Cross-linguality and machine translation without bilingual data

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Joint work with: Mikel Artetxe, Gorka Labaka





IXA NLP group – University of the Basque Country (UPV/EHU) http://ixa.eus

Motivation

Cross-lingual word representations:

- Word embeddings key for Natural Language Processing
- Mapped embeddings represent languages in a single space
 - Depend on seed bilingual dictionaries
- Exciting results in dictionary induction, transfer learning, crosslingual applications, interlingual semantic representations

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Our focus: extend mappings to any pair of languages

- Most language pairs have very few bilingual resources
- Key research area for wide adoption of NLP tools

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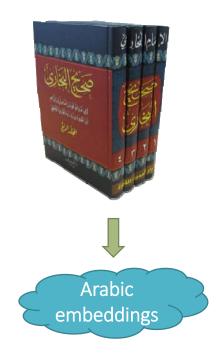
Our focus: extend mappings to any pair of languages

- Most language pairs have very few bilingual resources
- Key research area for wide adoption of NLP tools

In particular: no bilingual resources at all

- Unsupervised embedding mappings
- Unsupervised neural machine translation

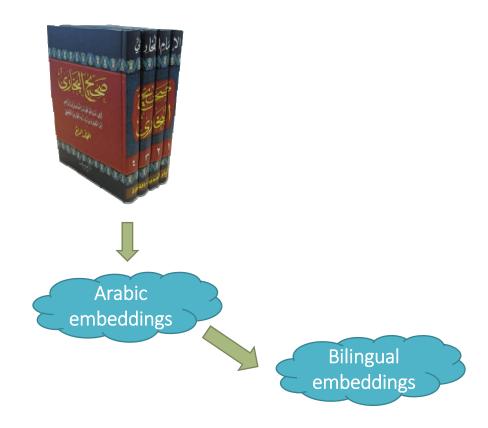
Arabic monolingual corpora



Chinese monolingual corpora



Arabic monolingual corpora

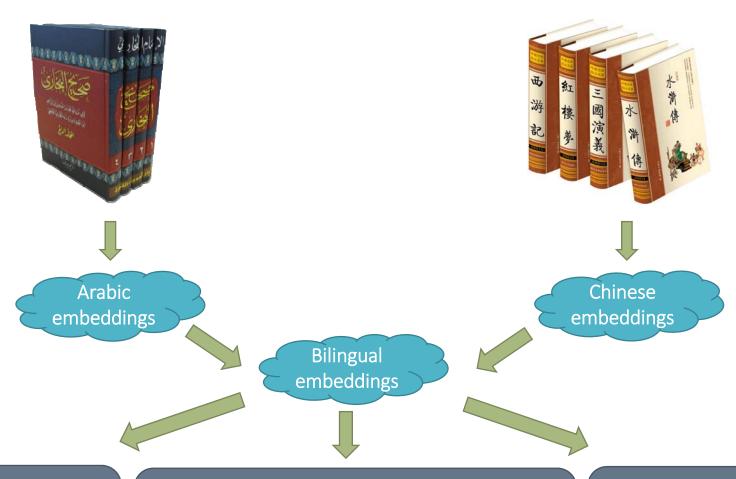


Chinese monolingual corpora



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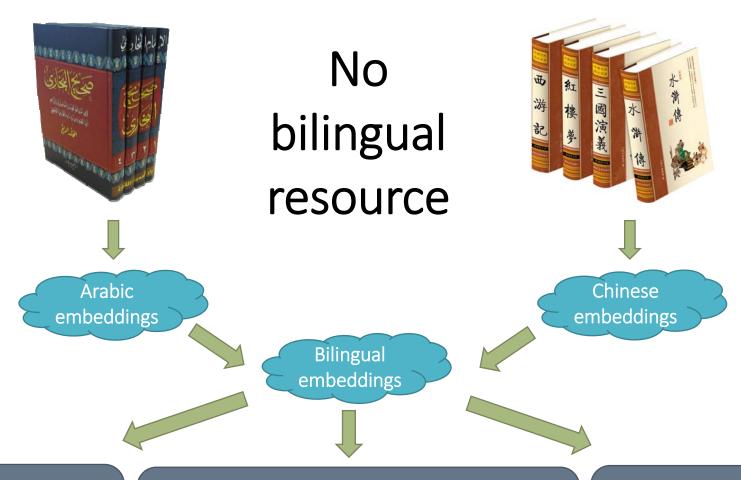
Bilingual dictionaries

Crosslingual & multilingual applications

Machine translation

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Chinese monolingual corpora



Bilingual dictionaries

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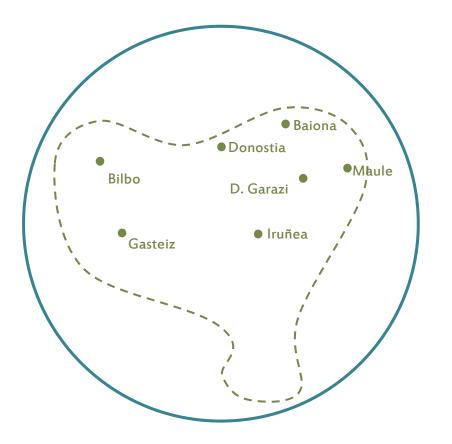
Machine translation

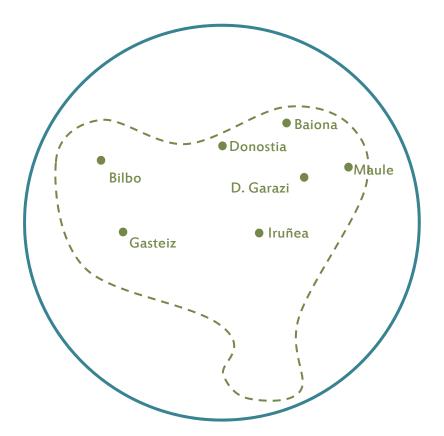
Outline

- Bilingual embedding mappings
 - Introduction to vector space models (embeddings)
 - Bilingual embedding mappings (AAAI18)
 - Reduced supervision
 - Self-learning, semi-supervised (ACL17)
 - Self-learning, fully unsupervised (ACL18)
 - Conclusions
- Unsupervised neural machine translation
 - Introduction to NMT
 - From bilingual embeddings to uNMT (ICLR18)
 - Unsupervised statistical MT (EMNLP18)
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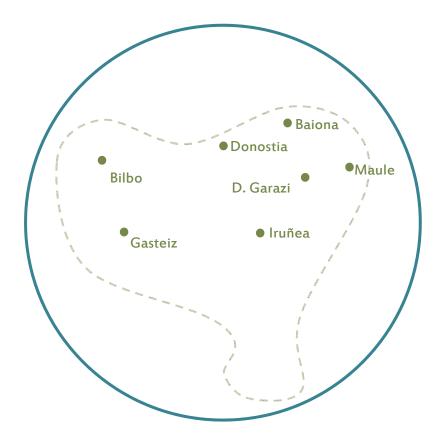
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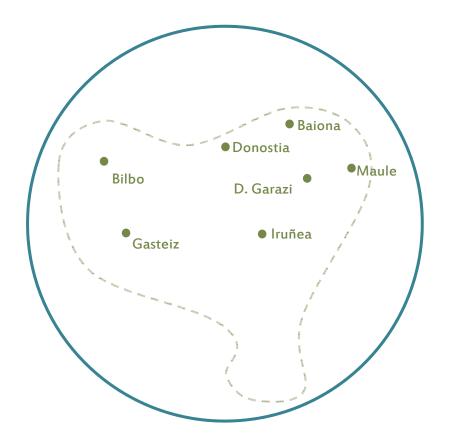


Geographical space

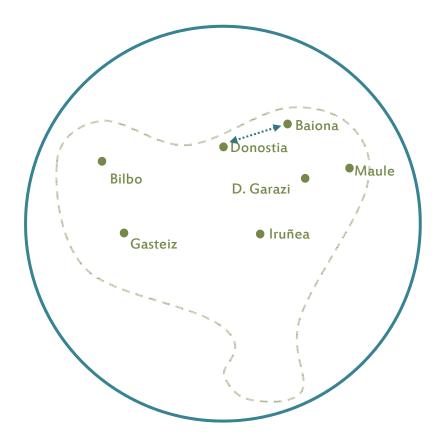


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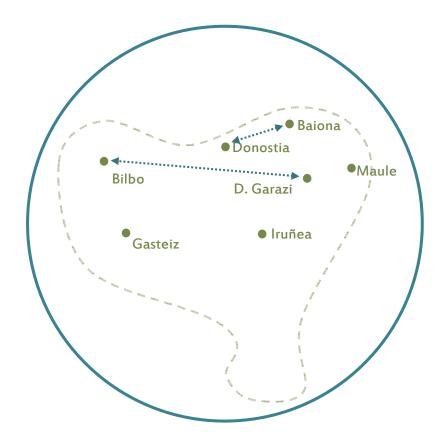
- Cities



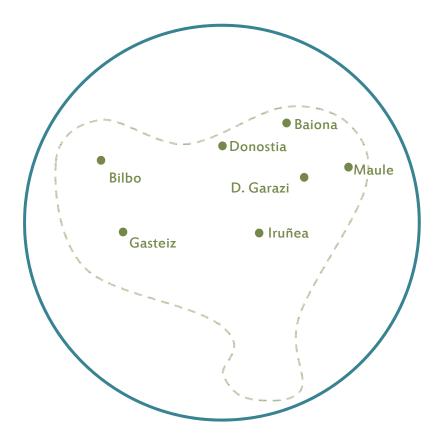
- Cities
- Meaningful distances



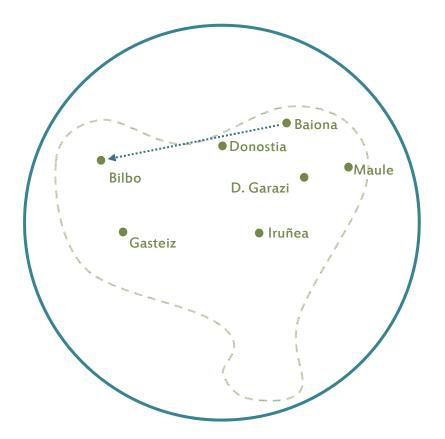
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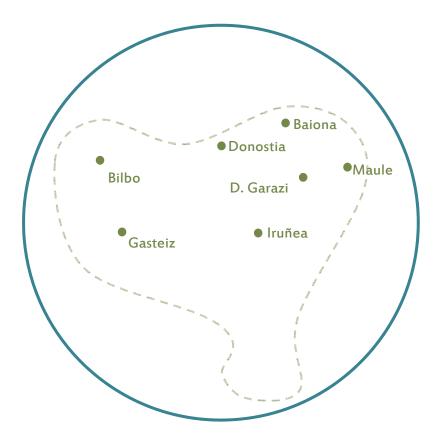
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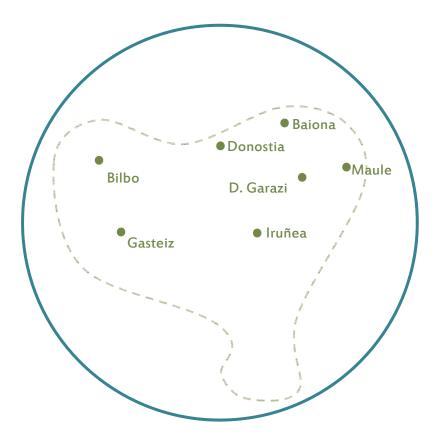
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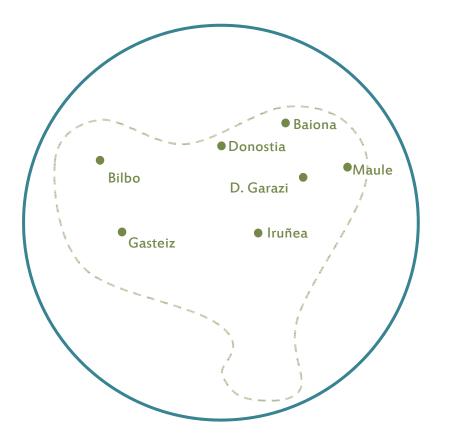
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- Cities
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- Meaningful relations
- 2 dimensions

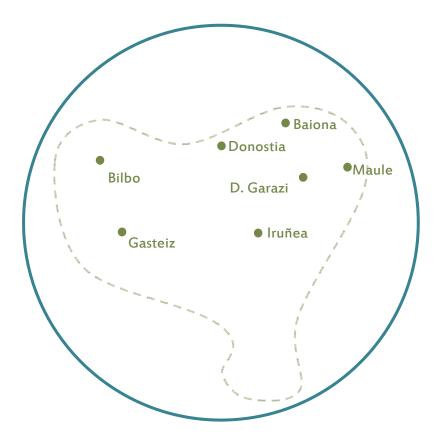


- Cities
- Meaningful distances
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- 2 dimensions
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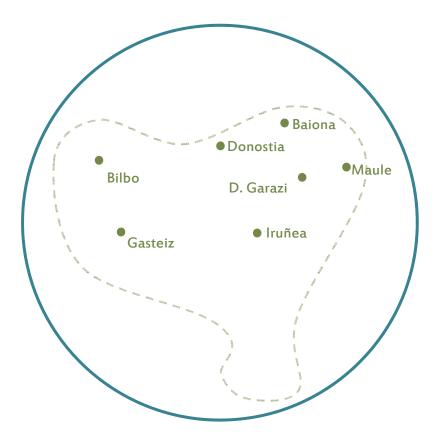


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Semantic space

- Words

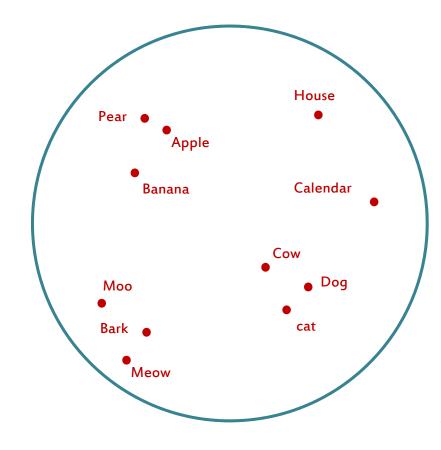


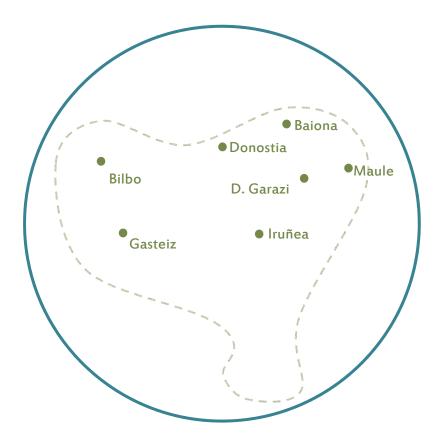
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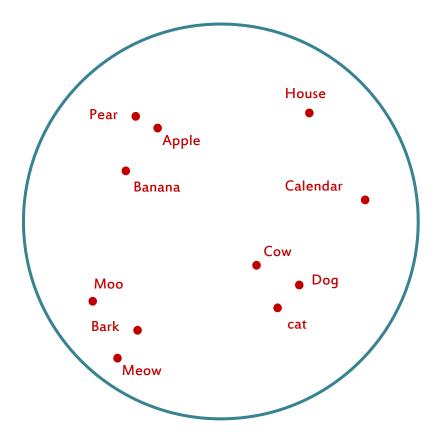


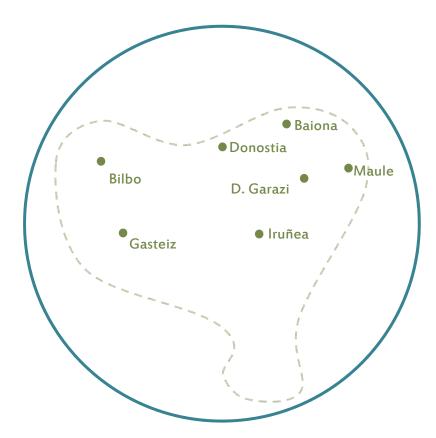


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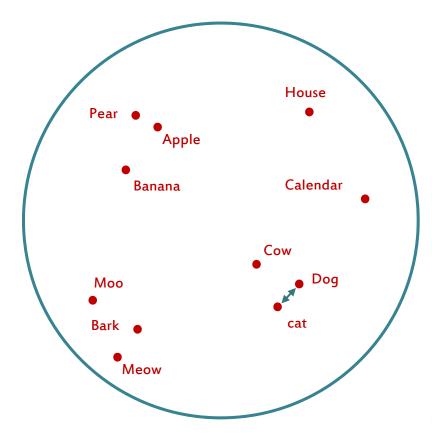


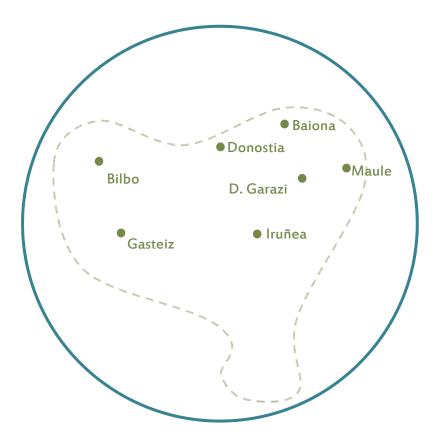


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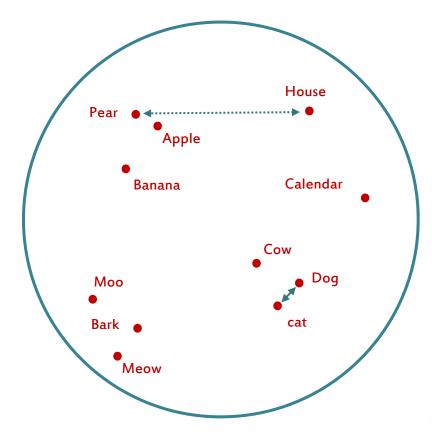


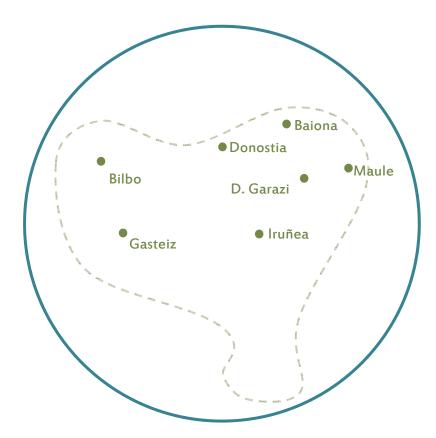


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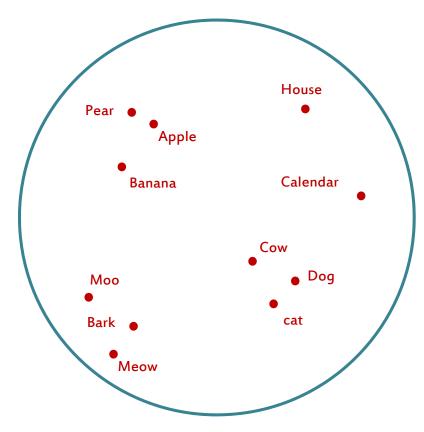


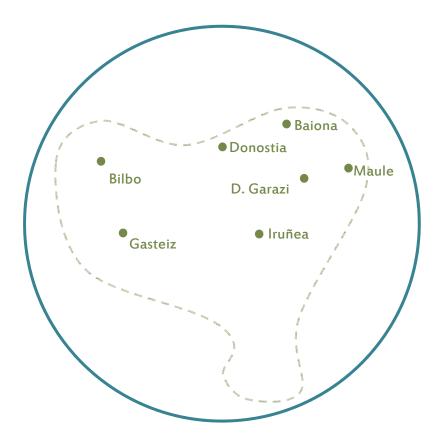


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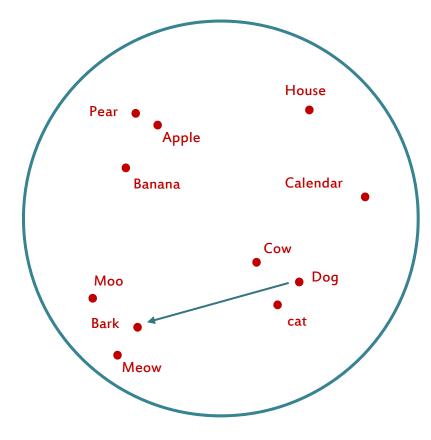


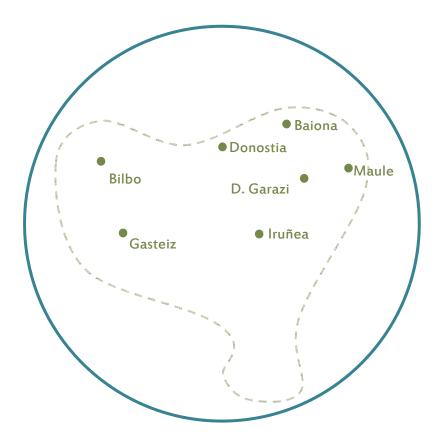


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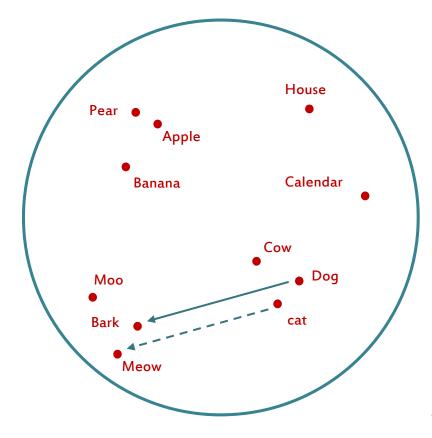


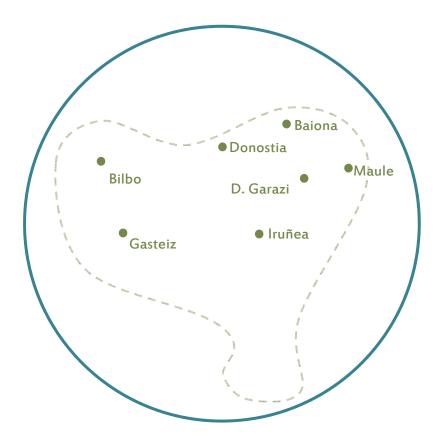


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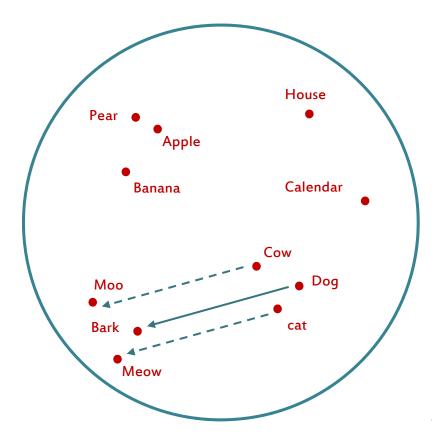


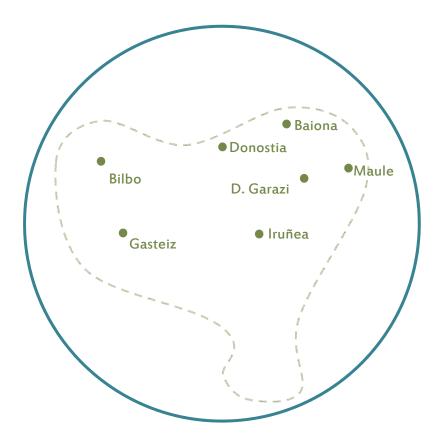


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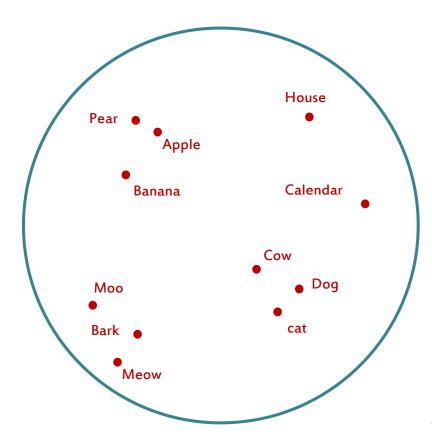


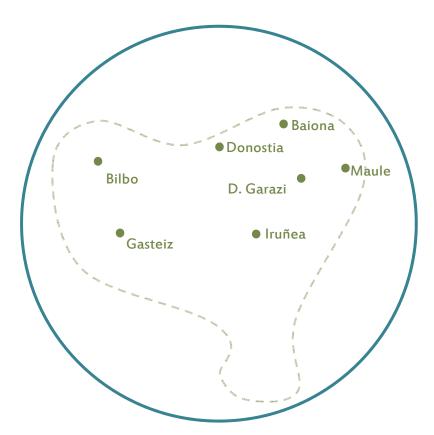


Geographical space

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- Words
- Meaningful distances
- Meaningful relations
- 300 dimensions

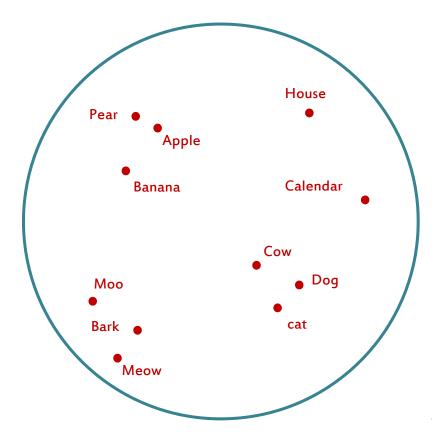




Geographical space

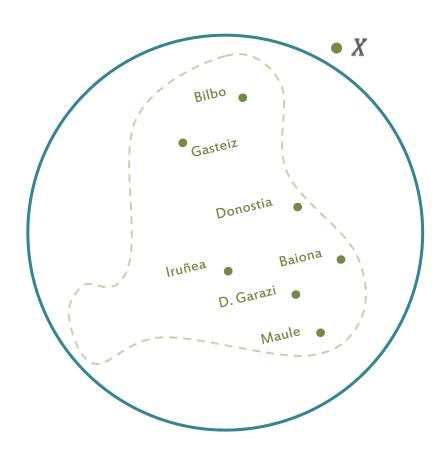
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- 300 dimensions
- Neural networks / linear algebra from co-occurrence counts

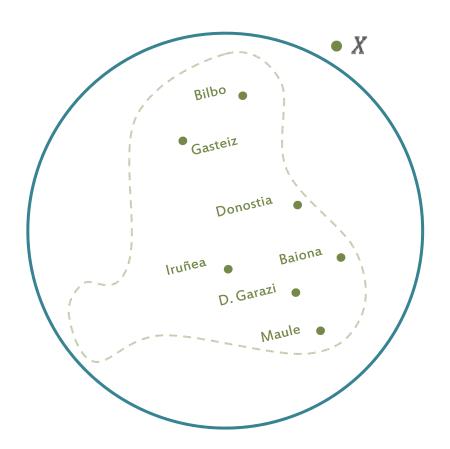


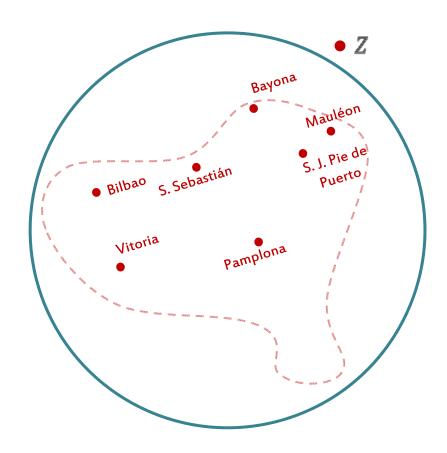
Introduction to embedding mappings

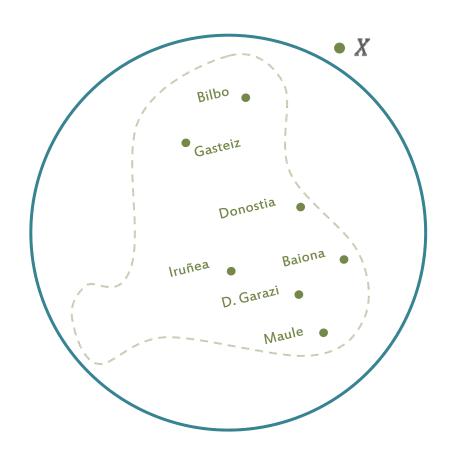
Introduction to embedding mappings



Introduction to embedding mappings







• *Z* Bayona • Bilbao S. Sebastián Vitoria

Bilbo

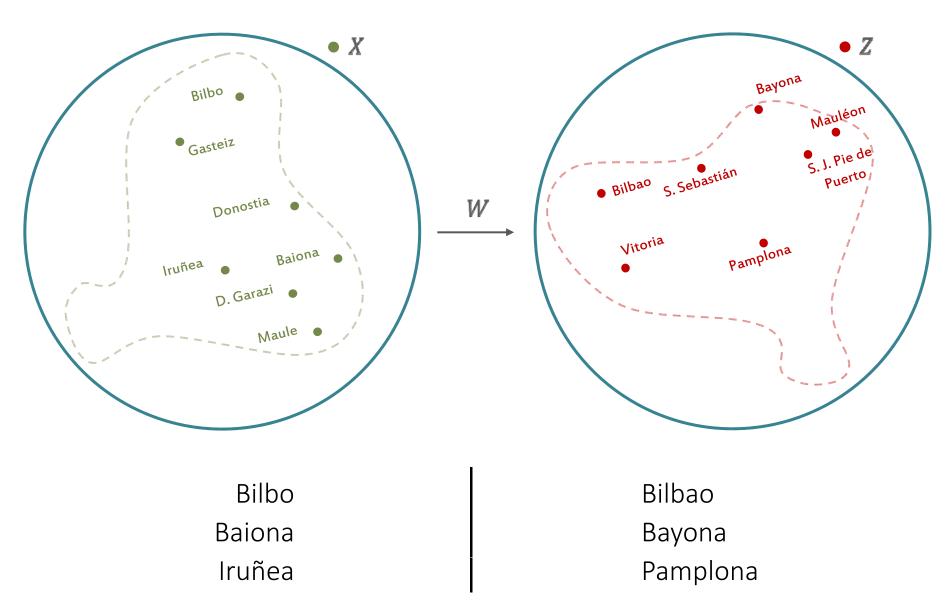
Baiona

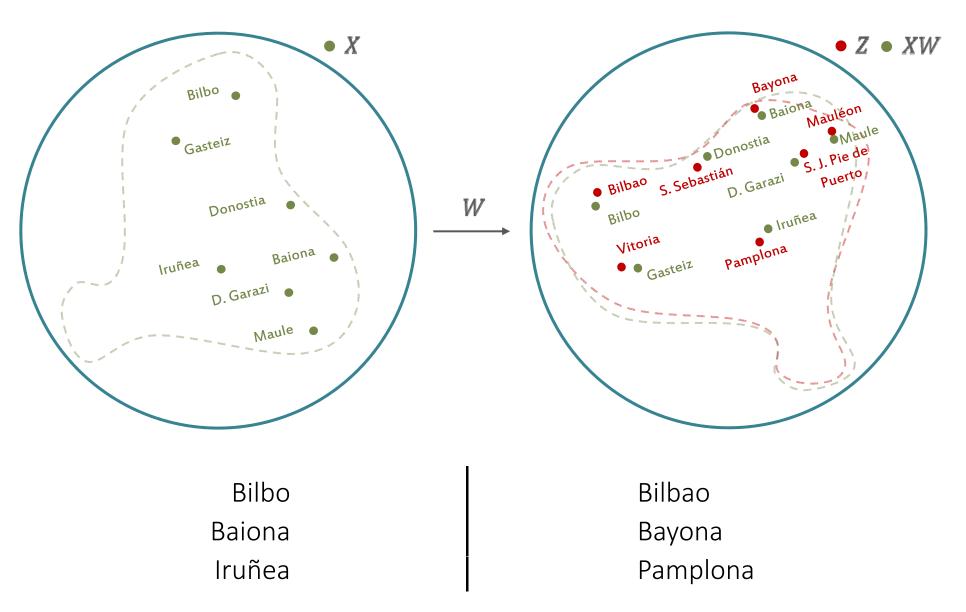
Iruñea

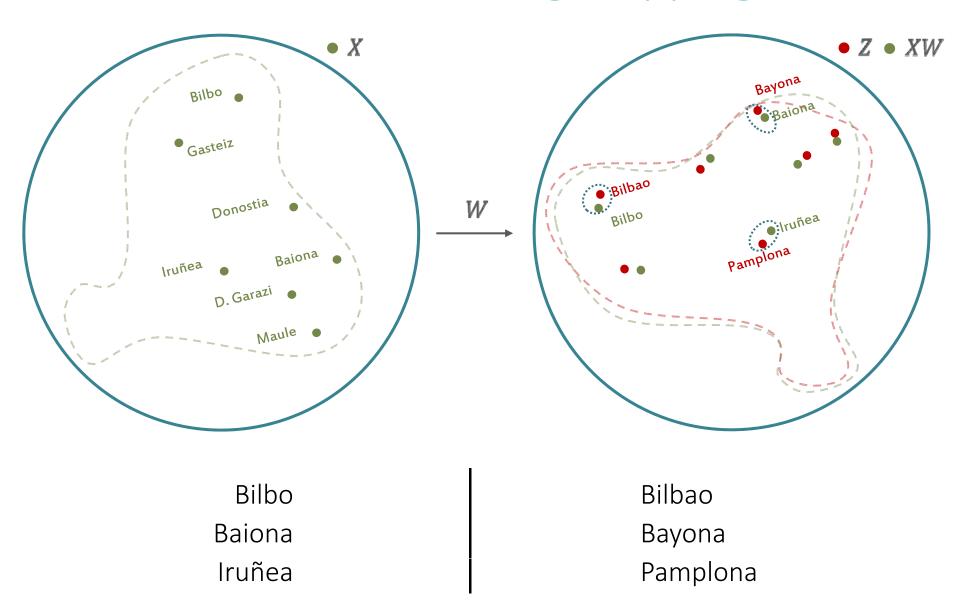
Bilbao

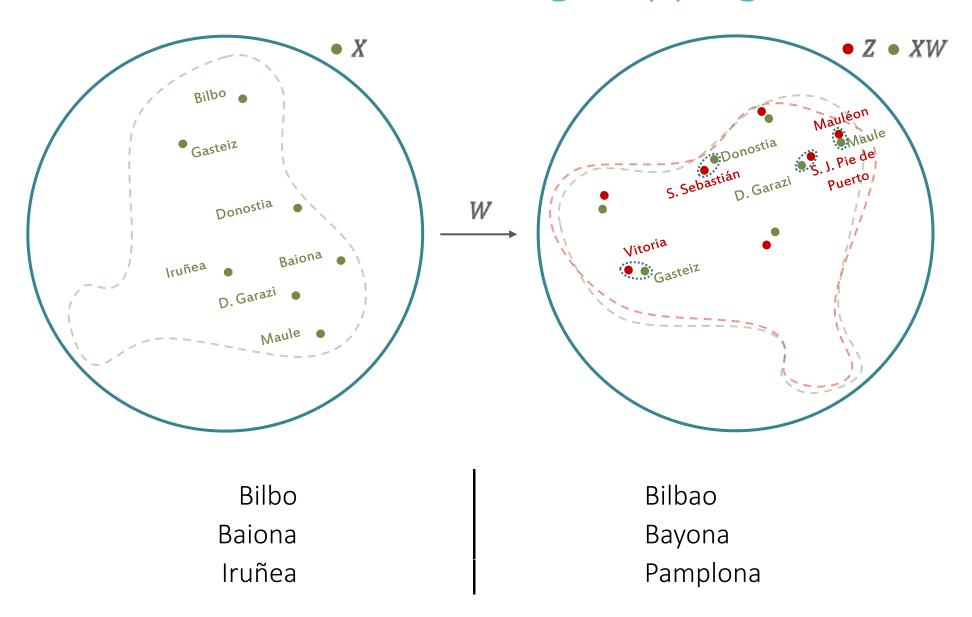
Bayona

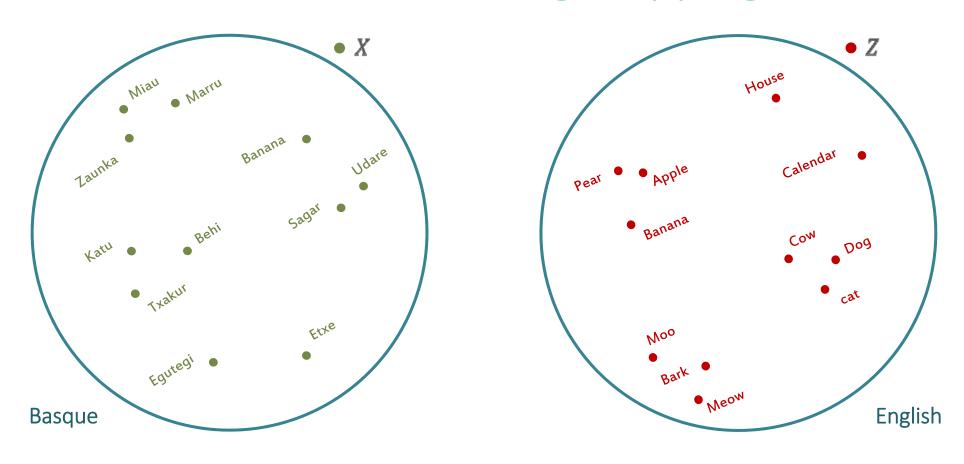
Pamplona

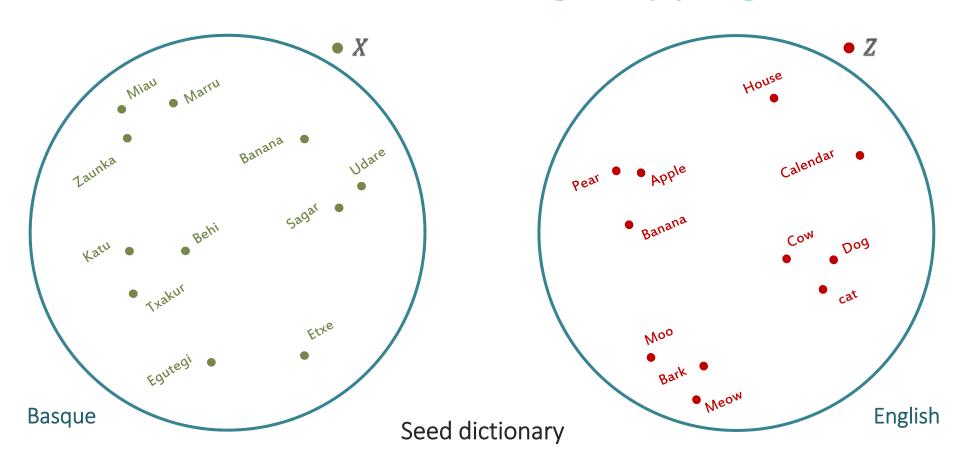


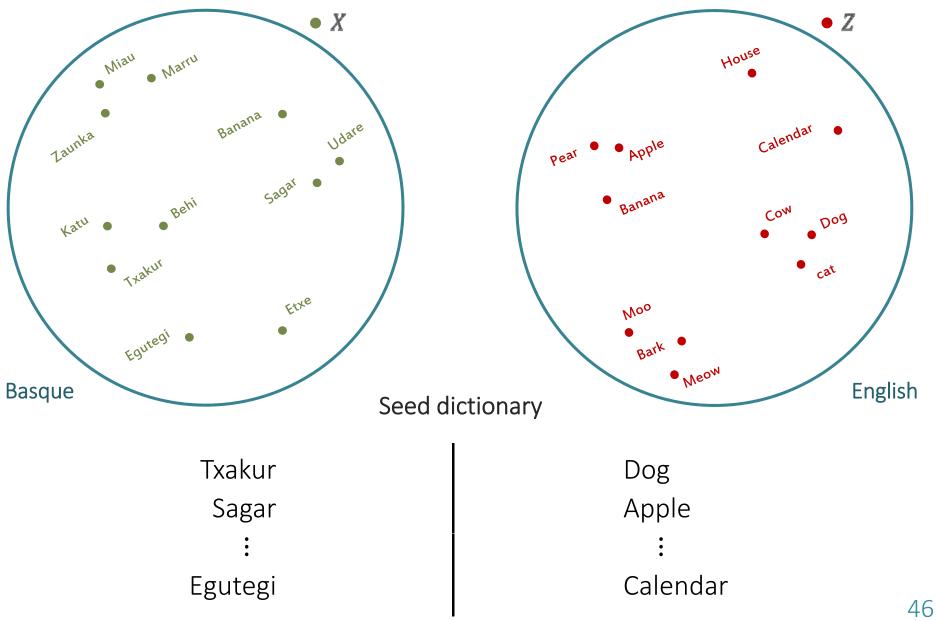


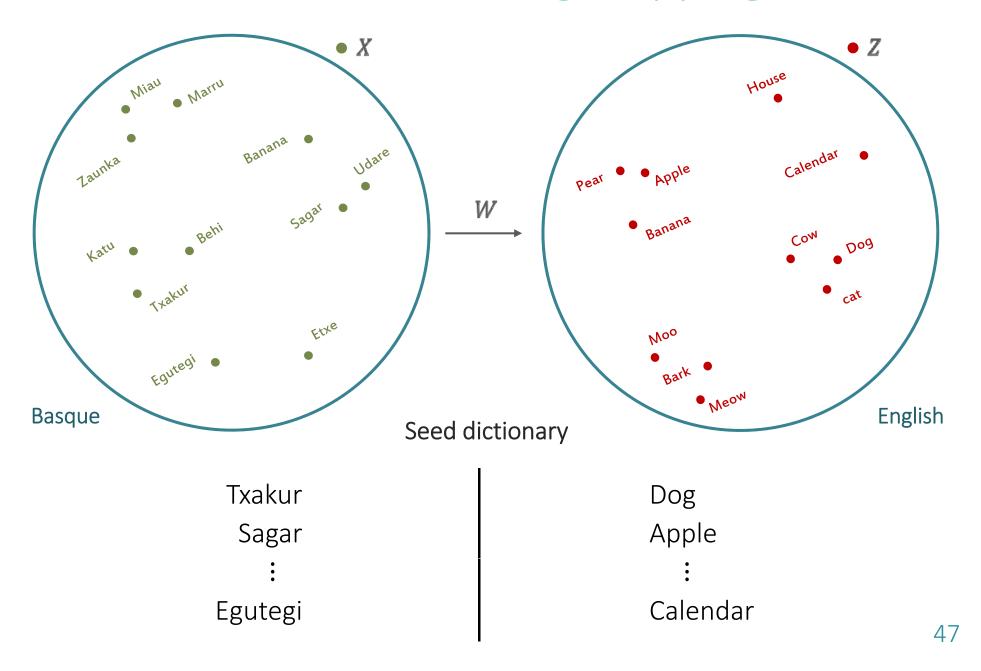


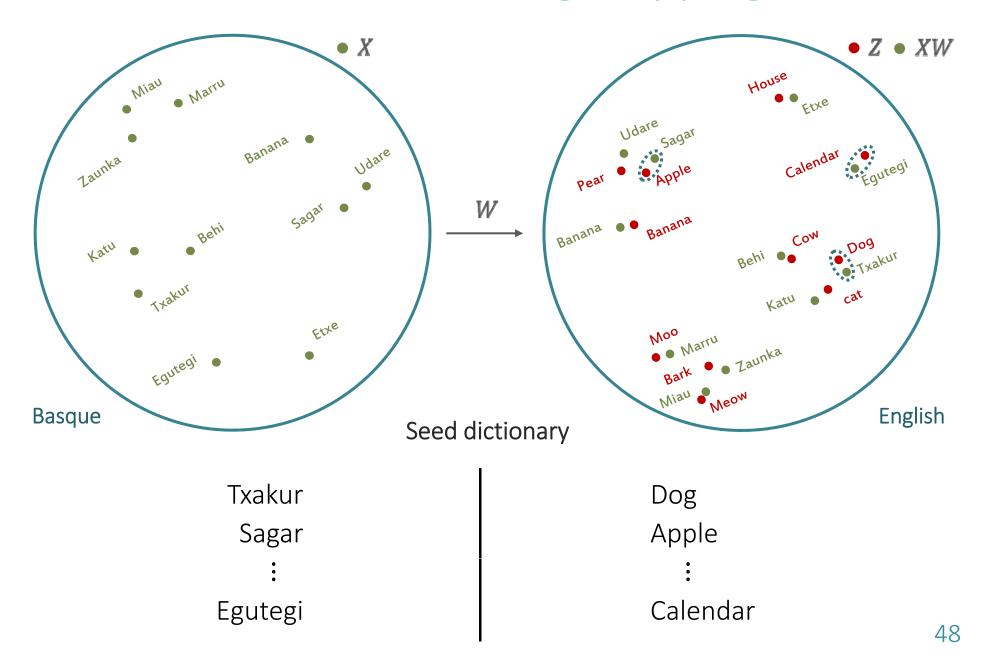


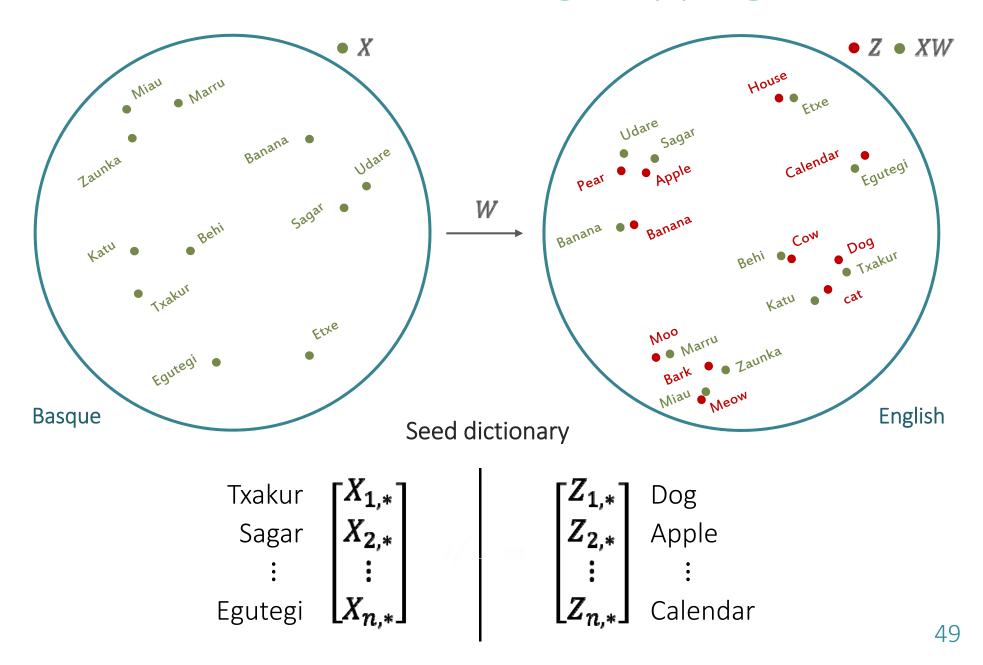


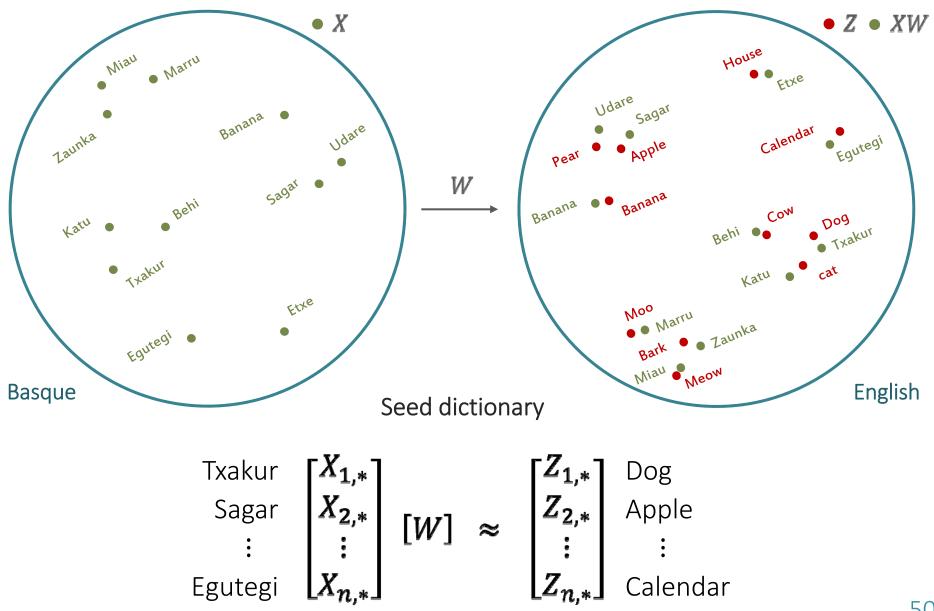


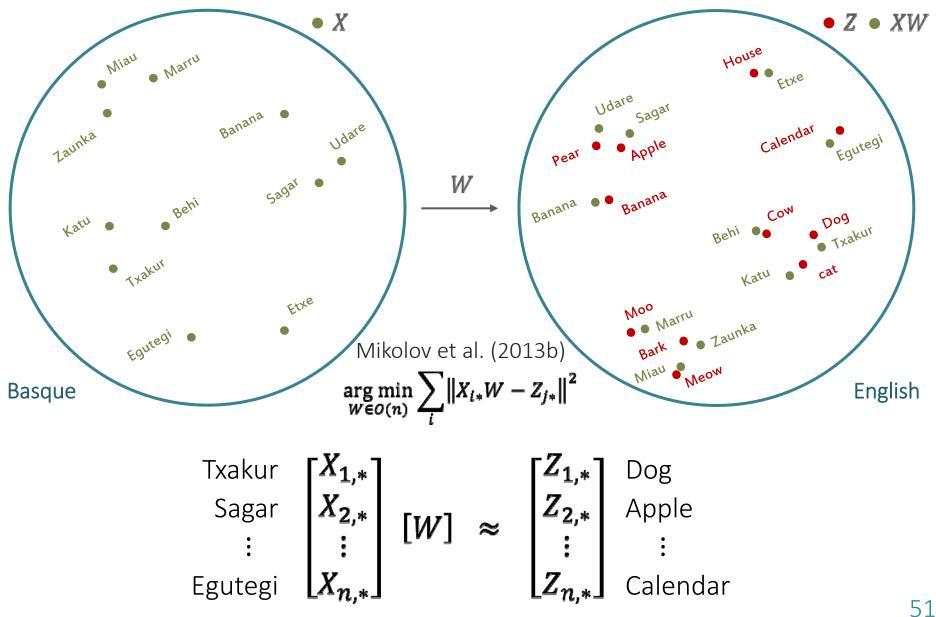


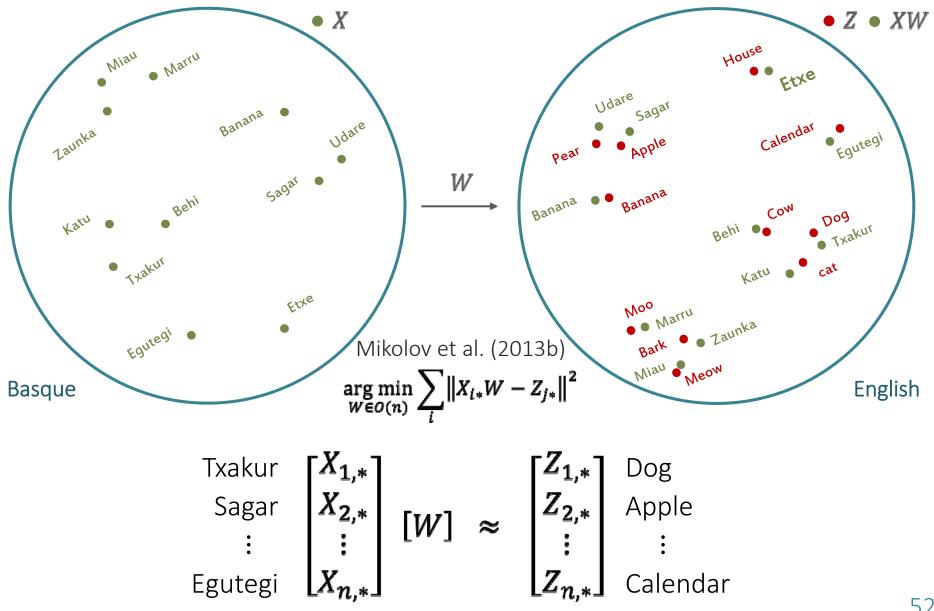


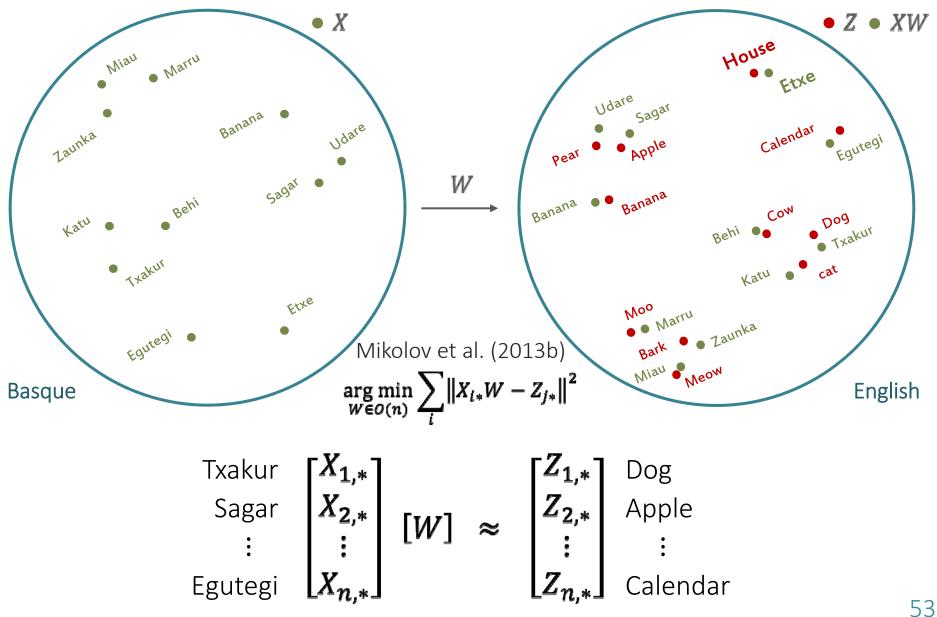


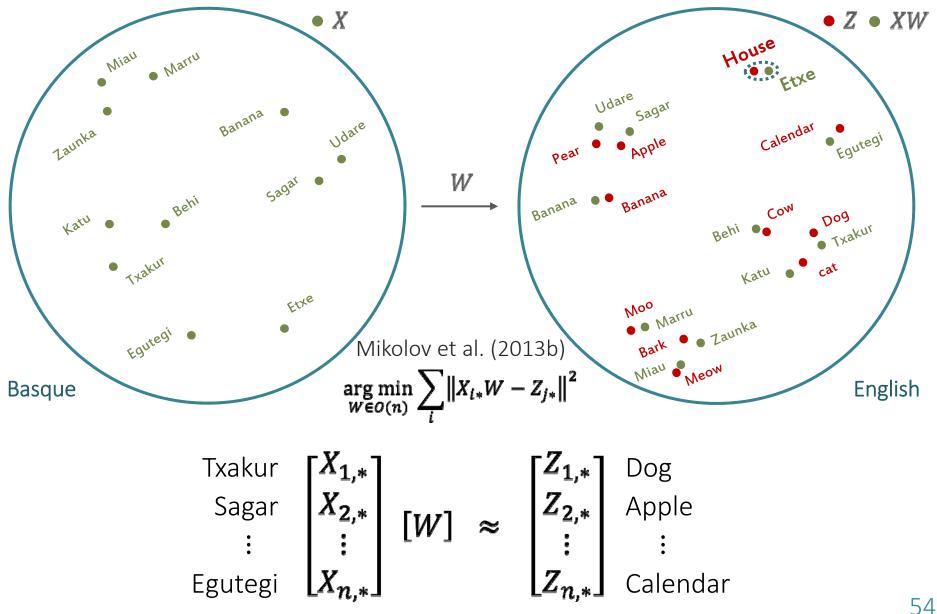












State-of-the-art in supervised mappings Artetxe et al. AAAI 2018

- Use 5000 sized seed bilingual dictionary
- Framework subsuming previous work that learns two mappings $W_X \, W_Z$ as sequences of (optional) linear mappings:
 - (opt.) Pre-process
 - 1. (opt.) Whitening
 - 2. Orthogonal mapping
 - 3. (opt.) Re-weighting
 - 4. (opt.) De-whitening
- The optional steps, properly combined, bring up to 5 points improvement

Two sequences of (optional) linear transformations:

$$W_X = \prod_i W_{X(i)}$$
 $W_Z = \prod_i W_{Z(i)}$

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S1 (opt.) Whitening : turn covariance
$$W_{X(1)} = (X^T X)^{-0.5}$$
 matrices into the identity matrix $W_{Z(1)} = (Z^T Z)^{-0.5}$

Two sequences of (optional) linear transformations:

$$W_X = \prod_i W_{X(i)}$$
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 $W_{Z(1)} = (Z^T Z)^{-0.5}$

$$W_{X(2)} = U$$

 $W_{Z(2)} = V$ $USV^T = X_{(1)}^T Z_{(1)}$

Two sequences of (optional) linear transformations:

$$W_X = \prod_i W_{X(i)}$$
 $W_Z = \prod_i W_{Z(i)}$

S3 (opt.) Re-weight each component
$$W_{X(3)} = S$$
, $W_{Z(3)} = I$ according to its cross-correlation $W_{X(3)} = I$, $W_{Z(3)} = S$

$$W_{X(1)} = (X^T X)^{-0.5}$$

 $W_{Z(1)} = (Z^T Z)^{-0.5}$

$$W_{X(2)} = U$$

 $W_{Z(2)} = V$ $USV^T = X_{(1)}^T Z_{(1)}$

$$W_{X(3)} = S, \ W_{Z(3)} = I$$

 $W_{X(3)} = I, \ W_{Z(3)} = S$

Two sequences of (optional) linear transformations:

$$W_X = \prod_i W_{X(i)}$$
 $W_Z = \prod_i W_{Z(i)}$

- SO (opt.) Pre-processing: length normalization, mean centering
- S1 (opt.) Whitening: turn covariance matrices into the identity matrix
- S2 Orthogonal mapping: map into a shared space (Procrustes)
- S3 (opt.) Re-weight each component according to its cross-correlation
- S4 (opt.) De-whitening: restore original variance in every direction

$$W_{X(1)} = (X^T X)^{-0.5}$$

 $W_{-1} = (Z^T Z)^{-0.5}$

$$W_{Z(1)} = (Z^T Z)^{-0.5}$$

$$W_{X(2)} = U$$

 $W_{Z(2)} = V$ $USV^T = X_{(1)}^T Z_{(1)}$

$$W_{X(3)} = S$$
, $W_{Z(3)} = I$

$$W_{X(3)} = I, \ W_{Z(3)} = S$$

$$W_{A(4)} = W_{B(2)}^T W_{B(1)}^{-1} W_{B(2)}^{-1}$$

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 $W_{X(1)} = (X^T X)^{-0.5}$

$$W_{A(4)} = W_{B(2)}^T W_{B(1)}^{-1} W_{B(2)}^{-1}$$

S5 (opt) Dimensionality reduction: keep the first *n* components only

$$W_{X(5)} = W_{Z(5)} = (I_n \ 0)^T$$

		SO (I)	S0 (m)	S1	S2	S3	S4 (src)	S4 (trg)	S5
OLS	Mikolov et al. (2013)			Χ	Х	src	trg	trg	
	Shigeto et al. (2015)			Χ	Х	trg	src	src	
CCA	Faruqui and Dyer (2014)	X	Х	Х	Х				Х
Orth.	Xing et al. (2015)	Х			Х				
	Artetxe et al. (2016)	Χ	Х		Χ				
	Zhang et al. (2016)				Χ				
	Smith et al. (2017)	Χ			Х				Х

		SO (I)	S0 (m)	S1	S2	S3	S4 (src)	S4 (trg)	S5
OLS	Mikolov et al. (2013)			Х	Х	src	trg	trg	
	Shigeto et al. (2015)			Χ	Х	trg	src	src	
CCA	Faruqui and Dyer (2014)	Х	Х	Χ	Х				Х
Orth.	Xing et al. (2015)	Χ			Х				
	Artetxe et al. (2016)	Χ	Х		Χ				
	Zhang et al. (2016)				Χ				
	Smith et al. (2017)	X			Χ				X
	Our method (AAAI18)	Χ	Х	Χ	Χ	trg	src	trg	Х

Dataset by Dinu et al. (2015) extended to German, Finnish, Spanish ⇒ Monolingual embeddings (CBOW + negative sampling)

- ⇒ Monolingual embeddings (CBOW + negative sampling)
- ⇒ Seed dictionary: 5,000 word pairs

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Method	EN-IT	EN-DE	EN-FI	EN-ES
Mictiloa				LIVES

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- ⇒ Seed dictionary: 5,000 pairs
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Method	EN-IT	EN-DE	EN-FI	EN-ES
Mikolov et al. (2013)	34.93 [†]	35.00 [†]	25.91 [†]	27.73 [†]
Faruqui and Dyer (2014)	38.40*	37.13 [*]	27.60*	26.80 [*]
Shigeto et al. (2015)	41.53 [†]	43.07 [†]	31.04 [†]	33.73 [†]
Dinu et al. (2015)	37.7	38.93 [*]	29.14 [*]	30.40*
Lazaridou et al. (2015)	40.2	-	-	-
Xing et al. (2015)	36.87 [†]	41.27 [†]	28.23 [†]	31.20 [†]
Artetxe et al. (2016)	39.27	41.87*	30.62*	31.40*
Zhang et al. (2016)	36.73 [†]	40.80 [†]	28.16 [†]	31.07 [†]
Smith et al. (2017)	43.1	43.33 [†]	29.42 [†]	35.13 [†]

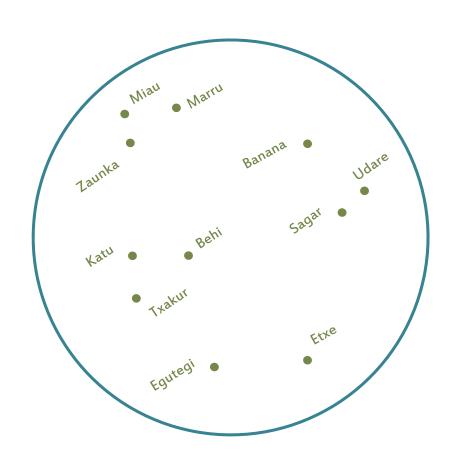
† our publicly available reimplementation

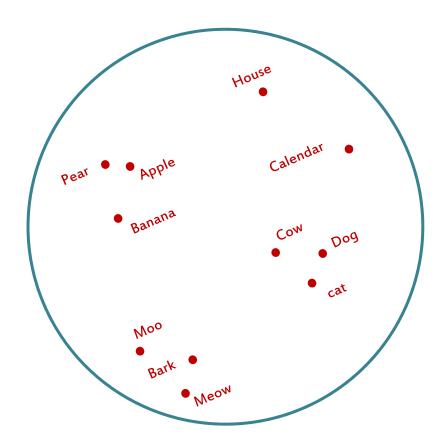
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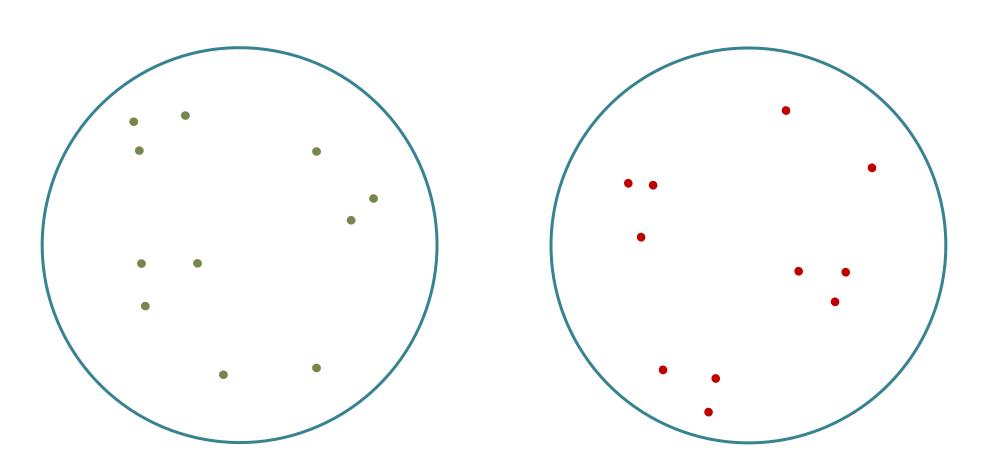
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Dinu et al. (2015)	37.7	38.93 [*]	29.14 [*]	30.40*	
Lazaridou et al. (2015)	40.2	-	-	-	
Xing et al. (2015)	36.87 [†]	41.27 [†]	28.23 [†]	31.20 [†]	
Artetxe et al. (2016)	39.27	41.87*	30.62*	31.40*	
Zhang et al. (2016)	36.73 [†]	40.80 [†]	28.16 [†]	31.07 [†]	
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Our method (AAAI18)	45.27	44.13	32.94	36.60	

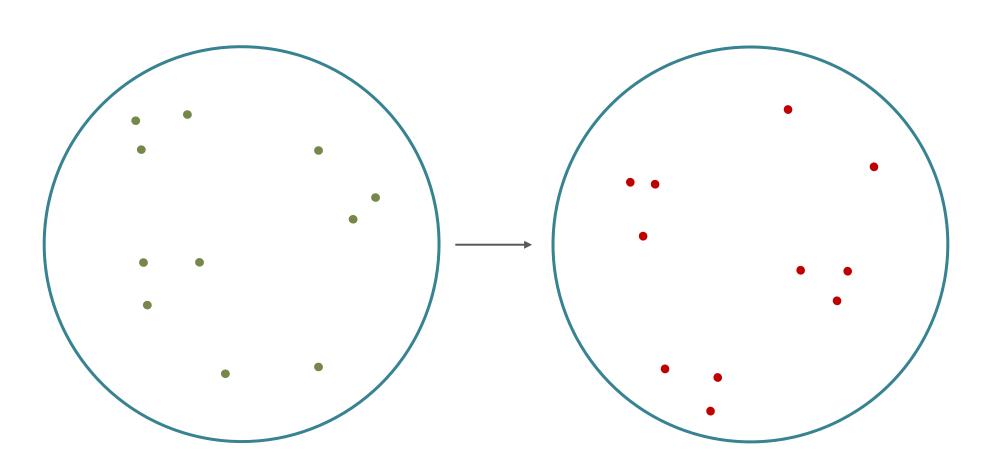
Why does it work?

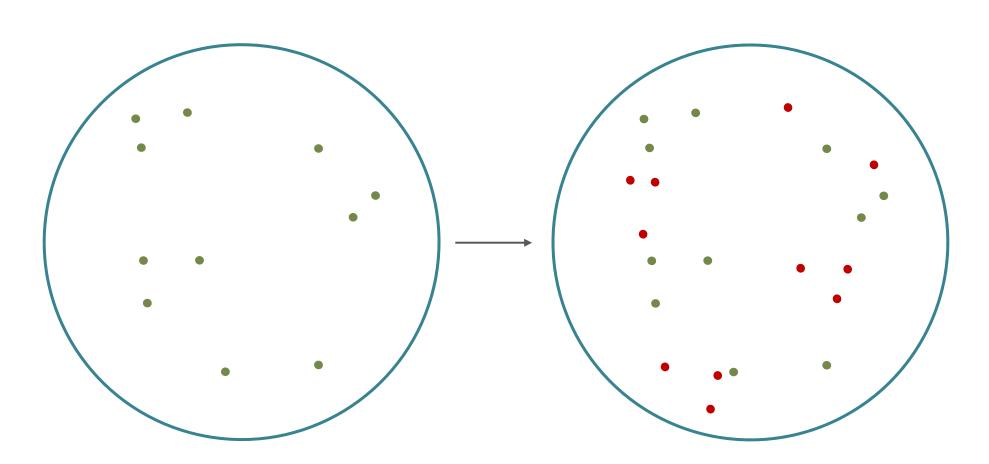
Why does it work?

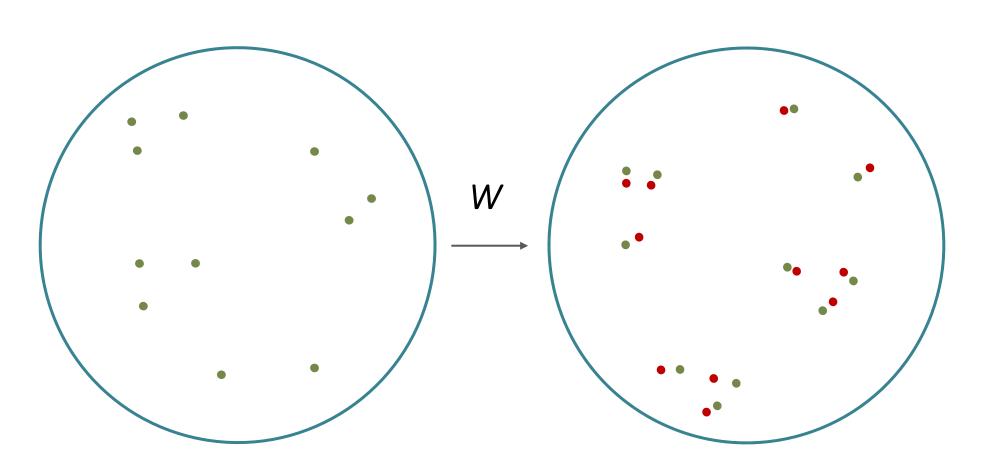




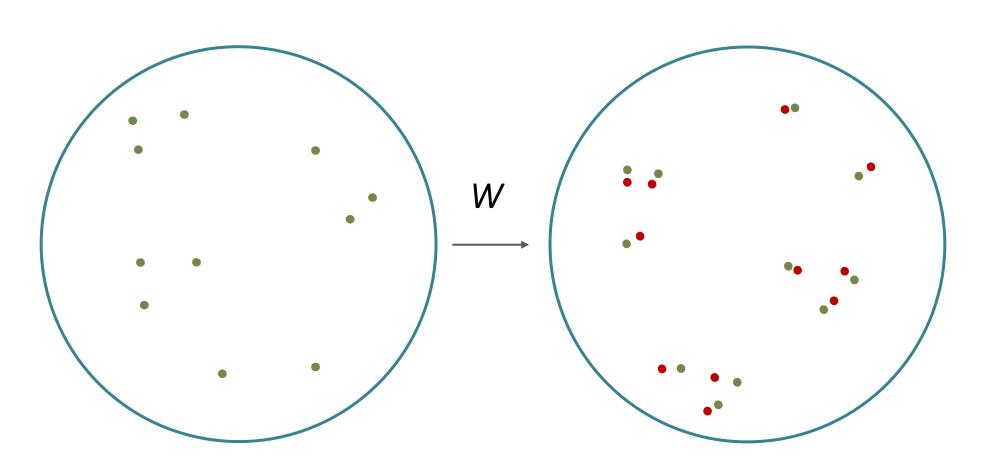






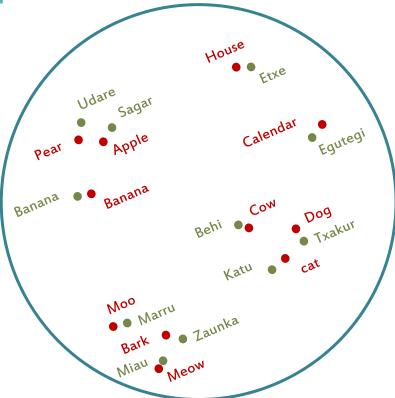


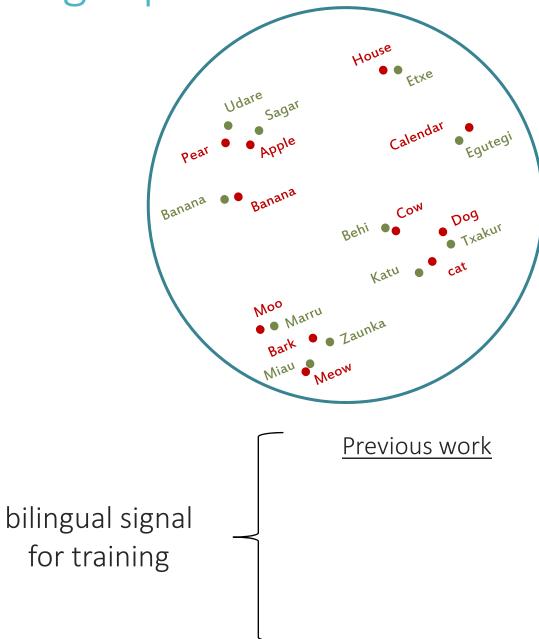
Languages are (to a large extent) isometric in word embedding space (!)

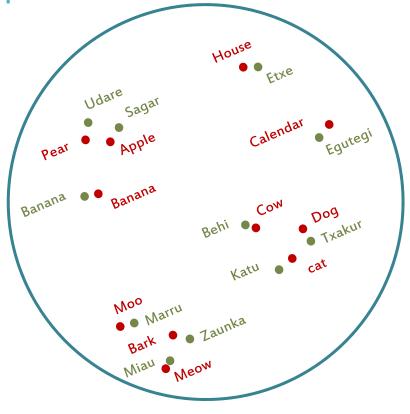


Outline

- Bilingual embedding mappings
 - Introduction to vector space models (embeddings)
 - Bilingual embedding mappings (AAAI18)
 - Reduced supervision
 - Self-learning, semi-supervised (ACL17)
 - Self-learning, fully unsupervised (ACL18)
 - Conclusions
- Unsupervised neural machine translation
 - Introduction to NMT
 - From bilingual embeddings to uNMT (ICLR18)
 - Unsupervised statistical MT (EMNLP18)
 - Conclusions



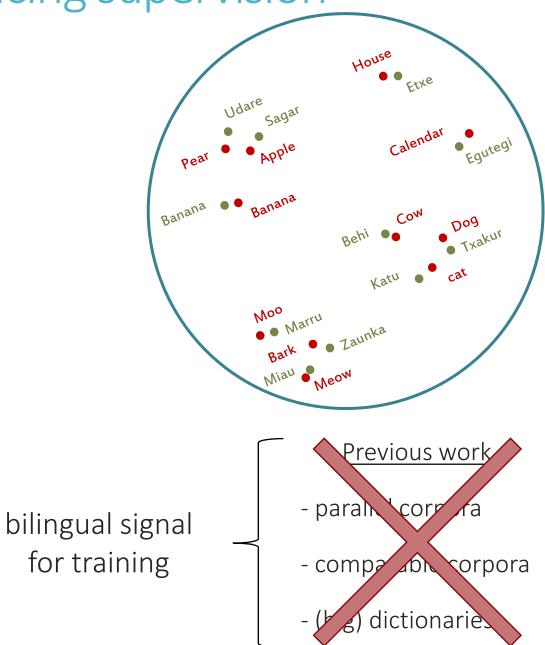


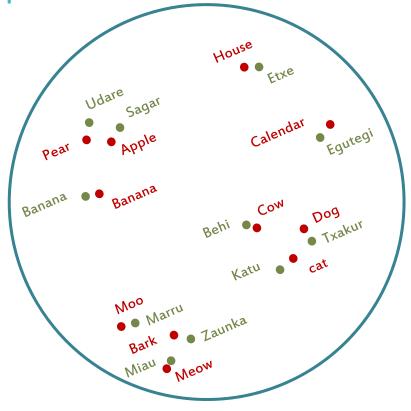


bilingual signal for training

Previous work

- parallel corpora
- comparable corpora
- (big) dictionaries





bilingual signal for training

- parall corr ra - rompa abl corpora - r - (Fg) dictionaries - r

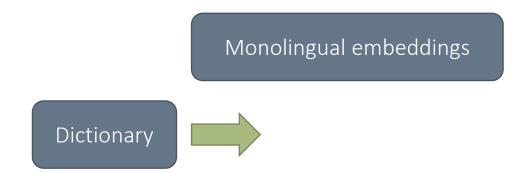
Our work

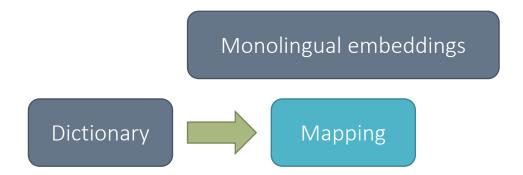
- 25 word dictionary
- numerals (1, 2, 3...)
- nothing

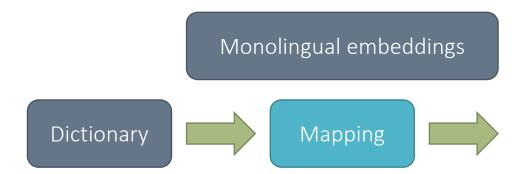
Monolingual embeddings

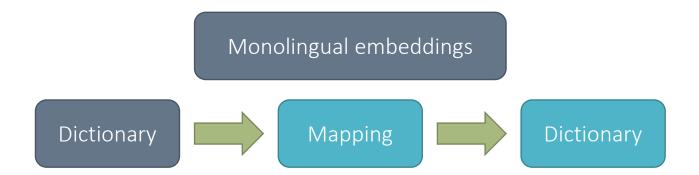
Monolingual embeddings

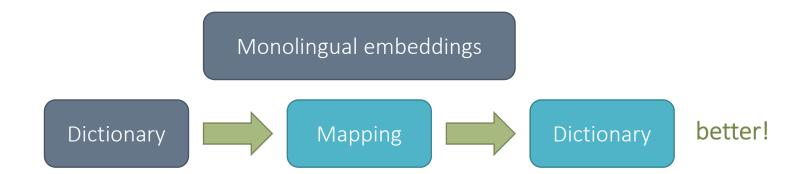
Dictionary

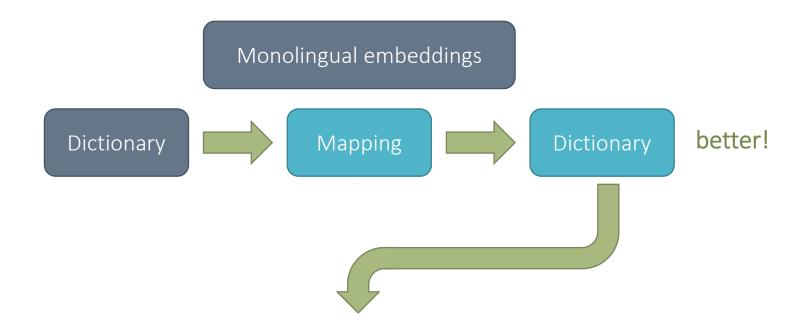


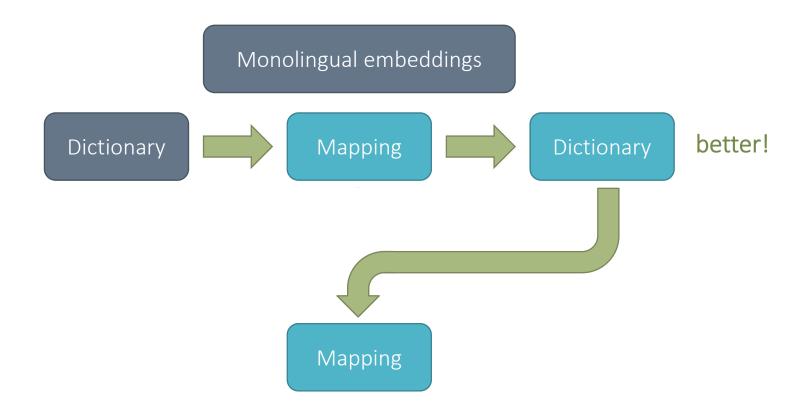


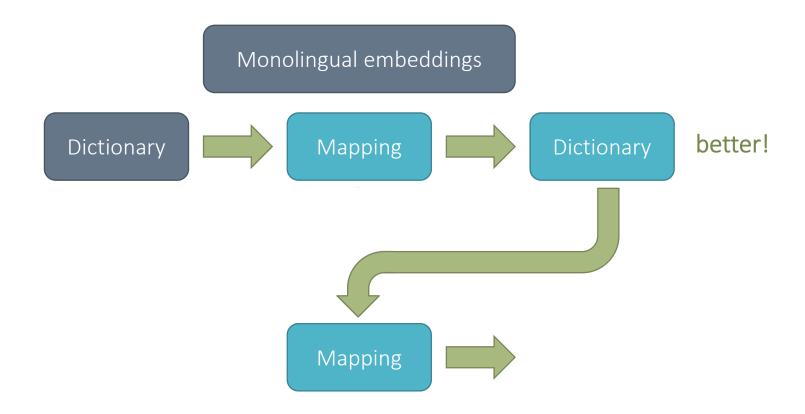


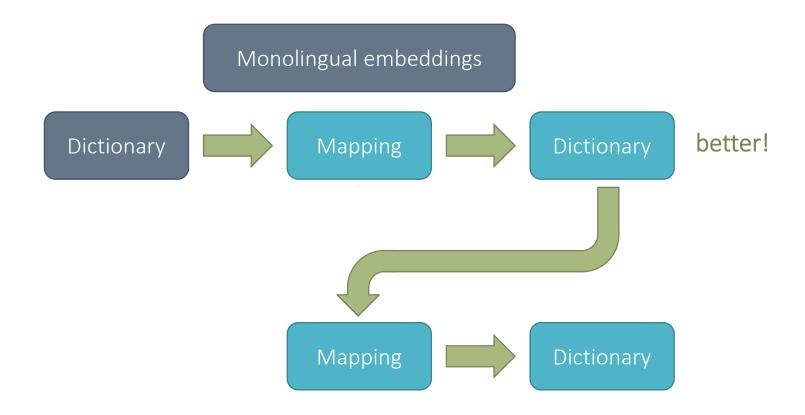


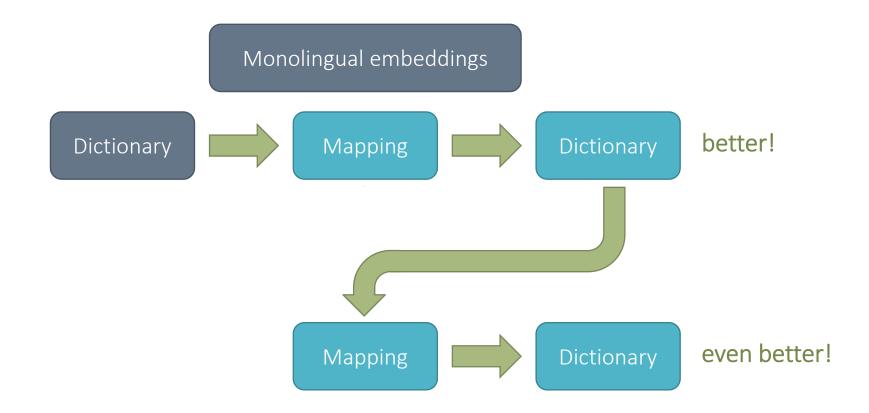


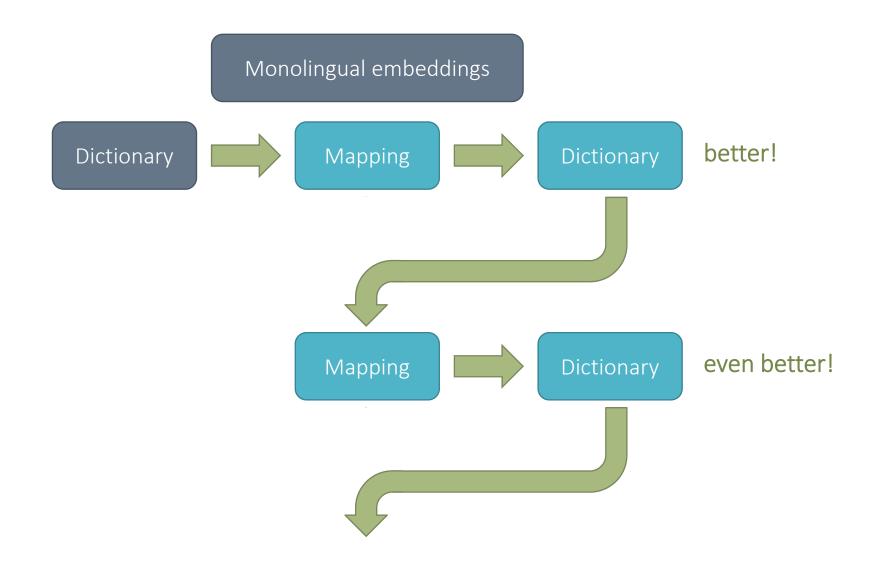


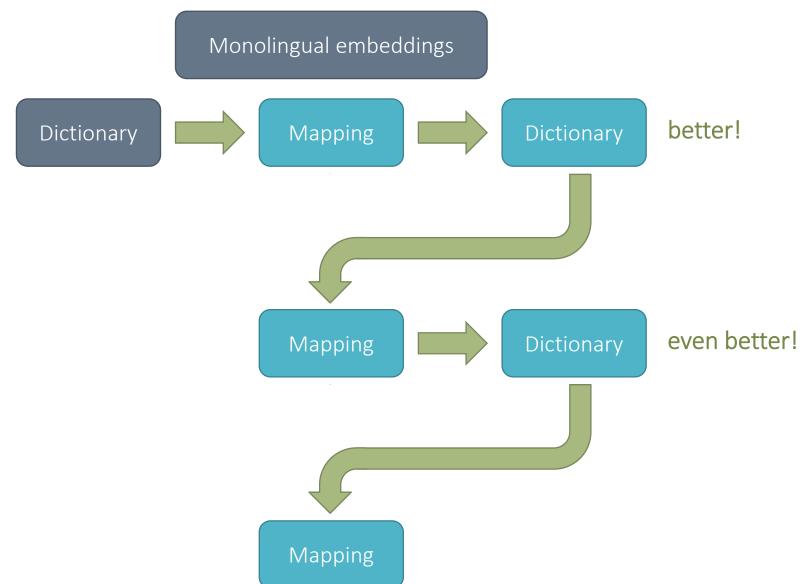


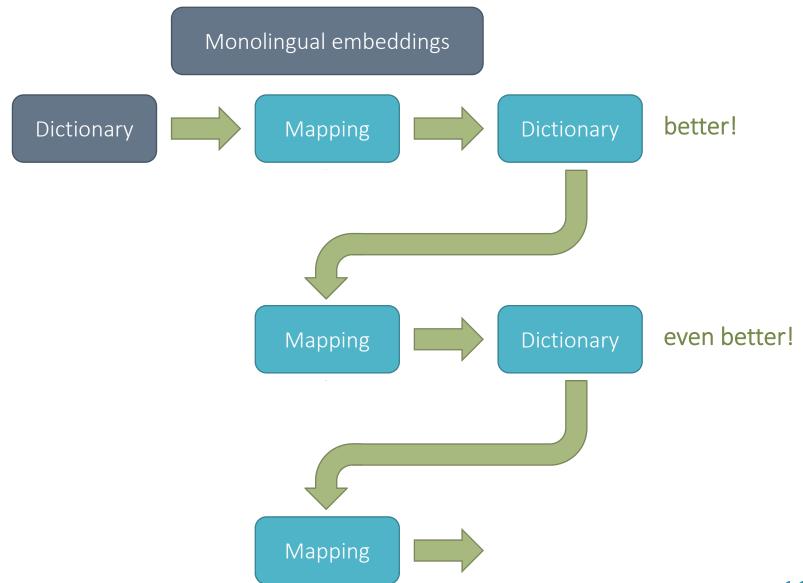


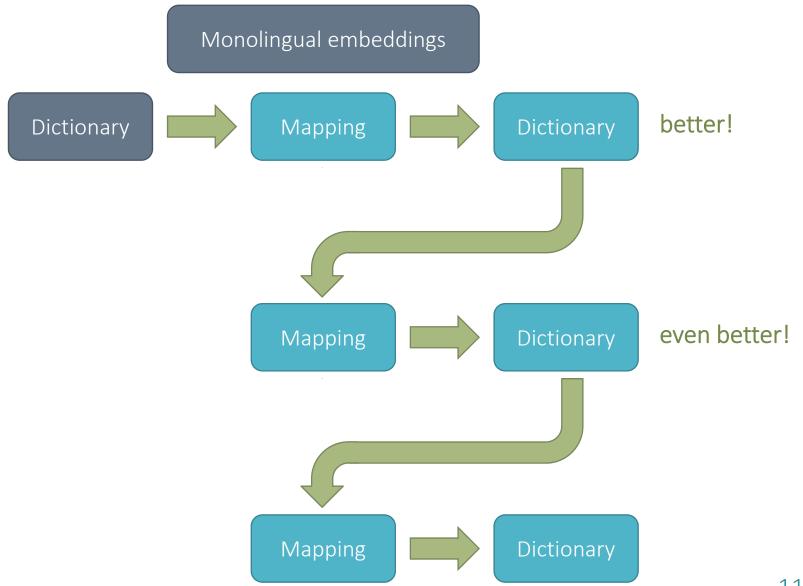


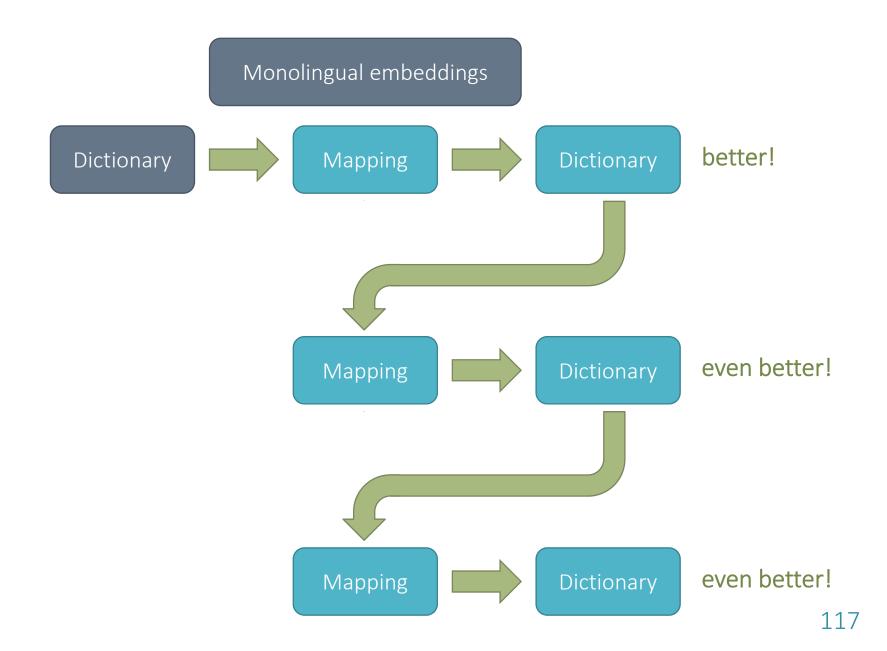


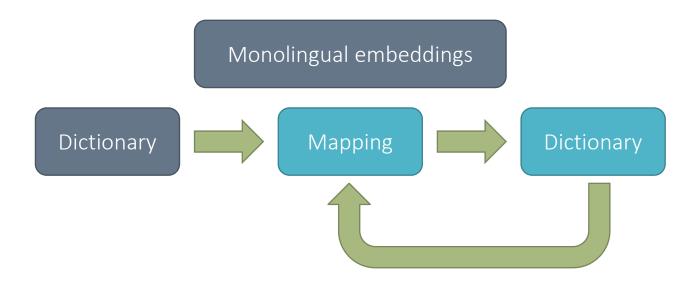


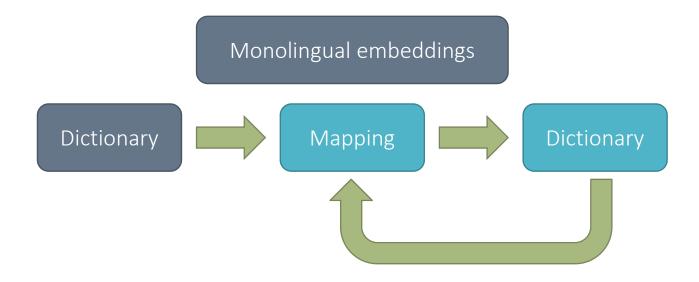












proposed self-learning method

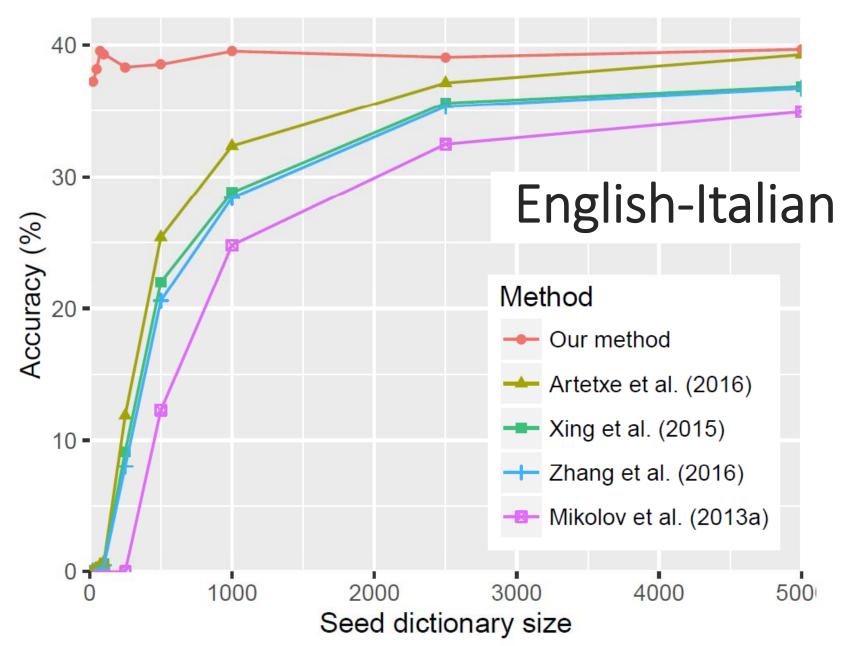
Too good to be true?

- Given monolingual embeddings plus seed bilingual dictionary (train dictionary):
 - 25 word pairs
 - Pairs of numerals

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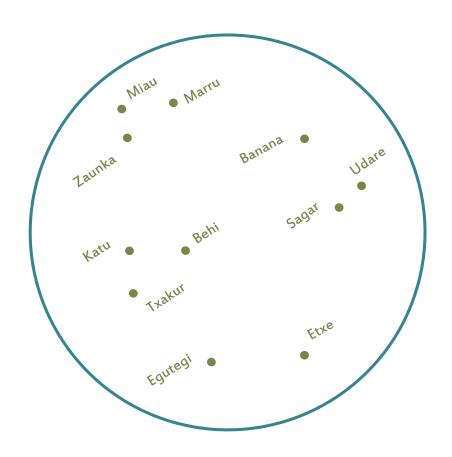
- Given monolingual embeddings plus seed bilingual dictionary (train dictionary):
 - 25 word pairs
 - Pairs of numerals
- Induce bilingual dictionary using self-learning for full vocabulary
- Evaluation
 - Compare translations to existing bilingual dictionary (test dictionary)
 - Accuracy

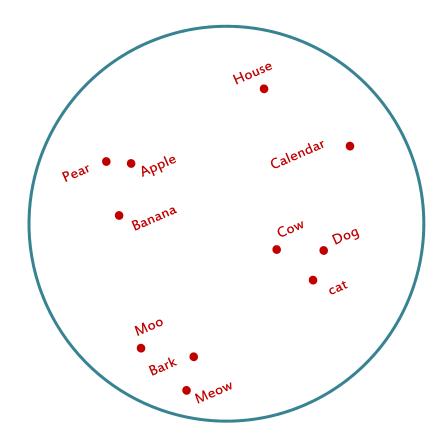
Semi-supervised experiments (ACL17)



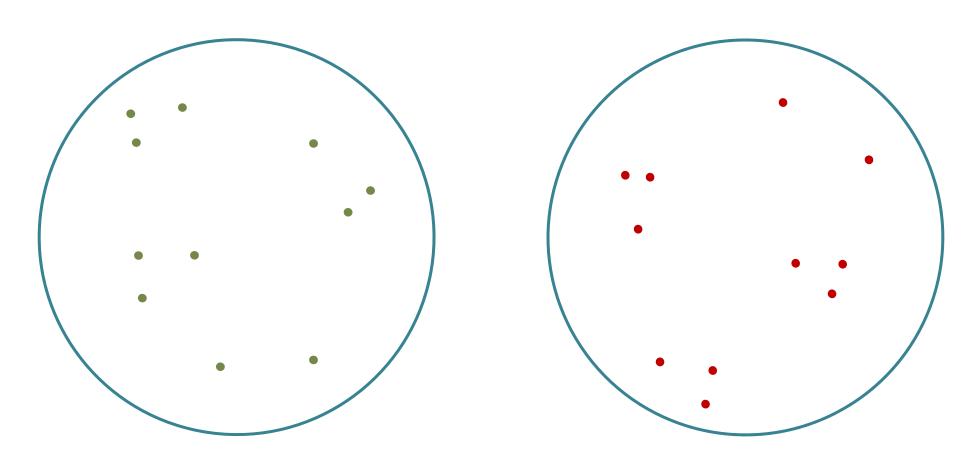
Implicit objective:
$$W^* = \underset{W}{\operatorname{arg\,max}} \sum_{i} \underset{j}{\operatorname{max}} (X_{i*}W) \cdot Z_{j*}$$
 s.t. $WW^T = W^TW = I$

$$\underline{\text{Implicit objective}}: \quad W^* = \underset{W}{\text{arg max}} \sum_{i} \max_{j} (X_{i*}W) \cdot Z_{j*} \qquad \text{s.t.} \quad WW^T = W^TW = I$$

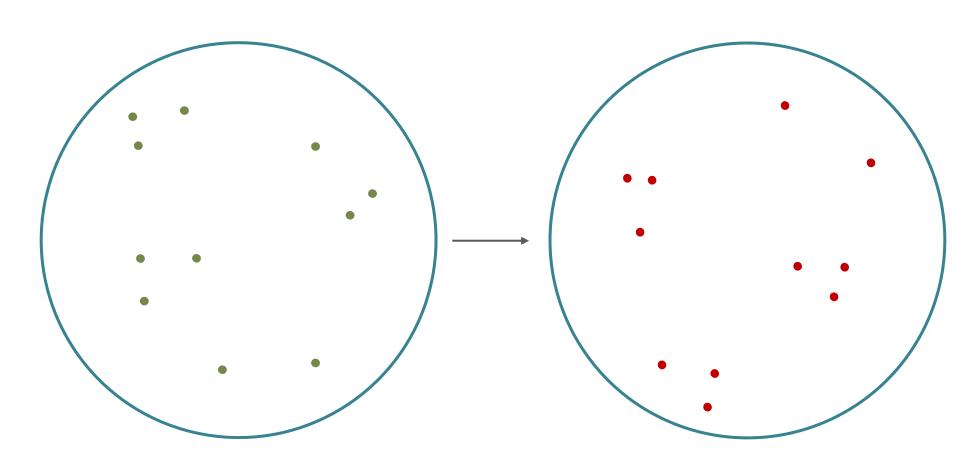




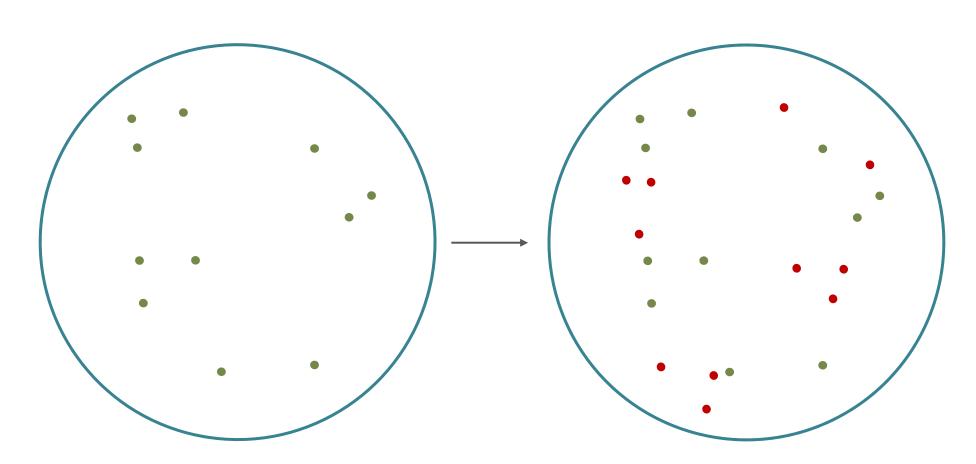
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 s.t. $WW^T = W^TW = I$



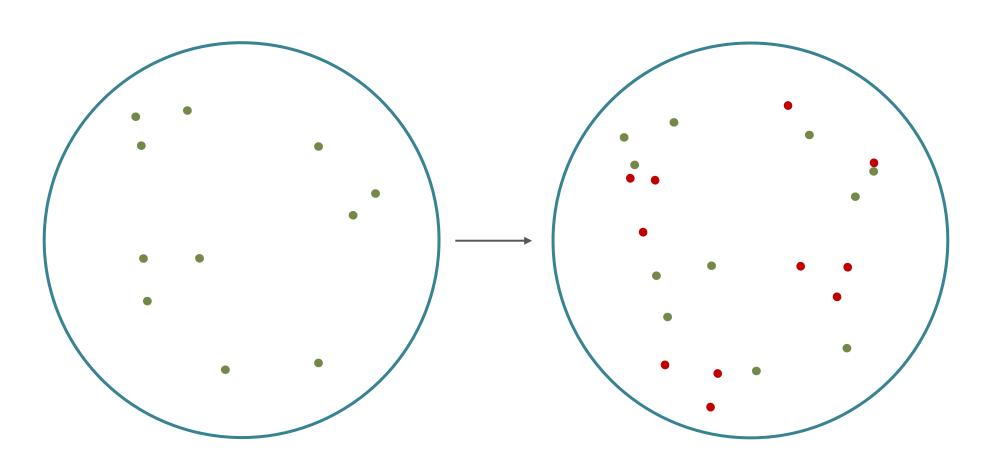
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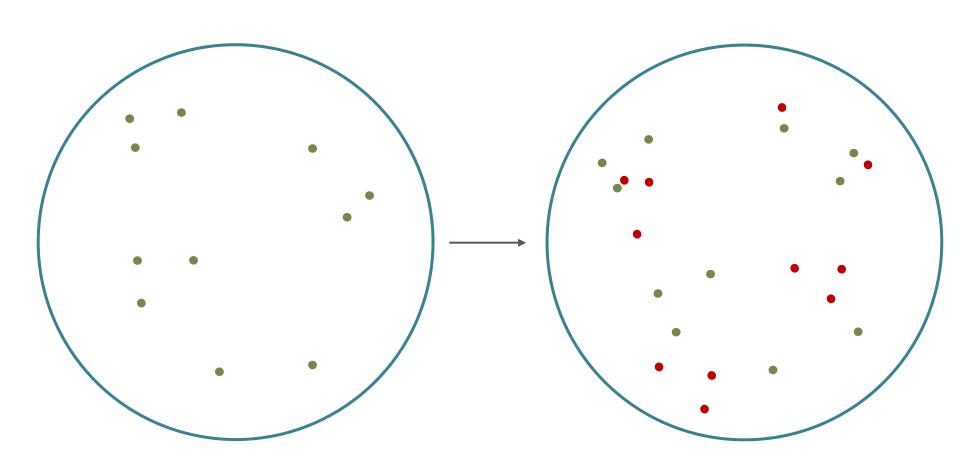
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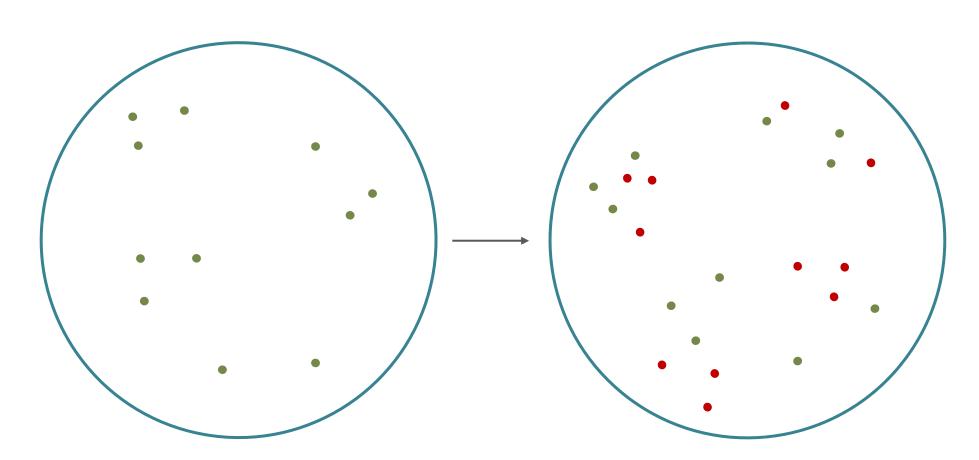
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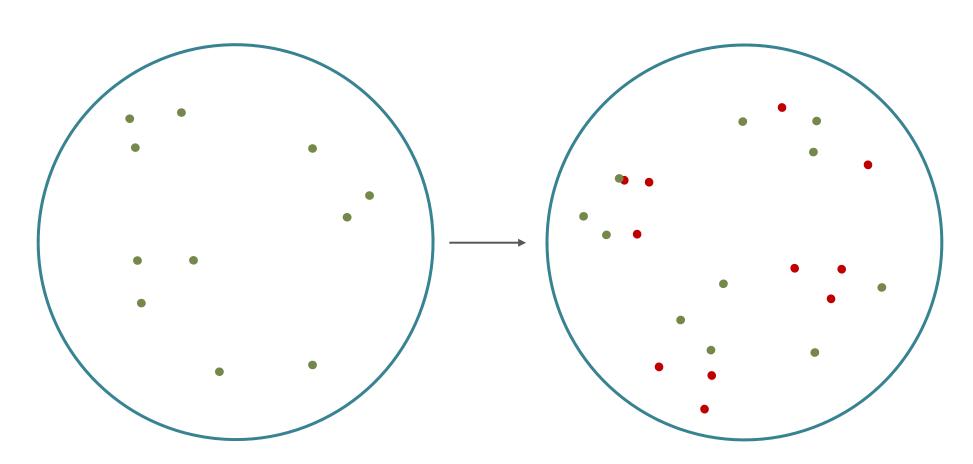
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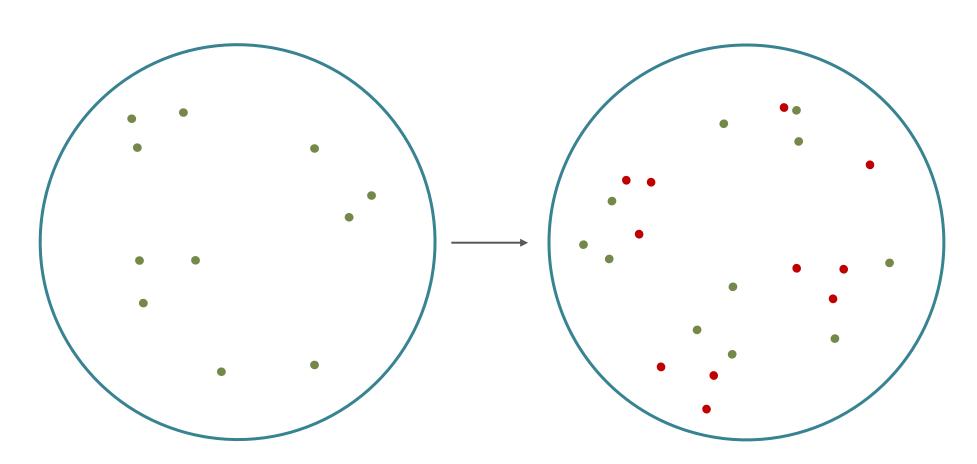
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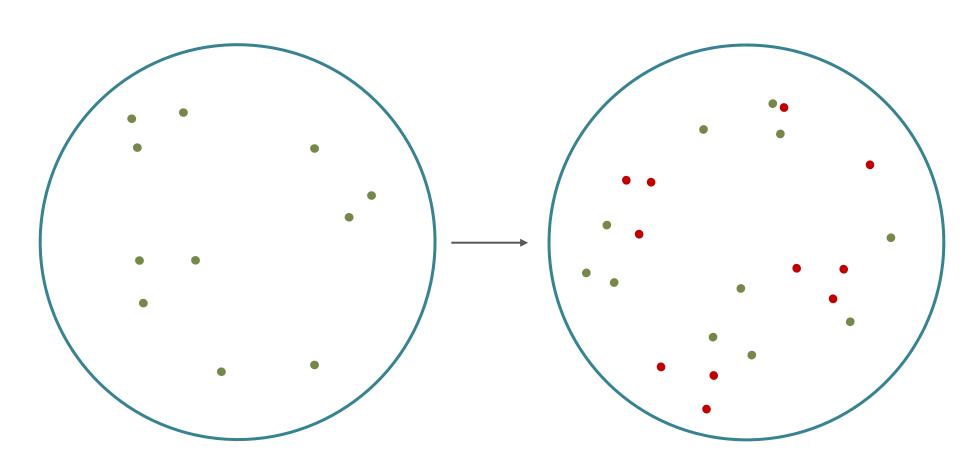
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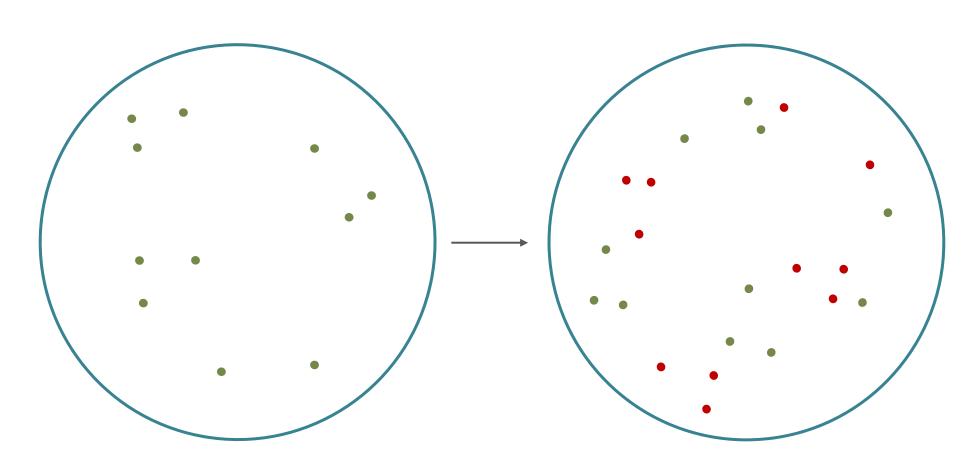
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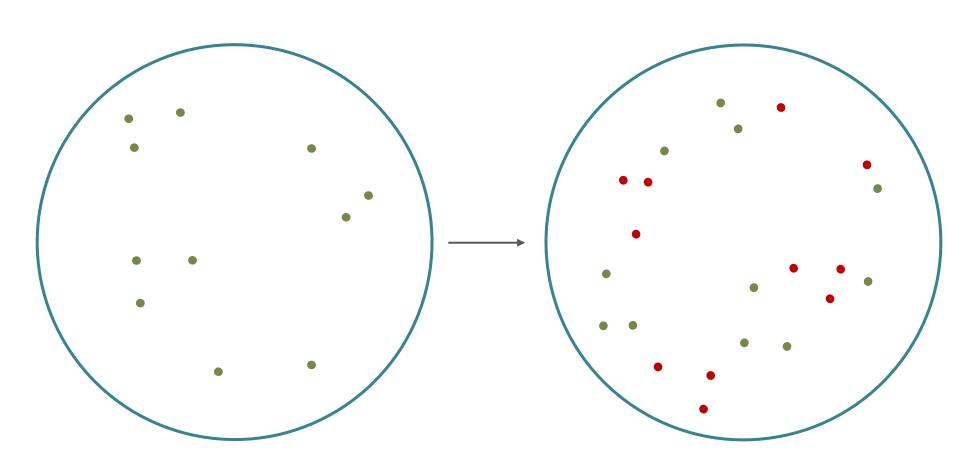
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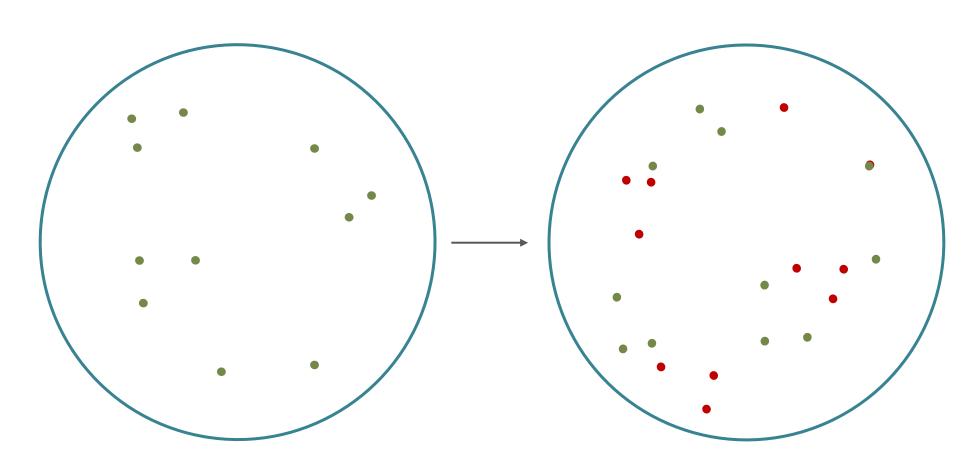
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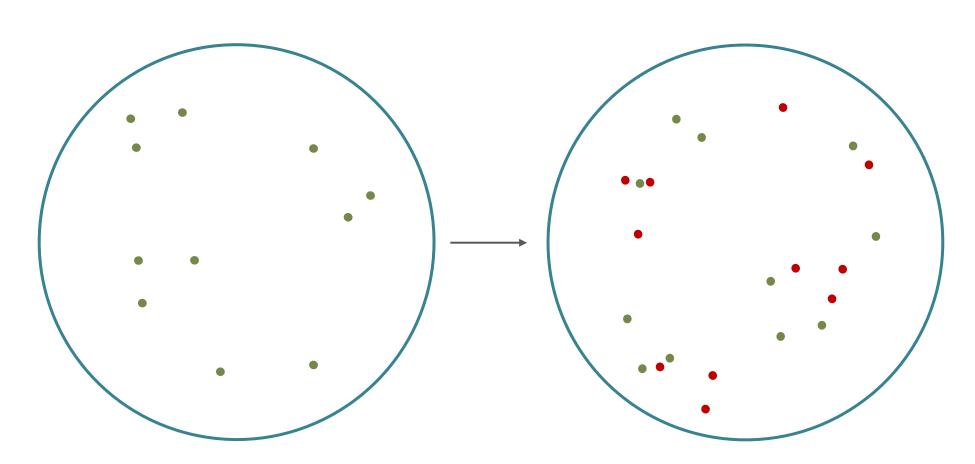
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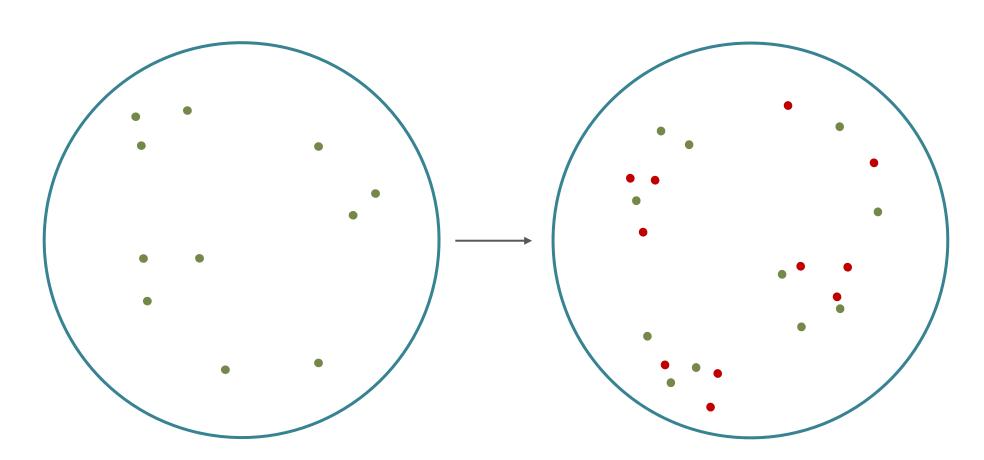
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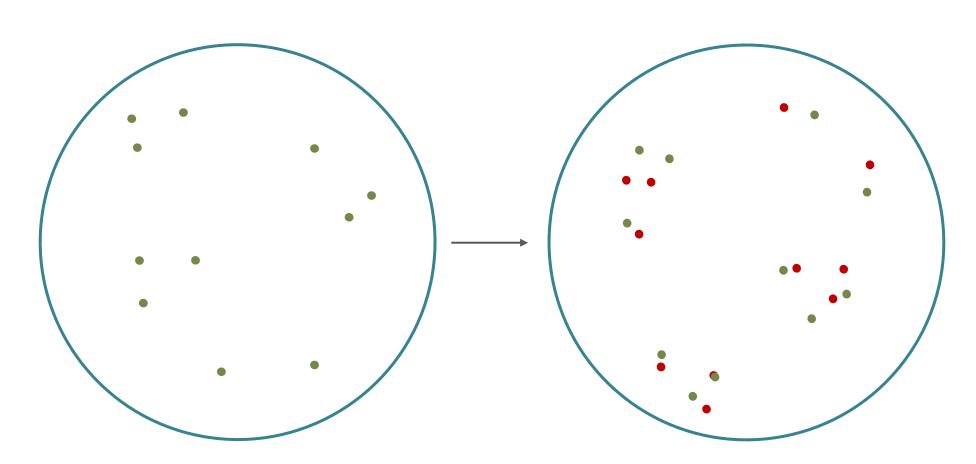
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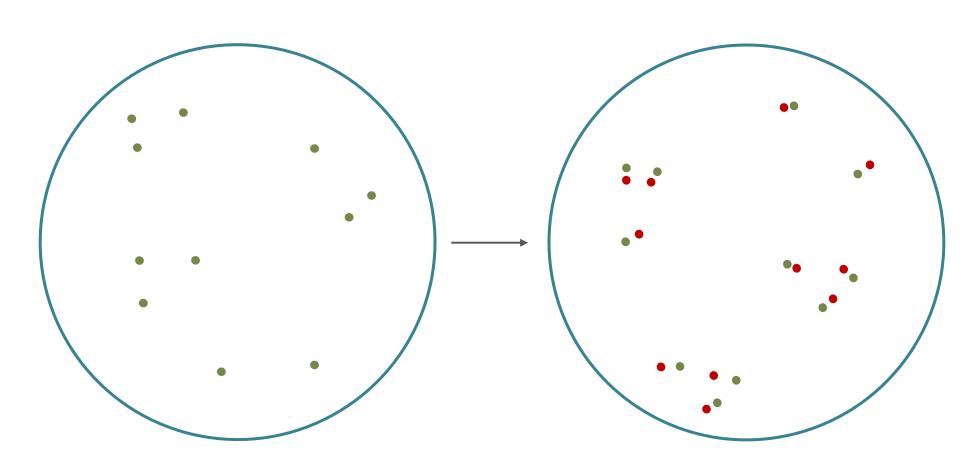
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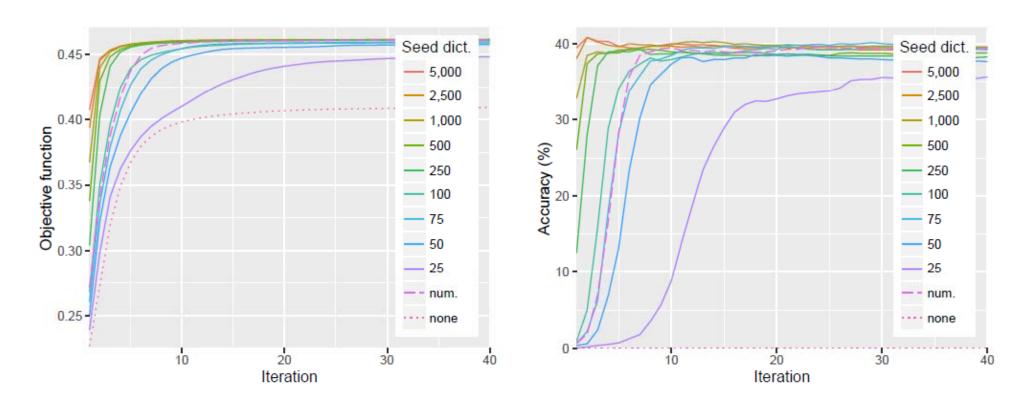
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Independent from seed dictionary!

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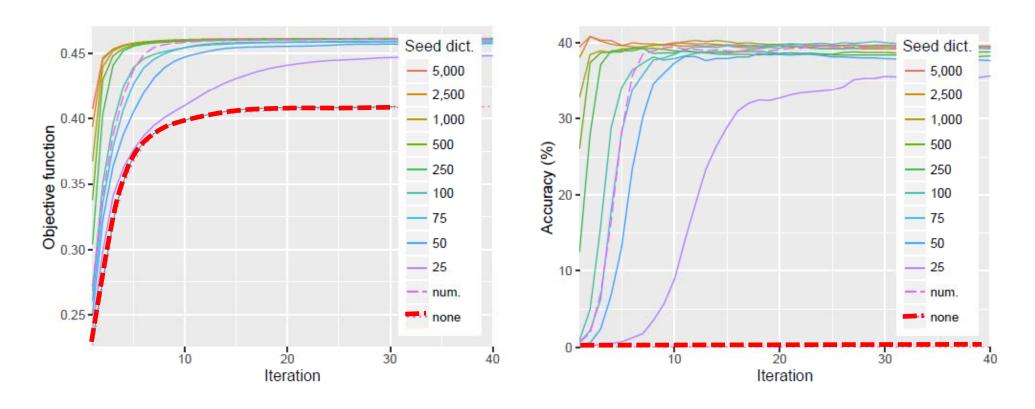
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Independent from seed dictionary!

So why do we need a seed dictionary?

Avoid poor local optima!

$$\underline{\text{Implicit objective}}: \quad W^* = \underset{W}{\text{arg max}} \sum_{j} \max_{j} (X_{i*}W) \cdot Z_{j*} \qquad \text{s.t.} \quad WW^T = W^TW = I$$



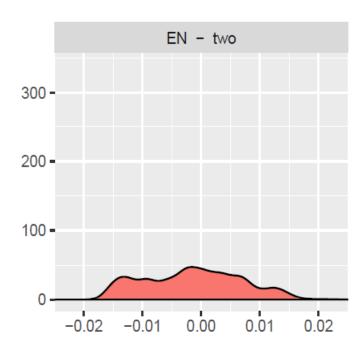
Next steps

Is there a way we can avoid the seed dictionary?

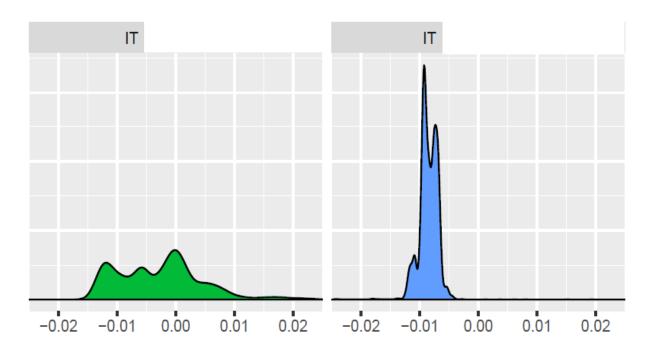
Would an initial noisy initialization suffice?

- 1. Compute intra-language similarity
- 2. Words which are translations of each other would have analoguous similarity histograms (isometry hyp.)

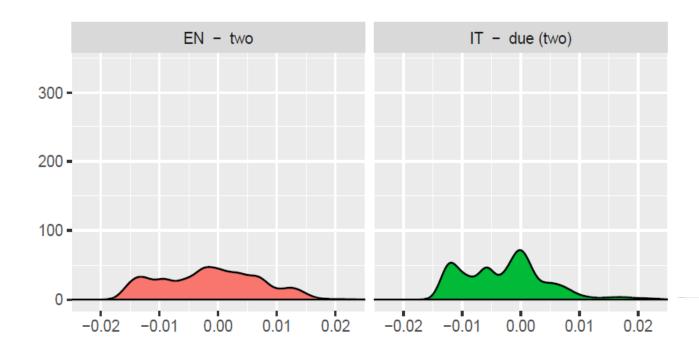
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It works, but very weak: Accuracy 0.52%

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For self-learning to work we had to add:

- 1. Stochastic dictionary induction
- 2. Frequency-based vocabulary cut-off
- 3. Hubness problem: Instead of inducing dictionary with nearest-neighbour use CSLS (Lample et al. 2018)

$$2cos(x,y) - mnn_T(x) - mnn_S(y)$$

$$mnn_T(x) = \frac{1}{K} \sum_{i=1}^{K} cos(x, nn_i)$$

• Dataset by Dinu et al. (2015) extended German, Finnish, Spanish

Supervision	Method	EN-IT	EN-DE	EN-FI	EN-ES

Dataset by Dinu et al. (2015) extended German, Finnish, Spanish
 ⇒ Monolingual embeddings (CBOW + negative sampling)

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None

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Supervision	Method	EN-IT	EN-DE	EN-FI	EN-ES
5k dict.					
SK UICL.					
25 dict.					

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5k dict.	Artetxe et al. (2016)	39.27	41.87*	30.62*	31.40*
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Supervision	Method	EN-IT	EN-DE	EN-FI	EN-ES
5k dict.					
on aroti					
25 dict.					
	Zhang et al. (2017)				
None	Conneau et al. (2018)				

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Previous work convergence problems! Also observed by Sogard et al. (2018)

Supervision	Method	EN-	EN-FI	EN-ES
•				

5k dict.

25 dict.					
	Zhang et al. (2017)	0.00	0.00	0.01	0.01
None	Conneau et al. (2018)	13.55	42.15	0.38	21.23

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	Supervision	Method	EN-IT	EN-DE	EN-FI	EN-ES
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- Unsupervised matches results of supervised methods!
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- Unsupervised matches results of supervised methods!
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- High quality dictionaries:
 Manual analysis shows that real accuracy > 60%
 High frequency words up to 80%

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- Unsupervised matches results of supervised methods!
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- High quality dictionaries:
 Manual analysis shows that real accuracy > 60%
 High frequency words up to 80%
- Full reproducibility (including datasets):
 https://github.com/artetxem/vecmap

- Simple self-learning method to train bilingual embedding mappings
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- Shows that languages share "semantic" structure to a large degree

References: cross-lingual mappings

- Mikel Artetxe, Gorka Labaka, and Eneko Agirre. 2018. **Generalizing** and Improving Bilingual Word Embedding Mappings with a Multi-Step Framework of Linear Transformations. In *AAAI-2018*.
- Mikel Artetxe, Gorka Labaka, and Eneko Agirre. 2017. Learning bilingual word embeddings with (almost) no bilingual data. In ACL-2017.
- Mikel Artetxe, Gorka Labaka, and Eneko Agirre. 2018. A robust selflearning method for fully unsupervised cross-lingual mappings of word embeddings. In ACL-2018.

Outline

- Bilingual embedding mappings
 - Introduction to vector space models (embeddings)
 - Bilingual embedding mappings (AAAI18)
 - Reduced supervision
 - Self-learning, semi-supervised (ACL17)
 - Self-learning, fully unsupervised (ACL18)
 - Conclusions
- Unsupervised neural machine translation
 - Introduction to NMT
 - From bilingual embeddings to uNMT (ICLR18)
 - Unsupervised statistical MT (EMNLP18)
 - Conclusions

• Given pairs of sentences with known translation $(x_1...x_n, y_1...y_m)$

This is my dearest dog </s>

Este es mi perro preferido </s>

- Given pairs of sentences with known translation $(x_1...x_n, y_1...y_m)$ This is my dearest dog </s> Este es mi perro preferido </s>
- Train an encoder based on Recurrent Neural Nets return all hidden states, encoding input x₁...x_n

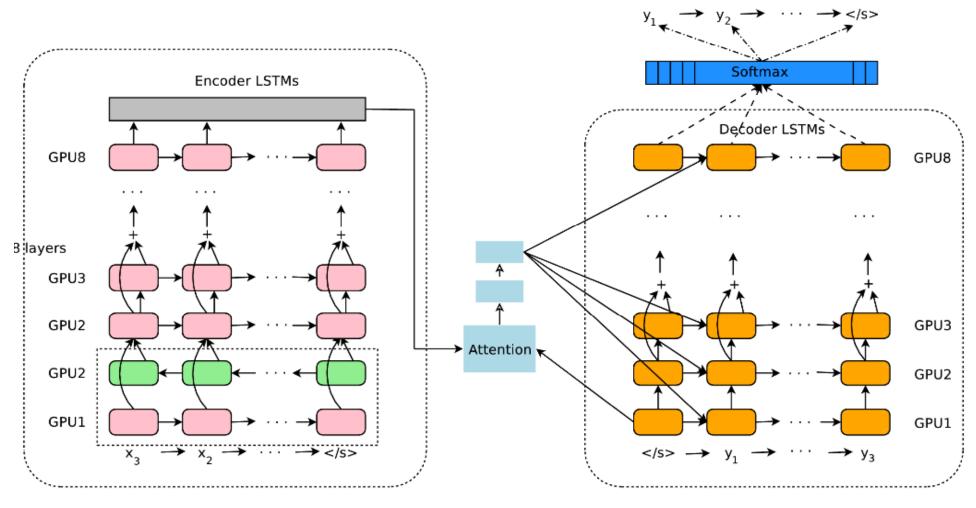
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 - This is my dearest dog </s>
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 - classifier guesses next word y_i

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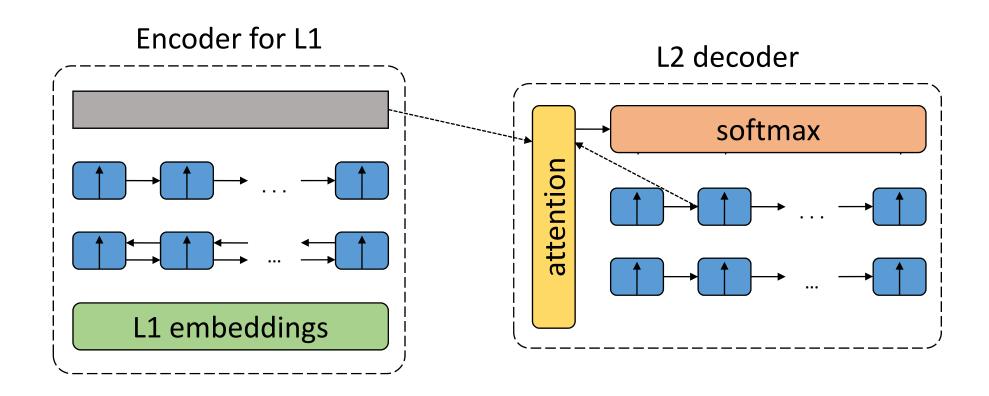
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End-to-end training



Source: Wu et al. 2016 (~ 30 authors – Also known as Google NMT)



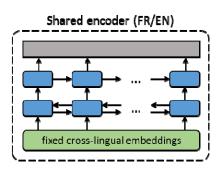
• Now that we can represent words in two languages in the same embeddings space without bilingual dictionaries...

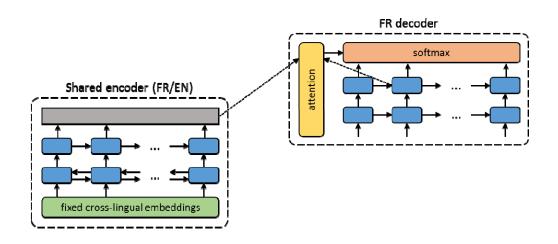
... what can we do?

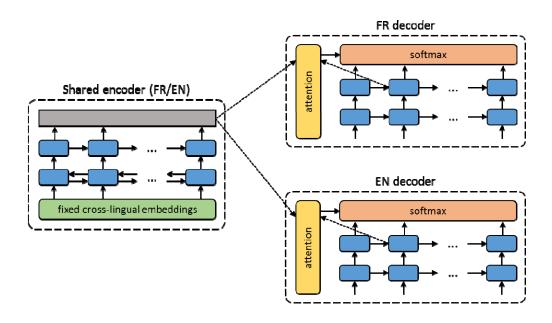
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... what can we do?

- We change the architecture of the NMT system:
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 - Two decoders for each language (D1, D2)
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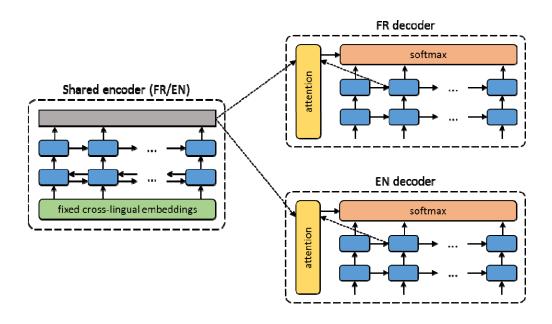




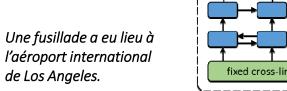


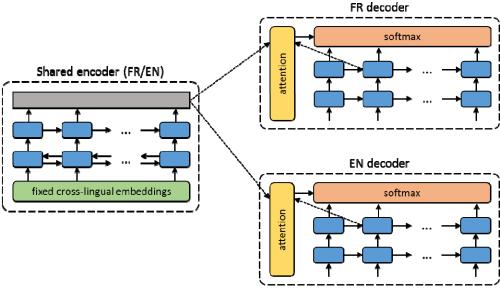
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Training



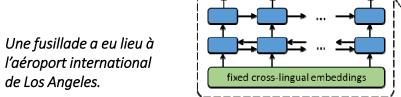
Training



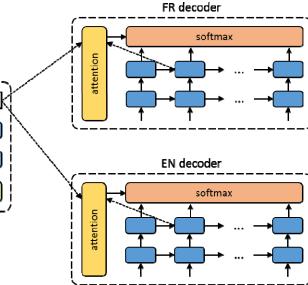


Training

Supervised



Shared encoder (FR/EN)

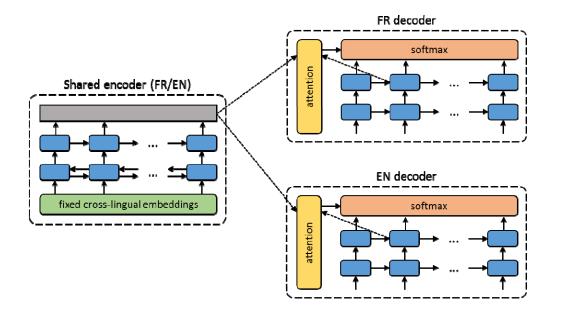


de Los Angeles.

Training

Supervised

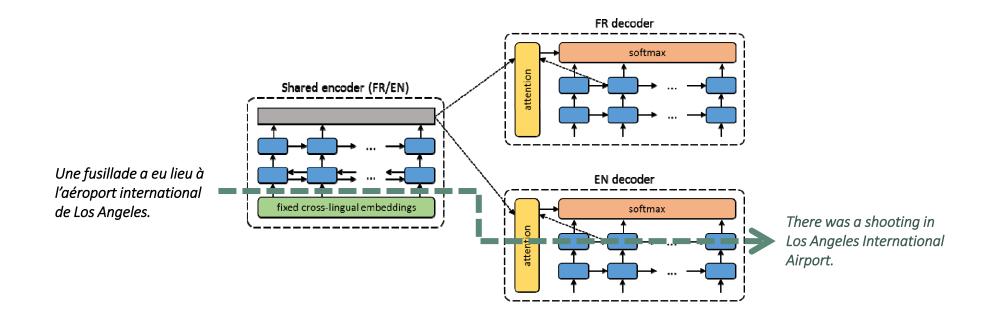
Une fusillade a eu lieu à l'aéroport international de Los Angeles.



There was a shooting in Los Angeles International Airport.

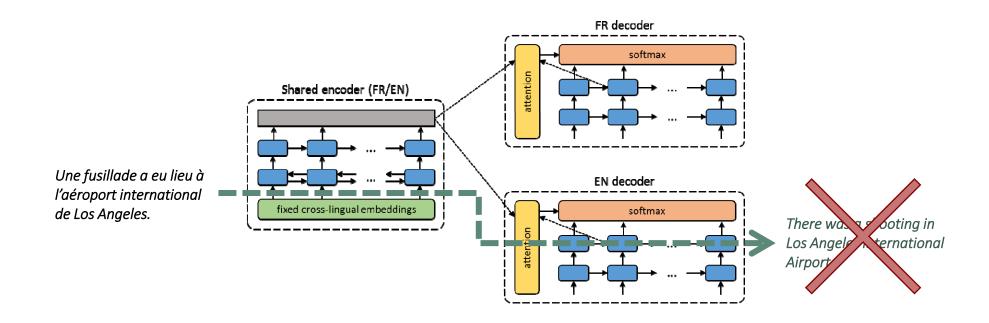
Training

Supervised



Training

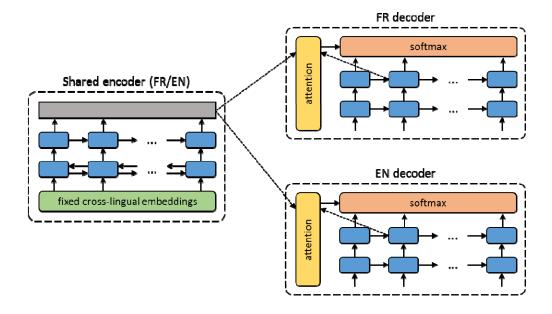
Supervised



Training

Supervised

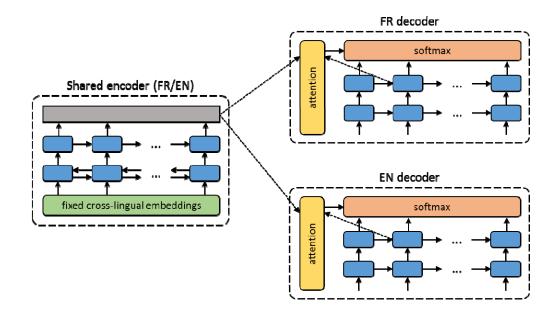




Training

- Supervised
- Autoencoder

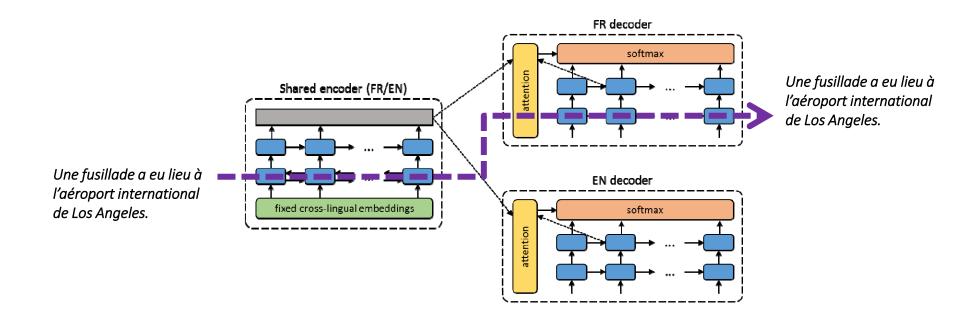
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Training

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Training

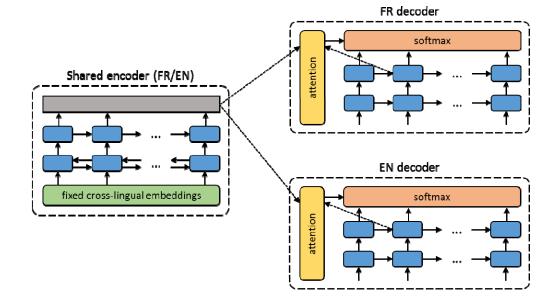
Supervised

Une <mark>lieu</mark> fusillade <mark>a eu</mark> à

international Angeles.

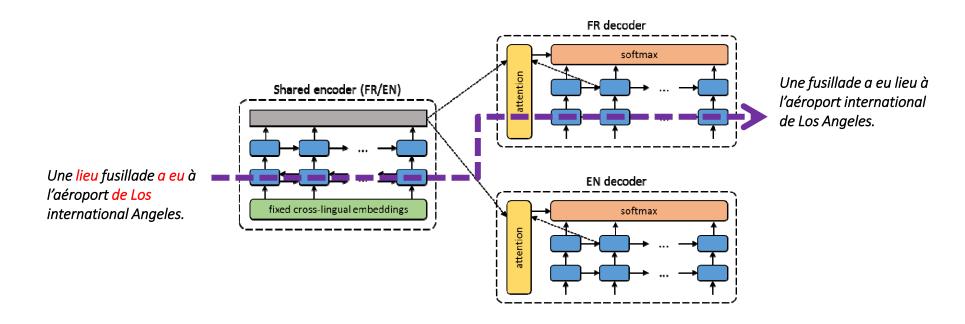
l'aéroport de Los

Denoising Autoencoder



Une fusillade a eu lieu à l'aéroport international de Los Angeles.

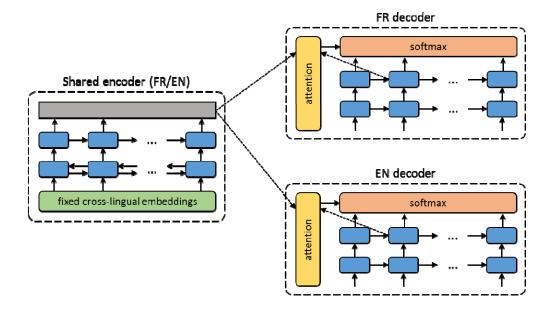
- Supervised
- Denoising Autoencoder



Training

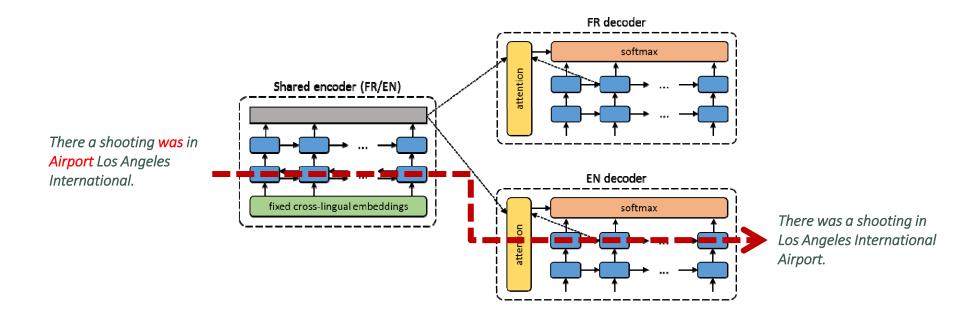
- Supervised
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There a shooting was in Airport Los Angeles International.



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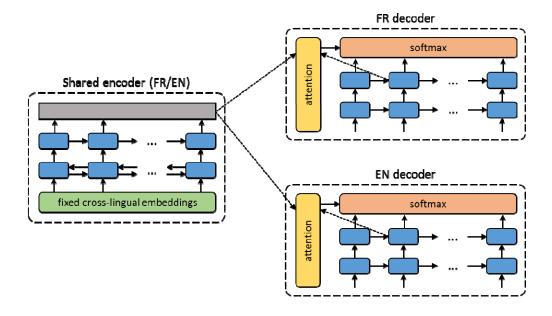
- Supervised
- Denoising Autoencoder



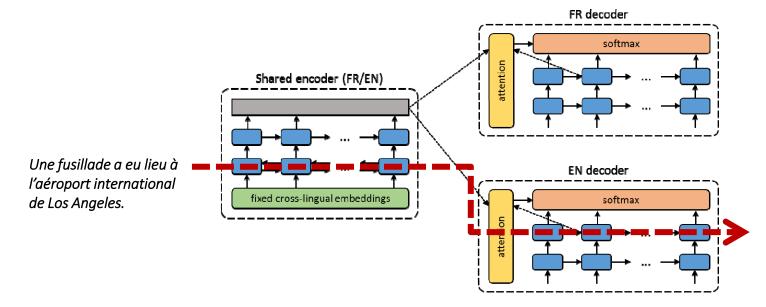
Training

- Supervised
- Denoising
- Backtranslation

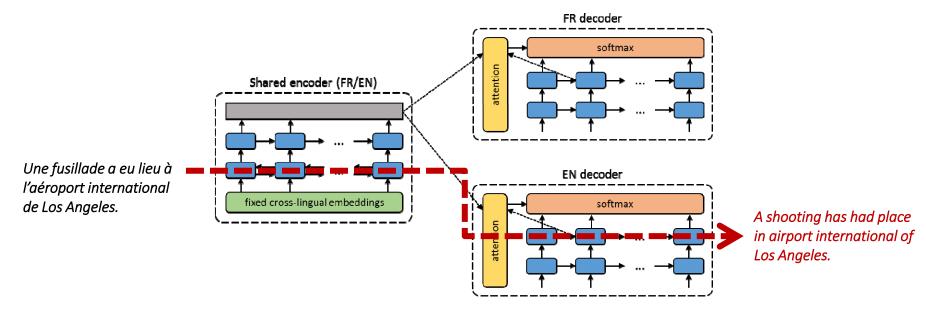
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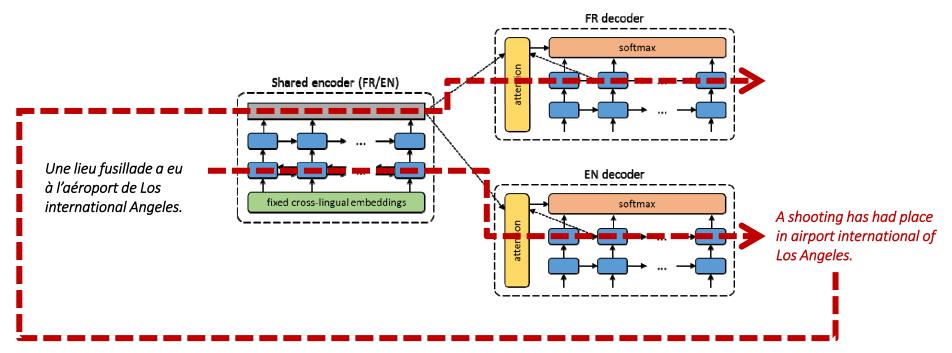
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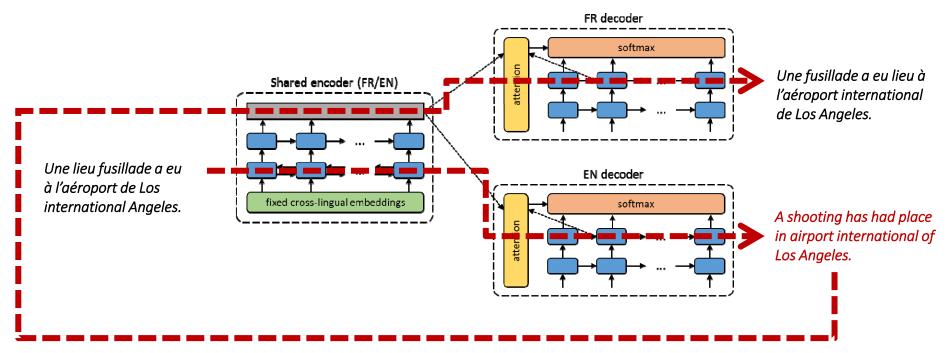
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Test on WMT released data (test and monolingual corpora)

FR-EN EN-FR DE-EN EN-DE

Unsupervised NMT

Test on WMT released data (test and monolingual corpora)

		FR-EN	EN-FR	DE-EN	EN-DE
Unsupervised NMT	Baseline (emb. nearest neighbor)	9.98	6.25	7.07	4.39

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It works!

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Semi-supervised	Proposed (full) + 10k parallel	18.57	17.34	11.47	7.86
NMT	Proposed (full) + 100k parallel	21.81	21.74	15.24	10.95

It can be easily combined with training data (interesting for low resource MT)

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	Lample et al. 2018	14.31	15.06	-	-
	(Same conference!)				

State-of-the-art (not anymore...)

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Lample et al. 2018b (EMNLP)

- No embedding mappings
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Test on WMT released data (test and monolingual corpora). WMT14 and WMT16

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Getting closer to supervised machine translation!

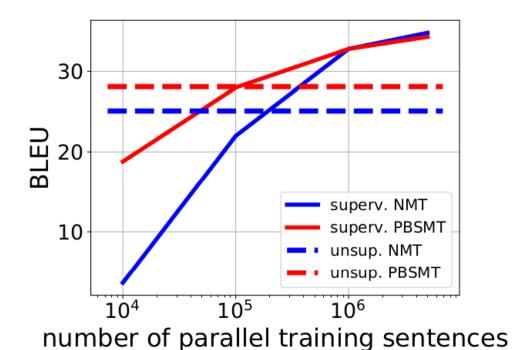
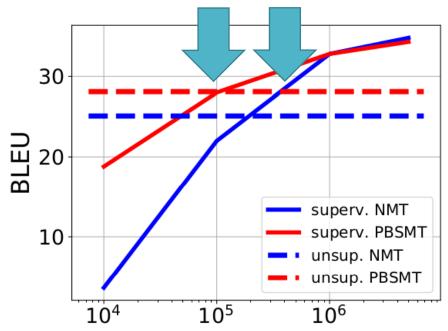


Figure 2: Comparison between supervised and unsupervised approaches on WMT'14 En-Fr, as we vary the number of parallel sentences for the supervised methods.

Source: (Lample et al. 2018)

Getting closer to supervised machine translation!



number of parallel training sentences

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Why does it work?

Why does it work?

Early to say... but intuition:

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Early to say... but intuition:

- Mapped embedding space provides information for k-best possible translations
- NMT / PBMT figures out how to best "combine" them

Conclusions

New research area – unsupervised Machine Translation

The main Machine Translation competition (WMT18) has now an **unsupervised track**

- Performance up, 28 BLEU En-Fr
- Plenty of margin for improvement
- Code for replicability

```
https://github.com/artetxem/undreamt
```

References: unsupervised MT

- Mikel Artetxe, Gorka Labaka, and Eneko Agirre. 2017.
 Unsupervised Neural Machine Translation. In ICLR-2018.
- Mikel Artetxe, Gorka Labaka, and Eneko Agirre. 2018.
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Final words

- Word embeddings key for Natural Language Processing
- Mappings represent languages in common space
 - Most of language pairs have very few resources
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- Cross-lingual unsupervised mappings enabled breakthroughs in
 - Bilingual dictionary induction
 - Unsupervised machine translation
 - Confirmed in (Conneau et al. 2018; Lample et al. 2018)
- Unexplored area in its infancy
 - Potential for MT in low resource languages and domains
 - Potential for transforming the NLP landscape
 - From monolingual NLP (e.g. English) to multilingual tools
 - Universal sentence representations

Thank you!

@eagirre http://ixa2.si.ehu.eus/eneko

https://github.com/artetxem/vecmap https://github.com/artetxem/undreamt https://github.com/artetxem/monoses