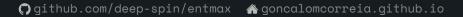
### **Adaptively Sparse Transformers**

Gonçalo Correia Instituto de Telecomunicações, Lisbon

Vlad Niculae

André Martins IT & Unbabel



### TL;DL (Too Long; Didn't Listen)

We replace the softmax function in Transformers with  $\alpha$ -entmax, creating a model that is able to learn its own sparsity in each attention head.

United Nations elections end today

(Bahdanau et al., 2015)

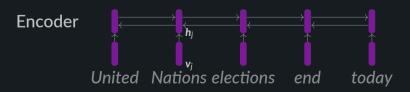
### A bit of context... On Seq2Seq

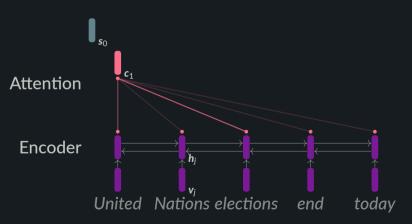


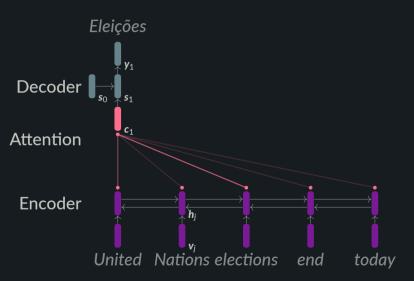


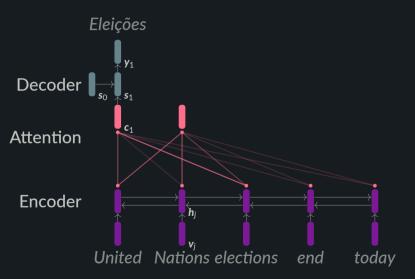
(Bahdanau et al., 2015)

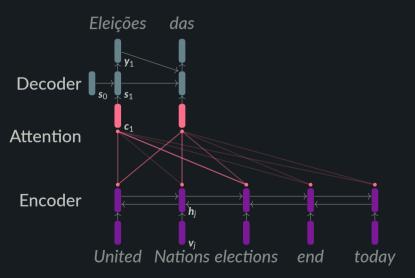
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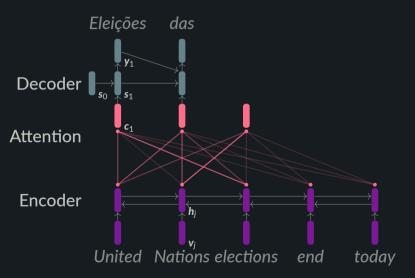






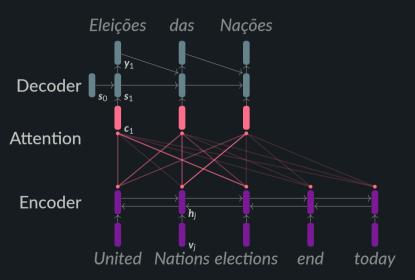
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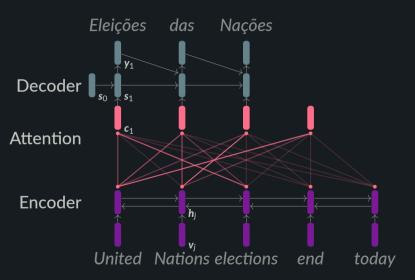
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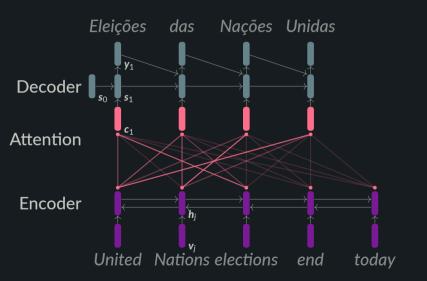


(Bahdanau et al., 2015)

### A bit of context... On Seq2Seq





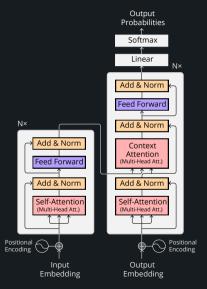


attention weights computed with softmax:

for some decoder state  $s_t$ , compute contextually weighted average of input  $c_t$ :

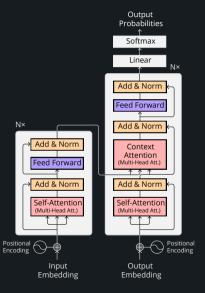
$$z_{j} = \mathbf{s}_{t}^{\top} \mathbf{W}^{(a)} \mathbf{h}_{j}$$
$$\pi_{j} = \operatorname{softmax}_{j}(\mathbf{z})$$
$$\mathbf{c}_{t} = \sum_{i} \pi_{j} \mathbf{h}_{j}$$

What if... Attention is all you need?



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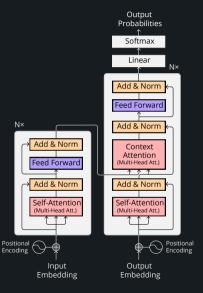
Key idea: Instead of Recurrent Neural Networks (RNNs), let's use attention mechanisms!



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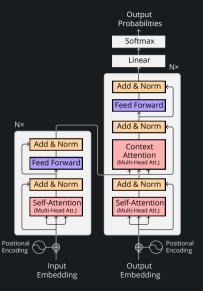
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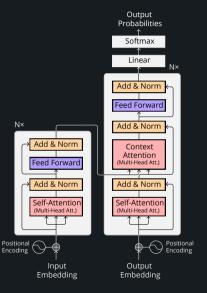
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What if... Attention is all you need?

Key idea: Instead of Recurrent Neural Networks (RNNs), let's use attention mechanisms!

- In place of the RNNs, use self-attention
- Do this with multiple heads (i.e. attention mechanisms in parallel)
- ... and do it through several layers



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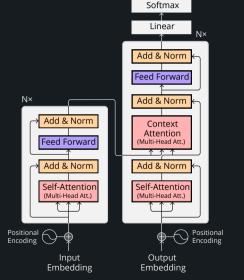
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Output Probabilities

### **Transformers**

In each attention head:

$$\bar{\mathbf{V}} = \mathbf{softmax} \left( \frac{\mathbf{Q} \mathbf{K}^{\mathsf{T}}}{\sqrt{d_k}} \right) \mathbf{V}.$$



Output Probabilities

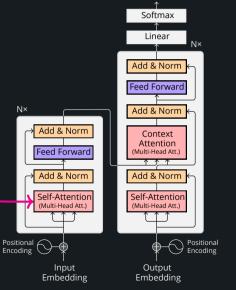
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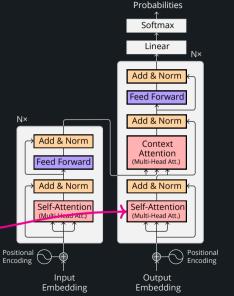
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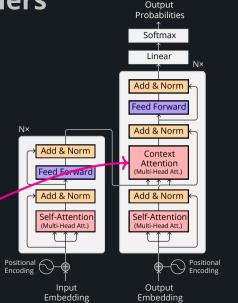
#### **Transformers**

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#### Attention in three places:

- Self-attention in the encoder
- Self-attention in the decoder
- Contextual attention



**Sparse Transformers** 

### **Sparse Transformers**

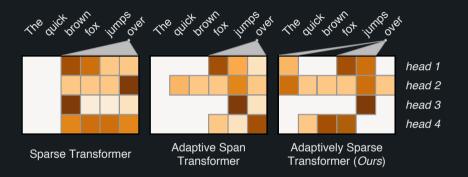
Key idea: replace softmax in attention heads by a sparse normalizing function!

### **Adaptively Sparse Transformers**

Key idea: replace softmax in attention heads by a sparse normalizing function!

Another key idea: use a normalizing function that is adaptively sparse via a learnable  $\alpha$ !

## Related Work: Other Sparse Transformers



Our model allows non-contiguous attention for each head.

Softmax exponentiates and normalizes:  $\mathbf{softmax}(z_i) := \frac{\exp(z_i)}{\sum_i \exp(z_i)}$ 

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- Retrieves a **one-hot vector** for the highest scored index.
- Sometimes used as hard attention, but not differentiable!

For convex  $\Omega$ , define the  $\Omega$ -regularized argmax transformation:

$$\operatorname{argmax}_{\Omega}(\mathbf{z}) := \operatorname{arg} \max_{\mathbf{p} \in \Delta} \mathbf{z}^{\mathsf{T}} \mathbf{p} - \Omega(\mathbf{p})$$

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Is there something in-between?

Parametrized by  $\alpha \geq 0$ :

$$\Omega_{\alpha}(\boldsymbol{p}) := \begin{cases} \frac{1}{\alpha(\alpha-1)} \left( 1 - \sum_{i=1}^{K} p_i^{\alpha} \right) & \text{if } \alpha \neq 1 \\ \sum_{i=1}^{K} p_i \log p_i & \text{if } \alpha = 1. \end{cases}$$

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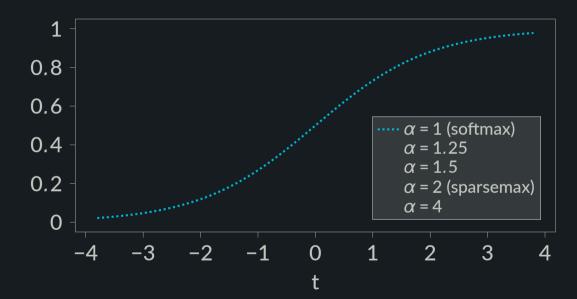
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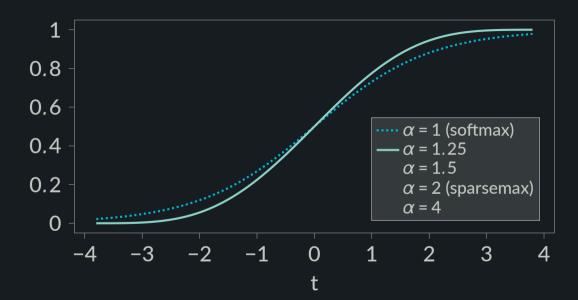
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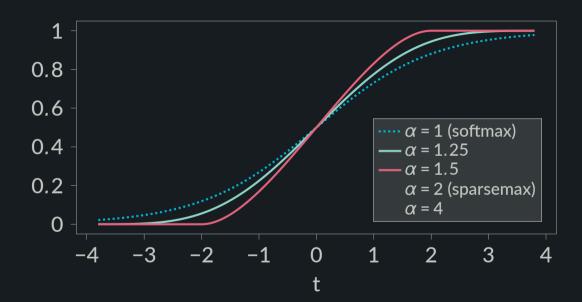
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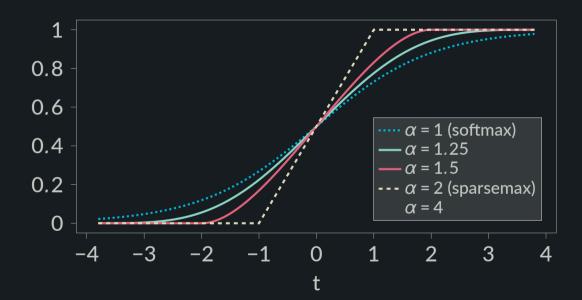
- Argmax corresponds to  $\alpha \to \infty$
- Softmax amounts to  $\alpha \rightarrow 1$
- **Sparsemax** amounts to  $\alpha = 2$ .

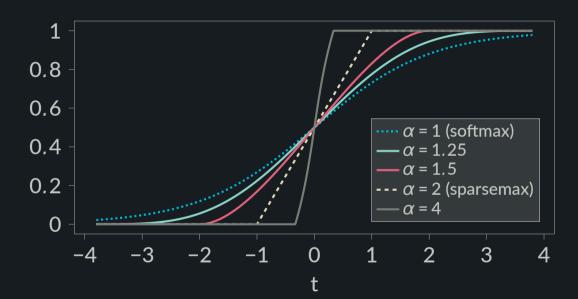
Key result: can be sparse for  $\alpha > 1$ , propensity for sparsity increases with  $\alpha$ .











#### Key contribution:

a closed-form expression for  $\frac{\partial \alpha - \text{entmax}(\mathbf{z})}{\partial \alpha}$ 





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Requires argmin differentiation  $\rightarrow$  see paper for details!

```
:pip install entmax
a cl Check github.com/deep-spin/entmax
```

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#### **BLEU Scores**

activation	de→en	ja→en	ro→en	en→de
softmax $1.5$ -entmax $\alpha$ -entmax	29.83	21.57 22.13 21.74		26.02 25.89 26.93

#### **BLEU Scores**

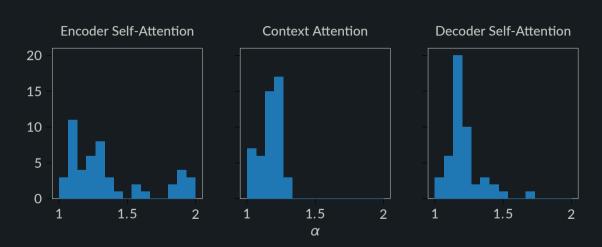
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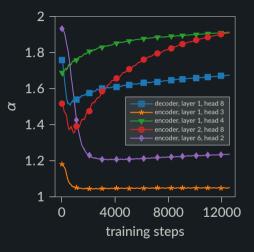
For analysis for other language pairs, see Appendix A.

#### Learned $\alpha$

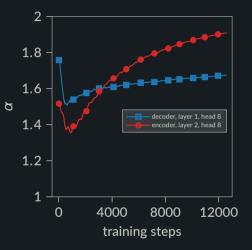


Bimodal for the encoder, mostly unimodal for the decoder.

# Trajectories of $\alpha$ During Training

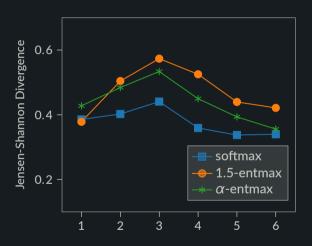


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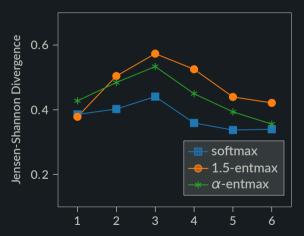


Some heads choose to start dense before becoming sparse.

# **Head Diversity per Layer**

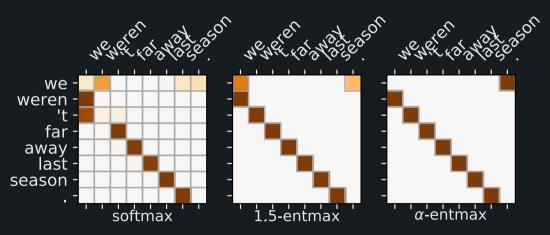


# **Head Diversity per Layer**



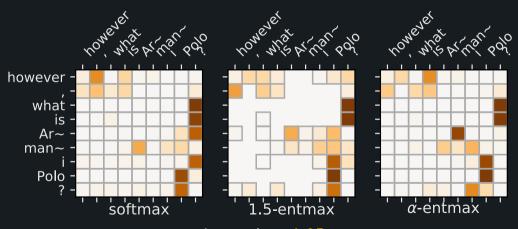
Specialized heads are important as seen in Voita et al. (2019)!

#### **Previous Position Head**



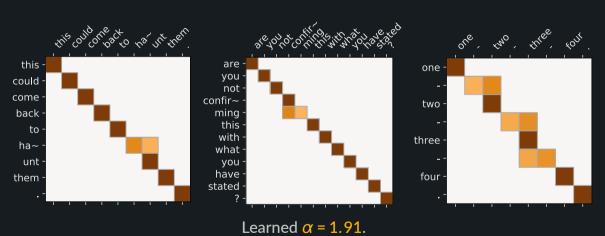
This head role was also found in Voita et al. (2019)! Learned  $\alpha = 1.91$ .

# Interrogation-Detecting Head



Learned  $\alpha = 1.05$ .

# **Subword-Merging Head**



Introduce adaptive sparsity for Transformers via  $\alpha$ -entmax with a gradient learnable  $\alpha$ .

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#### adaptive sparsity

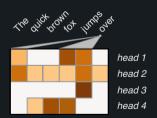


head 1

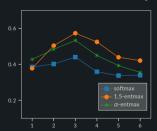
head 2

Introduce adaptive sparsity for Transformers via  $\alpha$ -entmax with a gradient learnable  $\alpha$ .

#### adaptive sparsity

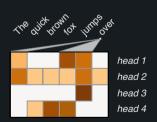


#### reduced head redundancy

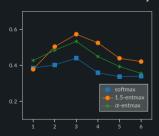


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#### adaptive sparsity



#### reduced head redundancy



#### clearer head roles



# Thank you!

Questions?

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Check github.com/deep-spin/entmax
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#### **Acknowledgements**



This work was supported by the European Research Council (ERC StG DeepSPIN 758969) and by the Fundação para a Ciência e Tecnologia through contract UID/EEA/50008/2019 and CMUPERI/TIC/0046/2014 (GoLocal).

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