

**Course: EE3013 Semiconductor Devices and Processing**  
**School: School of Electrical and Electronic Engineering**  
**Lithography – Resist Technology**

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Resist technology:

- Chemistry of resist
- Metrics of resist
- Advantages and disadvantages of positive and negative resist

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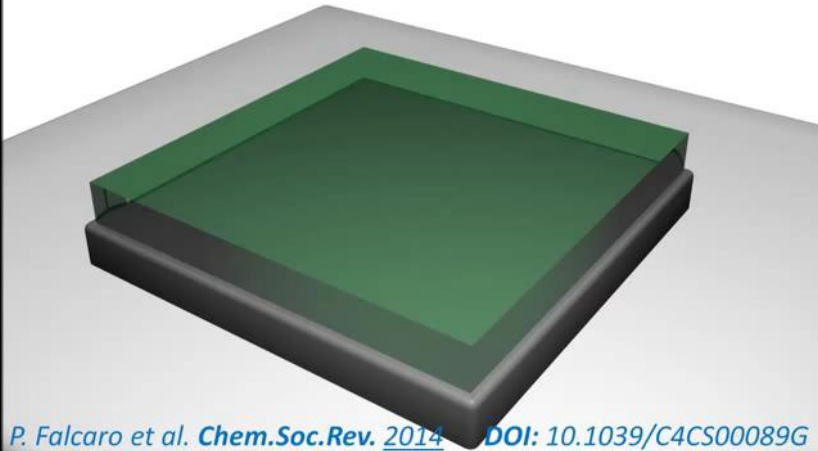
# Chemistry of Resist

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# Positive and Negative Photoresist/ Resist

## Positive Resist

Case 1: Positive photoresist  
deposited on substrate



**Exposed region** becomes **more soluble**



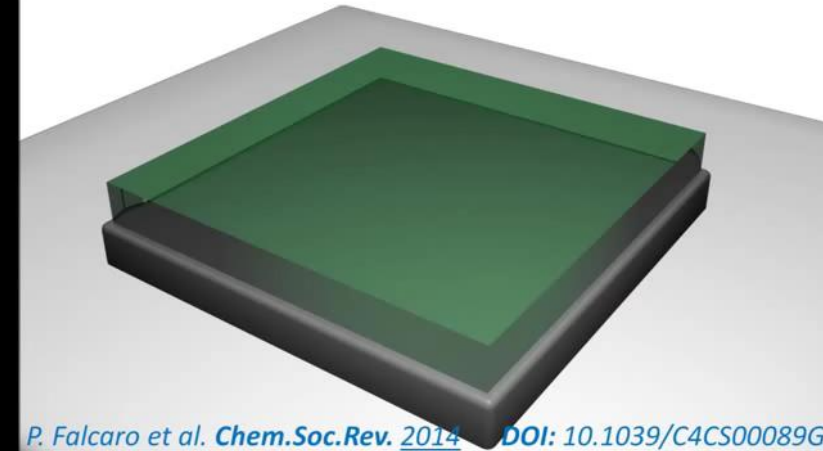
Wash away **exposed** region



**Pattern formed** on substrate is the  
**same as the mask**

## Negative Resist

Case 2: Negative photoresist  
deposited on substrate



**Exposed region** becomes **less soluble**



Wash away **unexposed** region



**Pattern formed** on substrate is the  
**opposite from the mask**

# Positive and Negative Photoresist/ Resist (Cont'd)

## Types of photoresist:

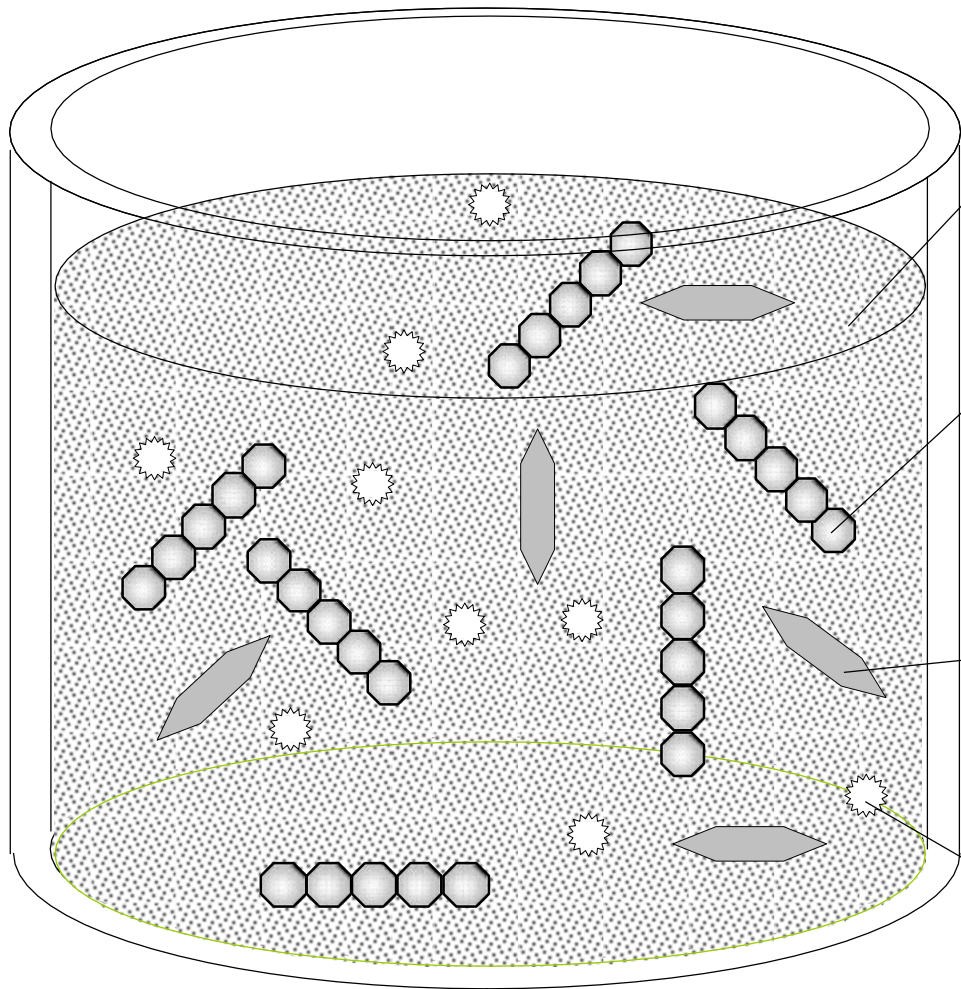
Positive Resist	Negative Resist
Exposed region becomes <b>more soluble</b>	Exposed region becomes <b>less soluble</b>
<b>Exposed areas are removed</b> and unexposed areas remain after *resist development	<b>Exposed areas remains</b> and unexposed areas are removed after *resist development
<b>Patterns</b> formed on the wafer are <b>the same as those of the mask</b>	<b>Patterns</b> formed on the wafer <b>are opposite as those of the mask</b>

*\*Resist development: A process to remove soluble region, will be discussed.*



**Pause and  
read  
carefully**



**Solvent:**

Gives resist its flow characteristics

**Resin:**

Mix of polymers used as binder; gives resist its mechanical and chemical properties

**Sensitisers:**

Photosensitive component of the resist material

**Additives:**

Chemicals that control specific aspects of resist material

Main components for lithographic capability:

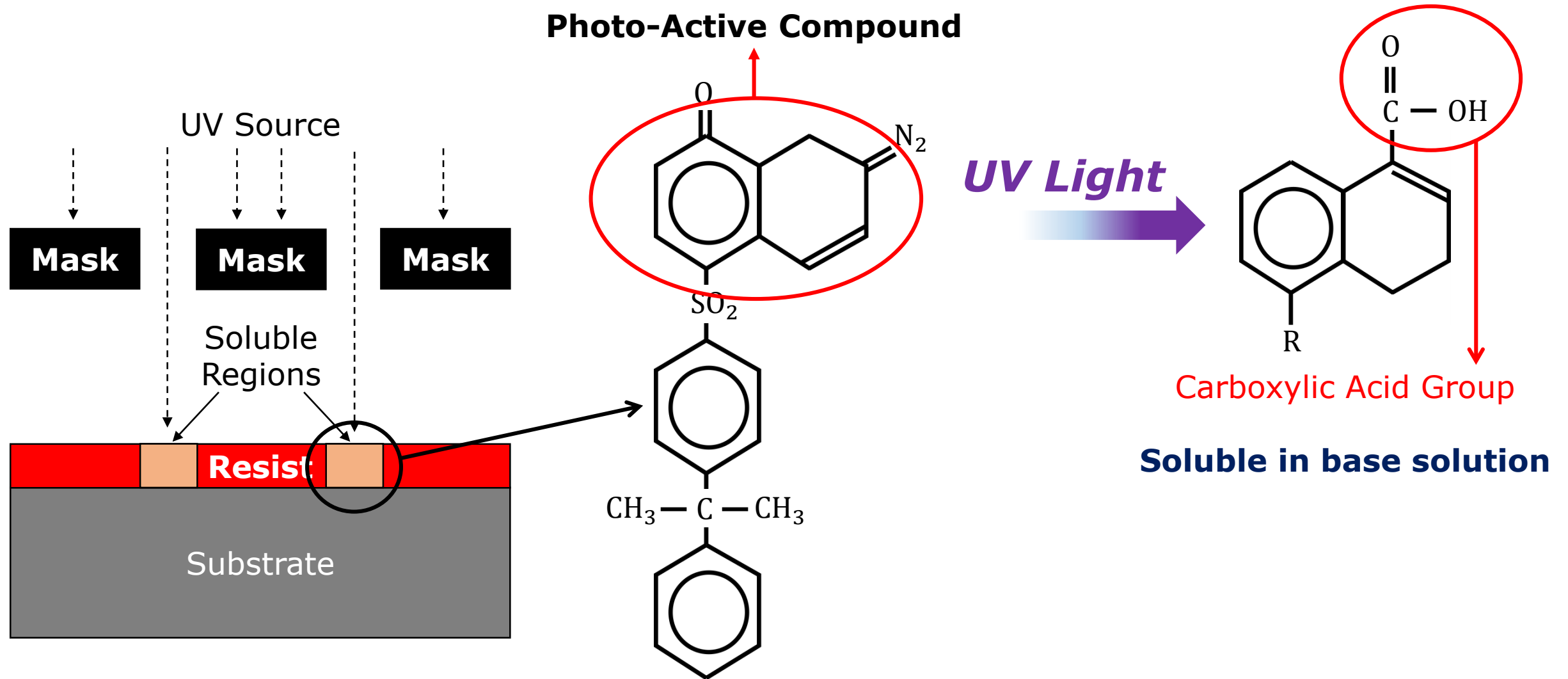
- Resin: Not opaque at  $\lambda$ 
  - Give resist mechanical and chemical properties (reaction to developer, etc.)
- Sensitiser
  - Photo active compound/ group (PAC/ PAG) at  $\lambda$
- Solvent
  - Keeps resist in liquid state
  - Allows spin coating of the resist
  - Solvent content determines viscosity and hence, the **thickness**
- Additives
  - Capability for further process: Etch resistivity/ implant blocking capability



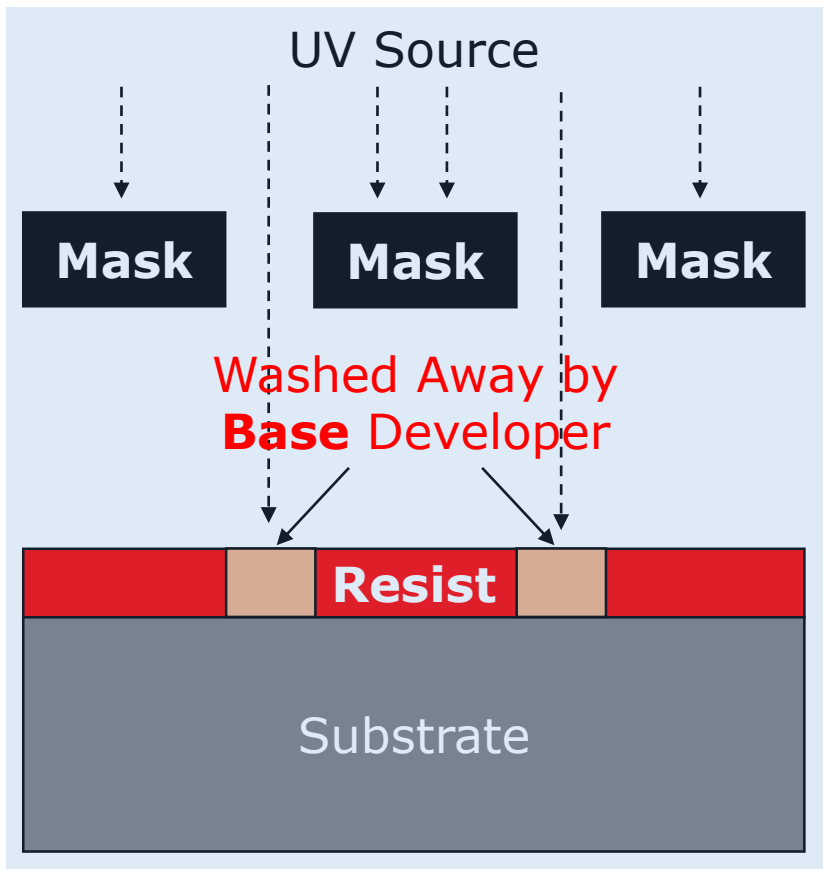
- Positive optical resist
  - **Resin** (Novolac resin)
  - **Sensitiser/ dissolution inhibitor** (PAC = Diazoquinones)
  - **Solvent** (Propylene Glycol Methyl Ether Acetate (PGMEA), N-Methyl Pyrrolidine (NMP), N-butyl acetate, xylene, etc.)
  - **Developer:** Hydroxides (TMAH, KOH, NaOH, etc.)
- Negative optical resist
  - **Resin** (Cyclised synthetic rubber resin)
  - **Sensitiser** (PAC = Bisarylzide)
  - **Solvent** (Aromatic solvent)
  - **Developer** (Organic solvents)

***Positive and negative resist have different types of developer due to different photochemical reactions.***

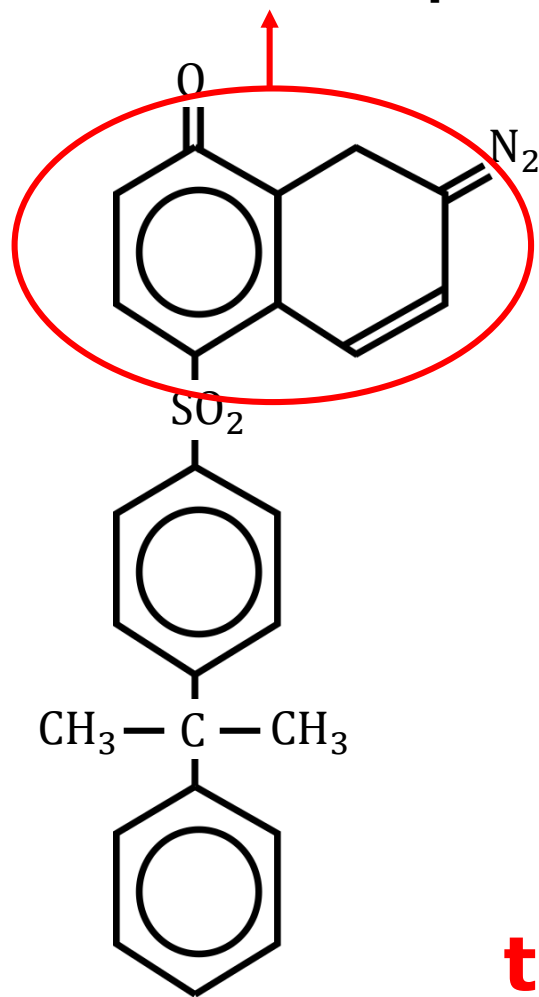
# Positive Resist



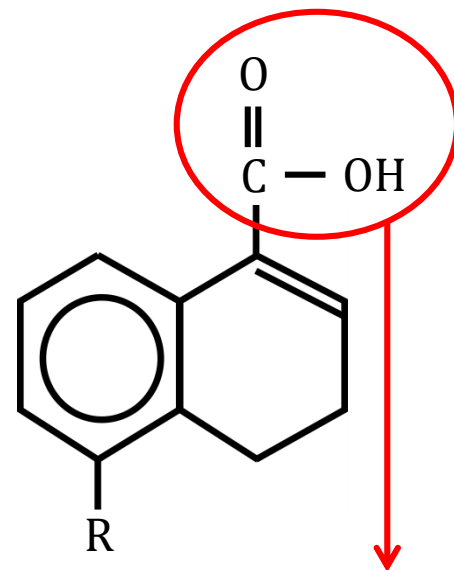
# Positive Resist



## Photo-Active Compound



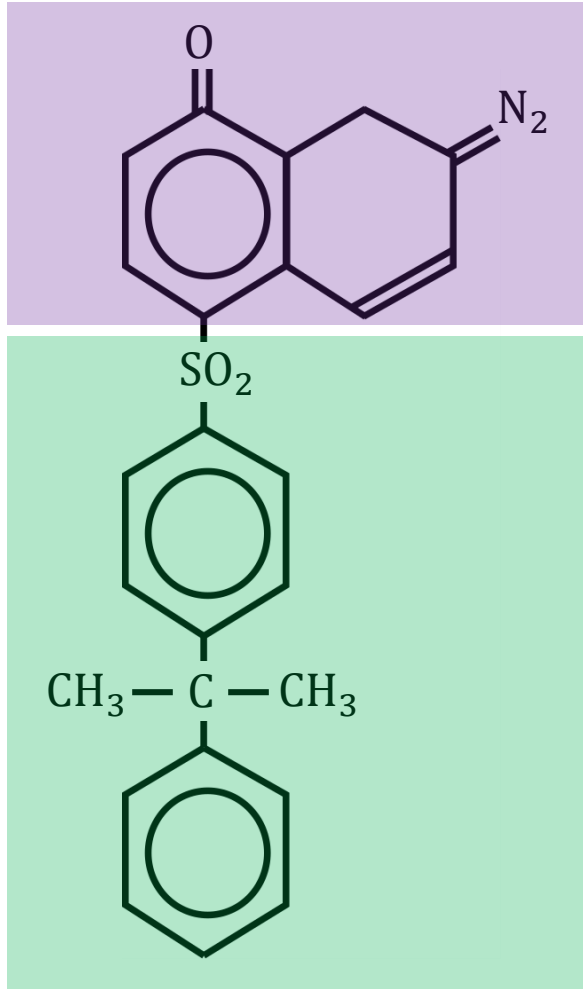
*UV Light*



Carboxylic Acid Group

**Soluble in Base Solution**

**How does this transformation happen?**

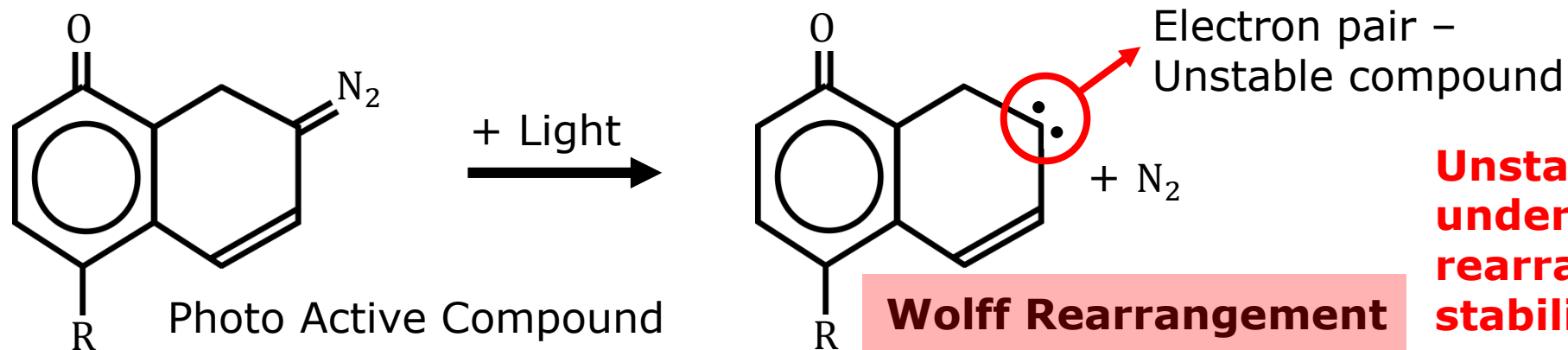


**DQN**

**Diazoquinone (DQ) – Photoactive compound.**

**Novolac (N) – Two CH<sub>3</sub> and one OH groups,  
dissolves easily in aqueous solution.**

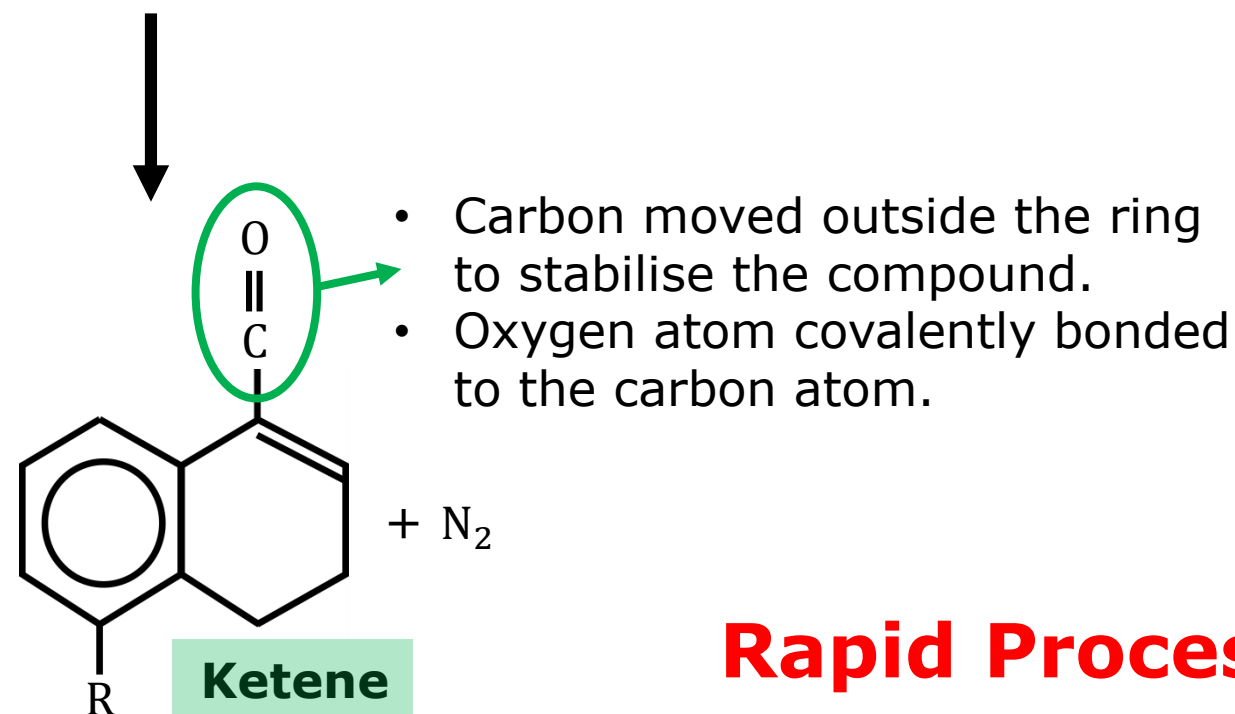
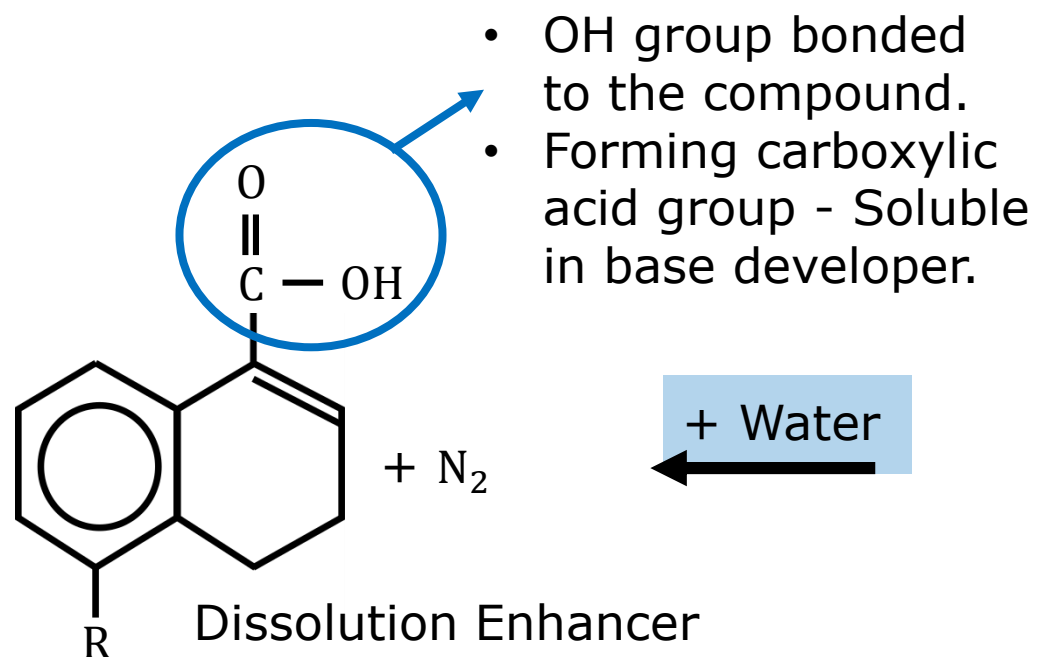
# Photochemical Reaction in Positive Resist



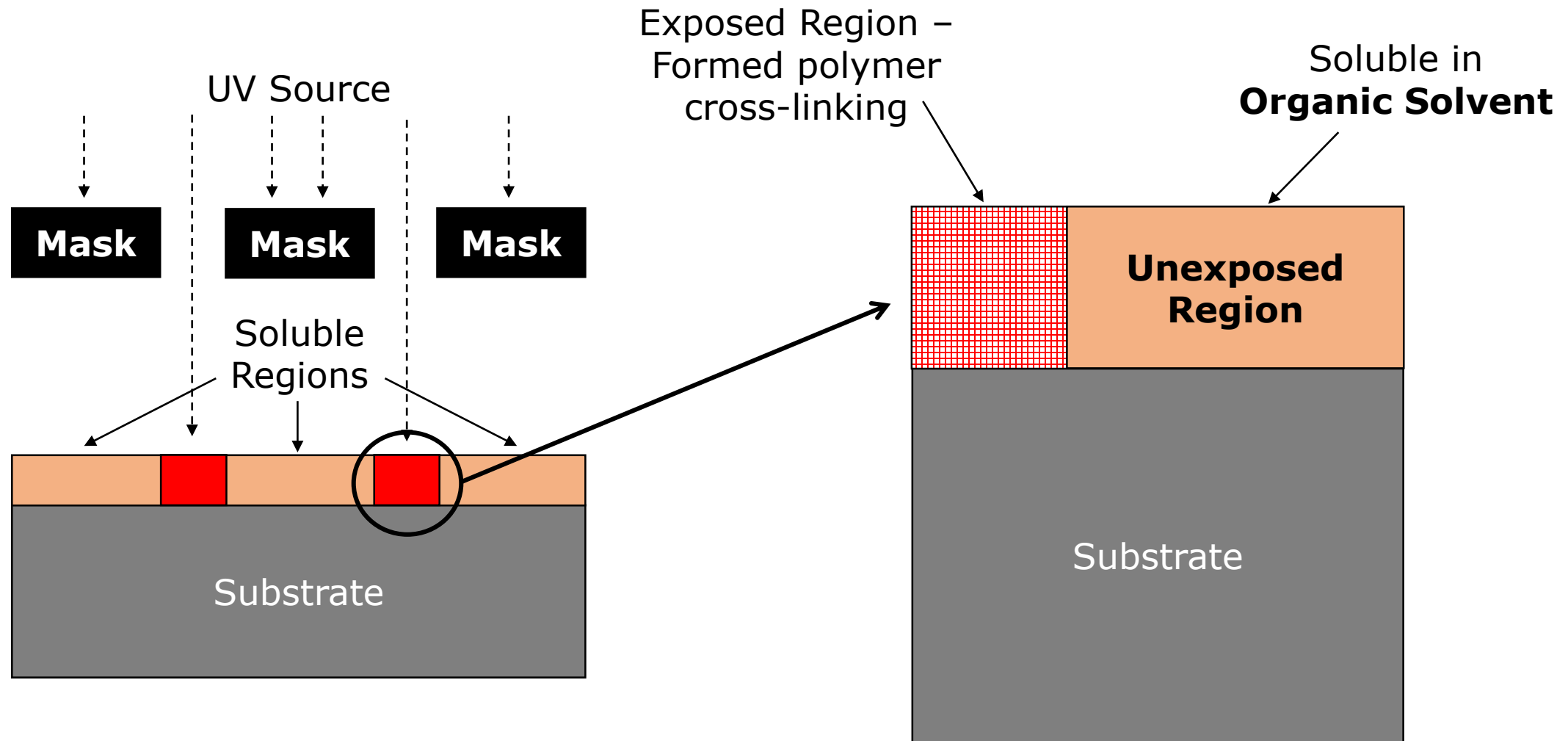
**Unstable compound undergoes Wolff rearrangement to stabilise it.**



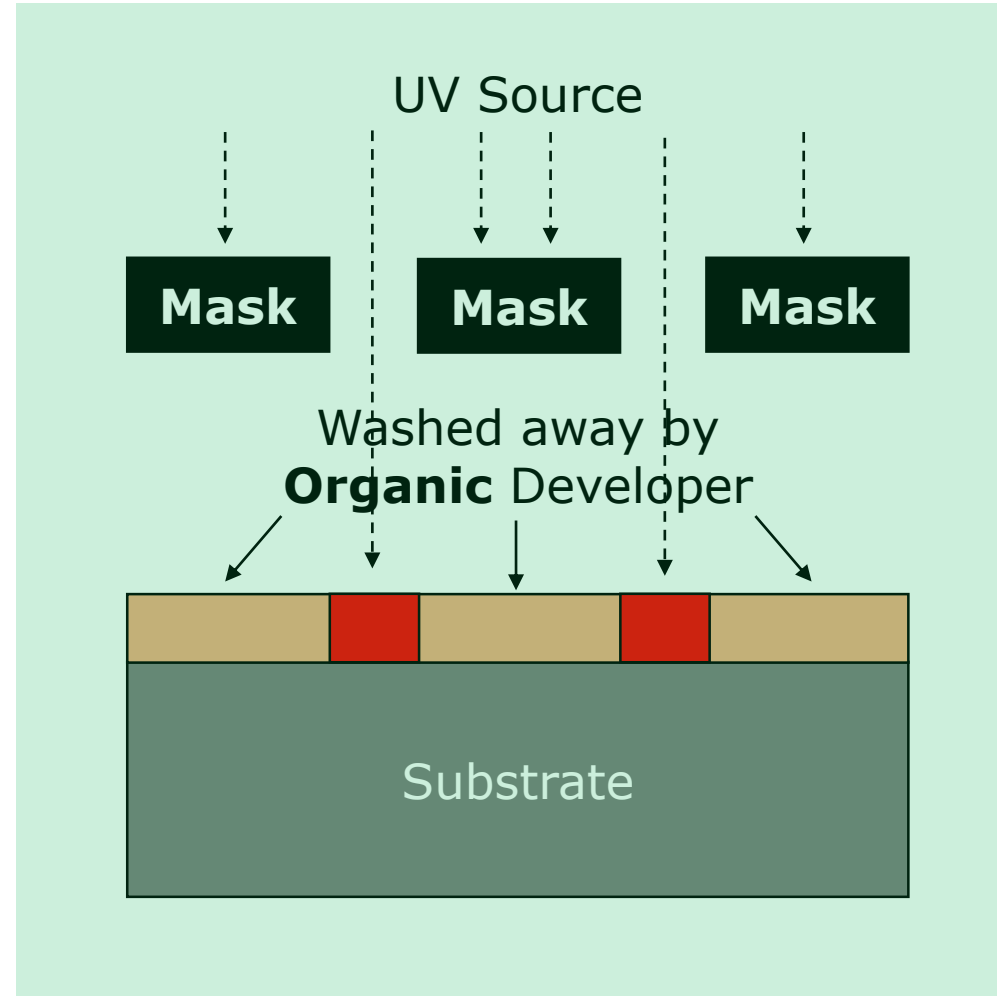
**Pause and read carefully**



**Rapid Process**





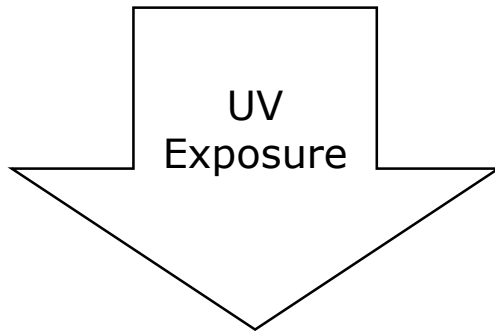




**Pause and  
read  
carefully**

## Positive Resist

Diazoquinones (DQ)  
**Insoluble in developer**

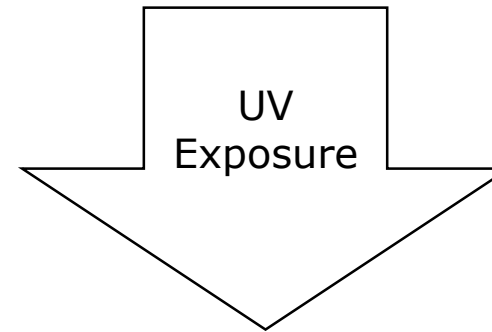


Carboxylic Acid  
**Soluble in Developer**

***Alkaline Developer (KOH)***

## Negative Resist

Natural Rubber-Based Polymer (Polyisoprene)  
**Soluble in Developer**



Cross-Linked Polymer  
**Insoluble in Developer**

***Organic Developer***

Fill in the blanks.

When **positive** resist is exposed to UV source, the light-sensitive chemical in the resist converted into **(carboxylic acid)** groups, which is soluble in **(base)** developer.

When **negative** resist is exposed to UV source, the light-sensitive chemical in the resist forms **(polymer cross-links)**, where the unexposed region can be washed away by **(organic)** developer.



Pause and  
try out this  
question

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## **Metrics of Resist**

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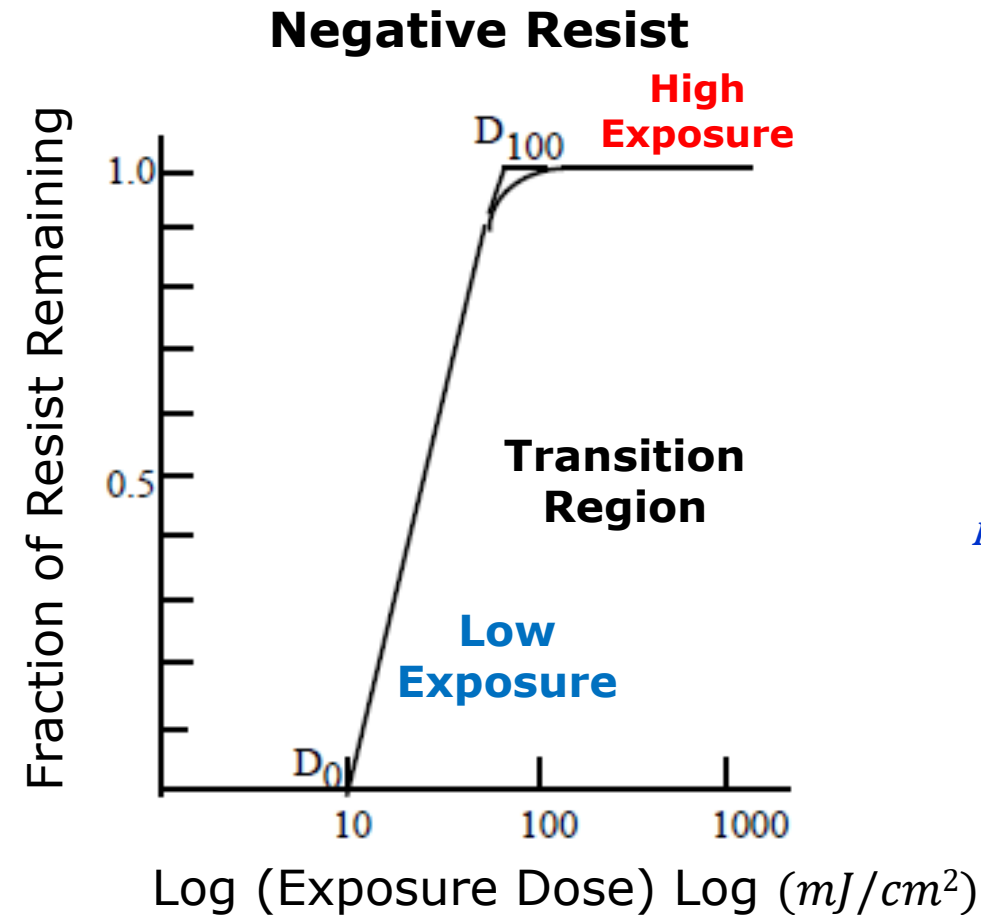
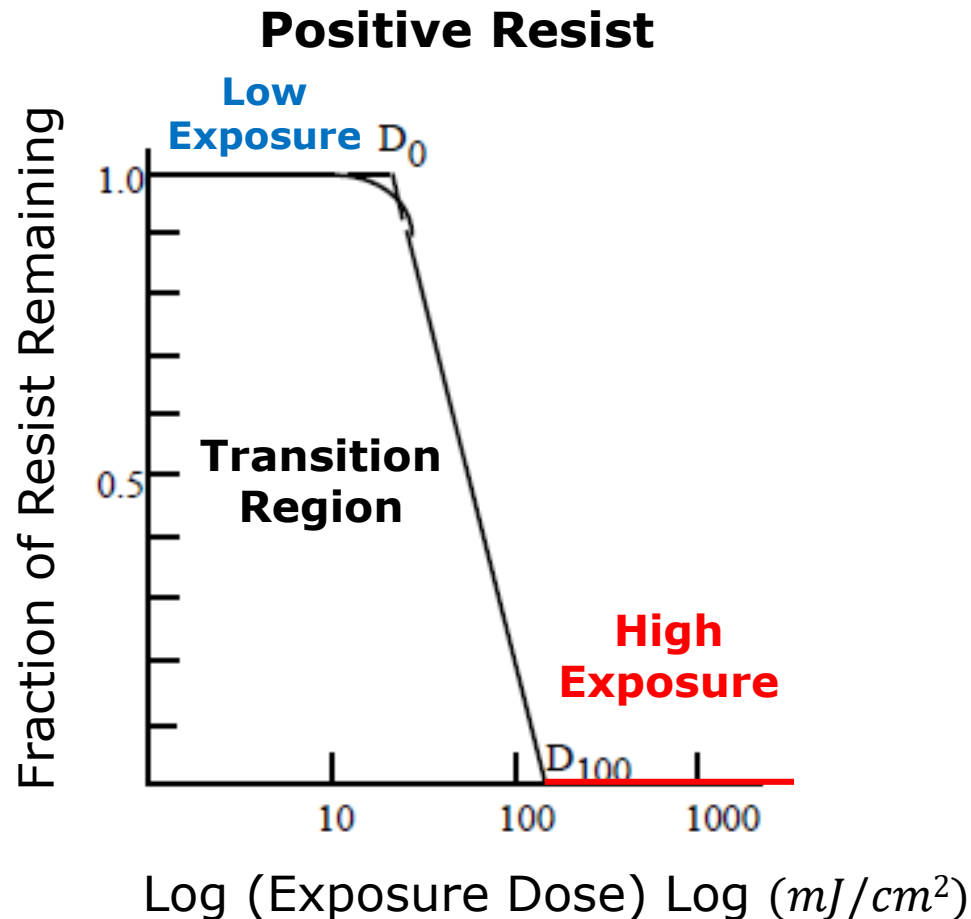
- Adhesion
- Photo activity
- Resolution ←
- Contrast ←
- Viscosity ←
- Sensitivity
- Etch resistance
- Thermal stability

- Resolution: How fine a line the resist can reproduce from an aerial image
- Resolution of resist is determined by:
  - Contrast, thickness, and proximity effects
  - Swelling and contraction after development
- Contrast: Ability of resist to distinguish between transparent and opaque regions of the mask
  - Measured by exposing the resist of given thickness to varying radiation dose and measuring dissolution rate



# Contrast Curve

- The contrast curve of the resist presents the fraction of remaining resist as a function of exposure dose ( $mJ/cm^2$ ).



$$mJ/cm^2 = mW/cm^2 \times sec$$

# Contrast of a Resist

Contrast,  $\gamma$ , can be defined as:

$$\gamma = \left[ \log_{10} \frac{D_{100}}{D_0} \right]^{-1}$$

**$D_{100}$** : Lowest energy dose where **all of the resist is removed**

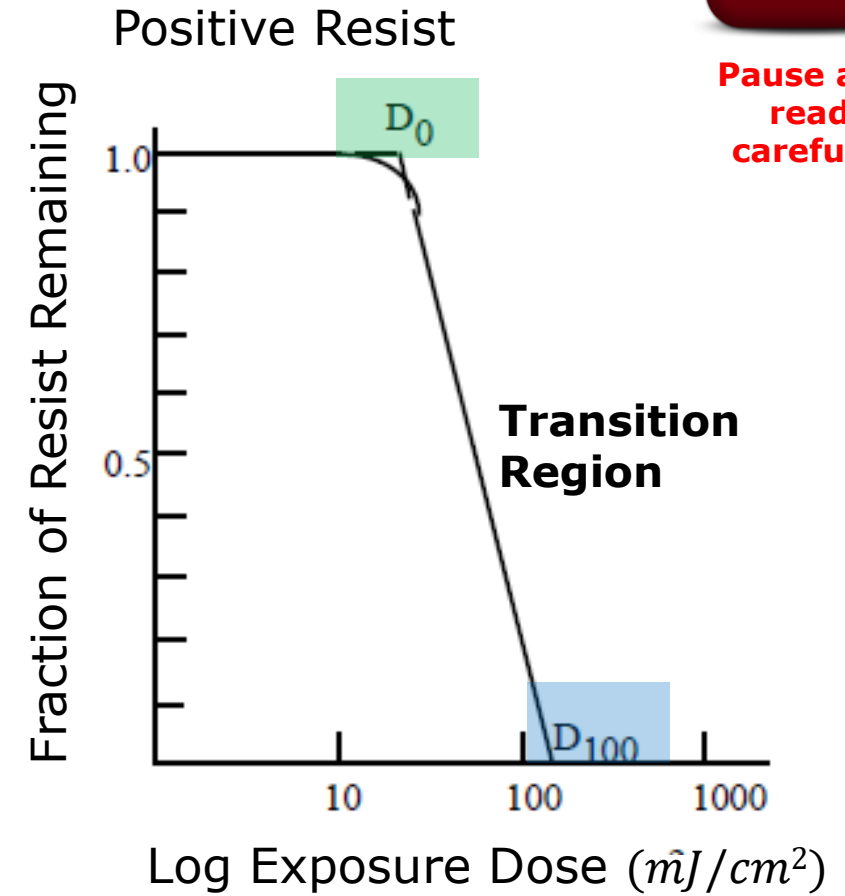
**$D_0$** : Lowest energy dose to **begin to drive the photochemistry**

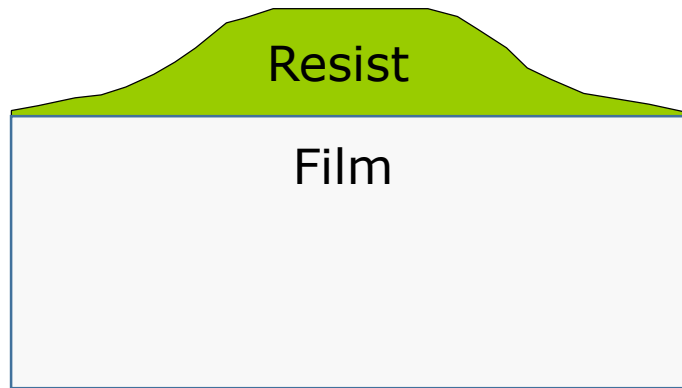
## Contrast

- Measures the **ability** of the resist to **distinguish** between **transparent** and **opaque regions** of the mask
- Higher ability to distinguish → **Higher contrast** → **Sharper edge**



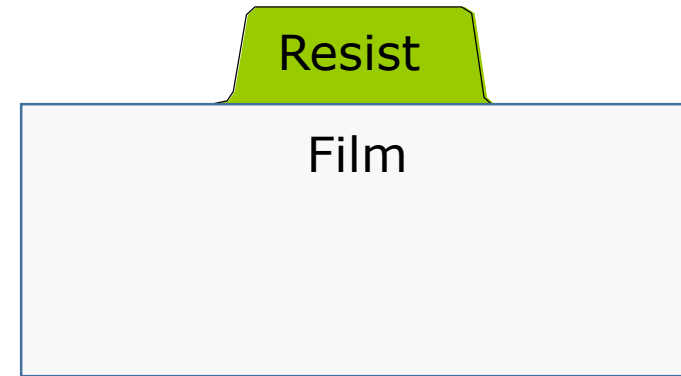
**Pause and read carefully**





## Low Resist Contrast

- Sloped walls
- Swelling
- Poor contrast



## High Resist Contrast

- Sharp edges
- No swelling
- Good contrast

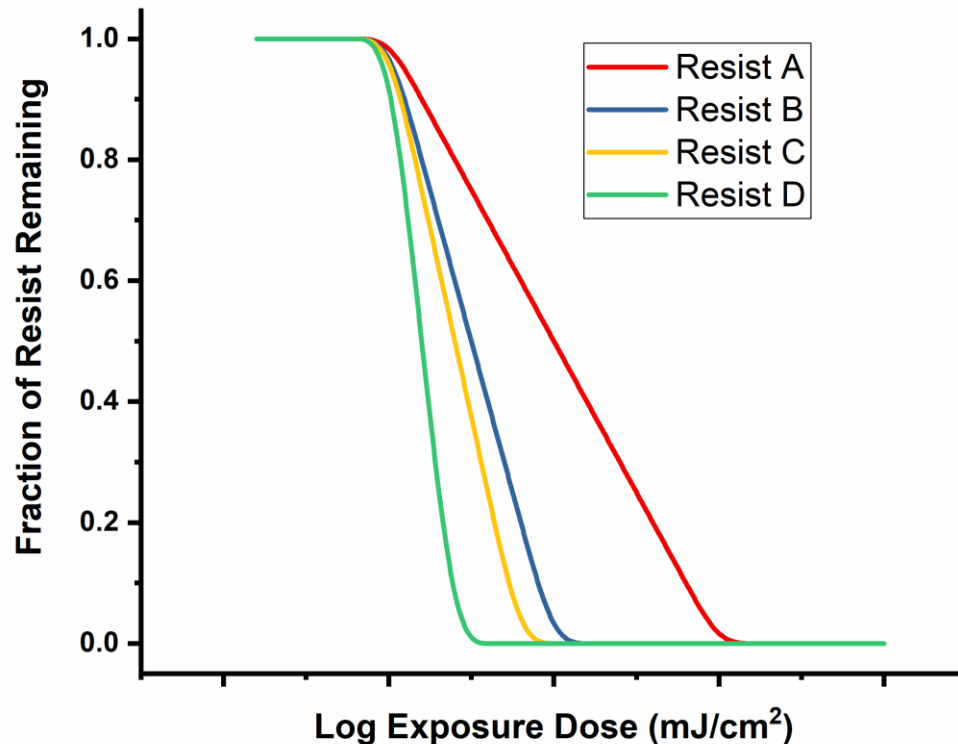
# Practice Question 1

The fabrication process of a device requires resist that is capable of **achieving sharp edges**.



Pause and  
try out this  
question

Which of the following resists is the most suitable for this purpose?

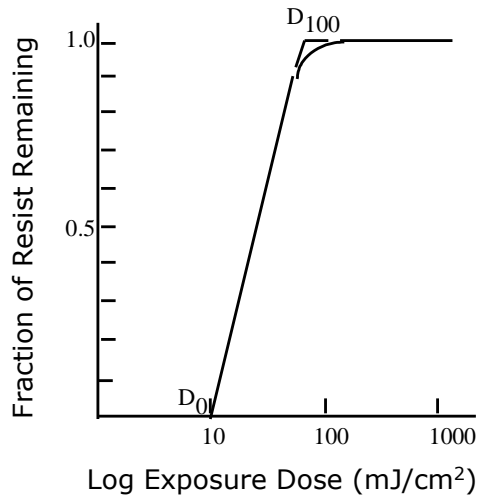


Resist D:

- It has the steepest contrast curve, indicating high contrast value.
- The high contrast value indicates ability to form sharp edges.

# Practice Question 2

Figure shows a contrast curve of a photoresist.



**Pause and  
try out this  
question**

1. What type of photoresist is this?
2. Using the estimated values of  $D_0$  and  $D_{100}$  from the figure, determine the contrast value  $\gamma$ .
3. What happens if the exposure dose is at a point between  $D_0$  and  $D_{100}$ ?
4. Discuss whether the resist contrast should be high or low.

# Practice Question 2



**Pause and  
read  
carefully**

1. Negative Resist

$$2. \gamma = \left[ \log_{10} \frac{D_{100}}{D_0} \right]^{-1} = 1 / \left[ \log_{10} \frac{90}{10} \right] = 1.05$$

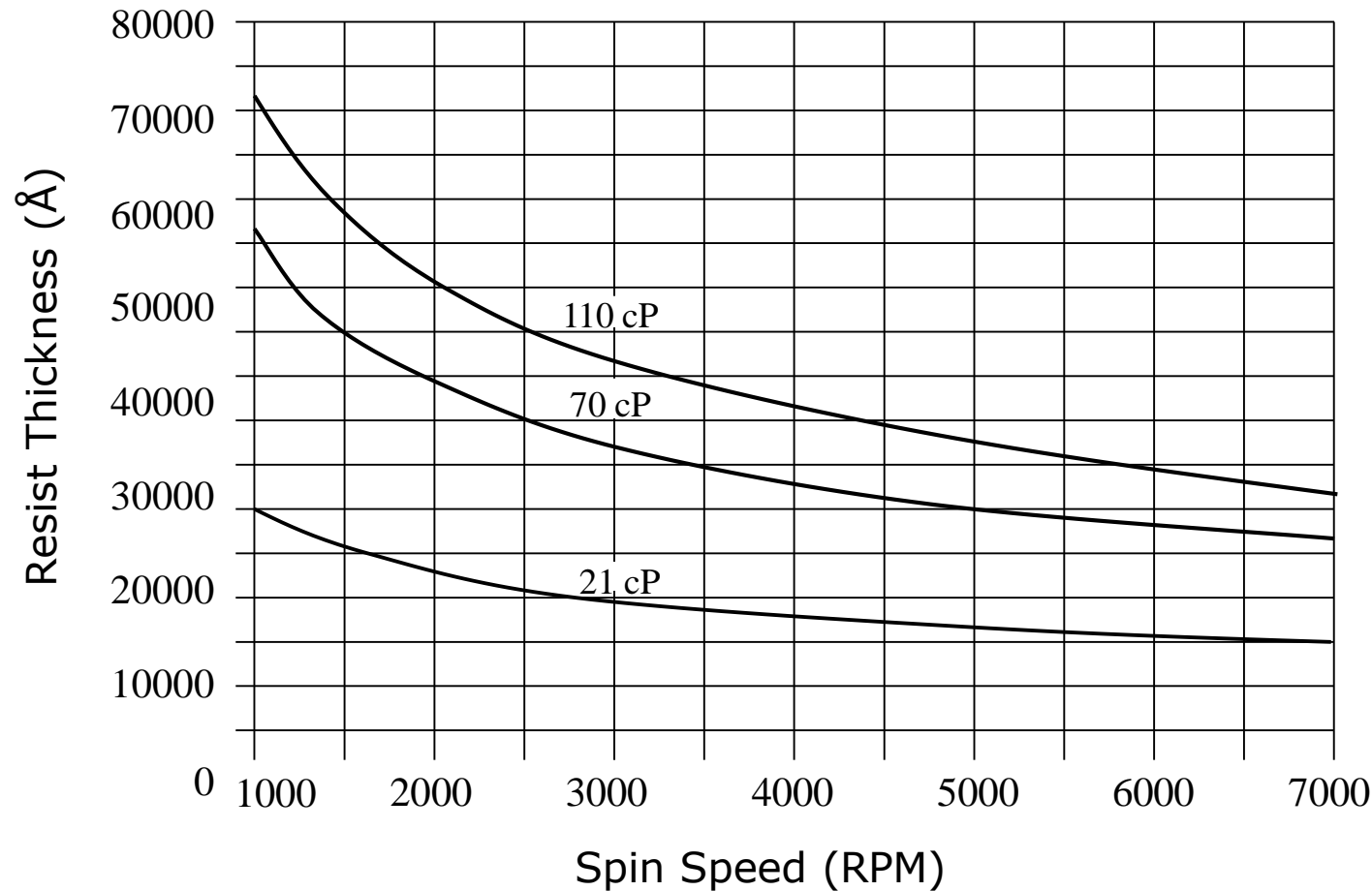
3. Blurred (not sharp) pattern transfer

4. The higher the contrast of the resist, the sharper the line edge. Resist contrast represents the sharpness of the transition from exposure to non-exposure in photoresists. The higher the contrast, the sharper the edge.



# Resist Viscosity

Spin speed curve of IX300 (thick DNQ resist) for different viscosity.



Solvent:

- Keeps photoresist in liquid state
- Allows spin coating of the photoresist
- Solvent content determines resist viscosity and hence, the **thickness**

**Thinner Resist**

$$I_R \cong \left[ \frac{\text{viscosity} \times \text{solid content (\%)}}{\sqrt{\text{spin speed}}} \right]$$

**Higher Spin Speed**

# Practice Question 3

An experiment in a spin coating process shows that a final resist thickness of 320nm is obtained when spun at 3000 rev/min.

- a) Estimate the spin speed, if a 270nm thick coating of the same resist is required.
- b) If the maximum practical spin speed is 4000 rev/min, how would you re-formulate the resist to meet the above required resist thickness of 270nm?

a) Resist Layer Thickness,  $I_R \cong \left[ \frac{\text{viscosity} \times \text{solid content (\%)}}{\sqrt{\text{spin speed}}} \right]$

$$270 = \frac{\sqrt{3000}}{\sqrt{\text{spin speed}_1}} \times 320$$

Therefore new spin speed = 4214 rev/min

- b) If the max speed is restricted to 4000 rev/min, the viscosity and/or the solid content of the resist need to be increased in value.



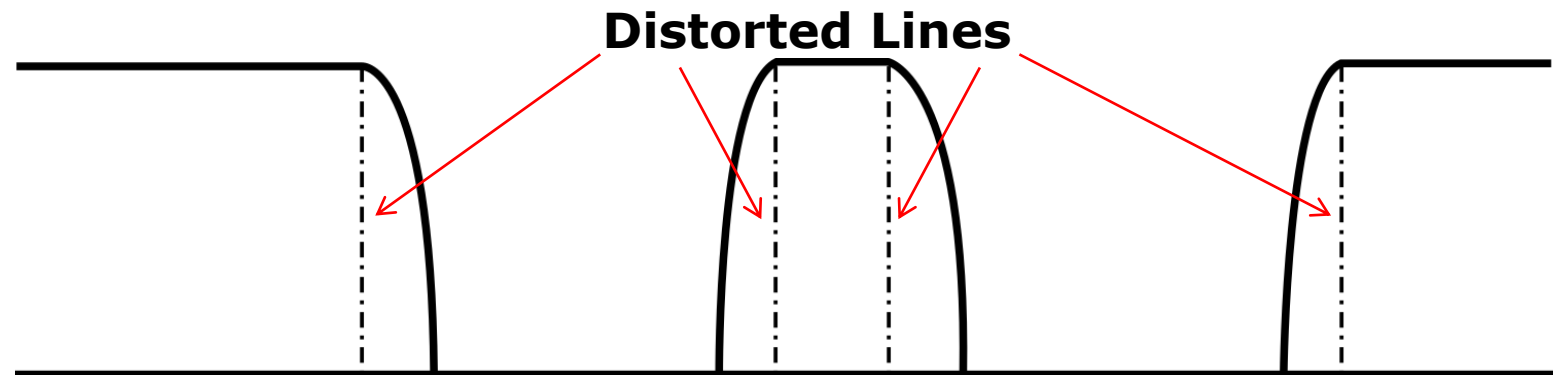
**Pause and  
try out this  
question**

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# **Advantages and Disadvantages of Positive and Negative Resist**

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Advantages	Disadvantage
Well established	<b>Solvent-induced swelling</b>
Shorter exposure time as compared to positive resist, higher throughput	<ul style="list-style-type: none"><li>• Broadening of linewidth during development phase</li><li>• Not suited to features <math>&lt; 2\mu m</math></li></ul>



Developed Negative Resist:

Dashed Lines Indicate Mask Pattern – Solvent-Induced Swelling

# Advantages and Disadvantages of Using Positive Resist



**Pause and  
read  
carefully**

Advantages	Disadvantage
Does not suffer from swelling	Lower throughput: Requires much larger energy and longer exposure time
Better resolution	
Thick resist available (for etching)	

**Etching will be discussed  
in the coming lecture**

# Advantages and Disadvantages of Resists



**Pause and  
read  
carefully**

Advantages	Disadvantage
Well established	<b>Solvent-induced swelling</b> <ul style="list-style-type: none"> <li>Broadening of linewidth during development phase</li> <li>Not suited to features <math>&lt; 2\mu\text{m}</math></li> </ul>
Shorter exposure time as compared to positive resist, higher throughput	

**Negative Resist**

Advantages	Disadvantage
Does not suffer from swelling	Lower throughput – requires much larger energy and longer exposure time
Better resolution	
Thick resist available (for etching)	

**Positive Resist**



## **Resist technology:**

- Positive resist forms carboxylic groups after photochemical reaction with UV light, enabling it to be dissolved in the base developer.
- Negative resist forms polymer cross-linking after photochemical reaction with UV light, preventing it from dissolving in the organic developer.
- The important metrics of resist include resolution, contrast, and viscosity.

# Practice Question 1

For the next nine statements, choose the type of photoresist, positive (+) or negative (-), that matches the accompanying statement.



**Pause and  
try out this  
question**

- |    |                                    |                                    |   |
|----|------------------------------------|------------------------------------|---|
| a) | <input checked="" type="radio"/> + | <input type="radio"/> -            | Undergoes a chemical change when exposed to UV light.                   |
| b) | <input type="radio"/> +            | <input checked="" type="radio"/> - | The exposed regions become crosslinked and hardened.                    |
| c) | <input checked="" type="radio"/> + | <input type="radio"/> -            | The exposed regions become soluble and soft.                            |
| d) | <input checked="" type="radio"/> + | <input type="radio"/> -            | The resulting pattern is exactly the same as the mask.                  |
| e) | <input type="radio"/> +            | <input checked="" type="radio"/> - | The resulting pattern is opposite of the mask pattern.                  |
| f) | <input type="radio"/> +            | <input checked="" type="radio"/> - | Swells up during the develop process, which limits Critical Dimensions. |
| g) | <input checked="" type="radio"/> + | <input type="radio"/> -            | Has the best resolution of the two types of resists.                    |
| h) | <input checked="" type="radio"/> + | <input type="radio"/> -            | The dominant resist type for use in VLSI processing.                    |
| i) | <input checked="" type="radio"/> + | <input type="radio"/> -            | The preferred resist for use in submicron lithography.                  |

# Practice Question 2

An optical lithography system has an exposure power of  $0.3 \text{ mW/cm}^2$ . The required exposure energy for Resist A is  $10 \text{ mJ/cm}^2$ , and for Resist B is  $130 \text{ mJ/cm}^2$ .

- a) Compare the wafer throughput for Resist A and Resist B. Assume negligible time for setting up the wafers.
- b) Identify the type of photoresists for Resist A and Resist B. State the reason for your answers.

Answers:

- a) Time taken for Resist A =  $10/0.3 = 33 \text{ sec}$  – faster throughput  
Time taken for Resist B =  $130/0.3 = 433 \text{ sec}$  – lower throughput
- b) Resist B is likely to be a positive resist. Require much larger energy, and longer exposure time, thus lower throughput. Resist A is likely to be a negative photoresist.



**Pause and  
try out this  
question**