

# EE2003 SEMICONDUCTOR FUNDAMENTALS

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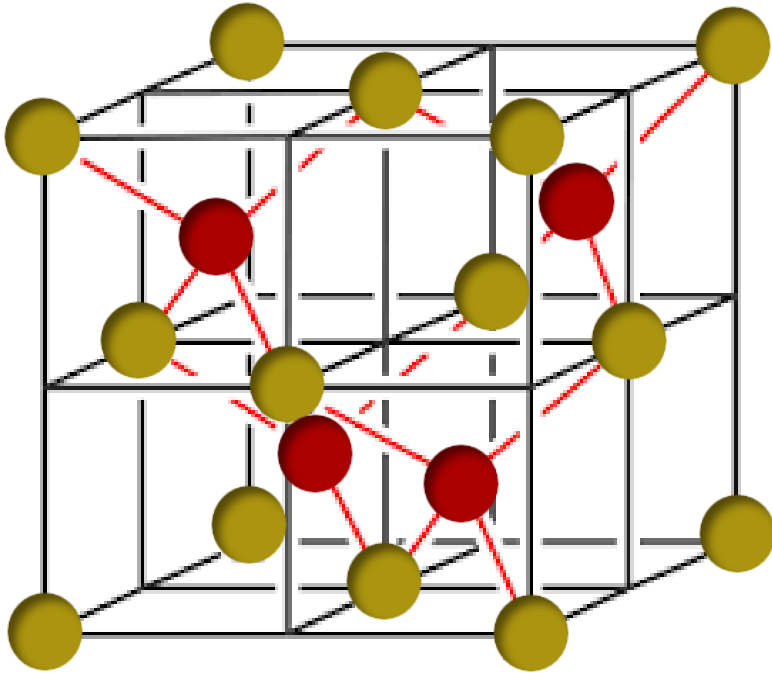
**Tutorial 3: Si/GaAs Crystal Structure;  
Energy Band**

# Question 1

For the unit cell of the silicon crystal with lattice constant of 5.43 Å,

- a) determine the number of atoms in the unit cell,
- b) calculate the **shortest distance** between any two atoms,
- c) calculate the volume density of silicon atoms (number of atoms/cm<sup>3</sup>) in the crystal,
- d) calculate the mass density of silicon, given that the **atomic weight** of silicon is 28.09 and **Avogadro's number** is  $6.02 \times 10^{23}$  atoms or molecules/mole.
- e) calculate the density of **valence electrons** in silicon.

1(a) determine the number of atoms in the unit cell



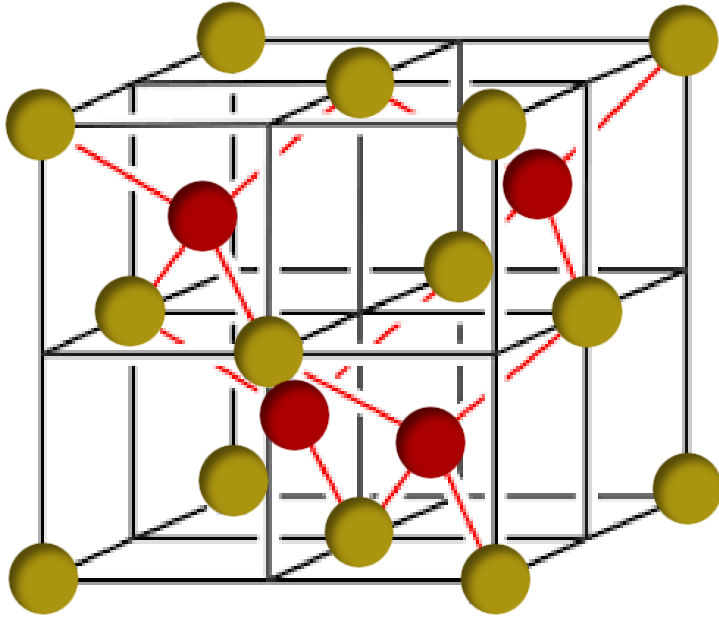
**Corners:**  $\frac{1}{8} \times 8 = 1$

**Faces:**  $\frac{1}{2} \times 6 = 3$

**Internal:** 4

**Total:** 8

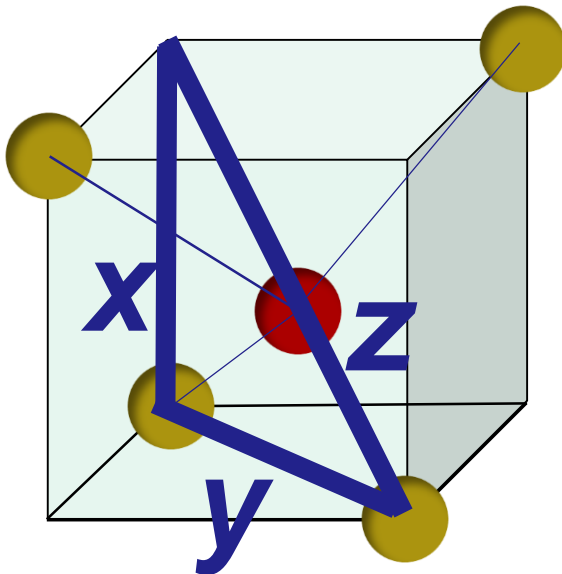
1(b) calculate the **shortest distance** between two atoms



$$x = \frac{a}{2}$$

$$y = \sqrt{\left(\frac{a}{2}\right)^2 + \left(\frac{a}{2}\right)^2} = \frac{a}{\sqrt{2}}$$

$$z = \sqrt{x^2 + y^2} = \frac{\sqrt{3}}{2} a$$



$$\text{shortest distance} = \frac{z}{2} = \frac{\sqrt{3}}{4} a$$

1(c) calculate the volume density of silicon atoms  
(number of atoms/cm<sup>3</sup>) in the crystal

$$\begin{aligned}\text{Volume density} &= \frac{\text{no. of atoms in one unit cell}}{\text{vol. of unit cell}} \\ &= \frac{8}{\left(5.43 \times 10^{-8} \text{ cm}\right)^3} = 5 \times 10^{22} \text{ atoms/cm}^3\end{aligned}$$

1(d) calculate the mass density of silicon, given that the **atomic weight** of silicon is 28.09 and **Avogadro's number** is  $6.02 \times 10^{23}$  atoms or molecules/mole.

**1 mole of Si has  $6.02 \times 10^{23}$  atoms (Avogadro's number)**

**1 mole of Si has a mass of 28.09 g (atomic weight)**

**1 atom of Si has a mass of  $\frac{28.09}{6.02 \times 10^{23}}$  g**

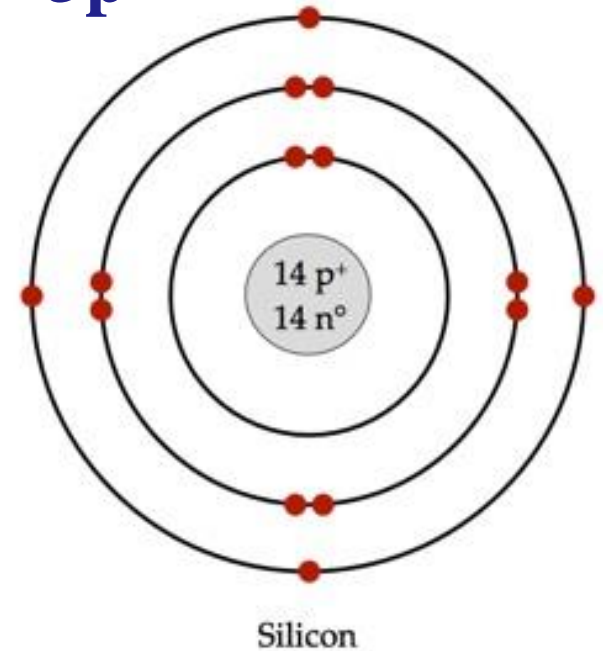
**1 cm<sup>3</sup> of Si has a mass of  $\frac{28.09}{6.02 \times 10^{23}} \times 5 \times 10^{22} = 2.33$  g**

**Mass density of Si is 2.33 g cm<sup>-3</sup>**

1(e) calculate the density of **valence electrons** in silicon



1 atom of Si has **4**  
valence electrons



1 cm<sup>3</sup> of Si has  $5 \times 10^{22}$  atoms

1 cm<sup>3</sup> of Si has  $4 \times 5 \times 10^{22} = 2 \times 10^{23}$  valence electrons

Density of valence electrons is  $2 \times 10^{23}$  valence electrons

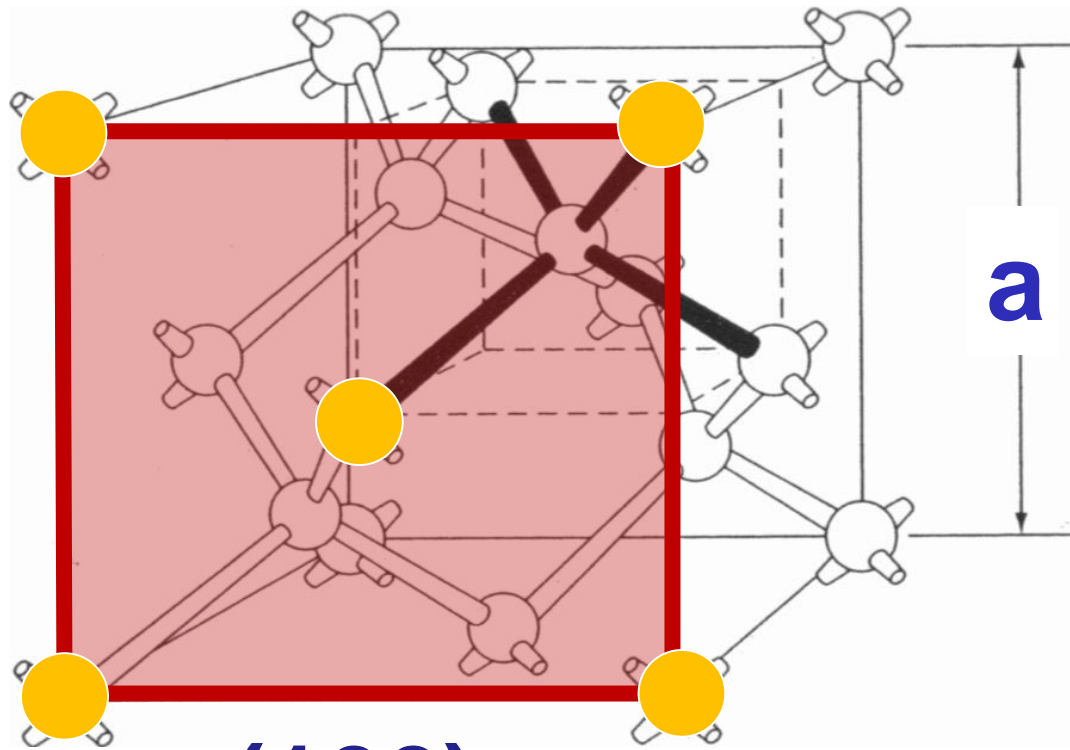
## Question 2

Refer to Figure 2.7 (the diamond structure for Si) of your lecture notes.

- (a) The surface of a Si wafer is a (100) plane. Sketch the placement of Si atoms on the surface of the wafer.
- (b) Determine the number of atoms per  $\text{cm}^2$  at the surface of the wafer. Take Si lattice constant as  $5.43\text{\AA}$ .
- (c) Repeat parts (a) and (b), this time taking the surface of the Si wafer to be a (110) plane.

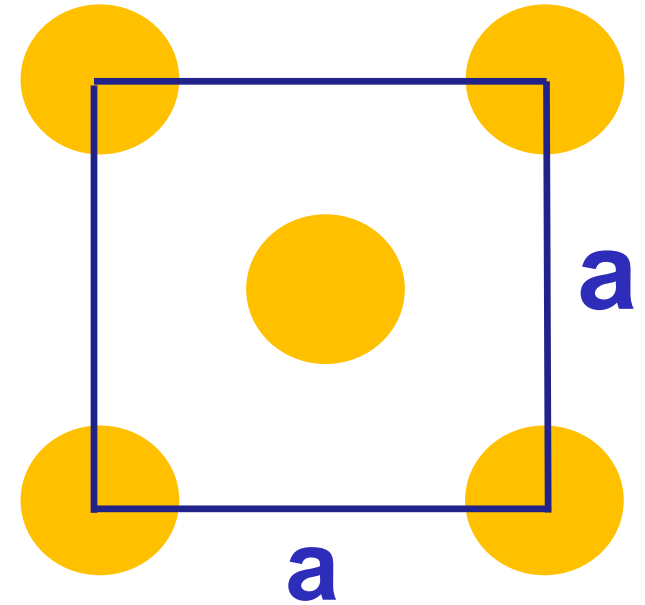


# Si Unit Cell



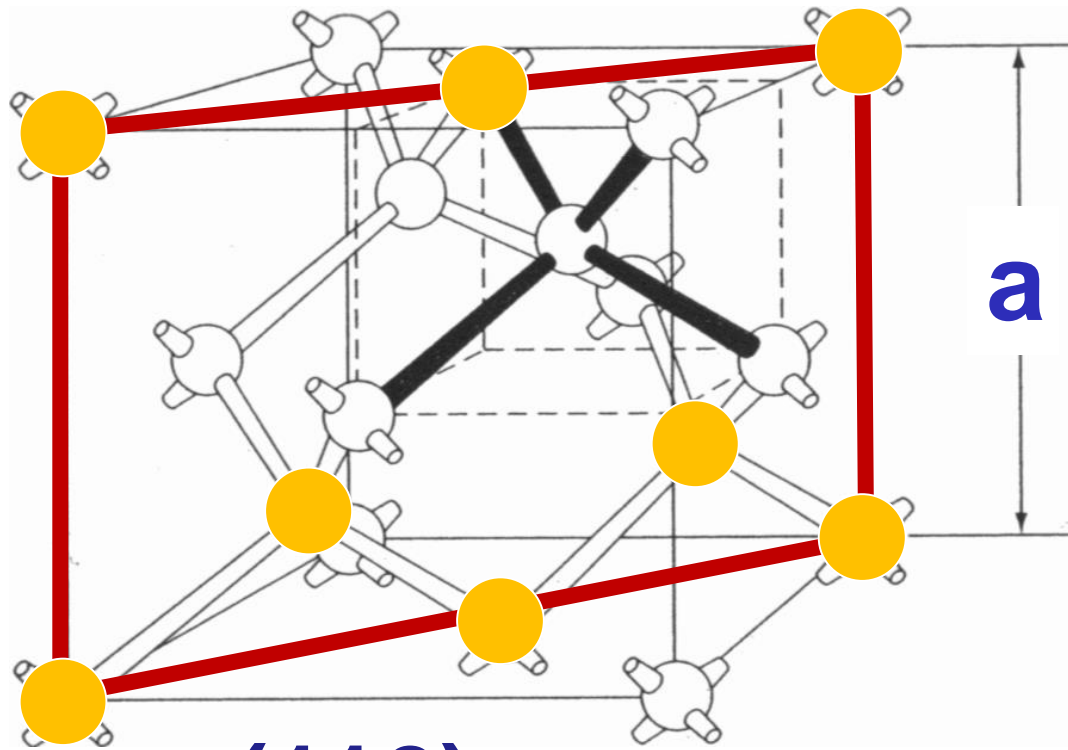
**(100)**

**Area of plane:  $a^2$**



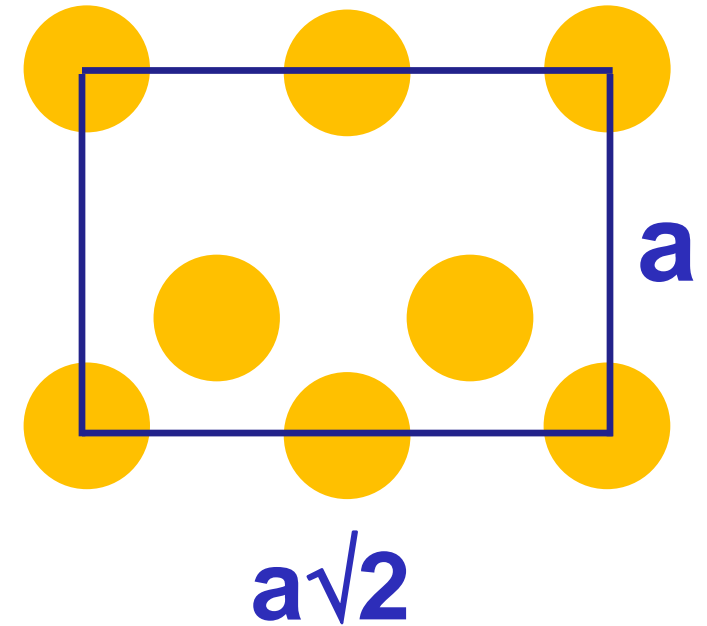
**No. of atoms  
on the plane: 2**

# Si Unit Cell



**(110)**

**Area of plane:  $a^2\sqrt{2}$**

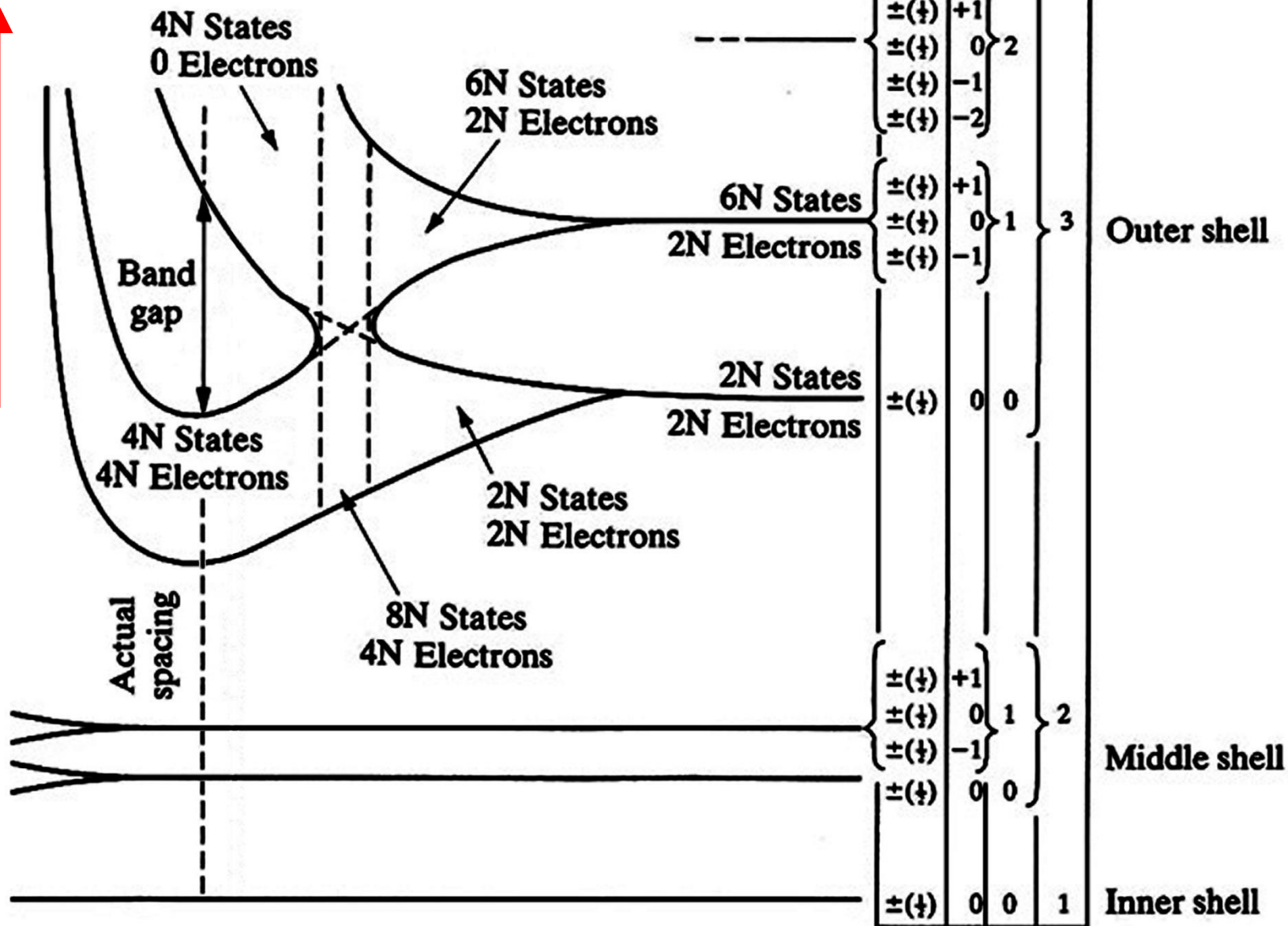


**No. of atoms  
on the plane: 4**

## Question 3

In Fig. 2.1, the formation of energy bands and forbidden band of silicon are depicted. If the equilibrium lattice spacing were to change by a small amount, discuss how you would expect the electrical properties of silicon to change. Explain why the energy bandgap decreases as temperature increases.

potential energy of electron



distance between atoms

potential energy of electron



distance between atoms  $\uparrow$

bandgap energy  $\downarrow$

no. of electrons in conduction band  $\uparrow$

no. of holes in valence band  $\uparrow$

resistance  $\downarrow$



Actual spacing

4N States  
0 Electrons

4N States  
4N Electrons

8N States  
4N Electrons

2N Electrons

2N Electrons

6N States  
2N Electrons

6N States  
2N Electrons

| s                  | m       | l | n |
|--------------------|---------|---|---|
| $\pm(\frac{1}{2})$ | $\pm 2$ |   |   |
| $\pm(\frac{1}{2})$ | +1      |   |   |
| $\pm(\frac{1}{2})$ | 0       | 2 |   |
| $\pm(\frac{1}{2})$ | -1      |   |   |
| $\pm(\frac{1}{2})$ | -2      |   |   |
| $\pm(\frac{1}{2})$ | +1      |   |   |
| $\pm(\frac{1}{2})$ | 0       | 1 |   |
| $\pm(\frac{1}{2})$ | -1      |   |   |
| $\pm(\frac{1}{2})$ | 0       | 0 |   |
| $\pm(\frac{1}{2})$ | +1      |   |   |
| $\pm(\frac{1}{2})$ | 0       | 1 |   |
| $\pm(\frac{1}{2})$ | -1      |   |   |
| $\pm(\frac{1}{2})$ | 0       | 0 |   |
| $\pm(\frac{1}{2})$ | 0       | 0 | 1 |

Outer shell

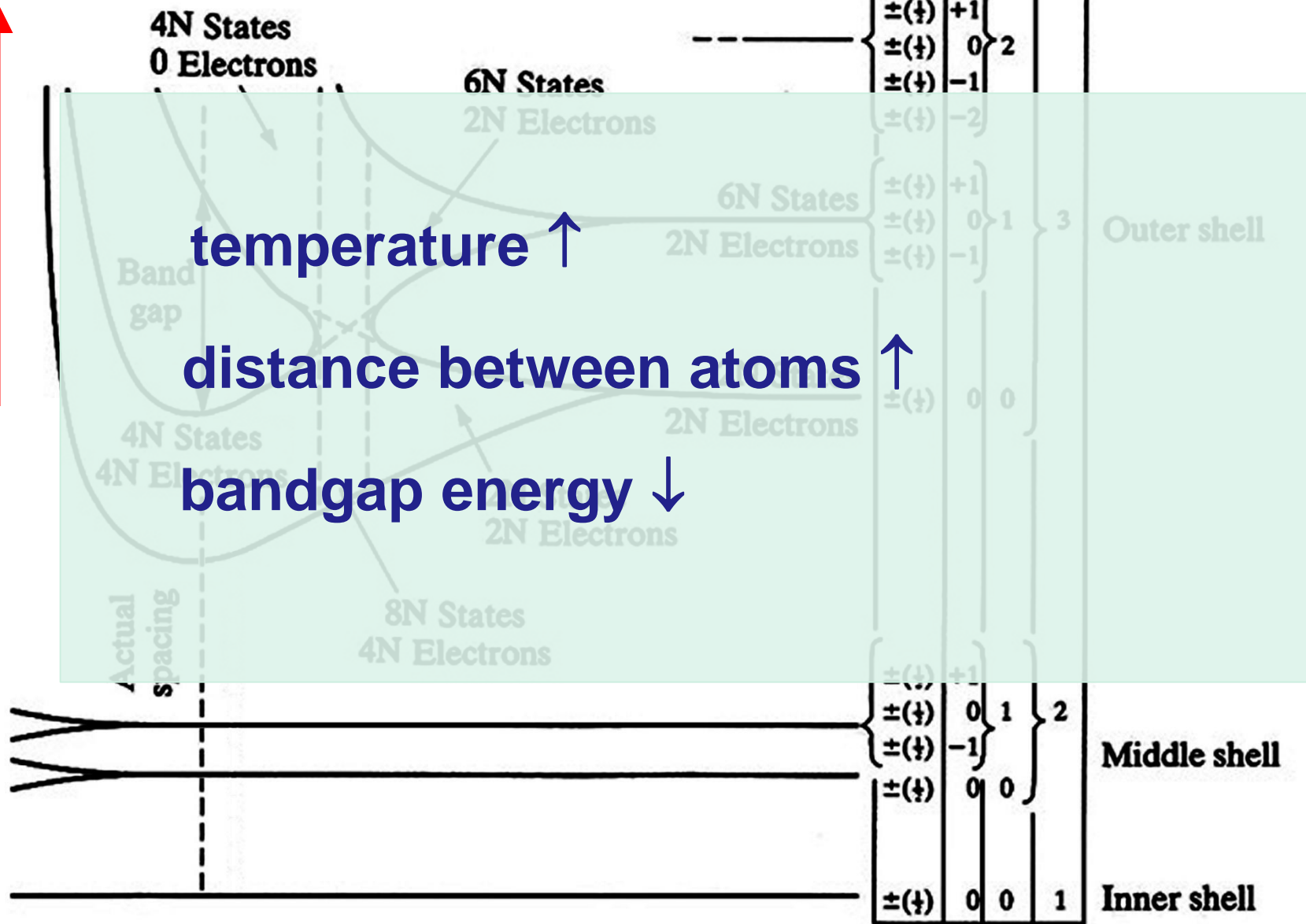
Middle shell

Inner shell

distance between atoms



potential energy of electron

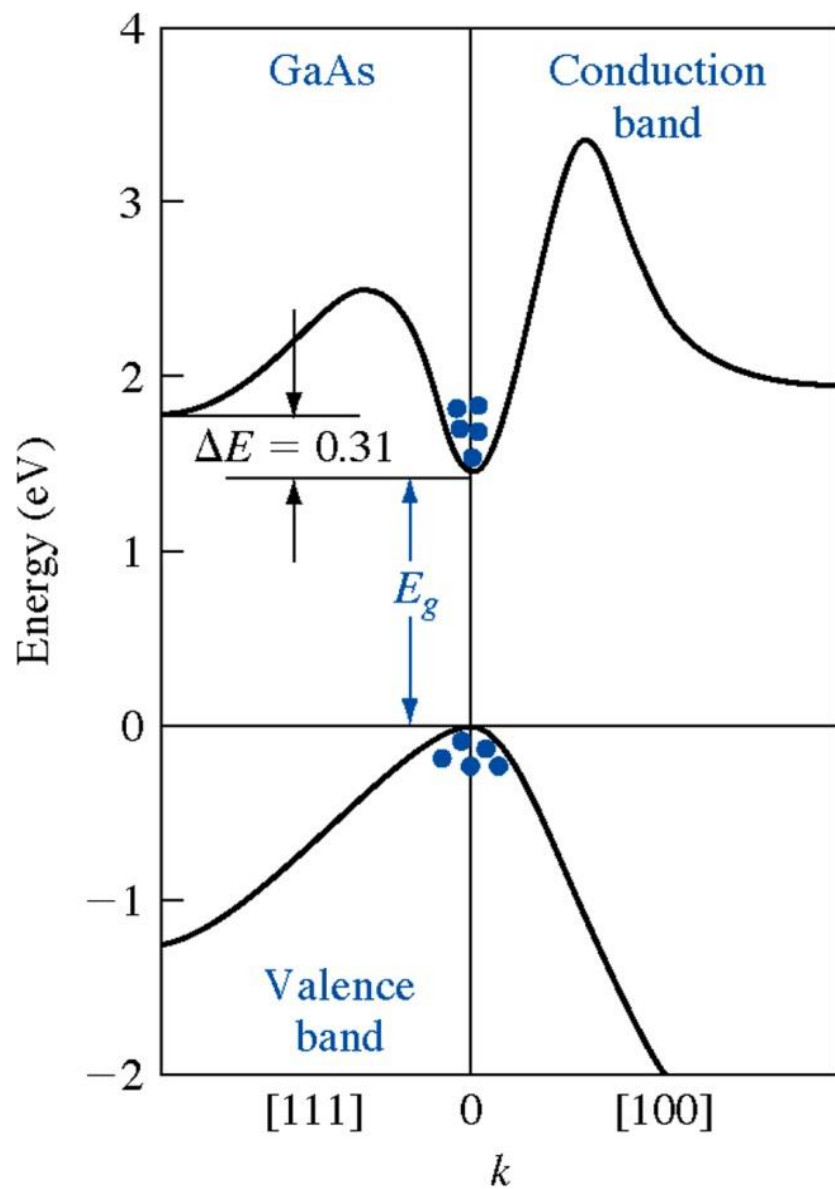


distance between atoms

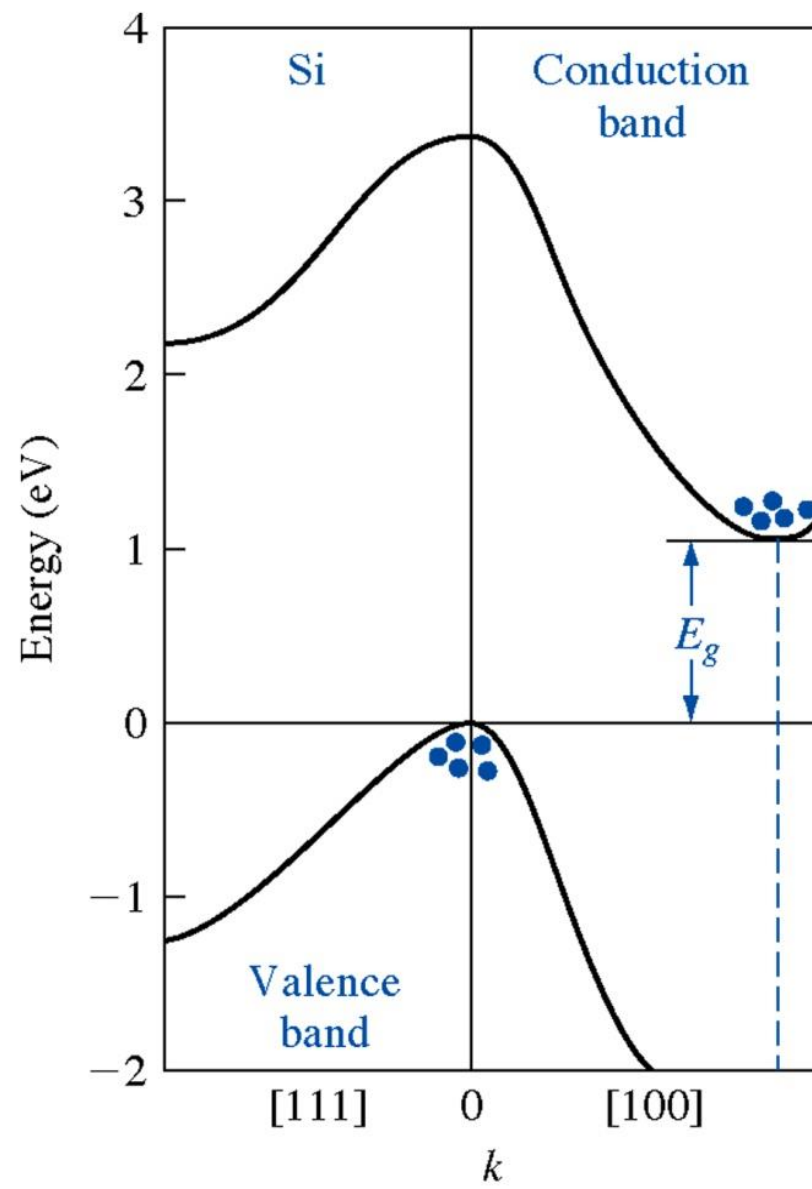
## Question 4

Considering the  $E$ - $k$  diagram in Fig. 2.2 for Si and GaAs:

- (a) Which material has a lower electron effective mass in the conduction band?
- (b) Which of these would you expect to produce photons (light) more efficiently through electron-hole recombination?
- (c) Consistent with your answer to part (b), what would you expect the energy of the emitted photons to be? What would be their wavelength in  $\mu\text{m}$ ? You can use  $E_g(\text{Si}) = 1.11 \text{ eV}$ , and  $E_g(\text{GaAs}) = 1.43 \text{ eV}$ .



(a)



(b)

Fig. 2.2 Energy band structure of (a) GaAs and (b) Si.



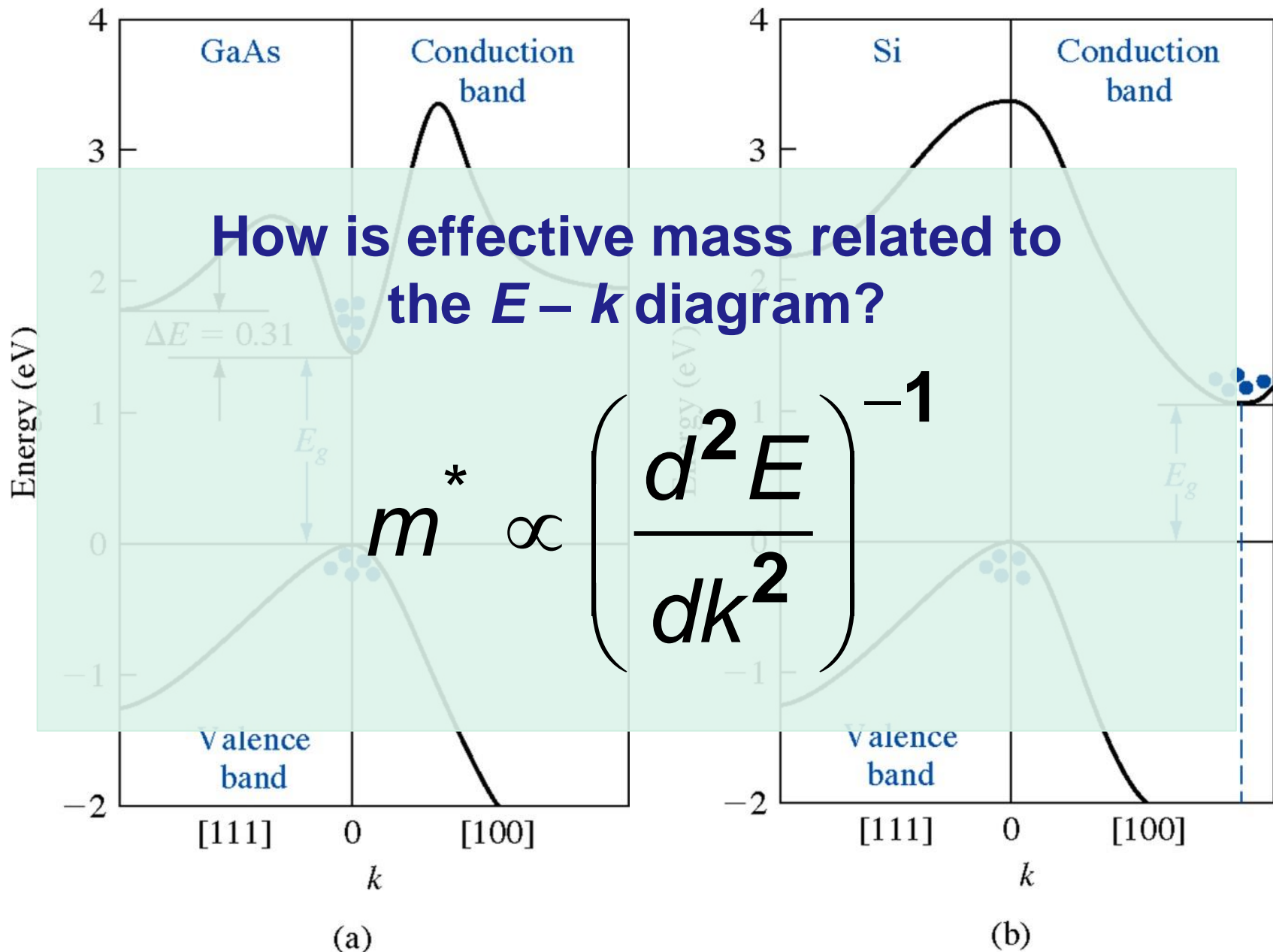


Fig. 2.2 Energy band structure of (a) GaAs and (b) Si.

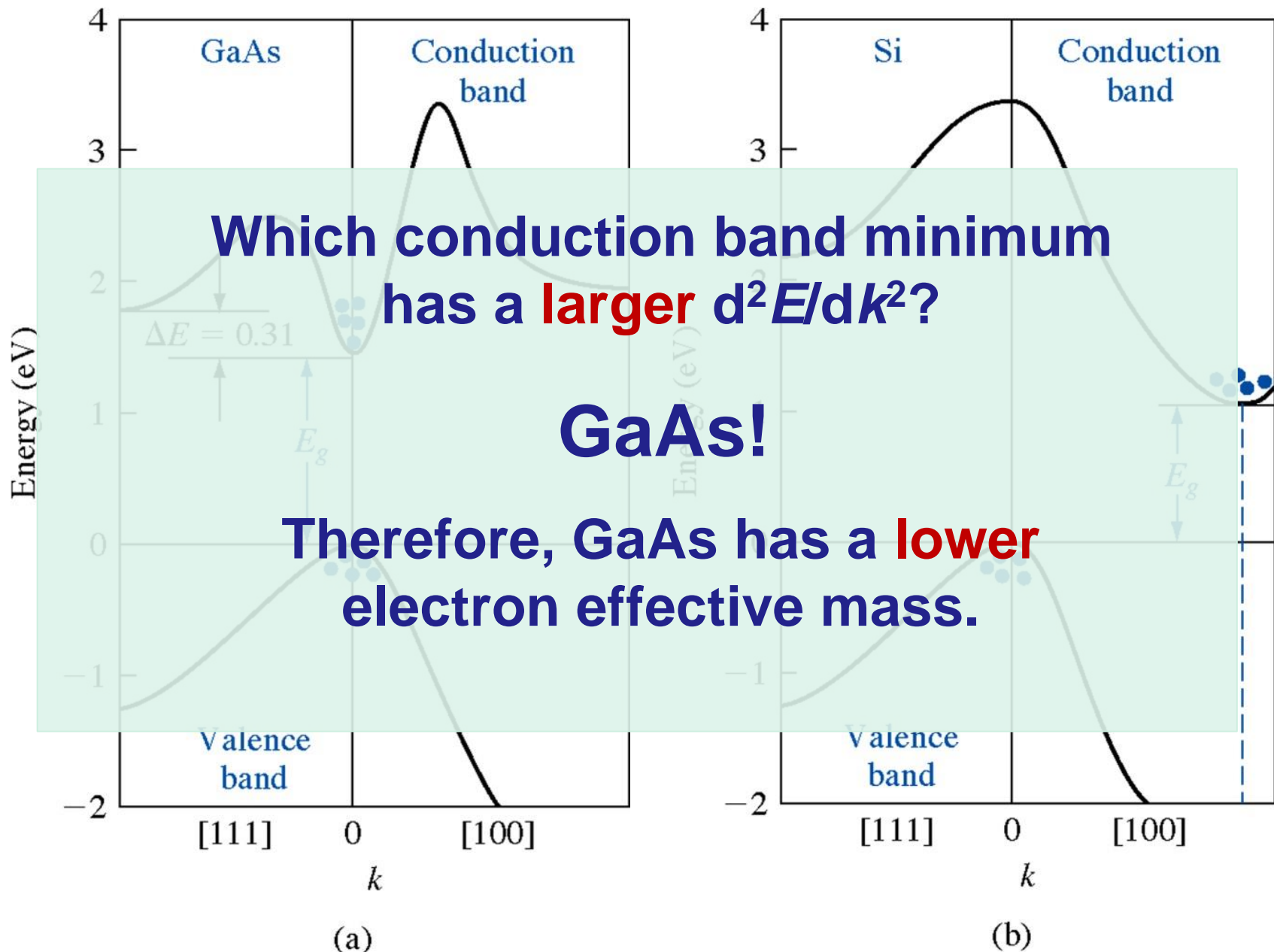


Fig. 2.2 Energy band structure of (a) GaAs and (b) Si.

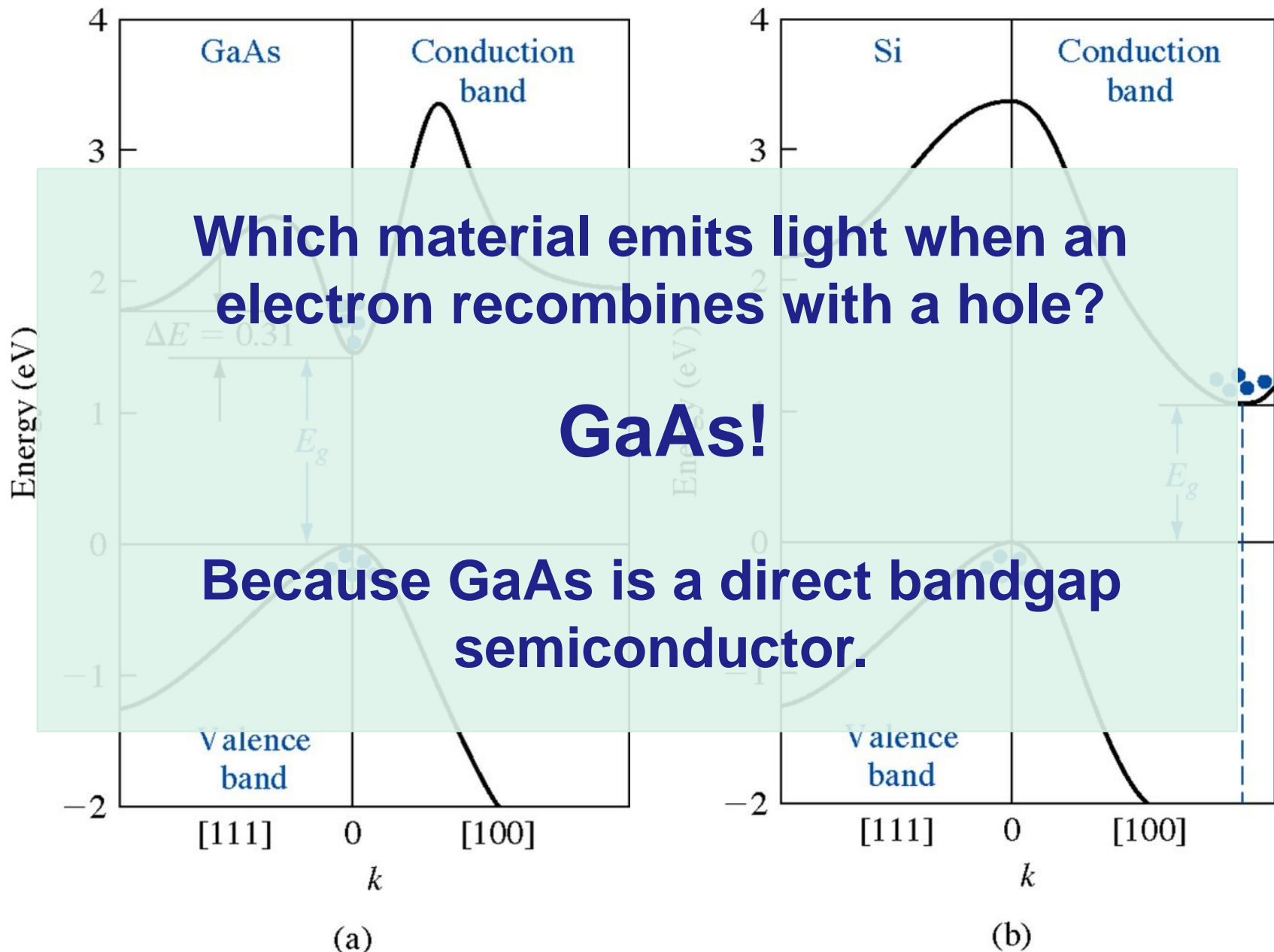
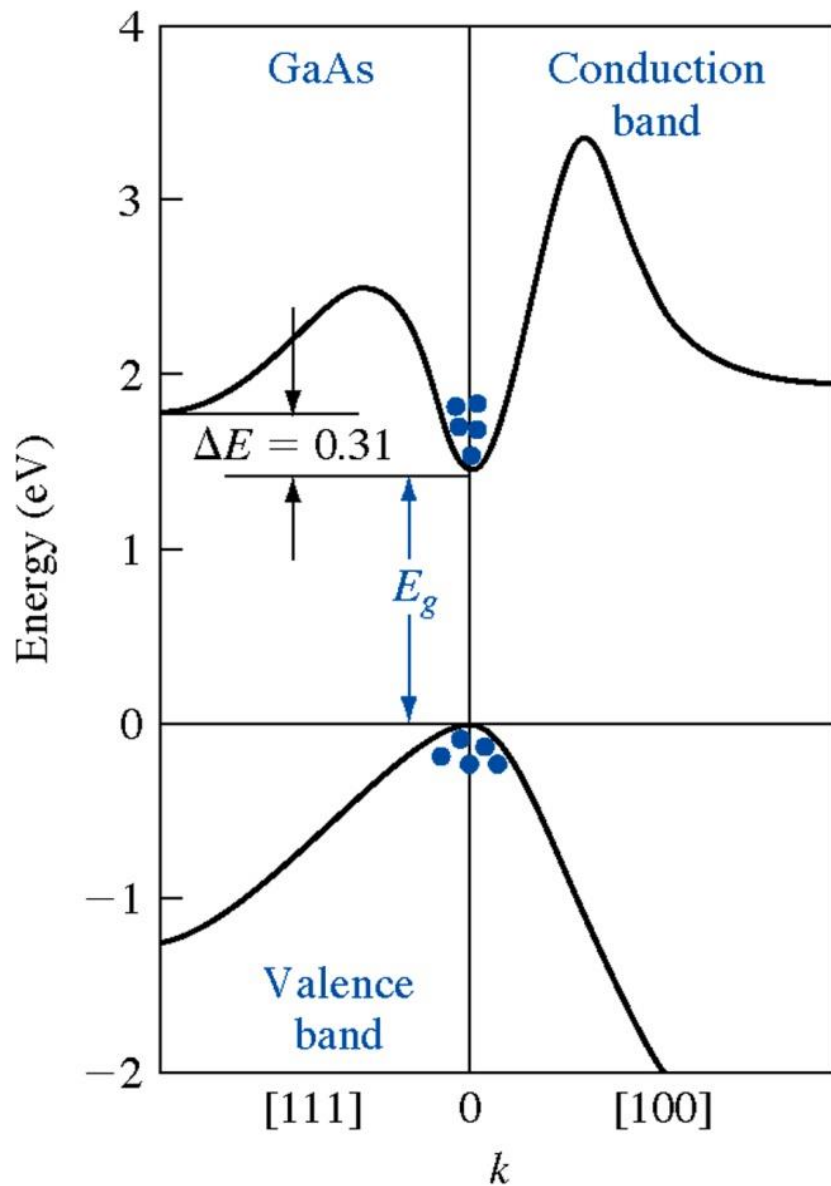


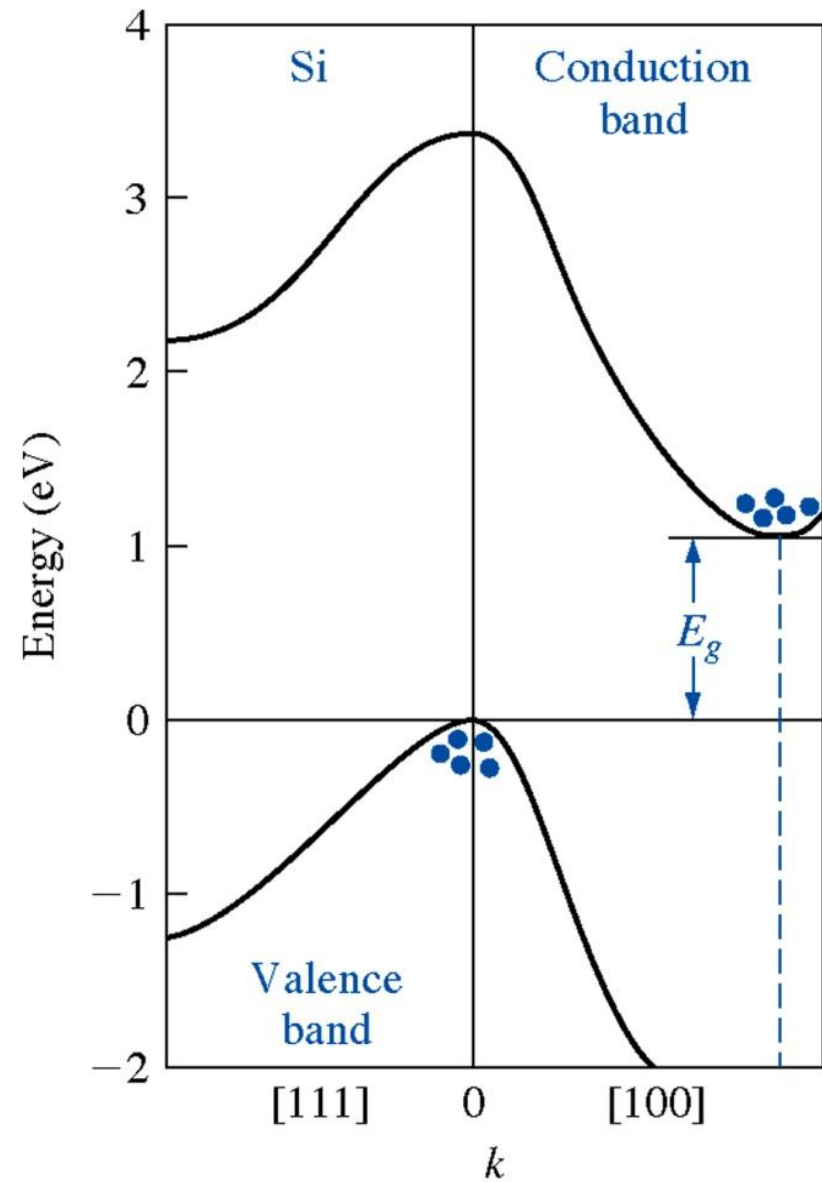
Fig. 2.2 Energy band structure of (a) GaAs and (b) Si.



**direct bandgap**

- **electrons and holes have the same wave vector**
- **hence, they can readily recombine**
- **energy difference is converted into light**

- electrons have a different wave vector from the holes
- hence, they cannot readily recombine
- Phonons (lattice vibrations) needed to change the electron wave vector to become the same as the holes
- Due to this interaction between electrons and phonons, energy difference is converted into **heat**



**indirect bandgap**

$$E_{ph} = E_g = hf = \frac{hc}{\lambda}$$

$$\lambda = \frac{hc}{E_g} = \frac{6.63 \times 10^{-34} \times 3 \times 10^8}{1.43 \times 1.6 \times 10^{-19}} = 0.87 \mu\text{m}$$

**$h$  – Planck's constant**

**$c$  – speed of light**

**$f$  – frequency**

**$\lambda$  – wavelength of light**