# Mitigating noisy labels and dataset bias in machine learning

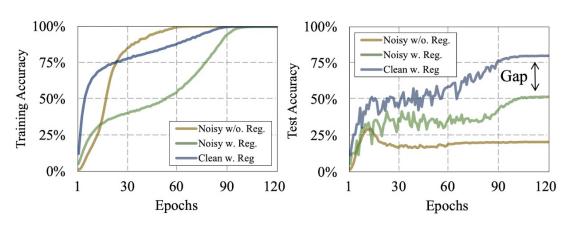
2023.08.25 (Fri.)

Superb Al Machine Learning Team

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### Motivation

- For trustworthy application, robust training matters
- Model is expected to generalize well even under
  - Noisy labels
  - Dataset bias
  - Distribution shifts
  - Adversarial attacks
  - •



Typical regularizations (aug., L2, Dropout, BN) are not enough in the presence of noisy labels.

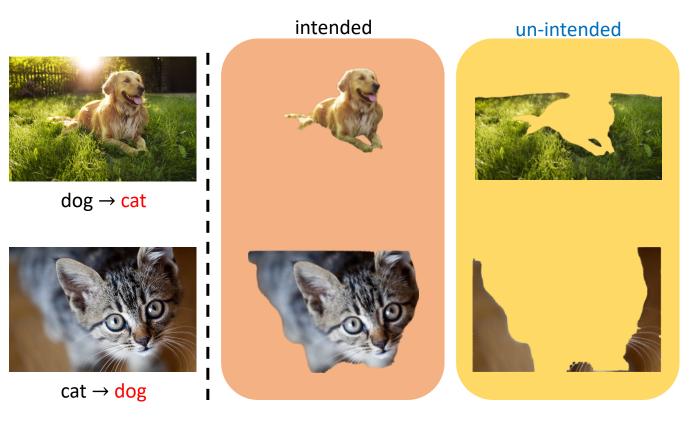
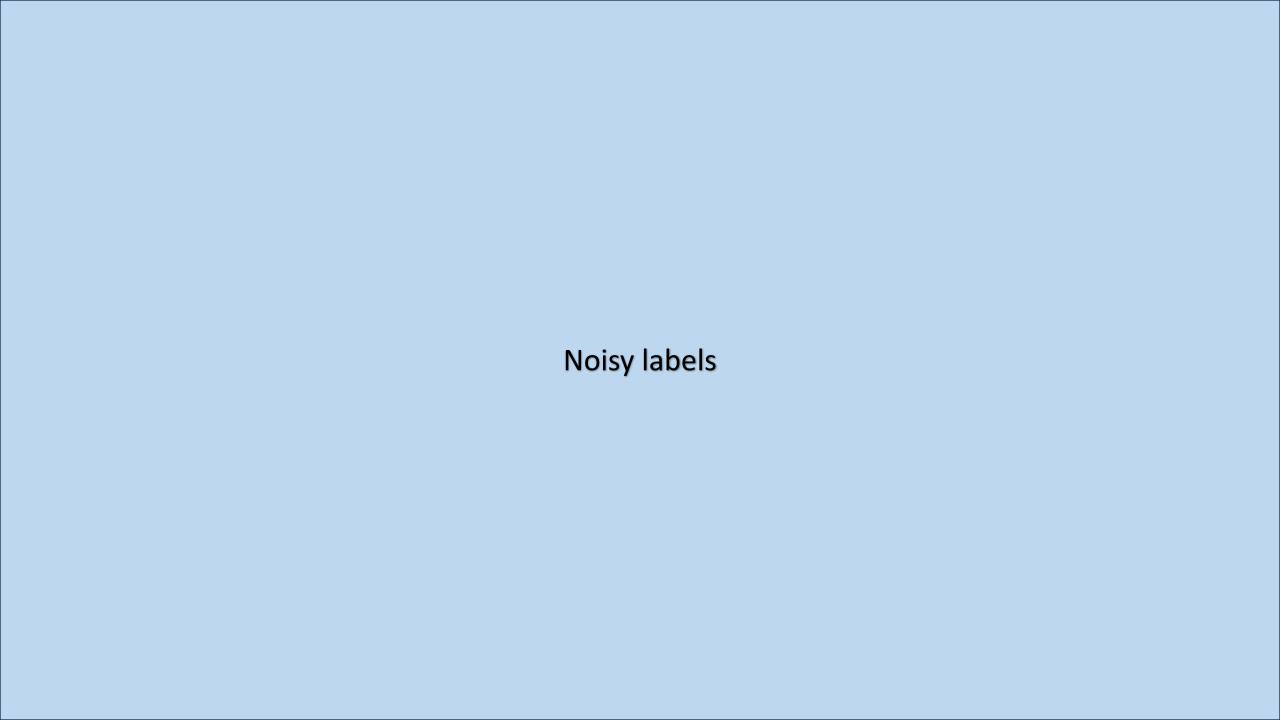


Illustration of noisy labels and dataset bias.



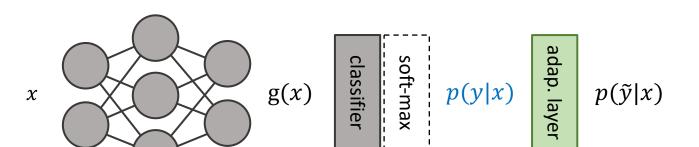
### 1. Robust architecture

Let  $T_{ij} = p(\tilde{y} = j | y = i, (x))$  be noise transition matrix

 $(T_{ij})$  is conditioned on x if label noise is input-dependent. Otherwise, it is input-independent)

- Noise type
  - 1. Symmetric:  $\forall_{i=j}, T_{ij} = 1 \tau$  and  $\forall_{i\neq j}, T_{ij} = \frac{\tau}{C-1}$
  - 2. Asymmetric:  $\forall_{i=j}, T_{ij} = 1 \tau$  and  $\exists_{i \neq j, j \neq k, i \neq k}, T_{ij} > T_{ik} \rightarrow \text{human annotation}$
- Noise adaptation layer
  - $T_{ij}$  is trained to correct the gradient signal from the noisy label (ignored during the inference)

• 
$$p(\tilde{y} = j | x) = \sum_{i=1}^{C} p(\tilde{y} = j | y = i) p(y = i | x) = \sum_{i=1}^{C} T_{ij} p(y = i | x)$$



- (i) asymmetric
- (ii) input-independent

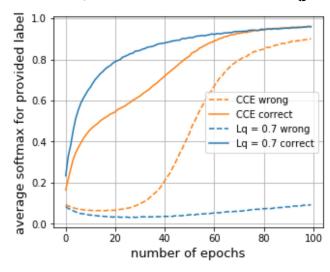
For (i) asymmetric and (ii) input-dependent label noise, refer to heteroskedastic layers

### 2. Robust loss function

- Categorical Cross Entropy (CCE) :  $-\log p(y = k|x)$ 
  - <u>fast convergence</u>, poor generalization in presence of noisy labels
- Mean Absolute Error (MAE): |OneHot(k) p(y|x)| = 2(1 p(y = k|x))
  - slow convergence, better generalization in presence of noisy labels
- Generalized Cross Entropy (GCE) :  $(1 p(y = k|x)^q)/q$ 
  - Consensus of CCE  $(q \to 0)$  and MAE  $(q \to 1)$
  - up-weights the gradient of CCE for the samples of confident prediction on label (y = k)

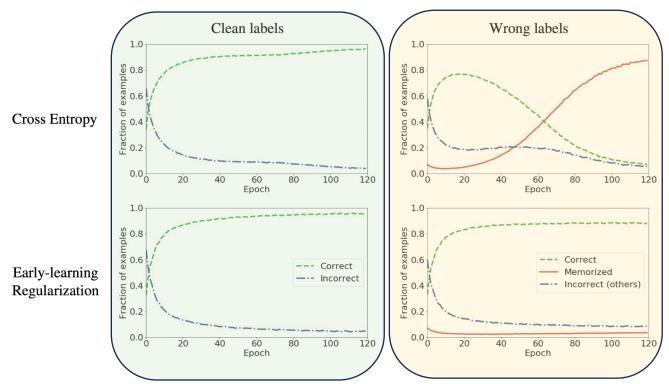
$$\frac{\partial GCE(x,k)}{\partial \theta} = p(y = k|x)^q \frac{\partial CCE(x,k)}{\partial \theta}$$

Compared to CCE, GCE compel the wrongly labeled samples to be un-confident.



# 3. Robust regularization

- Observation: DNNs tend to fit the clean labels first, then the noisy labels later
- Early Learning Regularization (ELR): CCE +  $\lambda \cdot \log(1 \langle p(y|x), t(x) \rangle)$  (for every iteration,  $t(x) \leftarrow \beta \cdot t(x) + (1 \beta) \cdot p(y|x)$ )
  - maximize the similarity b/t the online prediction p(y|x) and the ema. prediction t(x)



$$\nabla S^{-1}(p(y|x))(p(y|x) - OneHot(y) + \lambda \cdot Grad)$$

Additionally introduced gradient

- (i) maintain the gradient of clean labels
- (ii) neutralize the gradient of noisy labels

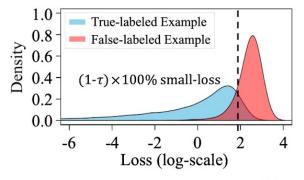
correct : predict to ground-truth label memorized : overfit to noisy-label Incorrect : neither correct nor memorized

Compared to CCE, ELR does not memorize the noisy labels. (red stays low in the right column)

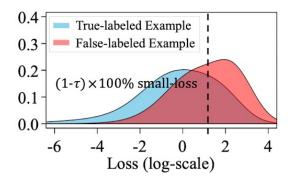
Liu, Sheng, et al. "Early-learning regularization prevents memorization of noisy labels." Advances in neural information processing systems 33 (2020): 20331-20342.

# 4. Sample selection

• Small loss trick: the clean label have smaller losses than the noisy label (not appropriate for the asymmetric noise)

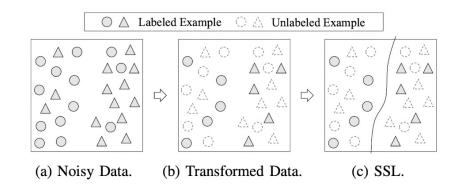


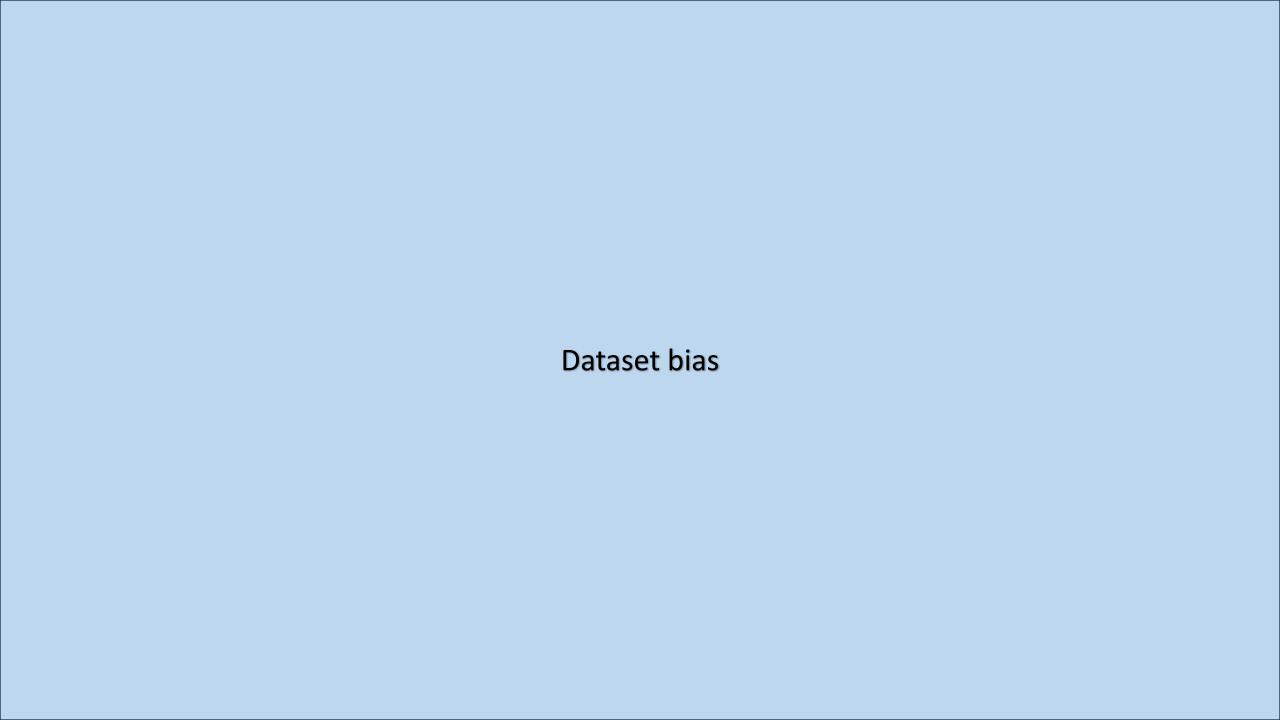
(a) Symmetric Noise 40%.



(b) Asymmetric Noise 40%.

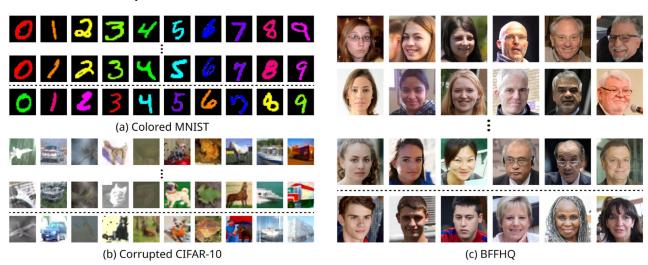
- DivideMix
  - fit two-component Gaussian Mixture Model on loss values (small loss → clean, high loss → noise)
  - apply semi-supervised learning (mix-match) (labeled ≈ clean, unlabeled ≈ noise)





# Task description

- Setting: x has many attributes (color, digit) and y is one of those (digit)
- **Def.** Dataset is "biased" if there is a highly correlated attribute that incurs bias-aligned samples
- Sample-type
  - bias-aligned: un-intentionally, correctly predicted samples (e.g. camel in the desert)
  - 2. bias-conflicting :intentionally, in-correctly predicted samples (e.g. camel in the forest)

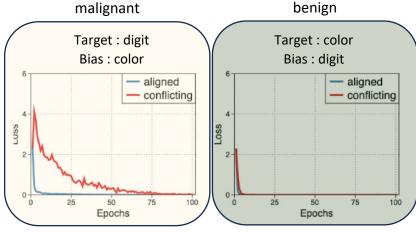


- Evaluation
  - un-biased dataset : same number for every possible combination of attributes
  - bias-conflicting dataset : remove bias-aligned from the un-biased dataset

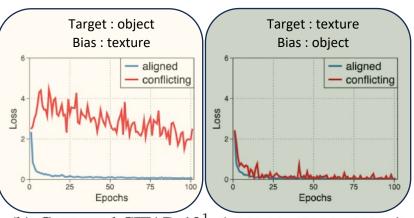
# Analogy to noisy labels

- Bias-type
  - malignant
    - bias is <u>easier</u> to learn than the target attribute
    - bias-aligned is learnt first and bias-conflicting later
  - Benign
    - bias is <u>harder</u> to learn than the target attribute
    - no difference b/t bias-aligned and bias-conflicting
- Training order of data
  - "Clean" → Noisy (noisy labels)
  - Bias-aligned → "Bias-conflicting" (dataset bias)

In contrast to noisy labels, where to focus is different



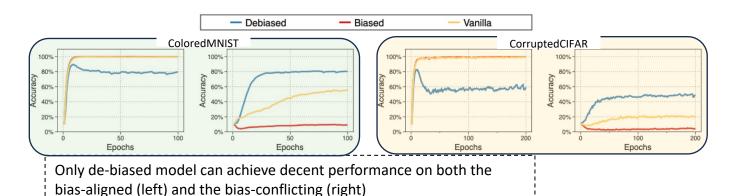
(a) Colored MNIST, (Digit, Color)



(b) Corrupted CIFAR-10<sup>1</sup>, (Object, Corruption)

# Learning from Failure (LfF)

- 1. Train a biased classifier  $(f_B)$  with GCE loss
  - up-weights samples of confident prediction ("clean" in noisy label, "bias-aligned" in dataset bias)
  - amplify the prejudice from the presence of dataset bias
- 2. Train a de-biased classifier  $(f_D)$  with re-weighted CE loss
  - relative difficulty:  $\mathcal{W}(x) = \frac{CE_B(x,y)}{CE_B(x,y) + CE_D(x,y)} \left( \Rightarrow \left\| \frac{\nabla_{\theta}CE_B(x,y)}{\sum_{(x_i,y_i) \in \mathcal{D}} \nabla_{\theta}CE_B(x_i,y_i)} \right\| \right)$ 
    - small weight to bias-aligned samples
    - large weight to "bias-conflicting" samples



#### Bias-supervision type

un-biased	dataset			<b>†</b>		
Dataset	Ratio (%)	Vanilla	Ours	HEX	REPAIR	Group DRO
Dataset		0	0	•	•	•
Colored MNIST	95.0 98.0 99.0 99.5	$77.63 \pm 0.44 \\ 62.29 \pm 1.47 \\ 50.34 \pm 0.16 \\ 35.34 \pm 0.13$	<b>85.39</b> ±0.94 <b>80.48</b> ±0.45 <b>74.01</b> ±2.21 <b>63.39</b> ±1.97	$70.44{\scriptstyle\pm1.41}\atop62.03{\scriptstyle\pm0.24}\atop51.99{\scriptstyle\pm1.09}\atop41.38{\scriptstyle\pm1.31}$	$\begin{array}{c} 82.51{\pm}0.59 \\ 72.86{\pm}1.47 \\ 67.28{\pm}1.69 \\ 56.40{\pm}3.74 \end{array}$	$\begin{array}{c} 84.50{\pm}0.46 \\ 76.30{\pm}1.53 \\ 71.33{\pm}1.76 \\ 59.67{\pm}2.73 \end{array}$
Corrupted CIFAR-10 <sup>1</sup>	95.0 98.0 99.0 99.5	$\begin{array}{c} 45.24{\pm}0.22 \\ 30.21{\pm}0.82 \\ 22.72{\pm}0.87 \\ 17.93{\pm}0.66 \end{array}$	<b>59.95</b> ±0.16 <b>49.43</b> ±0.78 <b>41.37</b> ±2.34 <b>31.66</b> ±1.18	$\begin{array}{c} 21.74 \pm 0.27 \\ 17.81 \pm 0.29 \\ 16.62 \pm 0.80 \\ 15.39 \pm 0.13 \end{array}$	$\begin{array}{c} 48.74{\pm}0.71 \\ 37.89{\pm}0.22 \\ 32.42{\pm}0.35 \\ 26.26{\pm}1.06 \end{array}$	$\begin{array}{c} 53.15{\pm}0.53 \\ 40.19{\pm}0.23 \\ 32.11{\pm}0.83 \\ 29.26{\pm}0.11 \end{array}$
Corrupted CIFAR-10 <sup>2</sup>	95.0 98.0 99.0 99.5	$\begin{array}{c} 41.27{\pm}0.98 \\ 28.29{\pm}0.62 \\ 20.71{\pm}0.29 \\ 17.37{\pm}0.31 \end{array}$	<b>58.57</b> ±1.18 <b>48.75</b> ±1.68 <b>41.29</b> ±2.08 34.11±2.39	$\begin{array}{c} 19.25{\pm}0.81 \\ 15.55{\pm}0.84 \\ 14.42{\pm}0.51 \\ 13.63{\pm}0.42 \end{array}$	$\begin{array}{c} 54.05{\pm}1.01 \\ 44.22{\pm}0.84 \\ 38.40{\pm}0.26 \\ 31.03{\pm}0.42 \end{array}$	$57.92\pm0.31$ $46.12\pm1.11$ $39.57\pm1.04$ $34.25\pm0.74$
	D 11 C1					

Ratio of bias-aligned samples

#### bias-conflicting dataset

Dataset	Ratio (%)	Vanilla	Ours	HEX	REPAIR	Group DRO
Dataset		0	0	•	•	•
	95.0	75.17±0.51	<b>85.77</b> ±0.66	67.75±1.49	83.26±0.42	83.11±0.41
Colored	98.0	$58.13 \pm 1.63$	$80.67 \pm 0.56$	$58.80 \pm 0.28$	$73.42 \pm 1.42$	$74.28 \pm 1.93$
MNIST	99.0	$44.83 \pm 0.18$	<b>74.19</b> $\pm$ 1.94	$46.96 \pm 1.20$	$68.26 \pm 1.52$	$69.58 \pm 1.66$
	99.5	$28.15{\scriptstyle\pm1.44}$	<b>63.49</b> ±1.94	$35.05{\scriptstyle\pm1.46}$	$57.27{\scriptstyle\pm3.92}$	$57.07{\pm}3.60$
Corrupted CIFAR-10 <sup>1</sup>	95.0	39.42±0.20	<b>59.62</b> ±0.03	14.09±0.31	$49.99 \pm 0.92$	49.00±0.45
	98.0	$22.65 \pm 0.95$	$48.69 \pm 0.70$	$9.34 \pm 0.41$	$38.94 \pm 0.20$	$35.10 \pm 0.49$
	99.0	$14.24 \pm 1.03$	$39.55 \pm 2.56$	$8.37 \pm 0.56$	$33.05 \pm 0.36$	$28.04{\scriptstyle\pm1.18}$
	99.5	$10.50{\scriptstyle\pm0.71}$	<b>28.61</b> ±1.25	$6.38{\scriptstyle\pm0.08}$	$26.52{\scriptstyle\pm0.94}$	$24.40{\scriptstyle\pm0.28}$
Corrupted CIFAR-10 <sup>2</sup>	95.0	$34.97{\scriptstyle\pm1.06}$	<b>58.64</b> ±1.04	$10.79{\scriptstyle\pm0.90}$	$54.46{\scriptstyle\pm1.02}$	54.60±0.11
	98.0	$20.52 \pm 0.73$	$48.99 \pm 1.61$	$6.60 \pm 7.23$	$44.63 \pm 0.75$	$42.71 \pm 1.24$
	99.0	$12.11 \pm 0.29$	$40.84 \pm 2.06$	$5.11 \pm 0.59$	$38.81 \pm 0.20$	$37.07{\scriptstyle\pm1.02}$
	99.5	$10.01 \pm 0.01$	<b>32.03</b> ±2.51	$4.22 \pm 0.43$	$31.45 \pm 0.28$	30.92±0.86

Nam, Junhyun, et al. "Learning from failure: De-biasing classifier from biased classifier." *Advances in Neural Information Processing Systems* 33 (2020): 20673-20684. Ahn, Sumyeong, Seongyoon Kim, and Se-young Yun. "Mitigating Dataset Bias by Using Per-sample Gradient." *arXiv preprint arXiv:2205.15704* (2022).

# BiaSwap

 Goal: Generate bias-swapped image from the bias-aligned to the bias-conflicting (using image-to-image translation modules)

SwapAE:  $swap(x^{(a)}, x^{(c)}) \rightarrow x^{(s)}$ 

• Encoder input : input image (x)(bias-aligned :  $x^{(a)}$ , bias-conflicting :  $x^{(c)}$ )

• Encoder output : content feature  $(z_c)$ , style feature  $(z_s)$  (bias-aligned :  $(z_c^{(a)}, z_s^{(a)})$ , bias-conflicting :  $(z_c^{(c)}, z_s^{(c)})$ )

• Generator input :  $(z_c^{(a)}, z_s^{(c)})$ 

• Generator output :  $x^{(s)}$ 

Loss function

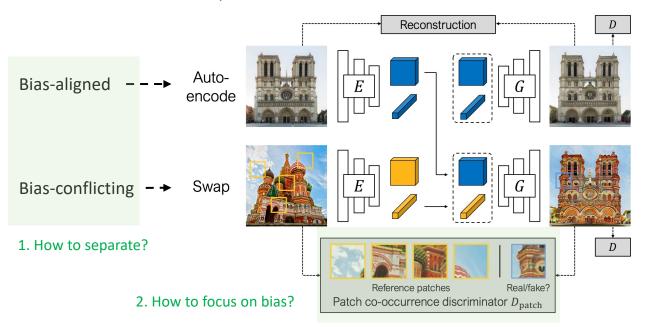
•  $L_{content}(E,G) = \mathbb{E}_x \left[ \left\| x - G(E(x)) \right\|_2^2 \right]$ 

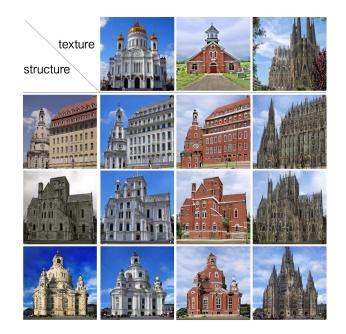
•  $L_{realistic1}(E, G, D) = \mathbb{E}_{x} \left[ -\log D \left( G(E(x)) \right) \right]$ 

•  $L_{style}(E, G, D_{patch}) = \mathbb{E}_{x_1, x_2}[-\log D_{patch}(crop(swap(x_1, x_2)), crops(x_2))]$ 

random

•  $L_{realistic2}(E, G, D) = \mathbb{E}_{x_1, x_2, x_1 \neq x_2} \left[ -\log D\left(swap(x_1, x_2)\right) \right]$ 





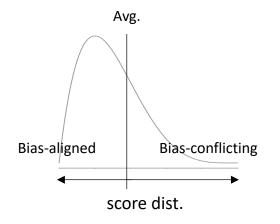
# BiaSwap

How to separate the bias-aligned and the bias-conflicting?

- 1. Train a biased classifier  $(f_B)$  with GCE loss
- 2. Split the bias-aligned and the bias-conflicting

$$score(x) = \left| \mathbb{I}\left(\arg\max_{k} f_{B}(x)_{k} = y\right) - \max\left(\exp(f_{B}(x)) / \sum_{k} \exp(f_{B}(x)_{k})\right) \right|$$

- bias-aligned: presumably correct → 1-conf. (≈ small value) → below average
- bias-conflicting : presumably wrong → conf. (≈ large value) → <u>over average</u>



Dataset	Colored MNIST	Corrupted CIFAR10	bFFHQ
Precision (%)	97.54	60.70	65.52
Recall (%)	92.12	87.28	70.62
F1 score (%)	94.74	66.13	67.70

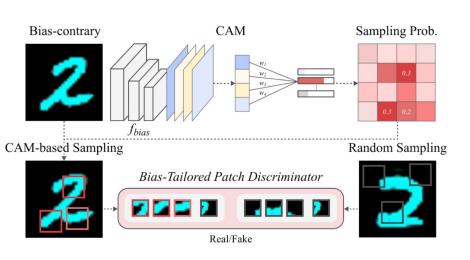
# BiaSwap

How to focus on bias?

3. Define Class Activation Map (CAM) for the target attribute

$$f_B(x)_k = \sum_c w_c^k \frac{1}{W \times H} \sum_{x,y}^{\mathsf{GAP}(c)} A_c(x,y) = \sum_{x,y} \sum_c \frac{1}{W \times H} w_c^k \cdot A_c(x,y)$$

- $w_c^k$ : the last linear layer weight from channel c to class k
- $A_c(x,y):(x,y)$ -coordinate value of the last convolutional feature map of channel c
- 4. Substitute the random cropping to the bias-tailored patch sampling



Sampling probability of (x, y)

$$P(x,y) = \frac{\exp(\mathsf{CAM}(x,y))}{\sum_{w=1,h=1}^{w=W,h=H} \exp(\mathsf{CAM}(w,h))}$$



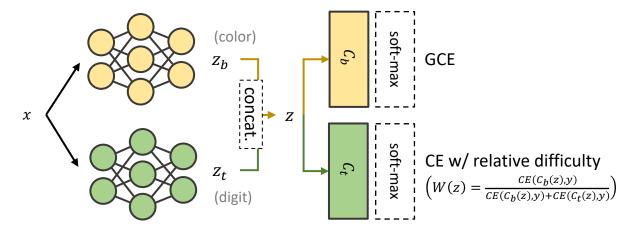


# Disentangled Feature Augmentation (DFA)

- Observation
  - Diversity ratio matters more than sampling ratio
    - Diversity ratio : # of bias-conflicting / dataset
    - Sampling ratio: # of bias-conflicting / mini-batch

Dataset	Diversity ratio	Sampling ratio	Accuracy (%)	
	5%	50%	<b>83.77</b> ±2.03	
Colored MNIST	1%	50%	$67.19 \pm 1.99$	
	5%	1%	$77.97 \pm 6.00$	
	1%	1%	$49.91 \pm 4.22$	
	5%	50%	<b>46.99</b> ±0.82	
Corrupted CIFAR-10	1%	50%	$33.08 \pm 0.80$	
Corrupted Cirrit-10	5%	1%	$36.66 \pm 0.55$	
	1%	1%	$23.98 \pm 0.00$	

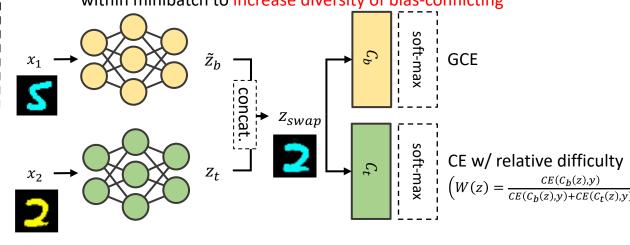
- Goal: Increase diversity of bias-conflicting via feature augmentation



 $L_{dis} = W(z)CE(C_t(z), y) + \lambda_1 \cdot GCE(C_h(z), y)$ 

(scheduling)

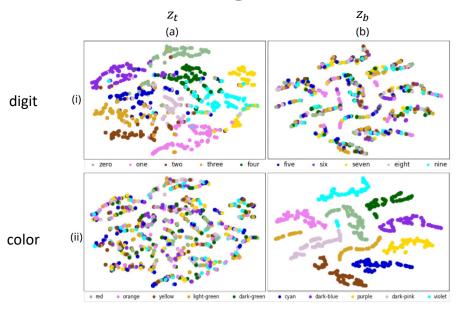
 After some iterations, swap the latent vectors of random pairs within minibatch to increase diversity of bias-conflicting



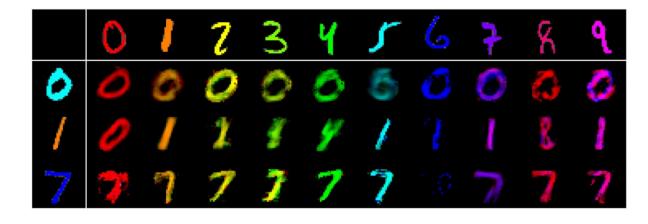
 $L_{swan} = W(z)CE(C_t(z_{swan})), y + \lambda_2 \cdot GCE(C_h(z_{swan})), y$ 

Lee, Jungsoo, et al. "Learning debiased representation via disentangled feature augmentation." Advances in Neural Information Processing Systems 34 (2021): 25123-25133.

# Disentangled Feature Augmentation (DFA)



T-sne embedding of  $z_t$  (left) and  $z_b$  (right) labeled by digit (top) and color (bottom). (dataset : ColoredMNIST)



Reconstructed images based on the disentangled features (row: maintain target attribute, column: maintain bias attribute) (freeze the encoders and only train a decoder)

Accuracy(%)	Colored MNIST		Corrupted CIFAR10		BFFHQ	
	Target	Bias	Target	Bias	Target	Bias
Original Swapping	<b>76.08</b> 71.40	<b>98.07</b> 94.29	<b>35.63</b> 35.14	74.16 <b>76.46</b>	57.40 <b>58.40</b>	49.00 <b>51.60</b>

Disentangle	Augment	Scheduled Augment	Colored MNIST	Corrupted CIFAR10	BFFHQ
_	_	_	52.09±2.88	25.82±0.33	56.87±2.69
$\checkmark$	_	_	$74.03 \pm 2.40$	$27.73{\scriptstyle\pm1.02}$	$59.4 \pm 2.46$
✓	$\checkmark$	_	$72.29 \pm 3.82$	$32.81 \pm 2.47$	$61.27 \pm 3.26$
$\checkmark$	$\checkmark$	✓	<b>81.73</b> ±2.34	<b>52.31</b> ±1.00	<b>63.87</b> ±0.31

Ablation study on disentangled feature, augment, scheduling

# E.O.D