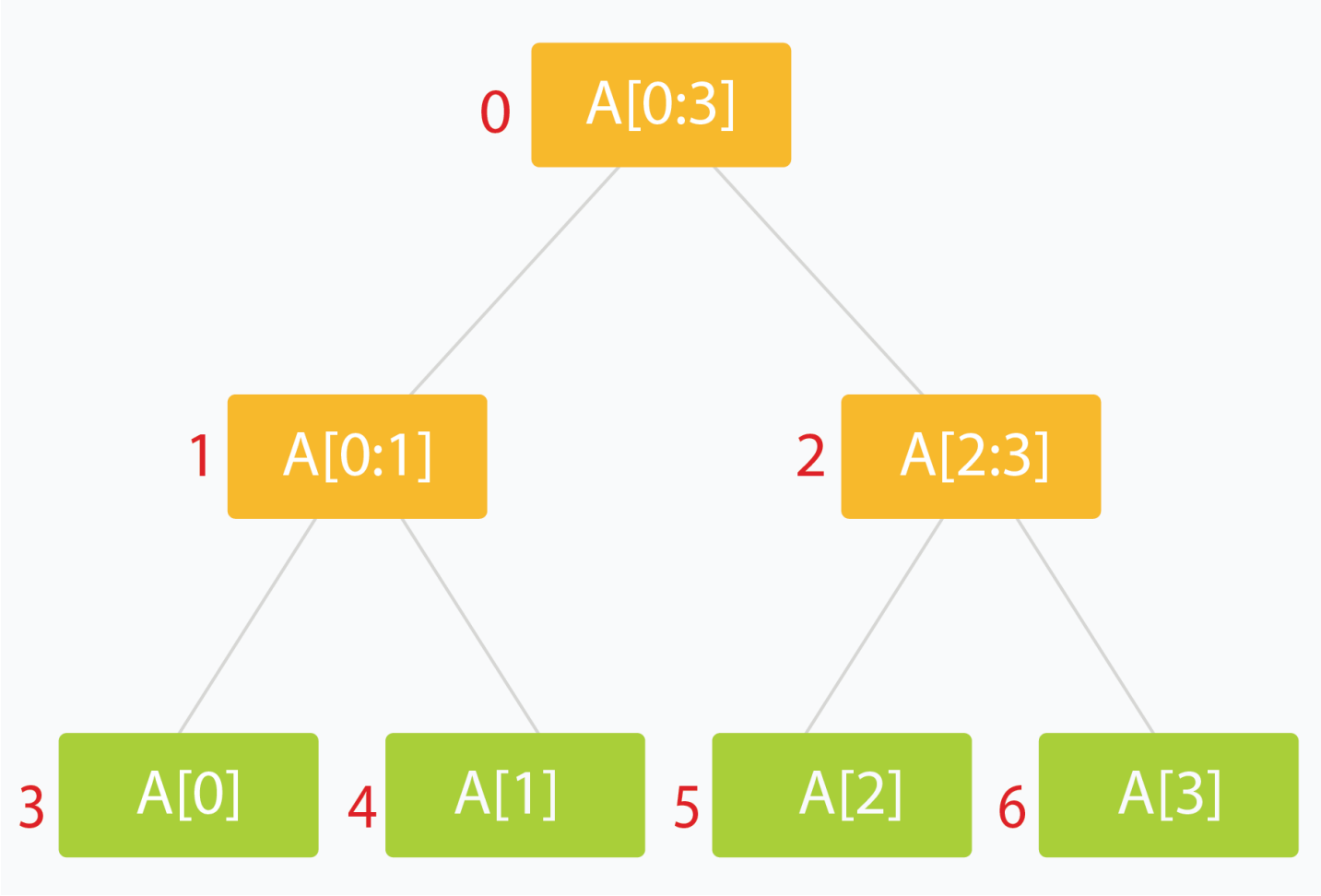
Recursive Approach to Segment Trees

Brief Introduction

What is a Segment Tree?

A segment tree is a binary tree where each node represents an interval. Generally a node would store one or more properties of an interval which can be queried later.

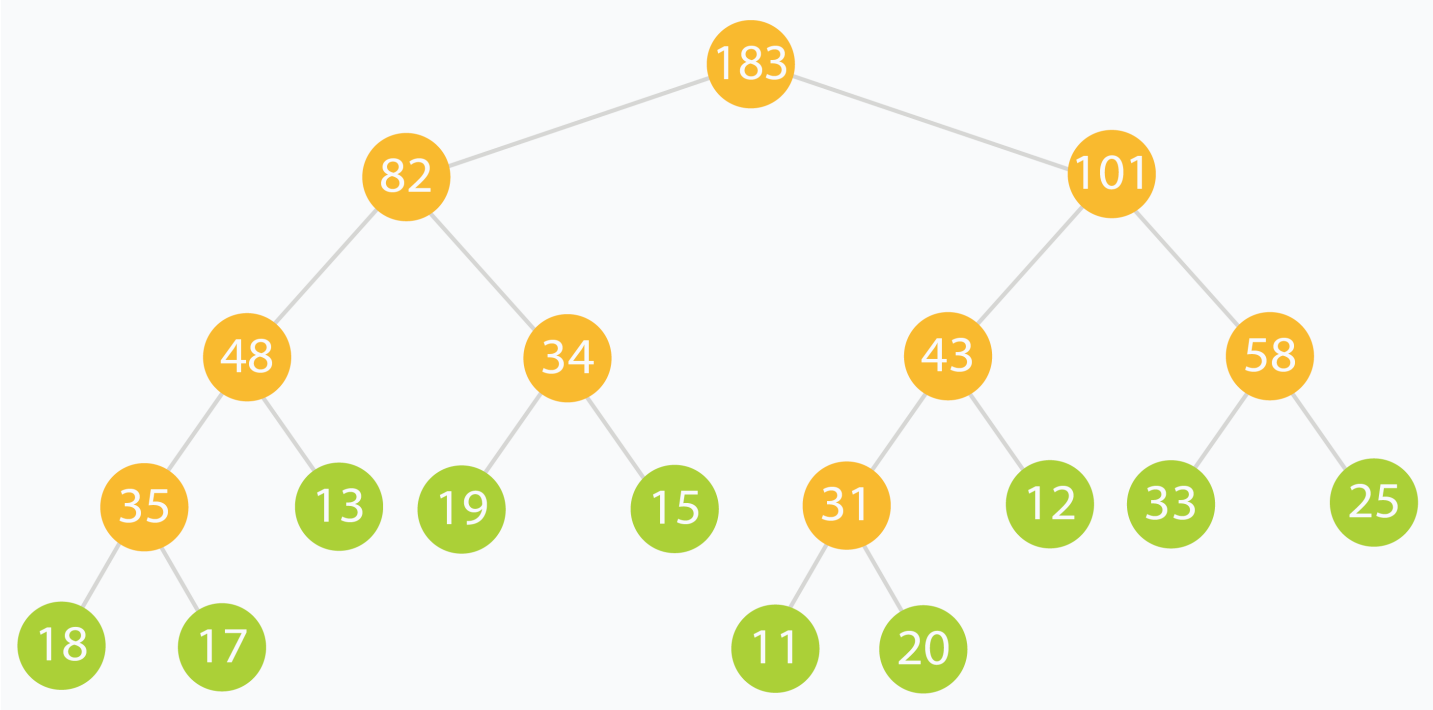


Why do we require it? (or What's the point of this?)

Many problems require that we give results based on query over a range or segment of available data. This can be a tedious and slow process, especially if the number of queries is large and repetitive. A segment tree let's us process such queries efficiently in logarithmic order of time.

Segment Trees have applications in areas of computational geometry and geographic information systems. For example, we may have a large number of points in space at certain distances from a central reference/origin point. Suppose we have to lookup the points which are in a certain range of distances from our origin. An ordinary lookup table would require a linear scan over all the possible points or all possible distances (think hash-maps). Segment Trees lets us achieve this in logarithmic time with much less space cost. Such a problem is called Planar Range Searching. Solving such problems efficiently is critical, especially when dealing with dynamic data which changes fast and unpredictably (for example, a radar system for air traffic.)

We will solve the Range Sum Query problem later in this editorial as an example of how Segment Trees help us save loads on runtime costs.



We will use the above tree as a practical example of what a Range Sum Query segment tree looks and behaves like.

How do we make one?

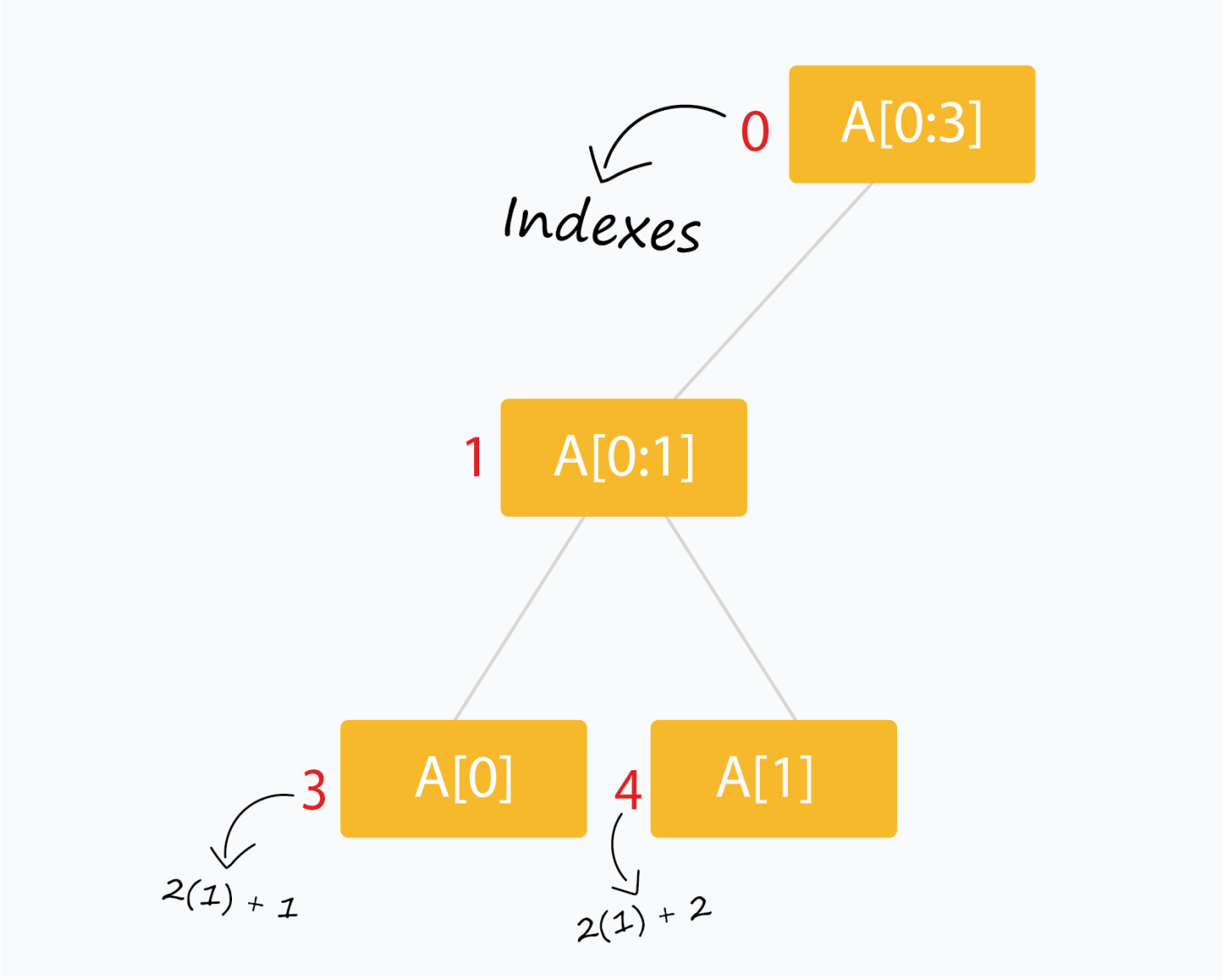
Let our data be in an array `arr[]` of size n.

1. The root of our segment tree typically represents the entire interval of data we are interested in. This would be `arr[0:n-1]`.
2. Each leaf of the tree represents a range comprising of just a single element. Thus the leaves represent `arr[0]`, `arr[1]` and so on till `arr[n-1]`.
3. The internal nodes of the tree would represent the **merged** or **union** result of their children nodes.

4. Each of the children nodes could represent approximately half of the range represented by their parent.

A segment tree for an n element range can be comfortably represented using an array of size $\approx 4 * n \approx 4 * n$. (Stack Overflow has a good discussion as to why. If you are not convinced, fret not. We will discuss it later on.)

▶ But how?



Segment trees are very intuitive and easy to use when built recursively.

Recursive methods for Segment Trees

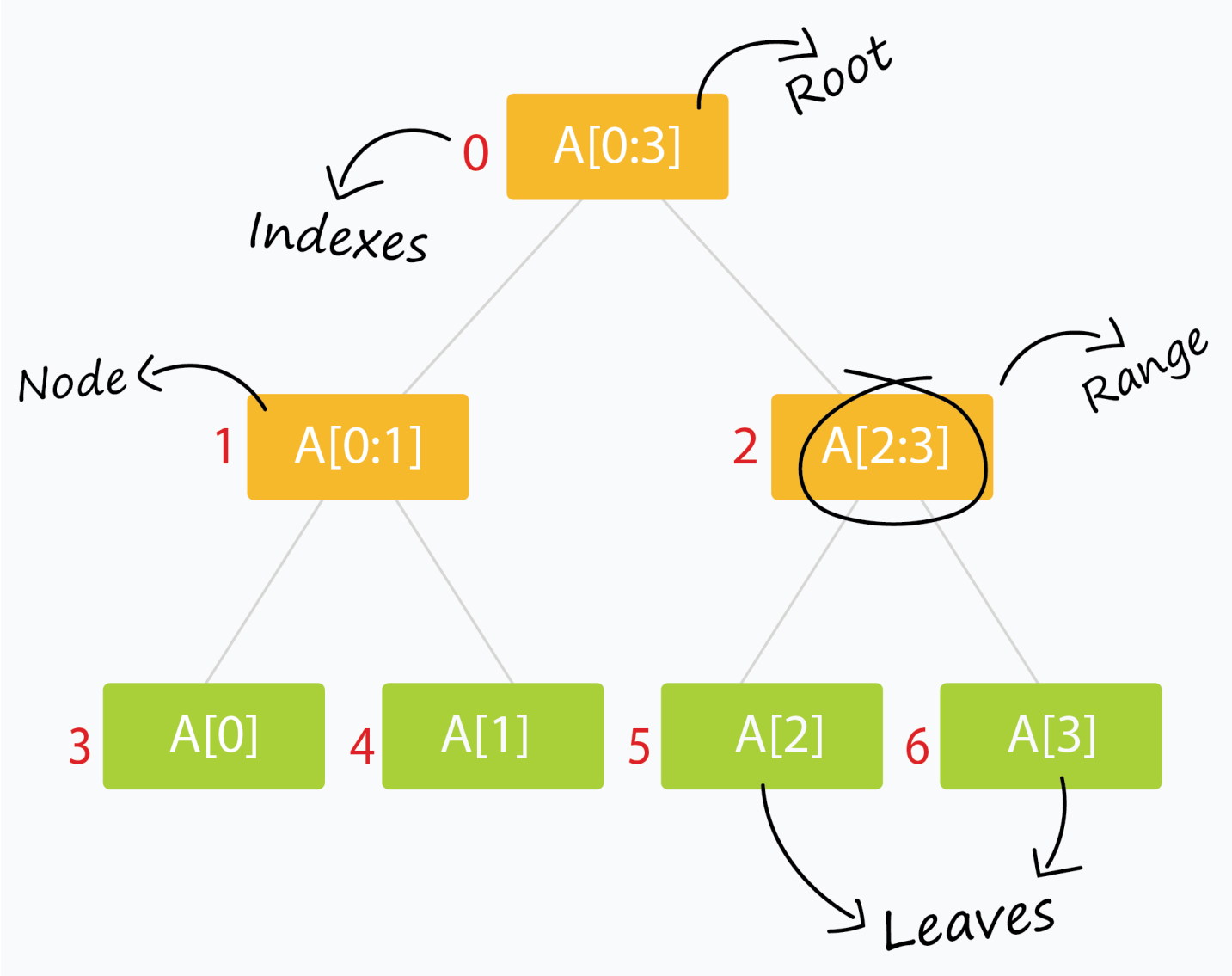
We will use the array `tree[]` to store the nodes of our segment tree (initialized to all zeros). The following scheme (`0` - based indexing) is used:

- The node of the tree is at index 00. Thus `tree[0]` is the root of our tree.
- The children of `tree[i]` are stored at `tree[2*i+1]` and `tree[2*i+2]`.
- We will pad our `arr[]` with extra `0` or `null` values so that $n=2^k$ (where n is the final length of `arr[]` and k is a non negative integer.)

▶ Do we actually need to pad `arr[]` with zeros?

- The leaves of the tree occur at indexes 2^{k-1} to $2^{k+1}-2$.

▶ What if we started by storing the node of tree at index 1 *instead of* index 0? How would the positions of related nodes change?



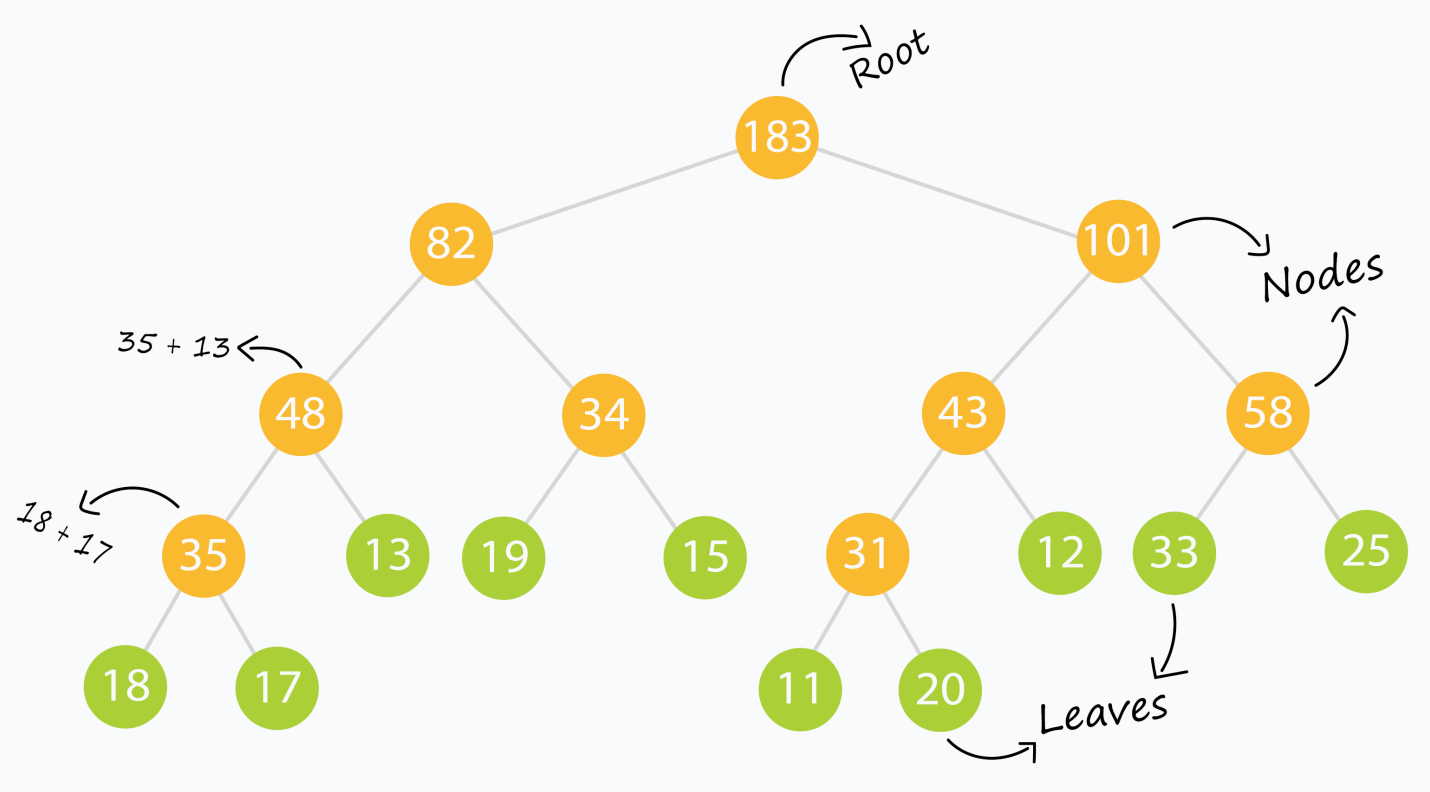
We also require only three kinds of methods:

1. Build the tree from the original data.

```
void buildSegTree(vector<int>& arr, int treeIndex, int lo, int hi)
{
    if (lo == hi) {                 // leaf node. store value in node.
        tree[treeIndex] = arr[lo];
        return;
    }

    int mid = lo + (hi - lo) / 2;   // recurse deeper for children.
    buildSegTree(arr, 2 * treeIndex + 1, lo, mid);
    buildSegTree(arr, 2 * treeIndex + 2, mid + 1, hi);
```

```
    // merge build results
    tree[treeIndex] = merge(tree[2 * treeIndex + 1], tree[2 * treeIndex +
2]);
}

// call this method as buildSegTree(arr, 0, 0, n-1);
// Here arr[] is input array and n is its size.
```

The method builds the entire `tree` in a bottom up fashion. When the condition ��=*h*�*l***o*=*h***i* is satisfied, we are left with a range comprising of just a single element (which happens to be `arr[lo]`). This constitutes a leaf of the tree. The rest of the nodes are built by merging the results of their two children. `treeIndex` is the index of the current node of the segment tree which is being processed.



For example, the tree above is made from the input array: (which we will use throughout this tutorial)

```
arr[] = { 18, 17, 13, 19, 15, 11, 20, 12, 33, 25 };
```

Can you guess what the `merge` operation is in this example? After building the tree, the `tree[]` array looks like:

```
tree[] = { 183, 82, 101, 48, 34, 43, 58, 35, 13, 19, 15, 31, 12, 33, 25, 18,
17, 0, 0, 0, 0, 0, 0, 11, 20, 0, 0, 0, 0, 0, 0 };
```

Notice the the groups of zeros near the end of the `tree[]` array? Those are `null` values we used as padding to ensure a complete binary tree is formed (since we only had 1010 leaf elements. Had we had, say, 1616 leaf elements, we wouldn't need any `null` elements. Can you prove why?)

NOTE: The `merge` operation varies from problem to problem. You should closely think of what to store in a node of the segment tree and how two nodes will merge to provide a result before you even start building a segment tree.

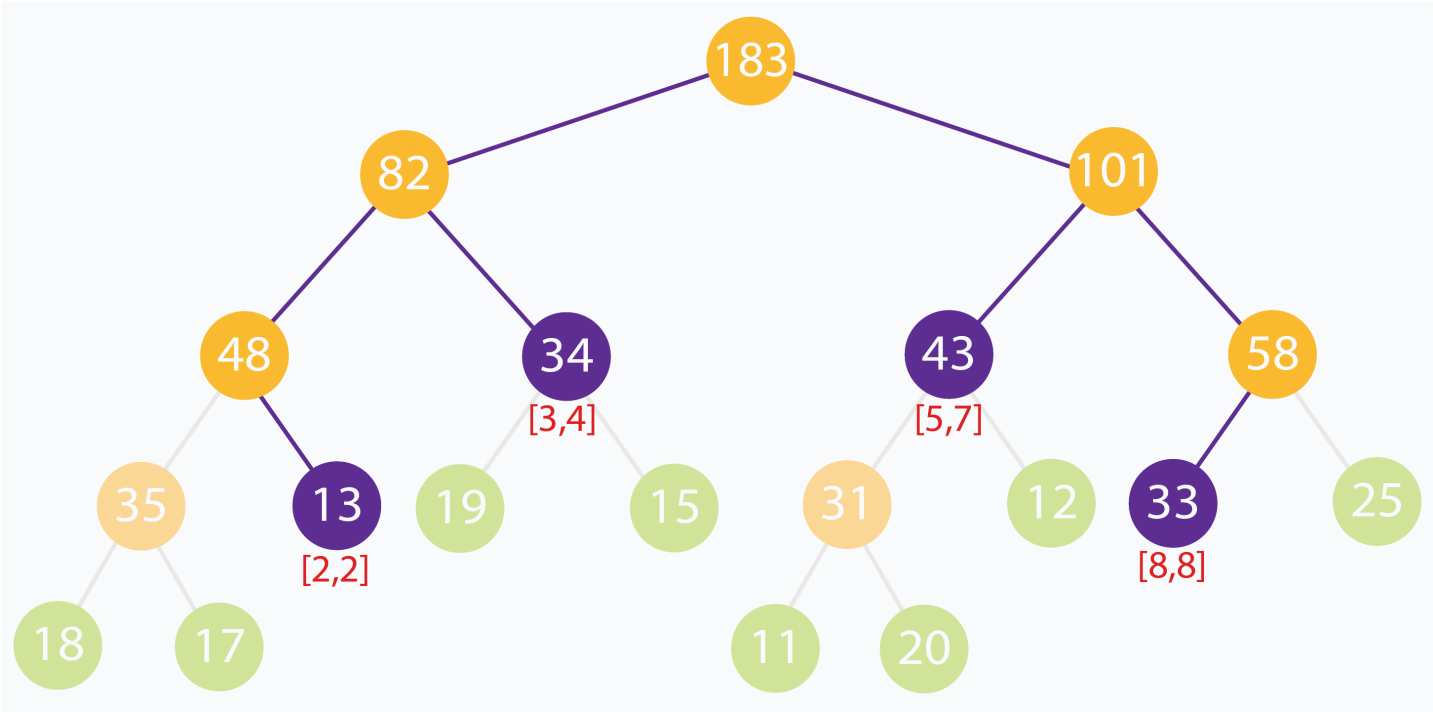
2. Read/Query on an interval or segment of the data.

```
int querySegTree(int treeIndex, int lo, int hi, int i, int j)
{
    // query for arr[i..j]

    if (lo > j || hi < i)                // segment completely outside range
        return 0;                        // represents a null node

    if (i <= lo && j >= hi)              // segment completely inside range
        return tree[treeIndex];

    int mid = lo + (hi - lo) / 2;        // partial overlap of current segment
and queried range. Recurse deeper.

    if (i > mid)
        return querySegTree(2 * treeIndex + 2, mid + 1, hi, i, j);
    else if (j <= mid)
        return querySegTree(2 * treeIndex + 1, lo, mid, i, j);

    int leftQuery = querySegTree(2 * treeIndex + 1, lo, mid, i, mid);
    int rightQuery = querySegTree(2 * treeIndex + 2, mid + 1, hi, mid + 1,
j);
```

```
    // merge query results
    return merge(leftQuery, rightQuery);
}

// call this method as querySegTree(0, 0, n-1, i, j);
// Here [i,j] is the range/interval you are querying.
// This method relies on "null" nodes being equivalent to storing zero.
```

The method returns a result when the queried range matches exactly with the range represented by a current node. Else it digs deeper into the tree to find nodes which match a portion of the node exactly.

> This is where the beauty of the segment tree lies.

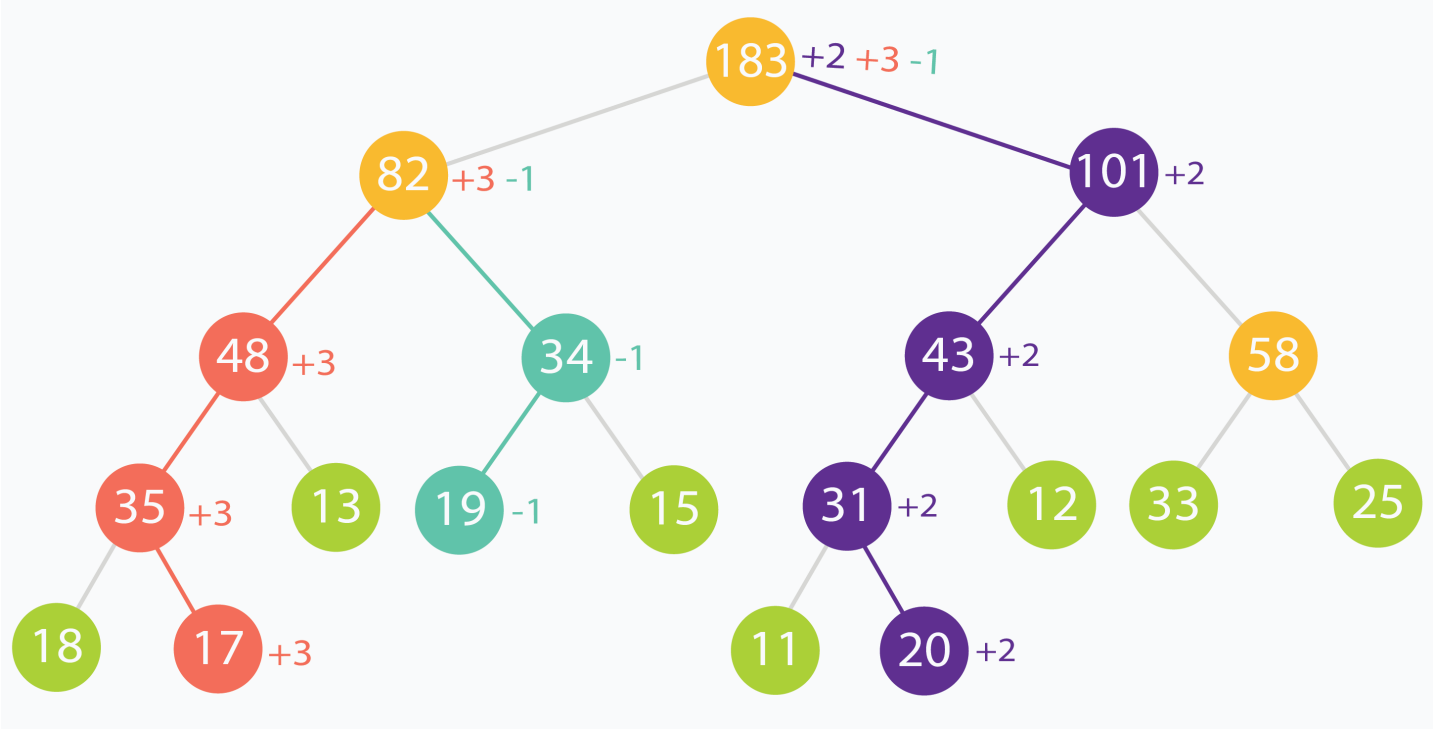


In the above example, we are trying to find the sum of the elements in the range [2,8][2,8]. No segment completely represents the range [2,8][2,8]. However we can see that [2,8][2,8] can be built up using the ranges [2,2],[3,4],[5,7][2,2],[3,4],[5,7] and [8,8].[8,8]. As a quick verification, we can see that sum of input elements at indexes [2,8][2,8] is 13+19+15+11+20+12+33=12313+19+15+11+20+12+33=123. The sum of node values for the nodes representing ranges [2,2],[3,4],[5,7][2,2],[3,4],[5,7] and [8,8][8,8] are 13+34+43+33=123.13+34+43+33=123.

3. Update the value of an element.

```
void updateValSegTree(int treeIndex, int lo, int hi, int arrIndex, int val)
{
    if (lo == hi) {                 // leaf node. update element.
        tree[treeIndex] = val;
        return;
    }

    int mid = lo + (hi - lo) / 2;   // recurse deeper for appropriate child

    if (arrIndex > mid)
        updateValSegTree(2 * treeIndex + 2, mid + 1, hi, arrIndex, val);
    else if (arrIndex <= mid)
        updateValSegTree(2 * treeIndex + 1, lo, mid, arrIndex, val);

    // merge updates
    tree[treeIndex] = merge(tree[2 * treeIndex + 1], tree[2 * treeIndex +
2]);
}

// call this method as updateValSegTree(0, 0, n-1, i, val);
// Here you want to update the value at index i with value val.
```

This is similar to `buildSegTree`. We update the value of the leaf node of our tree which corresponds to the updated element. Later the changes are propagated through the upper levels of the tree straight to the root.



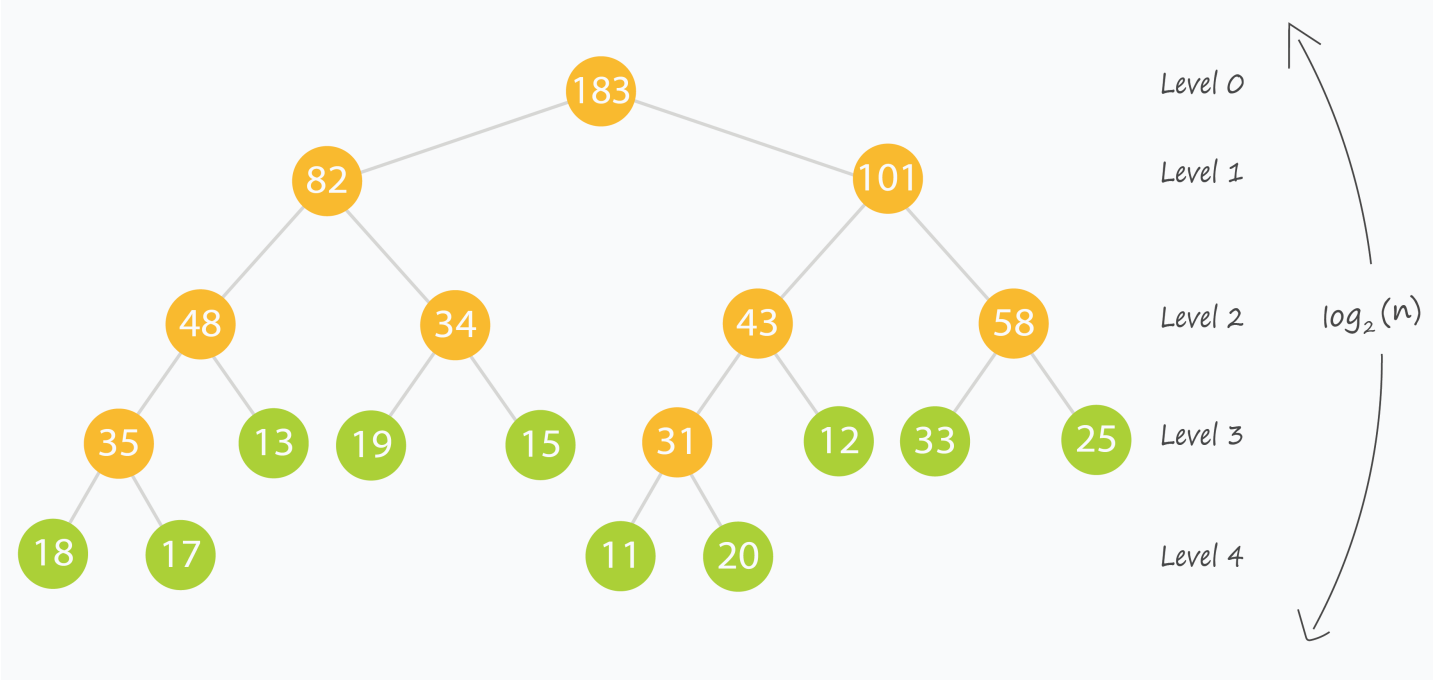
In this example, element at indexes (in original input data) 1,31,3 and 66 are incremented by +3,−1+3,−1 and +2+2 respectively. You can see how the changes propagate up the tree, all through to the root.

Complexity Analysis

Let's take a look at the `build` process. We visit each leaf of the segment tree (corresponding to each element in our array `arr[]`). That makes n leaves. Also there will be $n-1$ internal nodes. So we process about $2*n$ nodes. This makes the build process run in $O(n)$ linear complexity.

The `update` process discards half of the range for every level of recursion to reach the appropriate leaf in the tree. This is similar to binary search and takes logarithmic time. After the leaf is updated, its direct ancestors at each level of the tree are updated. This takes time linear to height of the tree.

The `read/query` process traverses depth-first through the tree looking for node(s) that match exactly with the queried range. At best, we query for the entire range and get our result from the root of the segment tree itself. At worst, we query for a interval/range of size 11 (which corresponds to a single element), and we end up traversing through the height of the tree. This takes time linear to height of the tree.



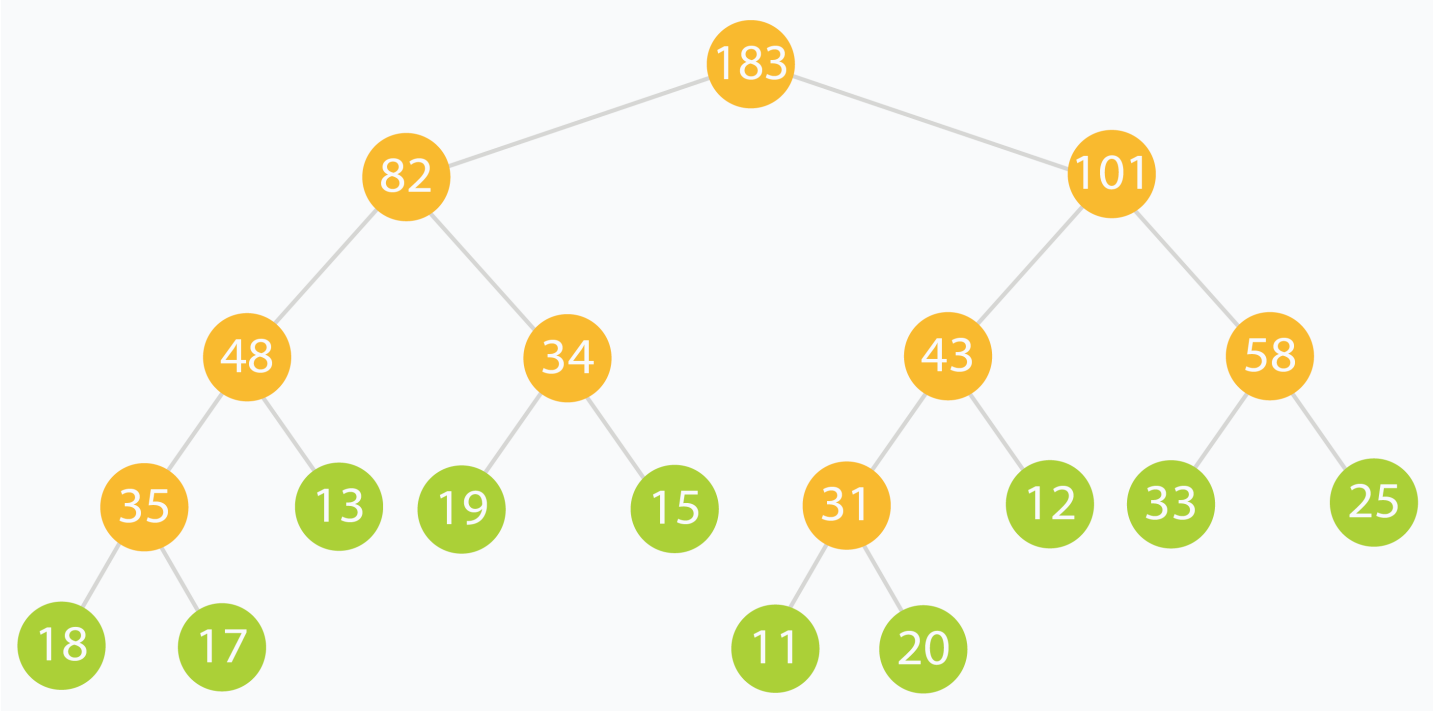
This is the time to revisit something said before:

> A segment tree for an `n` element range can be comfortably represented using an array of size `4*n`.

This ensures that we build our segment tree as a complete binary tree, which in turn ensures that the height of the tree is upper-bounded by the logarithm of the size of our input.

Voila! Both the `read` and `update` queries now take logarithmic $O(log_2(n))$ time, which is what we desired.

Range Sum Queries



The **Range Sum Query** problem is a subset of the Range Query class of problems. Given an array or sequence of data elements, one is required to process read and update queries which consist of ranges of elements. Segment Trees (along with other Interval-based data structures like the **Binary Indexed Tree** (a.k.a. **Fenwick Tree**)) are used to solve this class of problems reasonably fast for practical usage.

The **Range Sum Query** problem specifically deals with the sum of elements in the queried range. Many variations of the problem exist, including for immutable data, mutable data, multiple updates, single query and multiple updates, multiple queries (each being very costly in terms of computation).

A sample solution solves the Range Sum Query problem for mutable arrays *efficiently* through the use of a recursive segment tree (pretty much like the one we just discussed.) The `merge` operation in this case is simply taking the sum of the two nodes (since each node stores the sum of the range it represents.)

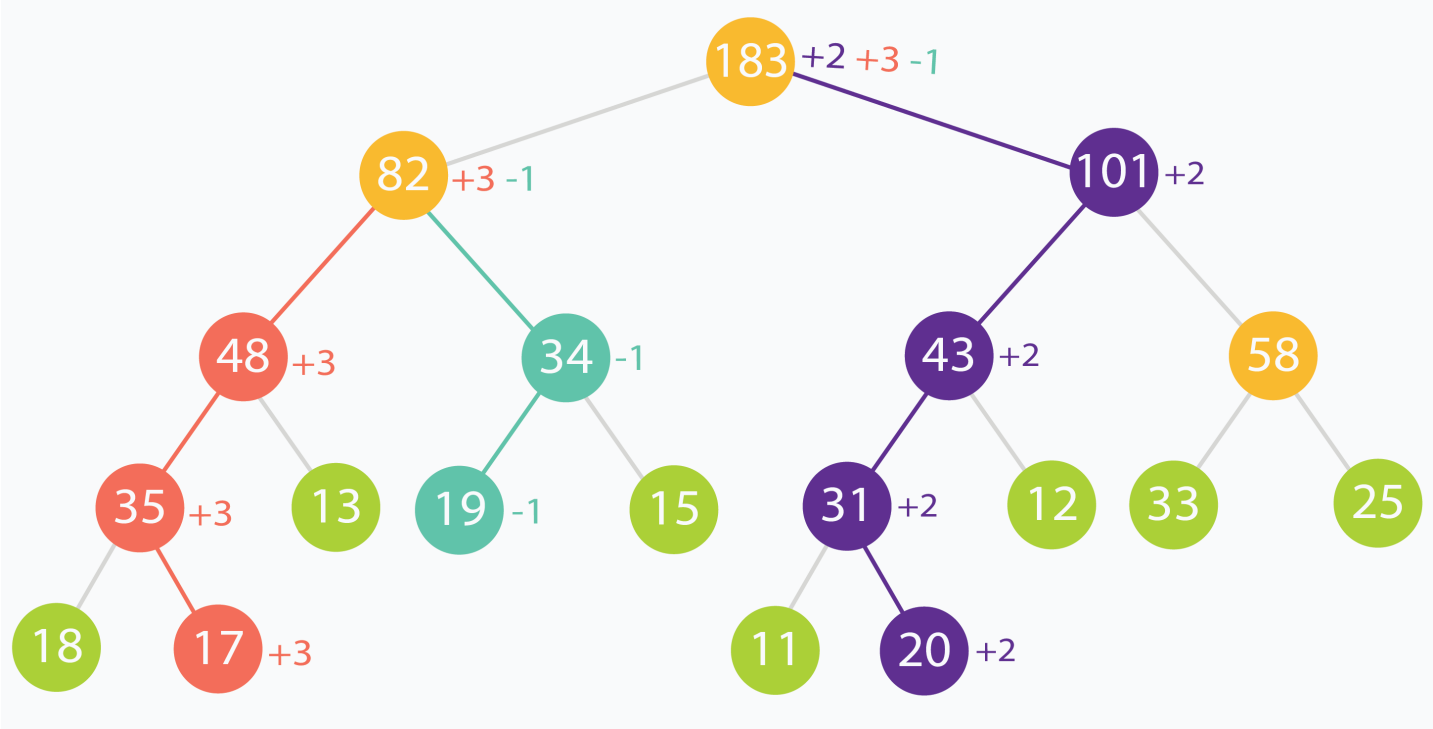
Lazy Propagation

Motivation

Till now we have been updating single elements only. That happens in logarithmic time and it's pretty efficient.

But what if we had to update a *range* of elements? By our current method, each of the elements would have to be updated independently, each incurring some run time cost.

The construction of a tree poses another issue called *ancestral locality*. Ancestors of adjacent leaves are guaranteed to be common at some levels of the tree. Updating each of these leaves individually would mean that we process their common ancestors multiple times. What if we could reduce this repetitive computation?



In the above example, the root is updated thrice and the node numbered 8282 is updated twice. This is because, at some level of the tree, the changes propagated from different leaves will meet.

A third kind of problem is when queried ranges do not contain frequently updated elements. We might be wasting valuable time updating nodes which are rarely going to be accessed/read.

Using **Lazy Propagation** allows us to overcome all of these problems by reducing wasteful computations and processing nodes *on-demand.*

How do we use it?

As the name suggests, we update nodes lazily. In short, we try to postpone updating descendants of a node, until the descendants themselves need to be accessed.

For the purpose of applying it to the Range Sum Query problem, we assume that the `update` operation on a range, increments each element in the range by some amount `val`.

We use another array `lazy[]` which is the same size as our segment tree array `tree[]` to represent a lazy node. `lazy[i]` holds the amount by which the node `tree[i]` needs to be incremented, when that node is finally accessed or queried. When `lazy[i]` is zero, it means that node `tree[i]` is not lazy and has no pending updates.

1. Updating a range lazily

This is a three step process:

1. Normalize the current node. This is done by removing laziness. We simple increment the current node by appropriate amount to remove it's laziness. Then we mark its children to be lazy as the descendants haven't been processed yet.
2. Apply the current update operation to the current node if the current segment lies inside the update range.
3. Recurse for the children as you would normally to find appropriate segments to update.

```
void updateLazySegTree(int treeIndex, int lo, int hi, int i, int j, int val)
{
    if (lazy[treeIndex] != 0) {                              // this node is
lazy
        tree[treeIndex] += (hi - lo + 1) * lazy[treeIndex]; // normalize
current node by removing laziness

        if (lo != hi) {                                      // update lazy[]
for children nodes
            lazy[2 * treeIndex + 1] += lazy[treeIndex];
            lazy[2 * treeIndex + 2] += lazy[treeIndex];
        }

        lazy[treeIndex] = 0;                                 // current node
processed. No longer lazy
    }
```

```
    if (lo > hi || lo > j || hi < i)
        return;                                             // out of range.
escape.

    if (i <= lo && hi <= j) {                               // segment is
fully within update range
        tree[treeIndex] += (hi - lo + 1) * val;             // update segment

        if (lo != hi) {                                     // update lazy[]
for children
            lazy[2 * treeIndex + 1] += val;
            lazy[2 * treeIndex + 2] += val;
        }

        return;
    }

    int mid = lo + (hi - lo) / 2;                             // recurse
deeper for appropriate child

    updateLazySegTree(2 * treeIndex + 1, lo, mid, i, j, val);
    updateLazySegTree(2 * treeIndex + 2, mid + 1, hi, i, j, val);

    // merge updates
    tree[treeIndex] = tree[2 * treeIndex + 1] + tree[2 * treeIndex + 2];
}
// call this method as updateLazySegTree(0, 0, n-1, i, j, val);
// Here you want to update the range [i, j] with value val.
```

2. Querying a lazily propagated tree

This is a two step process:

1. Normalize the current node by removing laziness. This step is the same as the `update` step.
2. Recurse for the children as you would normally to find appropriate segments which fit in

queried range.

```
int queryLazySegTree(int treeIndex, int lo, int hi, int i, int j)
{
    // query for arr[i..j]

    if (lo > j || hi < i)                                   // segment
completely outside range
        return 0;                                           // represents a
null node

    if (lazy[treeIndex] != 0) {                             // this node is
lazy
        tree[treeIndex] += (hi - lo + 1) * lazy[treeIndex]; // normalize
current node by removing laziness

        if (lo != hi) {                                     // update lazy[]
for children nodes
            lazy[2 * treeIndex + 1] += lazy[treeIndex];
            lazy[2 * treeIndex + 2] += lazy[treeIndex];
        }

        lazy[treeIndex] = 0;                                // current node
processed. No longer lazy
    }

    if (i <= lo && j >= hi)                                 // segment
completely inside range
        return tree[treeIndex];

    int mid = lo + (hi - lo) / 2;                           // partial
overlap of current segment and queried range. Recurse deeper.

    if (i > mid)
        return queryLazySegTree(2 * treeIndex + 2, mid + 1, hi, i, j);
    else if (j <= mid)
        return queryLazySegTree(2 * treeIndex + 1, lo, mid, i, j);

    int leftQuery = queryLazySegTree(2 * treeIndex + 1, lo, mid, i, mid);
```

```
    int rightQuery = queryLazySegTree(2 * treeIndex + 2, mid + 1, hi, mid +
1, j);

    // merge query results
    return leftQuery + rightQuery;
}
// call this method as queryLazySegTree(0, 0, n-1, i, j);
// Here [i,j] is the range/interval you are querying.
// This method relies on "null" nodes being equivalent to storing zero.
```

NOTE: The following lines:

```
tree[treeIndex] += (hi - lo + 1) * lazy[treeIndex]; // normalize current node
by removing laziness
// and
tree[treeIndex] += (hi - lo + 1) * val; // update segment
// and
tree[treeIndex] = tree[2 * treeIndex + 1] + tree[2 * treeIndex + 2]; // merge
updates
```

are specific to the Range Sum Query problem. Different problems may have different updating and merging schemes. In this case, updates are increments of +***val*** and nodes contain the sum of the elements of range/segment they represent.