



# Security (CS4028)

## Lecture 5. Asymmetric Cryptography I

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# Schedule

	Week	Lecture 1	Lecture 2	Tutorial
	1	Intro to course & security	Intro to Crypto	-
	2	Symmetric Crypto	Hash	Math for crypto
⇒	3	Asymmetric Crypto-1	Asymmetric Crypto-2	Symmetric Crypto
	4	Signatures	Zero Knowledge Proof	Asymmetric Crypto
	5	Certificates	Authentication	Signature & certificates
	6	Access Control	AC models	Authentication
	7	Information flow	Security Management	Access control
	8	Protocols	Communications	Concepts & management
	9	Network security	Network security	Protocols and communications
	10	Advanced topics	Advanced topics	Network
	11	Revision		

# Lecture 5: Public key cryptography I

## Last lecture

- ▶ Hash and MACs

## This lecture

- ▶ understand mathematical ideas behind public key cryptography
- ▶ understand how the RSA algorithm works, including:
  - ▶ key generation, encryption and decryption

# Outline

Asymmetric-key Cryptography: an overview

Basic mathematical background

- Modular arithmetic

- Prime

- EEA

- Inverse modulo

- Totient function

- Modular exponentiation

RSA

- overview

- the keys

- how it works

- example

Summary

# Outline

## Asymmetric-key Cryptography: an overview

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# Asymmetric-key Cryptography

## Public key cryptography

- ▶ In symmetric crypto, pairs of communicating peers share the same key to secure the communication (in some way).
- ▶ In asymmetric crypto., they use different-but-related keys.

# Two Roles of Modern Cryptography

- ▶ **Communication:** encrypt/decrypt with key
  - ▶ **Symmetric:** different communicating parties use the same secret key for both encryption and decryption
  - ▶ **Asymmetric:** different parties use different private keys/secrets
- ▶ **Authentication, Data Integrity:** creation of a “fingerprint” or “message digest” for a digital object (e.g. a message or files) and that is hard to fake and that identifies the creator.
  - ▶ digital signatures, certificates

# Asymmetric-key Cryptography

## Public-private key pairs

- ▶ Many asymmetric schemes involve public- private key pairs.
- ▶ Let the private key be **s** (for secret).
- ▶ Let the public key be **v** (for visible).



# Asymmetric-key Cryptography

## Asymmetric Encryption

- ▶ Encrypt  $E$  with public key and decrypt  $D$  with private key.
- ▶ E.g., sending a secret, symmetric session key as the message.
- ▶ Anyone with the public key can send a confidential message to the owner of the private key
- ▶ Need  $D(\textcolor{red}{s}, E(\textcolor{blue}{v}, m)) = m$ , for all inputs  $m$ .

# Asymmetric-key Cryptography

## Asymmetric Signature

- ▶ Encrypt. with the private key, decrypt with public
- ▶ Anyone with the public key can verify that the message was signed by someone who has the private key.
- ▶ E.g., RSA signatures
- ▶ Need  $D(v, E(s, m)) = m$ , for all inputs  $m$ .

# Basic ideas of Public-key Cryptography

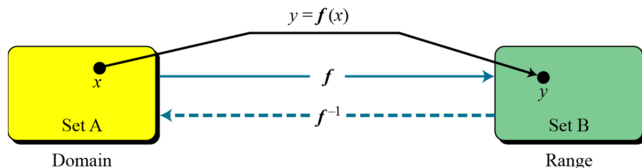
## Trapdoor Function

- ▶ The main idea behind public-key cryptography is the concept of the **trapdoor function**:
  - ▶ a function that is easy to compute in one direction,
  - ▶ but difficult to compute in the opposite direction (finding its inverse) without special information (a secret), called 'trapdoor'.
- ▶ Trapdoor functions are widely used in cryptography:
  - ▶ the secret relates to (deriving) a private key.

# Basic ideas of Public-key Cryptography

## Trapdoor Function

- ▶  $f$  is easy to compute
- ▶  $f^{-1}$  is difficult to compute



# Basic ideas of Public-key Cryptography

## Trapdoor Function

- ▶ Some trapdoors are based on 'simple' number theoretic problems.
  - ▶ Discrete knapsack, discrete logarithm problem, prime factorisation, . . .
- ▶ Some based on generalisation of these
  - ▶ E.g. to Group-theoretic problems, elliptic curves, shortest-vector problems in lattice-based cryptography, . . .

# Basic ideas of public-key crypto

## Example: Trapdoor Function

When  $n$  is large,  $n = p \times q$  is a one-way function.

- ▶ Given  $p, q$ , calculate:  $\rightarrow n$  (**easy**)
- ▶ Given  $n$ , calculate:  $p, q$  (**difficult**)

# Basic ideas of public-key crypto

## Example: Trapdoor Function

When  $n$  is large,  $n = p \times q$  is a one-way function.

- ▶ Given  $p, q$ , calculate:  $\rightarrow n$  (**easy**)
- ▶ Given  $n$ , calculate:  $p, q$  (**difficult**)

## Discrete Logarithm Problem (DLP)

When  $n$  is large, the function  $y = x^k \bmod n$  is a trapdoor one-way function.

- ▶ Given  $x, k$  and  $n$ , calculate:  $\rightarrow y$  (**easy**)
- ▶ Given  $y, x$  and  $n$ , calculate:  $k$  (**difficult**)

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Asymmetric-key Cryptography: an overview

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- Modular arithmetic

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- EEA

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- Totient function

- Modular exponentiation

## RSA

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# Modular arithmetic

## Definition: congruence modulo $n$

Given an integer  $n > 1$ , called a **modulus**, two integers  $a$  and  $b$  are said to be **congruent** modulo  $n$ , if there is an integer  $k$  such that  $a - b = kn$ .

- ▶ Congruence modulo  $n$  is denoted by:

$$a \equiv b \pmod{n}$$

- ▶ If  $a$  is non-negative, and  $0 < b < n$ , you can think of  $b$  as the **remainder** of  $a$  when divided by  $n$ , or the **residue** of  $a$  modulo  $n$

# Modular arithmetic

## Examples

$$25 \equiv 1 \pmod{4}$$

$$25 \equiv 1 \pmod{3}$$

$$38 \equiv 8 \pmod{15}$$

$$43 \equiv 1 \pmod{3}$$

$$43 \equiv 7 \pmod{12}$$

$$43 \equiv 13 \pmod{15}$$

$$43 \equiv 14 \pmod{29}$$

# Modular arithmetic

## Properties

Modular arithmetic is just like normal arithmetic: commutative; associative; distributive

$$(a + b) \bmod n = ((a \bmod n) + (b \bmod n)) \bmod n$$

$$(a - b) \bmod n = ((a \bmod n) - (b \bmod n)) \bmod n$$

$$(a \times b) \bmod n = ((a \bmod n) \times (b \bmod n)) \bmod n$$

$$(a \times (b + c)) \bmod n = (((a \times b) \bmod n) + ((a \times c) \bmod n)) \bmod n$$

# Modular arithmetic

## Example

$$\begin{aligned}& (39 + 57) \bmod 12 \\= & ((39 \bmod 12) + (57 \bmod 12)) \bmod 12 \\= & ((3 \bmod 12) + (9 \bmod 12)) \bmod 12 \\= & 12 \bmod 12 \\= & 0 \bmod 12\end{aligned}$$

## Try one

$$17424 \bmod 12 = ?$$

# Prime numbers

## Definition

- ▶ A **prime** number  $p$  is an integer greater than 1 whose only factors are 1 and itself: no other number evenly divides it.
- ▶ A **prime** number  $p$  is an integer greater than 1 such that  $p \equiv 0 \pmod{n}$  iff  $n = 1$  or  $n = p$

## Examples

2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31,  $\dots$ , 73, 79,  $\dots$ ,  
2591,  $\dots$ , 2365347734339,  $\dots$ ,  $2^{756839} - 1$

# Relatively prime numbers

## Definition

- ▶ Two numbers  $a$  and  $b$  are relatively prime iff. they have no factors in common other than 1
- ▶ i.e., , their **greatest common divisor** is equal to 1:  
 $\gcd(a, b) = 1$

## Examples

$(2, 3), (3, 4), (4, 5), (5, 6); \dots, (16, 81), \dots$

# Relatively prime numbers

## Euclid's algorithm to compute $\gcd(a, b)$

$\gcd(a, b)$  **recursive version**

```
1: if  $b = 0$  then  
2:   return  $a$   
3: else  
4:   return  $\gcd(b, a \bmod b)$   
5: end if
```

$\gcd(a, b)$  **iterative version**

```
1:  $g = b$   
2: while  $(a > 0)$   
3:    $g = a$   
4:    $a = b \bmod a$   
5:    $b = g$   
6: print " $g =$ ",  $g$ 
```

## Example

a	b	a mod b
2322	654	360
654	360	294
360	294	66
294	66	30
66	30	6
30	6	0
<b>6</b>	0	-

a	b	g	$a > 0$
12	18	18	T
6	12	12	T
0	6	<b>6</b>	F

# Extended Euclid Algorithm: EEA

## What does it do?

Computes  $\gcd(a, b)$ , as well as number  $x$  and  $y$  such that  $ax + by = d$

## Example

- ▶ Note that  $\gcd(3, 5) = 1$ , find  $x$  and  $y$  s.t.  $3x + 5y = 1$
- ▶ by trial and error, we find the following pairs:
  - ▶  $x = 2, y = -1 : 3 \times 2 + 5 \times (-1) = 1$
  - ▶  $x = -3, y = 1 : 3 \times (-3) + 5 \times (2) = 1$
  - ▶  $x = -13, y = 8 : 3 \times (-13) + 5 \times (8) = 1$



# Extended Euclid Algorithm: EEA

## The algorithm

---

Find integers  $x$  and  $y$  such that  $ax + by = d$  where  $d = \gcd(a, b)$

---

EEA( $a, b$ )

- 1: **if**  $b = 0$  **then**
  - 2:     return  $(a, 1, 0)$
  - 3: **else**
  - 4:      $(d', x', y') \leftarrow \text{EEA}(b, a \bmod b)$
  - 5:      $(d, x, y) \leftarrow (d', y', (x' - \lfloor a/b \rfloor y'))$
  - 6:     return  $(d, x, y)$
  - 7: **end if**
-

# Extended Euclid Algorithm: EEA

## Example

Find the  $d$ ,  $x$  and  $y$ , by running EEA for the numbers  $a = 2322$  and  $b = 654$ .

$a$	$b$	$a \bmod b$	$\lfloor a / b \rfloor$	$d$	$x$	$y$
2322	654	360	3	6	20	$-11 - 20 \times 3 = -71$
654	360	294	1	6	-11	$9 - (-11) \times 1 = 20$
360	294	66	1	6	9	$-2 - 9 \times 1 = -11$
294	66	30	4	6	-2	$1 - (-2) \times 4 = 9$
66	30	6	2	6	1	$0 - 2 \times 1 = -2$
30	6	0	5	6	0	$1 - 0 \times 5 = 1$
6	0	-	-	6	1	0

EEA is used to find inverses, when they exist.

# Extended Euclid Algorithm: EEA

## Remarks

- ▶ Find  $y$  such that  $ay = 1 \pmod{b}$  with given  $a < b$ 
  - ▶ if  $a$  and  $b$  are relatively prime, there exists a unique solution  $y$
  - ▶ if  $a$  and  $b$  are non-relatively prime, there is no solution
- ▶ Equivalent to finding  $y$  and  $z$  such that  $ay + bz = 1$

# Inverse modulo a number

## What is it?

- ▶ Remember inverse?
  - ▶ the inverse of 4 is  $\frac{1}{4}$  since  $4 \times \frac{1}{4} = 1$
- ▶ In modular world, the problem is more complicated:  
 $4x \equiv 1 \pmod{7}$ 
  - ▶ by trial and error we can see  $x = 2$
  - ▶ the equation is equivalent to finding an  $x$  and  $y$  s.t.

$$4x + 7y = 1$$

- ▶ the general problem is finding an  $x$  s.t.

$$1 \equiv (a \times x) \pmod{n}$$

- ▶ this is also written as:  $a^{-1} \equiv x \pmod{n}$

# Inverse modulo a number

The modular inverse problem is a lot more difficult to solve

- ▶ sometimes it has a solution, sometimes not, e.g.,
  - ▶ the inverse of 5 mod 14:  $1 \equiv (5 \times ?) \pmod{14} \rightarrow 3$
  - ▶ however, the inverse of 2 mod 14:  $1 \equiv (2 \times ?) \pmod{14} \rightarrow$  no solution!

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How to find it?

- ▶ EEA is used to find **inverses** of  $a$  modulo  $n$ .
- ▶ We call  $EEA(a, n)$  and we get the result  $(d, x, y)$ :
  - ▶ if  $d = 1$  then the inverse of  $a$  modulo  $n$  is  $x$ ,
  - ▶ if  $d \neq 1$ , then  $a$  has no inverse modulo  $n$ .

# Inverse modulo a number

Example:  $11^{-1} \bmod 35$

Equivalent to find  $x, y$  s.t.  $11x + 35y = 1$  by running EEA.

a	b	a mod b	$\lfloor a / b \rfloor$	d	x	y
11	35	11	0	1	16	$-5 \cdot 0 \times 16 = -5$
35	11	2	3	1	-5	$1 - 3 \times (-5) = 16$
11	2	1	5	1	1	$0 - 5 \times 1 = -5$
2	1	0	2	1	0	$1 - 2 \times 0 = 1$
1	0	-	-	1	1	0

What is  $11^{-1} \bmod 35$  then?

# Inverse modulo a number

Example:  $11^{-1} \bmod 35$

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11	2	1	5	1	1	$0 - 5 \times 1 = -5$
2	1	0	2	1	0	$1 - 2 \times 0 = 1$
1	0	-	-	1	1	0

What is  $11^{-1} \bmod 35$  then?

Try one:

$$8^{-1} \bmod 25, \quad 4^{-1} \bmod 9, \quad 4^{-1} \bmod 10$$



# The Euler's Totient Function

## Euler's totient function

- ▶ also called “Euler Phi function”, written as  $\phi(n)$
- ▶  $\forall n > 1$ ,  $\phi(n)$  is the number of positive integers  $< n$ , that are relatively prime to  $n$ .
- ▶ e.g.,  $\phi(24) = 8$ , since there are 8 totatives of 24:  
1, 5, 7, 11, 13, 17, 19, 23

## How to compute $\phi(n)$ in general case?

if  $n = p_1^{e_1} \cdot p_2^{e_2} \cdot \dots \cdot p_k^{e_k}$ , where  $p_1, \dots, p_k$  are distinct primes, then

$$\phi(n) = (p_1 - 1) \cdot p_1^{e_1 - 1} \cdot \dots \cdot (p_k - 1) \cdot p_k^{e_k - 1}$$

e.g.,  $n = 24 = 2^3 \cdot 3$ , hence  $\phi(24) = 1 \cdot 2^2 \cdot 2 \cdot 3^0 = 8$

# The Euler's Totient Function

## Special cases

- ▶ if  $n$  is prime, then  $\phi(n) = n - 1$ ,
  - ▶ e.g.,  $n = 13$ ,  $\phi(13) = 12$
- ▶ if  $n = pq$  where  $p, q$  are different prime numbers, then  $\phi(n) = (p - 1)(q - 1)$ ,
  - ▶ e.g.,  $n = 143 = 13 \times 11$ ,  $\phi(143) = 120$
- ▶ if  $n = p^a$  where  $p$  is prime then  $\phi(n) = (p - 1)p^{a-1}$ ,
  - ▶ e.g.,  $n = 9 = 3^2$ ,  $\phi(9) = (3 - 1) \times 3^1 = 6$

# Modular Exponentiation

## Basic idea

Given number  $a, b, n$ , compute  $a^b \bmod n$

## Two ways to compute it

- ▶ Brute force:  $\overbrace{a \cdot a \cdot \dots \cdot a}^b \bmod n$  - slow for large value of  $b$ !
- ▶ Repeated squaring:
  1. write the exponent  $b$  in binary
  2. ignore the first digit
  3. read the digit from left to right: if 0 then square the number; if 1 then square the number and multiply the result by  $a$

# Modular Exponentiation

## Example: $3^{11}$ by repeated squaring

- ▶ write 11 in binary:  $1011_2$ .
- ▶ ignore the first digit, so we have: 011.
- ▶ now from left to right we see:
  - ▶ 0, so we square the number:  $3^2 = 9$  (1 multiplication)
  - ▶ 1, so we square the number and then multiply with 3:  
 $3^5 = 9^2 \times 3 = 81 \times 3 = 243$  (2 multiplications)
  - ▶ 1, so we square the number and then multiply with 3:  
 $3^{11} = 243^2 \times 3 = 59049 \times 3 = 177147$  (2 multiplications)
- ▶ we computed  $3^{11}$ , with only 5 multiplications instead of 10.

# Modular Exponentiation

Example:  $3^{11}$  by repeated squaring

- We can represent this example in a table:

b	1	0	1	1
z	3	$3^2 = 9$	$9^2 \times 3 = 243$	$243^2 \times 3 = 177147$

- We can reduce the intermediate results modulo  $n$  in modular arithmetic

b	1	0	1	1
z	3	$3^2 = 9 \bmod 12$ $= 9$	$9^2 \times 3 = 243 \bmod 12$ $= 3$	$3^2 \times 3 \bmod 12$ $= 3$

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EEA

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RSA

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how it works

example

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# RSA: Rivest-Shamir-Adleman

## History

- ▶ Clifford Cocks, a British mathematician, described an equivalent system in an internal document in 1973 (not revealed until 1998 due to its top secret classification).
- ▶ Rivest, Shamir, and Adleman devised RSA independently of Cocks' work.
- ▶ The RSA algorithm was publicly described in 1978 by Ron Rivest, Adi Shamir, and Leonard Adleman at MIT

# RSA: Rivest-Shamir-Adleman

## Operation

The RSA algorithm involves three steps:

- ▶ key generation
- ▶ encryption
- ▶ decryption



# RSA: overview

## The keys

- ▶ Keys: public key (encryption), and private key (decryption)
- ▶ Keys generation:
  - ▶ Choose two different large random prime numbers  $p, q$
  - ▶ Compute  $n = p \times q$
  - ▶ Calculate totient  $\phi(n) = (p - 1) \times (q - 1)$
  - ▶ Choose the encryption key  $e$  such that  $e < \phi(n)$ , and  $e$  and  $\phi(n)$  are relatively prime
  - ▶ Compute the decryption key  $d$  such that  $ed = 1 \bmod \phi(n)$ 
    - ▶  $d$  is the **inverse** of  $e$  modulo  $\phi(n)$ , can be calculated by using Extended Euclid's Algorithm (EEA)
  - ▶  $e$  and  $n$  are **public**, and  $d$  is **private**

An online inverse of modulo calculator: <https://planetcalc.com/3311/>

# RSA: Encryption and Decryption

## Encryption of a message $m$

1. Divide  $m$  into numerical blocks  $m_i$  which is smaller than  $n$
2. compute  $c_i = m_i^e \bmod n$
3. aggregate all  $c_i$  to obtain the final ciphertext  $c$  (represented by a set of blocks  $(c_i)$ ).

## Decryption

1. Obtain  $c$  in numerical blocks  $c_i$
2. Compute  $m_i = c_i^d \bmod n$
3. Aggregate all  $m_i$  to obtain the plaintext  $m$ .

# RSA: how it works

## Why the RSA decipher works?

$$M^{\phi(n)} \equiv 1 \pmod{n} \quad (\text{by Fermat's little theorem})$$

$$M^{k \cdot \phi(n)} \equiv 1 \pmod{n}$$

$$M \cdot M^{k \cdot \phi(n)} \equiv M \cdot 1 \pmod{n}$$

$$M^{k \cdot \phi(n) + 1} \equiv M \pmod{n}$$

$$M^{ed} \equiv M \pmod{n} \quad (\text{since } ed \equiv 1 \pmod{\phi(n)})$$

# RSA: an example

A complete example: key, encryption and decryption

1. Consider  $p = 37$  and  $q = 59$  then

$$n = p \times q = 2183 \text{ (PUBLIC)}$$

and we compute

$$\phi(n) = (p - 1) \times (q - 1) = 36 \times 58 = 2088$$

2. Randomly pick up  $e = 83$  (PUBLIC) then  $d = 1283$ , such that  $83 \times d = 1 \bmod 2088$  by using Extended Euclid's Algorithm (EEA).
  - ▶ you could also use an online inverse of modulo calculator, e.g.,  
: <https://planetcalc.com/3311/>

# RSA: an example

## Example: key, encryption and decryption

3. Consider  $m = 57747639217438$ ,  $c = E_{RSA(83,2183)}(m)$ :

- ▶  $m_1 = 577$ ,  $m_2 = 476$ ,  $m_3 = 392$ ,  $m_4 = 174$ ,  $m_5 = 038$   
(padding)
- ▶  $c_1 = 577^{83} \bmod 2183 = 425$
- ▶  $c_2 = 476^{83} \bmod 2183 = 1478$
- ▶ ... ..

$e = 83 = \langle 1010011 \rangle_2$ , we compute  $c$  as follows:

$i$	6	5	4	3	2	1	0
$b_i$	1	0	1	0	0	1	1
$m_1$	577	1113	938	95	293	420	$425 \rightarrow c_1$
$m_2$	476	1727	316	1621	1492	94	$1478 \rightarrow c_2$
$\vdots$		$\vdots$				$\vdots$	

You could also use the online PowerMod calculator to compute these, e.g., :

<https://www.mtholyoke.edu/courses/quenell/s2003/ma139/js/powermod.html>

# RSA: an example

## Example: key, encryption and decryption

4. Consider  $c = 425, 1478, 1350, \dots$ ,  $m = D_{RSA}(c)$

- ▶  $m_1 = 425^{1283} \bmod 2183 = 577$
- ▶  $m_2 = 1478^{1283} \bmod 2183 = 476$
- ▶ ... ..

## Exercise

- ▶ Compute  $c_3$ ,  $c_4$  and  $c_5$
- ▶ Compute  $m_3$ ,  $m_4$  and  $m_5$

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# Summary

## This lecture

- ▶ Mathematical background
- ▶ RSA: key generation, encryption and decryption

## Next lecture

- ▶ Diffie-Hellman key exchange
- ▶ ElGamal: key generation, signature, encryption and decryption