth preserving reduction from  $A$  to  $B$  consider the following algorithm  $M_f$  (i.e. Turing machine).  $M_f$  given an input  $w$  will simulate  $M$  on  $w$  where if  $M$  accepts then  $M_f$  outputs  $w_1$  and if  $M$  rejects then  $M_f$  outputs  $w_2$ . Firstly,  $M_f$  is computable in polynomial time in the length of input  $w$  since by assumption we know that  $M$  is computable in polynomial time in the length of input  $w$  (because  $A \in P$ ). Secondly, if  $w \in A$  then  $M$  will accept and  $M_f$  outputs  $w_1 \in B$  and if  $w \notin A$  then  $M$  will reject and  $M_f$  outputs  $w_2 \notin B$  which means that  $M_f$  is a truth-preserving polynomial time reduction from  $A$  to  $B$ . Thus, we conclude that  $A \preceq_p B$  for every two languages  $A, B \in P$ , as long as  $B$  is not the empty language nor the language containing all words.
- (2) a. We begin by defining a Turing machine  $N$  such that  $L(N) = \Sigma^*$ . We know that such a machine exists and is easy to create since we just need to accept regardless of input. Then consider the following algorithm  $M_f$  that takes as input descriptions of a Turing machine  $\langle M' \rangle$  and a word  $\langle w' \rangle$ :

$M_f(\langle M' \rangle, \langle w' \rangle)$ :

1. Construct a TM  $M$  that ignores its input  $w$  and simulates  $M'$  on  $\lambda$ .  
 $M$  accepts if  $M$  halts on  $\lambda$ .
2. Construct a TM  $N$  that always accepts its input (i.e.  $L(N) = \Sigma^*$ ).
3. Output  $(\langle N \rangle, \langle M \rangle)$ .

Now, if  $M'$  halts on  $\lambda$  then the language of  $M$  is given by  $\Sigma^*$  since  $M$  accepts all words  $w$ . In other words, if  $M'$  halts on  $\lambda$  then  $L(M) = \Sigma^*$  which then means that  $L(N) \subseteq L(M)$ . Conversely, if  $M'$  does not halt on  $\lambda$  then  $M$  runs (implicitly) infinitely for all words  $w$  meaning  $L(M) = \emptyset$  and  $L(N) \not\subseteq L(M)$ . Thus,  $M_f$  is a truth-preserving reduction from  $HALT_\lambda$  to  $CONTAINED\_TM$  and we conclude  $HALT_\lambda \preceq CONTAINED\_TM$ .

- b. Again, we define the Turing machine  $N$  but this time we change  $L(N)$ . Consider the algorithm  $M_f$  that takes as input descriptions of a Turing machine  $\langle M' \rangle$  and a word  $\langle w' \rangle$  of length  $n$  (i.e.  $|w'| = n$ ):

$M_f(\langle M' \rangle, \langle w' \rangle)$ :

1. Compute  $n = |w'|$ .  
Construct a TM  $M$  that ignores its input  $w$  and simulates  $M'$  on  $\lambda$  for  $n$  steps.  
 $M$  enters an infinite loop if  $M'$  halts at any point during the simulation,  
otherwise  $M$  accepts.
2. Construct a TM  $N$  that accepts inputs with length greater than or equal to  $n$  (i.e. accept if  $|w'| \geq n$ ).
3. Output  $(\langle N \rangle, \langle M \rangle)$ .

Similarly to a., if  $M'$  does not halt on  $\lambda$  in  $n$  steps then  $L(N) \subseteq L(M)$  because  $M$  halts on all  $w$  with length greater than or equal to  $n$ . Conversely, if  $M'$  does halt on  $\lambda$  in  $n$  steps then it would enter an infinite loop for all  $w$  meaning  $L(M) = \emptyset$  and  $L(N) \not\subseteq L(M)$ . Thus,  $M_f$  is a truth-preserving reduction from  $(HALT_\lambda)^c$  to  $CONTAINED\_TM$  and we conclude  $(HALT_\lambda)^c \preceq CONTAINED\_TM$ .

- (3) a. Let  $\Sigma$  be the alphabet of at least two letters such that it is the alphabet for an instance of *PCP*, say  $P$ . We know that the strings of  $P$  are in the form  $((s_1, t_1), \dots, (s_n, t_n))$  and we seek to create two context free grammars  $G$  and  $H$  which respectively generate the  $s$  strings and  $t$  strings along with the information about the indices of the strings used. For our grammars we use the alphabet  $\Sigma$  along with  $n$  words that are not in  $\Sigma$  (so that we can avoid conflicts) to denote indices of strings. Let these  $n$  words be in the form  $l_1, \dots, l_n$  and assume that they are not in  $\Sigma$ . Now, we need  $G$  to create the  $s$  strings in the form  $s_i G l_i$  so that we can generate a  $s$  string along with its corresponding index (given by  $l$ ). Thus, the CFG  $G$  is given by

$$G \rightarrow s_1 G l_1 \mid \dots \mid s_n G l_n \mid s_1 n_1 \mid \dots \mid s_n l_n.$$

Similarly, for  $H$  we need to create the  $t$  strings in the form  $t_i H l_i$  so that we can generate a  $t$  string along with its corresponding index (given by  $l$ ). Thus, the CFG  $H$  is given by

$$H \rightarrow t_1 H l_1 \mid \dots \mid t_n H l_n \mid t_1 n_1 \mid \dots \mid t_n l_n.$$

Now let  $w$  be a word that describes  $((s_1, t_1), \dots, (s_n, t_n))$ . We notice that if  $w$  is in  $P$  (i.e.  $w \in P$ ) then there also exists an intersection of the grammars  $G$  and  $H$  which is not empty (i.e.  $G \cap H \neq \emptyset$ ). Conversely, if  $w \notin P$  then  $G \cap H = \emptyset$ . Thus, given an instance of *PCP*, the algorithm that generates the grammars  $G$  and  $H$  as described above is a truth-preserving reduction from *PCP* to *INTERSECT\_CFL* and we conclude  $PCP \preceq INTERSECT\_CFL$ .

- b. We know that if  $A \preceq B$  and  $A \notin R$ , then  $B \notin R$  (which has been shown in class). Furthermore, from a. (above) we know that  $PCP \preceq INTERSECT\_CFL$  which means that if  $PCP \notin R$ , then  $INTERSECT\_CFL \notin R$ . In fact, since we know that  $PCP \notin R$  (as given by the question) and  $PCP \preceq INTERSECT\_CFL$ , we know that  $INTERSECT\_CFL \notin R$ .
- (4) a. We need to give an algorithm that takes a graph  $G$  and gives a new graph  $G'$  such that if  $G \in 3COL$  then  $G' \in 4COL$  and if  $G \notin 3COL$  then  $G' \notin 4COL$ . Furthermore, this algorithm must run in polynomial time in length of the input  $G$ . Let  $R$  be an algorithm that takes as input a graph  $G = (V, E)$  with  $V$  vertices and  $E$  edges (where the edges are described as sets of 2 vertices). Then  $R$  creates a new graph  $G' = (V', E')$  where  $V' = V \cup \{w\}$  and  $w$  is a new vertex (i.e.  $w \notin V$ ) and  $E' = E \cup \{\{w, v\} \mid v \in V\}$ . In other words,  $R$  takes the graph  $G$  and creates a new vertex  $w$  which is then connected to all vertices in  $G$  by edges which gives us the output graph  $G'$ . Now, if  $G$  has some three coloring then  $G'$  has the same exact three coloring by using the coloring of  $G$  and then  $G'$  is four colorable by coloring the introduced vertex  $w$  the fourth color. Conversely, if  $G$  does not have a three coloring then  $G'$  will not have a four coloring since the addition of the introduced vertex  $w$  can not lead to a four coloring. The algorithm  $R$  runs takes linear time in length of the input  $G$  since it creates a new vertex  $w$  and then goes over all vertices of  $G$  to create the new edges for  $G'$ . Thus,  $R$  (as described above) is a polynomial time truth-preserving reduction from *3COL* to *4COL* and we conclude  $3COL \preceq_p 4COL$ .
- b. We know that  $3COL \preceq_p 4COL$  as this was shown above in a. and the algorithm that performs the reduction  $R$  runs in linear time. Now, if  $4COL \in P$  then there exists some Turing machine  $B$  that computes *4COL* in polynomial time. To compute *3COL* in polynomial time we can take an input graph  $G = (V, E)$  with  $V$  vertices and  $E$  edges and first run it through our reduction algorithm  $R$ . This will give a resulting graph  $G' = (V', E')$  which is in *4COL* if  $G$  was in *3COL* and which is not in *4COL* if  $G$  was not in *3COL*. We then use  $B$  to check if  $G'$  is in *4COL* where if  $G' \in 4COL$  then we can conclude that  $G \in 3COL$  and if  $G' \notin 4COL$  then we can conclude that  $G \notin 3COL$ . We know that  $R$  runs in linear time in input  $G$ , where  $|G| = n$ , and  $B$  runs in polynomial time in input  $G'$  which means that our new algorithm runs in  $n^k$  which is polynomial time. Thus, we conclude that if  $4COL \in P$  then  $3COL \in P$  since  $3COL \preceq_p 4COL$ . (Note: It is also generally true that if  $B \in P$  and  $A \preceq_p B$  then  $A \in P$ .)
- (5) a. We need to give an algorithm that takes an undirected graph  $G$  and gives a new directed graph  $G'$  such that if  $G \in HAM\_CYCLE$  then  $G' \in D\_HAM\_CYCLE$  and if  $G \notin HAM\_CYCLE$  then

$G' \notin D\_HAM\_CYCLE$ . Furthermore, this algorithm must run in polynomial time in length of the input  $G$ . Let  $R$  be an algorithm that takes as input an undirected graph  $G = (V, E)$  with  $V$  vertices and  $E$  edges (where the edges are described as sets of 2 vertices). Then  $R$  creates a new directed graph  $G' = (V', E')$  where  $V' = V$  and  $E' = \{\{u, v\} \mid \{u, v\} \in E\}$ . In other words,  $R$  takes the graph  $G$  and creates a new directed graph  $G'$  where for each undirected edge between  $u$  and  $v$  in  $G$  there are two directed edges  $u \rightarrow v$  and  $v \rightarrow u$  in  $G'$ . Now, if the undirected graph  $G$  has a Hamiltonian cycle  $u_1 \dots u_n$  then we know that  $V$  has  $n$  vertices where  $\{u_1, \dots, u_n\} = V$  and there exists at least an edge between each vertex in  $V$  such that for each  $1 \leq i < n$  :  $\{u_i, u_{i+1}\} \in E$  and  $\{u_n, u_1\} \in E$ . This then implies that for each  $1 \leq i < n$  :  $\{u_i, u_{i+1}\} \in E'$  and  $\{u_n, u_1\} \in E'$  (by construction of  $R$ ) meaning  $u_1 \dots u_n$  is also an Hamiltonian cycle of the directed graph  $G'$ . Conversely, if  $G$  does not have an Hamiltonian cycle then  $G'$  cannot have an Hamiltonian cycle since  $G'$  is essentially  $G$  with bi-directional edges. The algorithm  $R$  takes polynomial time in length of the input  $G$  since we traverse over all edges once and create two new edge pairs for  $G'$  for each edge we see. Thus,  $R$  (as described above) is a polynomial time truth-preserving reduction from  $HAM\_CYCLE$  to  $D\_HAM\_CYCLE$  and we conclude  $HAM\_CYCLE \preceq_p D\_HAM\_CYCLE$ .

- b. We need to give an algorithm that takes a directed graph  $G$  and gives a new undirected graph  $G'$  such that if  $G \in D\_HAM\_CYCLE$  then  $G' \in HAM\_CYCLE$  and if  $G \notin D\_HAM\_CYCLE$  then  $G' \notin HAM\_CYCLE$ . Furthermore, this algorithm must run in polynomial time in length of the input  $G$ . Let  $R$  be an algorithm that takes as input a directed graph  $G = (V, E)$  with  $V$  vertices and  $E$  edges (where the edges are described as sets of 2 vertices). Then  $R$  creates a new undirected directed graph  $G' = (V', E')$  where  $V' = \{\{v_{in}\}, \{v\}, \{v_{out}\} \mid \{v\} \in V\}$  and  $E' = \{\{u_{out}, v_{in}\}, \{v_{in}, v\}, \{v, v_{out}\} \mid \{u, v\} \in E\}$ . In other words,  $R$  takes the graph the directed graph  $G$  and creates a new undirected graph  $G'$  where for each directed edge between  $u$  and  $v$  in  $G$  there is now an undirected edge that goes from the newly created  $u_{out}$  vertex into  $v_{in}$ ,  $v_{in}$  goes into  $v$  and  $v$  goes to  $v_{out}$ . Now, if the directed graph  $G$  has a Hamiltonian cycle  $u_1 \dots u_n$  then we know that  $V$  has  $n$  vertices where  $\{u_1, \dots, u_n\} = V$  and there exists at least an edge between each vertex in  $V$  such that for each  $1 \leq i < n$  :  $\{u_i, u_{i+1}\} \in E$  and  $\{u_n, u_1\} \in E$ . This then implies that for each  $1 \leq i < n$  :  $\{(u_i), u_{i+1}\} \in E'$  and  $\{u_n, u_1\} \in E'$  (by construction of  $R$ ) since we now have the Hamiltonian cycle  $(u_1)_{in}(u_1)(u_1)_{out} \dots (u_n)_{in}(u_n)(u_n)_{out}$  in  $G'$ . Conversely, if  $G$  does not have an Hamiltonian cycle then  $G'$  cannot have an Hamiltonian cycle since  $G'$  is essentially  $G$  with  $3n$  vertices that has the same “structure” as  $G$ . The algorithm  $R$  takes polynomial time in length of the input  $G$  since we traverse over all vertices once to create the three new vertices and then traverse over all edges once to create the relevant edges in  $G'$  for each edge we see. Thus,  $R$  (as described above) is a polynomial time truth-preserving reduction from  $D\_HAM\_CYCLE$  to  $HAM\_CYCLE$  and we conclude  $D\_HAM\_CYCLE \preceq_p HAM\_CYCLE$ .