The 2821 Star Southern Hemisphere Optical SETI Survey

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ABSTRACT

We examined archived observations of 2,821 stars taken by the high-resolution ESO HARPS spectrograph to search for potential narrow-band laser emissions from extraterrestrial sources. From one observation of each star, our search algorithm identified a total of 285 spectral peaks with line widths slightly larger than the instrument's point-spread function. After eliminating false positives (including cosmic rays, instrumental artifacts, and terrestrial airglow lines), we identified 8 sources worthy of follow-up observations. We then analyzed all 1,835 additional observations of these follow-up targets, looking for recurring signals. We found 1 additional unexplained candidate in this followup search, but no candidate spikes which repeated at the same wavelength as one of the initial candidates at a later time. Further analysis identified one candidate as a likely faint airglow line, and another as an unknown terrestrial source, leaving 7 unexplained candidates which currently show no indication of a terrestrial origin and warrant further investigation.

1. THE CASE FOR OPTICAL SETI SEARCHES

A majority of large scale SETI searches historically have concentrated on surveying radio wavelengths (Gray & Mooley 2017; Gray 2021; Lampton et al. 1992; Price et al. 2020; Ma et al. 2023; Wright et al. 2018). One major advantage of this is that given their long wavelengths, radio waves can pass largely unobstructed through planetary atmospheres and the interstellar medium (Cocconi & Morrison 1959). However, optical lasers provide a compelling alternative to radio, with many distinct advantages over them (Bhathal 2000). Extraterrestrial lasers could be used for communications, for propulsion, power transmission, or planetary defense.

As a communications tool, lasers can transmit data at substantially higher rates than radio (Tellis & Marcy 2017; Kingsley 1993). They are also less affected by natural and anthropogenic interference than radio, which contends with many sources of RFI (Huang et al. 2023), as well as naturally ocurring masers (Mendez et al. 2024). Because laser light is monochromatic, a laser could outshine its parent star within its particular wavelength band, showing up in the spectra as an unnaturally narrow emission line (Townes & Schwartz 1961; Stanton 2019). This has motivated numerous archival and dedicated searches for signatures of short duration pulsed as well as continuous lasers (Tellis & Marcy 2017; Lipman et al. 2019; Howard et al. 2004; Wright et al. 2001; Zuckerman et al. 2023; Reines & Marcy 2002; Maire et al. 2019; Marcy 2021a).

A further motivation to search for lasers is that they have multiple potential applications beyond communication. Light sail propulsion systems are another potential source of detectable laser energy. Guillochon & Loeb (2015) proposed to search for microwave light sail beams of the type proposed for interstellar mission concepts such as Starshot (Parkin 2018). However, one could search for visible-light laser propulsion systems as well. Extraterrestrial lasers might also be used to transport power from one source to another within a solar-system spanning civilization, or used for planetary defense (Bible et al. 2013). Thus, lasers powerful enough to detect from earth-based telescopes may occasionally be directed toward us, either as a deliberate attempt to communicate or incidentally. In this work, we aim to identify any past detections of such events by searching archival spectroscopic data.

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HARPS Database Stellar Distances and Temperatures

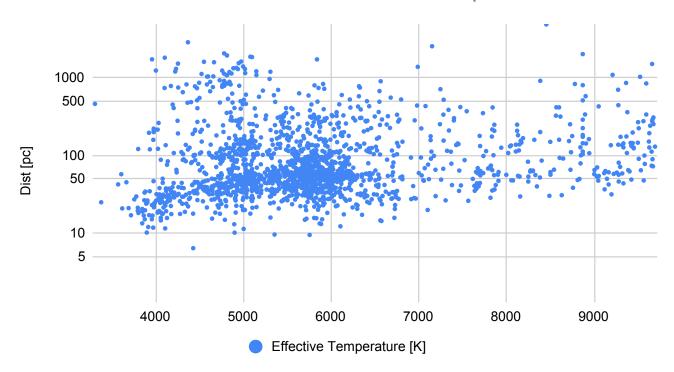


Figure 1. Temperatures (x-axis, Kelvin) and distances (y-axis, parsecs) of the stellar population targeted by this study.

2. DATA AND METHODS

2.1. HARPS: An Ideal Instrument for Optical SETI?

This survey uses archival reduced spectra from HARPS (High Accuracy Radial Planet Searcher), a high resolution spectrograph primarily built for exoplanet detection through the radial velocity method (Mayor et al. 2003). It has a wavelength range of 378 nm-691 nm, with a gap in coverage between 530-533 nm, and a resolving power of 115,000 (Mayor et al. 2003) (which corresponds to about 10^{-2} Å). The high resolution and large wavelength range of HARPS makes it ideal for searching for narrow band laser emissions.

2.2. Target Selection

The HARPS database includes observations not just of stars, but solar system objects as well as astrophysical exotica such as quasars and supernovae (Trifonov, Trifon et al. 2020). While ETI may not be constrained to stellar systems ((Ćirković 2018)), in this survey we focus our search on stellar spectra. However, we do not limit our search to particular spectral types or systems with known planets or on the basis of potential habitability.

Our search list comes from Trifonov, Trifon et al. (2020), who provided a database of stellar targets observed by HARPS at least three times, which was compiled for the purpose of radial velocity searches for exoplanets. This database includes 212,000 observations of 2,821 stars observed by HARPS through 2019, as well as distances and spectral types for each target. Our search algorithm focuses on reduced spectra for the initial search, followed by manual analysis of raw CCD data to minimize the impact of false positives.

As can be seen in Figure 1, this search covers a wide range of stellar temperatures, with cooler stars of types F, G, K, and M with surface temperatures less than 7000 Kelvin are the most represented. The vast majority of stars surveyed are within a distance of 500 parsecs or less (Trifonov, Trifon et al. 2020). Selecting nearby stars is advantageous for both HARPS planet detection mission and our laser detection project, as it makes faint signals easier to detect. The

spectral types confer a similar advantage, as dimmer stars provide less background light that the laser would need to outshine (Howard et al. 2004).

In the initial search described in this work, we analyzed only one observation from each of these targets (generally, the first one returned by the ESO HARPS data archive search tool at https://archive.eso.org/cms/data-portal.html). For the handful of stars which yielded compelling candidates from the initial survey, we analyzed all subsequent observations. Future work will expand this search to encompass every available stellar spectra in the HARPS database.

3. SEARCH METHODOLOGY

3.1. Automated Search Algorithm

Our search algorithm must differentiate between possible laser signals, natural emission lines from the star or Earth's atmosphere, and artifacts created inside the HARPS instrument. We use the spectral width of the feature as one of our distinguishing parameters. The point-spread function (PSF) describes the spectral resolution of the instrument, and shows how the instrument's optics would spread out a monochromatic light source to a finite spectral width at the instrument's CCD cameras. The full width half-maximum (FWHM) describes the the observed width of a spectral feature. Since we are searching for near-monochromatic signals emitted from space, we should look for spikes in the spectral data whose FWHM is similar to the instrument's PSF.

Observed spectral peaks with a FWHM narrower than the PSF cannot represent light that passed through the instrument's optics, and are likely to be cosmic ray hits or other instrumental artifacts. Conversely, broader spikes are likelier to be from natural sources due to thermal doppler broadening from relatively high temperature astrophysical processes.

Our automated search algorithm follows a similar approach to previous archival optical SETI surveys (Tellis & Marcy 2017; Lipman et al. 2019; Marcy 2021a). First, it establishes a baseline "continuum" stellar flux describing the star's overall spectrum by performing a running median filter with a width of 100 pixels (1 angstrom). This is wide enough to smooth away many natural absorption and emission lines, but narrow enough to minimize the data discarded at the extreme ends of the spectrum. It also calculates a running standard deviation using the same window width.

Next, the algorithm searches for spectral data points more than 3.5 standard deviations above the running median. This threshold is chosen to be as low as possible to maximize sensitivity, while minimizing the number of false positives resulting from random data noise. No more than one in 5000 independent observations of a Gaussian process would rise above a $3.5~\sigma$ threshold.

The HARPS instrument itself has an average PSF of approximately 4.1 pixels (Mayor et al. 2003) or 0.04 angstroms (though this varies with wavelength), so monochromatic signals from space should be spread out at least this much. This varies by wavelength, but serves as good general minimum value for our algorithm. Thus, we look for at least four pixels in a row at or above the 3.5 sigma threshold. If the pixel values were independent Gaussian random values, the probability of four in a row rising above the 3.5σ confidence interval would be $5000^{-4} = 10^{-15}$, which means we would be unlikely to see a single false positive from random noise anywhere in the measurements encompassed in our dataset. Of course, the instrument noise is not Gaussian and far from independently random, but this demonstrates that the probability of a random "4-in-a-row" spike is very small, and in practice our algorithm did not find any signals whose properties were consistent with misinterpreted random noise.

Our algorithm has both a minimum and maximum spike width threshold. As discussed, it ignores any spikes with fewer than 4 pixels in a row above the noise threshold, but it also ignores any spikes more than 60 pixels above the threshold. This maximum criterion helps to weed out natural stellar emission lines, which tend to have wider peaks due to thermal doppler broadening, and also prevents spurious "detections" at the edge of the HARPS instrument's inherent data gap. The 100-point running median filter used to establish the continuum cannot filter out spectral features wider than about half the filter width, so our algorithm is insensitive to such wide spikes anyway. Spikes wider than 4 pixels above the threshold but narrower than 60 are flagged as initial potential laser candidates and subjected to further review (See Section 3.2).

To visualize the algorithm, we present an example candidate signal from Proxima Centauri (Figure 2). Note that the data spikes themselves affect the local standard deviation, and thus the noise threshold. The leftmost spike has more than 4 pixels in a row above the threshold, and so would be flagged as a candidate signal. The other two spikes have fewer than 4 pixels above the threshold, and so would not be flagged.

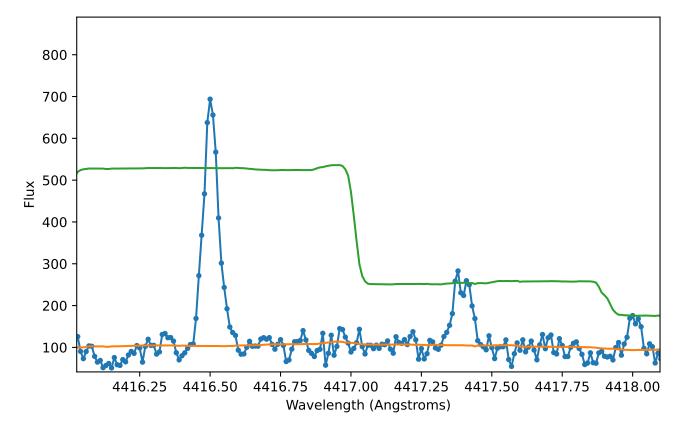


Figure 2. A representative candidate signal from Proxima Centauri (later found to be part of a family of natural stellar emission lines). (HARPS OBSERVATION ID: ADP.2014-09-25T15_36_33.623.fits). Blue: spectral data, orange: 100-point running median, green: noise threshold (median + 3.5 x local running standard deviation).

Once the automated search algorithm has identified targets, a combination of automated and manual criteria is used to identify potential false positives and distinguish potential technosignatures from prosaic phenomena. We considered four categories of false positive: night sky airglow lines, cosmic rays, stellar emission lines, and calibration lamp bleedthrough.

 $3.2.1. \ Airglow$

Atomic transitions in Earth's atmosphere (airglow) are a major source of false positives in our data. Since these emission lines come from heavy atoms in a cool low-pressure atmosphere, their spectral width is near the instrumental PSF. Therefore, they are difficult to distinguish from lasers using linewidth alone. However, night sky airglow emissions only occur at specific wavelengths. Our initial search algorithm ignores any spikes detected in a 1-angstrom-wide window surrounding several of the most prominent airglow line wavelengths (Luger et al. 2017). These 'prohibited wavelengths' are shown in Table 1. For a visual example of an airglow line, see Figure 3.

Unfortunately, this means that any lasers transmitted at those wavelengths would not be detected by our search tool. Doppler shift information could be used to distinguish between terrestrial and stellar sources (see Section 4.2), but the initial search simply rejected all spikes within 1 $\mathring{\rm A}$ of the brightest airglow lines.

Our initial automated search, based on the above criteria (4-60 pixels in a row above threshold, with several known bright airglow lines excluded) yielded 285 "spike" candidates from our 2,821 stars. Additional manual analysis was performed to exclude other false positives, as discussed in the next sections. Manual followup also included a search of the comprehensive airglow line database compiled by Hanuschik (2003a), for any rarer/fainter airglow lines which our automated filter did not account for: see Section 4.3.

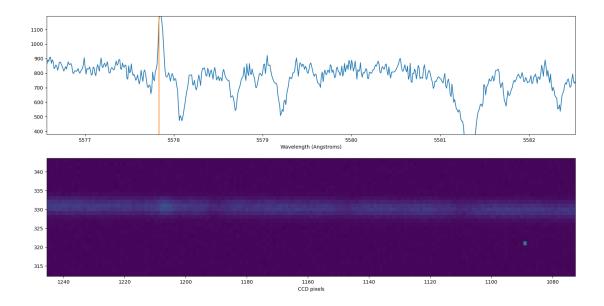


Figure 3. An example of a commonly recurring airglow line at 5577 Å(Observation Date/Time: 2013-03-31, 08:25:02.332). Top panel: reduced spectrum (the red dashed line indicates the spike detected by the automated algorithm). Bottom panel: subsection of the corresponding CCD image for this wavelength range. The stellar spectrum is the pale blue band. At the location of the detected spike, the CCD image shows a visible corresponding bright spot.

Element	Wavelength
Не	3889 Å
Н	4861 Å, 6563 Å
N2	5198 Å, 5200 Å
OI	5577 Å
OI-III	6300 Å, 6364 Å
Na	5889 Å, 5896 Å

Table 1. Major airglow lines ignored by our automated search algorithm.

3.2.2. Cosmic Rays

Cosmic rays can collide with the HARPS instrument's CCD sensors, scattering electrons and creating false "spikes". Since these spikes are not created by light passing through the instrument, many will be narrower than the instrument's PSF, and will be filtered out by the algorithm's "4-in-a-row" criterion. However, in some cases high-energy particles can slice through multiple pixels at an angle, forming meteor-like tracks in the raw CCD image and creating a spectral peak more than 4 pixels wide. To detect such cases, we manually inspected the raw CCD images for each automatically-detected peak to visually identify patterns characteristic of cosmic rays, utilizing a methodology similar to Tellis & Marcy (2017).

We identified likely cosmic rays by visual inspection using the following criteria:

- 1. a long, narrow track on the CCD image that was not aligned with the spectrometer's entry slit, or
- 2. a CCD signal that spills over into parts of the image that are not illuminated by the starlight passing through the spectrometer's entry slit, or
- 3. a signal that does not fully occupy the width of the region illuminated by the entry slit.

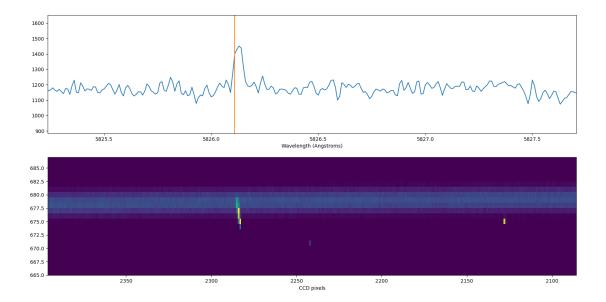


Figure 4. An example of a cosmic ray (Observation Date/Time: 2010-09-14, 01:44:18.810). Top panel: reduced spectrum (the red dashed line indicates the spike detected by the automated algorithm). Bottom panel: subsection of the corresponding CCD image for this wavelength range. The stellar spectrum is the pale blue band. At the location of the detected spike, the CCD image shows a long track which spills over outside the band of starlight and into surrounding CCD pixels.

We manually classified a detection as a "likely cosmic ray" if it had any of these features.

3.2.3. Calibration Lamp Bleed-through

The HARPS instrument contains a calibration lamp, an artificial reference spectrum imaged in the same CCD frame as the stellar spectrum (Mayor et al. 2003). In some observations, the calibration lamp was left on during the observation, either deliberately or by mistake. This led to another class of false positives, "calibration lamp bleed-through" (see Figure 5).

In the example shown in the figure, the calibration lamp's bright spectral peaks (seen in the upper part of the CCD image) "bleed through" vertically, creating a spurious spike in the stellar spectrum below. Since the width of these spikes is close to the instrument's PSF, this category of false positive cannot be identified automatically in processed spectra and was identified by manual inspection of the CCD images.

3.2.4. Natural Stellar Emission Lines

Natural emission lines lines from stars could also be detected by our spike-searching algorithm. These natural sources have two features that might distinguish them from lasers. First, due to the doppler broadening effect, emission lines from hot low-molecular-weight gases tend to have spectral peaks much broader than the HARPS instrument's PSF. However, emission lines from cooler stars, or those from heavier elements, may be narrower (Livadiotis 2018).

Secondly, natural stellar emission lines rarely show up in isolation. Most stars do not have strong emission lines; the "active" stars that do tend to have many of them. Typically, a stellar emission line will occur as part of a "series" in which many different atomic transitions of one or more elements are excited in the atmosphere of an active star. Thus, the number of spectral peaks is another criterion that can distinguish between natural stellar emission lines and lasers. We filtered out cases where more than 4 spikes were detected in a single observation, categorizing these as likely natural stellar emission lines. Of course, an alien technological source might use multiple laser wavelengths—however, it remains a telltale characteristic of natural stellar activity (Livadiotis 2018), especially when coupled with relatively wide peaks due to the thermal doppler broadening that the heat of a star would likely produce. Regarding the doppler broadening, there can be exceptions. As noted in (Marcy 2021b), some M-type stars with active flaring activity can sometimes produce emission lines with narrow and almost 'laser-like' linewidths due to their relatively

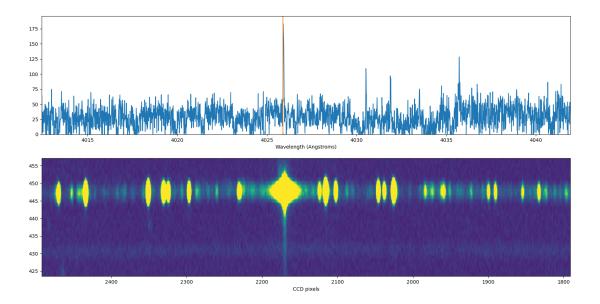


Figure 5. An example of calibration lamp bleed-through (Observation Date/Time: 2013-08-18, 05:02:20.353). Top panel: reduced spectrum, bottom panel: raw CCD image. The upper band of bright spots in the CCD image is from the calibration lamp; the lower band is starlight. Charge from an oversaturated emission line in the calibration lamp has bled across to contaminate the stellar spectrum.

low temperatures and high metallicity. However, in such cases the flaring activity will produce a multitude of emission lines and any spikes will not appear in isolation—a scenario addressed by our criteria.

For an example of a spectra which matches our criteria for natural stellar emission lines, see Figure 6 from eruptive variable star GJ234.

Manual inspection of CCD frames let us categorize 141 of our candidates as cosmic rays and 10 as calibration lamp bleedthrough. Automated filters eliminated the most prominent/recurrent airglow lines a-priori, and 89 candidates appeared in clusters indicative of stellar emission lines. This left us with 41 still unexplained.

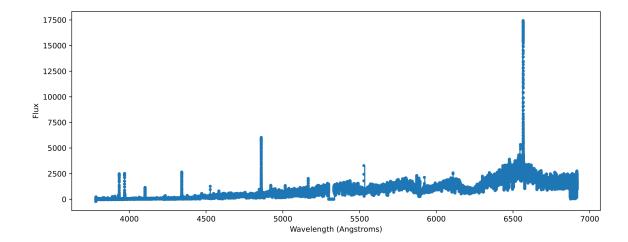
3.3. Curve-fitting algorithm

Although our manual search was effective at spotting many cosmic rays, some will create trails that do not show diagonal streaks or extend outside the stellar spectrum, and will thus not be visually distinctive. Other unidentified instrumental artifacts might also create false positives. To rule these out, we fit a Gaussian curve to each of our candidate spikes, and considered its linewidth. Line features caused by light passing through the HARPS optics will always have a line width greater than the instrument's point-spread function (PSF), while cosmic rays or internal artifacts may not. Although we attempted to mitigate this by setting a minimum number of pixels above the threshold in our initial search algorithm (See 3.1), the PSF varies by wavelength and more precise methods are needed to accurately ascertain linewidth.

HARPS has a resolving power (Mayor et al. 2003) of 115000, meaning that the instrument's PSF at a given wavelength is given by

$$\delta\lambda = \frac{\lambda}{115000} \tag{1}$$

The linewidth analysis was performed with astropy.specutils (https://specutils.readthedocs.io/en/stable/). After subtracting the background continuum, we fit a Gaussian curve to each of the 41 remaining candidate spikes and used it to estimate the spike's Full-Width Half-Maximum (FWHM).



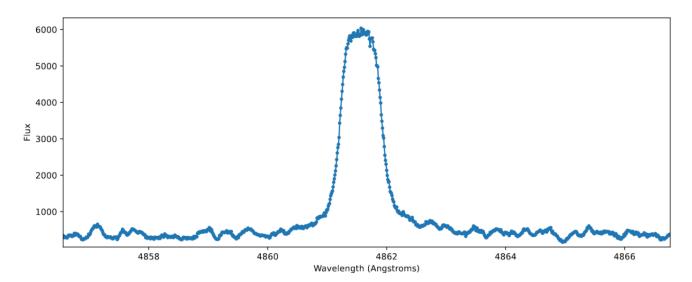


Figure 6. Top panel: Reduced spectra of an eruptive variable star, GJ234. Numerous spikes are visible, as is typical of natural stellar emission-line activity from eruptive stars like this one. Bottom panel: Zoom-in on one of approximately 10 spikes observed from this star. These emission features are much broader than the HARPS instrument's point spread function, as is typical of natural stellar emission lines. (HARPS OBSERVATION ID: ADP.2014-10-06T10:04:35.637.fits)

If the best-fit width of a spike is greater than or equal to the instrument's PSF (Equation 1), the candidate is likelier to be a genuine SETI detection. Otherwise, the candidate signal possibly originates from within the instrument and is therefore likelier to be a cosmic ray or instrumental artifact.

To validate this criterion, we tested it on two datasets: one consisting of candidates which we documented as cosmic rays in our initial manual investigation, the other dataset consisting of known airglow lines. Since airglow lines originate from cool, heavy atoms, they have line widths narrower than the instrument's PSF, and therefore serve as good approximations of laser-like signals. If our curve fitting algorithm was working as expected, we should expect that a majority of cosmic rays would fall below the predicted linewidth, while a majority the airglow lines would exceed the predicted linewidth.

This is the exactly what test showed. Of 206 spikes manually identified as cosmic rays, 141 (68%) fell below the predicted FWHM. Of 188 spikes matching the wavelengths of common bright airglow lines, 15 (7.9%) fell below the predicted FWHM, with the rest exceeding it. The cosmic rays which passed the test are likely due to particles slicing through multiple pixels, thus appearing to be at or above the instrumental PSF. However, the 15 airglow lines which

Category	Number of Candidates
Cosmic Rays	142
Natural Emission Line Clusters	89
Calibration Lamp Bleedthrough	10
Linewidth < PSF	34
Too dim to categorize	2
Unexplained	8

Table 2. Classification of 285 spikes identified by the initial search algorithm, analyzing one observation per star.

Category	Number of Candidates
Cosmic Rays	29
Natural Emission Line Clusters	18
Calibration Lamp Bleedthrough	13
Unexplained (in subsequent observations)	1
Unexplained (in Total)	9

Table 3. Categorization of additional spikes identified in additional observations of unexplained candidates from Table 2.

failed the test illustrate there can be complicating factors. Linewidth estimates can be affected by instrumental noise that can decrease the accuracy of the fit.

Our validation test confirmed that this linewidth criterion correctly identified the vast majority of airglow lines as "extra-instrumental", and the majority of cosmic ray spikes as "intra-instrumental", but it should not be considered definitive. We use it as a 'positive' criterion to identify candidates which should be given higher priority, but not a 'negative' criterion that definitively rules them out. The vast majority of our candidates that fail this final test are probably stealthy cosmic rays, but there may still be a small handful which are 'extra-instrumental' emissions distorted by instrumental noise. The candidates with linewidths below the predicted value for their given wavelength are listed in Appendix A.

4. RESULTS

After analyzing one spectrum each from our target list of stars, our search algorithm (Section 3.1) identified 285 spikes which met our initial criteria and also did not match prominent terrestrial airglow lines. Of these, 142 were manually identified as cosmic rays (Section 3.2.2), 89 were in clusters indicative of natural stellar emission lines, 10 were identified as calibration lamp bleed-through (Section 3.2.3), and 2 were too faint and noisy to properly categorize. This left us with 41 candidates. Of these, the curve-fitting algorithm (Section 3.3) found 8 candidates with linewidths at or above the predicted instrumental FWHM and which therefore stood out as our highest priority candidates.

4.1. Searching for Repeat Occurrences

While laser transmissions could be ephemeral, signals that recur consistently would arguably be stronger candidates since they provide reproducible evidence and are less likely to be random noise. Therefore, we repeated our analysis for all available observations of each of the 6 stars which yielded at least one candidate signal. Of 1,835 additional observations of these 6 stars, our initial search algorithm and curve fitting algorithm yielded 71 additional candidates at or above the predicted FWHM. We repeated our analysis from Sections 3.2 and 3.3 on these observations, and categorized 29 as cosmic rays, 18 as clusters indicative of natural stellar emission lines, and 13 as due to calibration lamp bleedthrough. This left a total of 9 unexplained candidates for further analysis, 8 of which were in the original analysis and 1 new candidate which was found in the additional observations. In the case of the star that yielded a second candidate (HIP87607), the new candidate appeared at a different wavelength than the original candidate (see Table 4).

Star	Spectral Type	Observation Time	Wavelength
HIP59341	G5V	2013-06-01, 03:04:25.906	4042.84 Å
CD-312415	N/A	2018-02-11, 01:10:40.597	3931.24 Å
GJ317	M3.5V	2018-04-10, 03:25:08.275	4662.77 Å
HD127423	G0V	2013-06-01, 04:16:19.375	$5732.93 \; { m \AA}$
HD96673	K3V	2006-01-28, 07:17:55.086	3931.31 Å
HIP87607	M0V	2012-10-22, 23:39:09.238	3784.09 Å
HIP87607	M0V	2012-10-22, 23:39:09.238	4042.74 Å
HIP87607	M0V	2013-04-30, 09:50:45.212	5454.02 Å
GJ4291	K3V	2005-07-20, 04:08:04.468	3841.74 Å

Table 4. Final list of candidate signals.

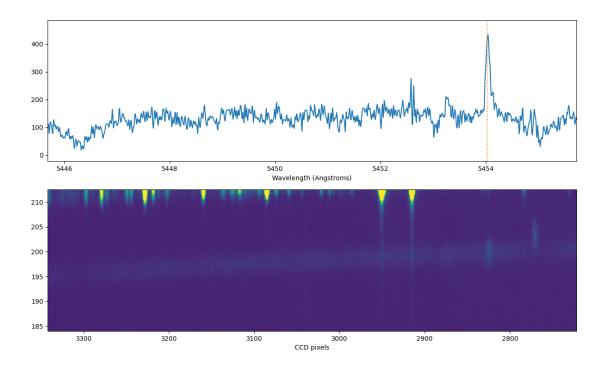


Figure 7. Star: HIP87607, Spectral Type: M0V, (Observation Date/Time: 2013-04-30, 09:50:45.212) Top panel: reduced spectrum. Bottom panel: subsection of the corresponding CCD image for this wavelength range. This figure shows one of three unexplained candidates from observations of HIP87607.

In total, 9 candidates passed through all aforementioned filters, three of which originated from the same star (HIP87607). In the case of the HIP87607 candidates, none repeated at the same wavelength. The rest of our candidates did not repeat at all. However, despite their transient and non-repeating nature, they survived a battery of tests which eliminate many natural and anthropogenic false positives including cosmic rays, atmospheric airglow, calibration lamp bleedthrough/instrumental artifacts, and natural stellar emission lines. Therefore, each one warrants further investigation. These high priority candidates are listed in Table 4.

One of three candidates from HIP87607 is pictured in 7.

Over half of these candidates originate from M-dwarf stars. These lines occurred in isolation, rather than as one of a "forest" of broader natural lines. However, as discussed in Section 3.2.4, these stars can sometimes produce relatively

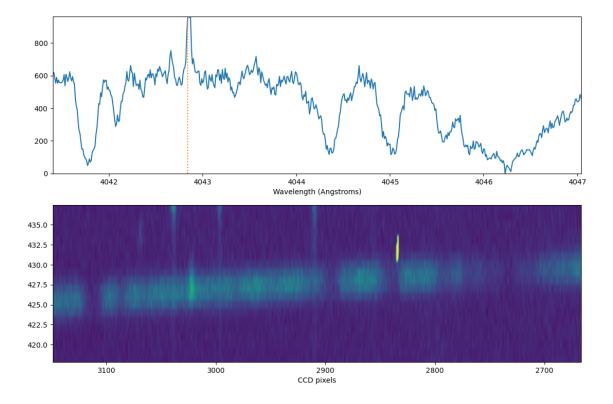


Figure 8. Star: HIP59341, Spectral Type: G5V Observation Date/Time: 2013-06-02, 10:06:38. Top panel: reduced spectrum. Bottom panel: subsection of the corresponding CCD image for this wavelength range.

narrow emission lines due to relatively low temperatures, high metallicity, and frequent flaring activity (Marcy 2021a). Therefore, though they are unexplained, a natural origin cannot be definitively ruled out. Conversely, the candidates from G and K type stars may be the most compelling, since such stars are generally not known to produce many natural emission lines (Joy 1948). One candidate from a G-type star is shown in Figure 8.

4.3. Airglow Reanalysis

Although the brightest airglow lines were automatically filtered out by our search algorithm, we re-analyzed the candidates in Table 4 to see if they matched any airglow lines in the more comprehensive database of Hanuschik (2003b). Care is required regarding Doppler shifts and reference frames. The HARPS data processing pipeline shifts the reduced HARPS spectra into the barycentric reference frame: we reverse that shift using the HIERARCH ESO DRS BERV parameter stored in the FITS header before comparing against the airglow database, to ensure we are comparing wavelengths in the terrestrial reference frame.

Results are shown in Figure 9. The HD96673 candidate is quite close to a known airglow line; the others definitely cannot be explained as airglow lines.

Sign errors are always possible with Doppler calculations. The analysis above uses the same shifting method as was used to create Figure 12 below. We confirmed that flipping the sign of the barycentric earth radial velocity did not give better concordance between candidate spikes and airglow lines. We also see in Table 5 that the barycentric correction velocity does not match the predicted velocity that would be necessary to account for the difference between the observed wavelength and the nearest airglow wavelengths, further arguing against airglow lines as an explanation.

A particularly compelling candidate is shown in 10. Although there are three nearby airglow lines, in the Hanuschik (2003b) database, none of them match the candidate's wavelength in the observatory's reference frame. Therefore, it remains unexplained and warrants further study.

Figure 9. Comparison of candidate spikes from Table 4 against a detailed airglow line database. Blue lines: reduced HARPS spectra, doppler-shifted into terrestrial reference frame. Red dotted lines: candidate wavelength identified by our spike identification algorithm. Green boxes: central wavelength (line) and full width half maximum (box) for airglow lines listed in Hanuschik (2003b).

4.3.1. Investigating the HD127423 Candidate

The HD127423 candidate seemed especially interesting. It appeared to originate from a G-Type star, had no nearby airglow lines, had a gaussian lineshape close to the instrument's FWHM, and, as seen in Figure 11, the spectral energy is entirely within the CCD image of the spectrometer's entry slit, suggesting that this is not a cosmic ray or calibration lamp bleed-through. We subjected it to additional detailed analysis.

The calibration lamp was on during the observation, and bleed through is observed at some wavelengths, but not at the candidate wavelength of 5732.93 Å.

Star	Observation Date/Time	$v_{ m bary}$	$\lambda_{ m bary}$	$\lambda_{ m obs}$	$\lambda_{ m airglow}$	$\Delta v \text{ (obs - airglow)}$
		(km/s)	(Å)	(Å)	(Å)	(km/s)
CD-312415	2018-02-11, 01:10:40.597	-15.983	3931.24	3931.03	3931.0825	-3.96 km/s
GJ317	2018-04-10, 03:25:08.275	-17.533	4662.77	4662.49	4662.0542	28.52 km/s
GJ317	2018-04-10, 03:25:08.275	-17.533	4662.77	4662.49	4662.2324	17.06 km/s
HIP87607	2012-10-22, 23:39:09.238	-23.949	3784.09	3783.78	3784.8796	-86.54 km/s
GJ4291	2005-07-20, 04:08:04.468	20.089	3841.74	3841.997	3841.3704	48.95 km/s
GJ4291	2005-07-20, 04:08:04.468	20.089	3841.74	3841.997	3841.6980	23.37 km/s
GJ4291	2005-07-20, 04:08:04.468	20.089	3841.74	3841.997	3842.1606	-12.75 km/s

Table 5. Comparison of candidate spectral signatures with nearby terrestrial airglow lines. $v_{\rm bary}$: Relative velocity between solar barycenter and observatory, in direction of star. $\lambda_{\rm bary}$: wavelength of candidate spikes, in barycentric frame, as reported by HARPS spectral files. $\lambda_{\rm obs}$: wavelength of candidate spikes in observatory frame, calculated using $v_{\rm bary}$. $\lambda_{\rm airglow}$: wavelength of nearby airglow line. Δv : Velocity of airglow source relative to observatory that would be needed to explain candidate as an airglow line. The large values for Δv and inconsistency with the barycentric correction velocity indicate that these candidates cannot be explained by airglow.

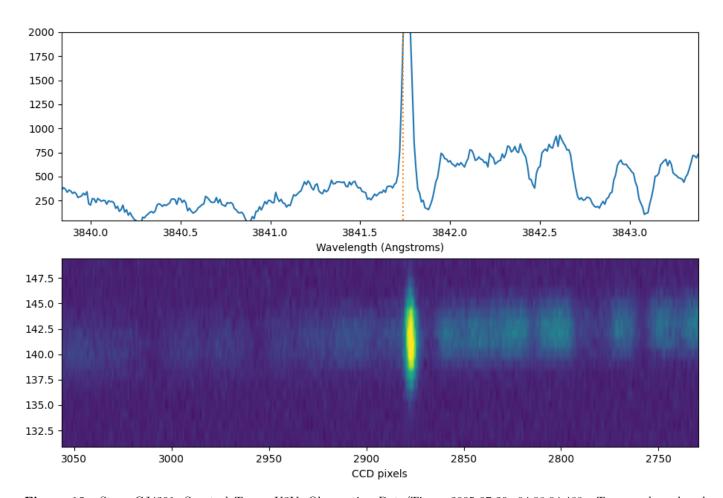


Figure 10. Star: GJ4291, Spectral Type: K3V, Observation Date/Time: 2005-07-20, 04:08:04.468. Top panel: reduced spectrum. Bottom panel: subsection of the corresponding CCD image for this wavelength range. The spike is exceptionally bright, on order of 10 times the stellar continuum. It does not meet our criteria for cosmic rays or calibration lamp bleed-through (Sections 3.2.2 and 3.2.3), and does not match the wavelength of any of the airglow lines in Hanuschik (2003b).

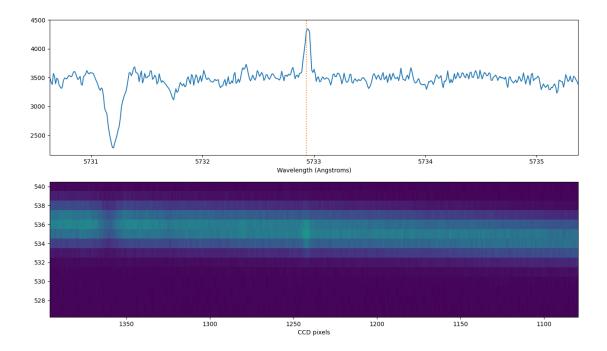


Figure 11. Star: HD127423, Spectral Type:G0V, Observation Date/Time: 2013-06-01, 04:16:19.375. Top panel: reduced spectrum. Bottom panel: subsection of the corresponding CCD image for this wavelength range.

This candidate does not meet our criteria for natural stellar emission lines (Section 3.2.4). The line occurs in isolation, and its linewidth is exceptionally narrow (just above the instrument's PSF). It is also spectral type G0V, which do not generally have emission spectra besides H and K lines (Greenstein 1952), which do not match the candidate's wavelength.

The candidate does not appear in our list of major airglow lines (Table 1), nor does it match any of the fainter airglow lines listed in the UVES database (Hanuschik 2003b) (Hanuschik 2003a).

We considered the possibility of human laser interference by overflying LiDAR satellites and drones. A review of Li-DAR technologies found that our candidate at 573.3 nm does not match the wavelengths used by LiDAR satellites (532 nm, 355 nm, and 1064 (Fouladinejad et al. 2019)), airborne LiDAR systems (1064 nm, (op. cit.)), autonomous vehicles (905 nm and 1550 nm (Wojtanowski et al. 2014)), and oceanic bathymetric LiDAR systems (532 nm, (Szafarczyk & Toś 2023)).

We also considered interference from ground-based adaptive optics lasers. However, ESO's adaptive optics lasers excite atmospheric sodium lines at 589 nm, which does not match our candidate and which would have been filtered out as an airglow line (Calia et al. 2014). In general, Laser Guidestar Adaptive Optics (LGAO) systems work by artificially inducing airglow (Thompson 1986), and would be ignored by our airglow rejection filter. Other adaptive optics systems can utilize Raleigh scattering instead of artificially induced airglow—but these systems generally operate at blue wavelengths (Thompson & Castle 1992) rather than the yellow/green range of the HD127423 candidate.

Neither the HD127423 canddidate, nor any of the other 8 unexplained signals, fit these categories of anthropogenic false positives (LiDAR satellite/drone interference and adaptive optics lasers, etc.)

The HD127423 candidate is not a frequently-observed feature that might represent an instrumental artifact, spurious byproduct of the blaze function or reduction pipeline error. Analysis by Nikolai Piskunov (pers. comm.) noted that no recurring spike at this wavelength was observed in a random sample of 396 HARPS spectra.

However, there is also overwhelming evidence that this signature could not have arrived from interstellar distances. The spike in question only shows up once in all 8 observations of HD127423 available in the HARPS database. However, as noted by N. Piskunov (pers. comm.), it appears in observations of other stars taken on the same night, including HD107181, HD128356, HD126535, HD143120, HD143361, HD144899. Each of these observations showed a

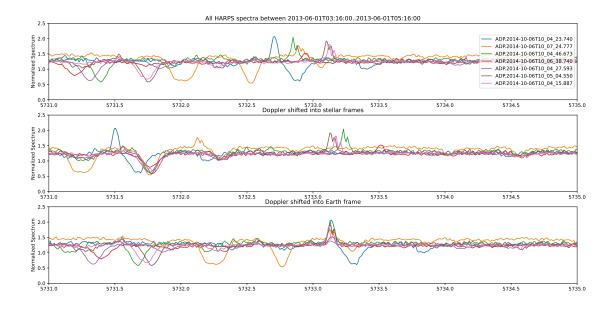


Figure 12. Reduced spectra from HD127423, HD107181, HD128356, HD126535, HD143120, HD143361 and HD144899, each with a spike around 5733 Å. Top panel: all 7 spectra in the default reference frame for HARPS pipeline (solar system barycenter). Middle panel: all 7 spectra, doppler shifted to the reference frame of each respective star. Bottom panel: all 7 spectra, Doppler shifted into the Earth's reference frame. The alignment of the 5733Å spikes in the terrestrial reference frame indicates that this is a terrestrial signal, not a stellar feature.

spike at or near 5733Å, even though the stars were separated by hours of right ascension. All 8 observations were taken within a two hour window, with a 15 minute exposure time for each consecutive observation. The odds that extraterrestrials beaming lasers from stars at such varied distances would all happen to have their signals arrive at Earth at the same time seemed small.

To confirm that this was a terrestrial signal, we Doppler-shifted each spectrum into its respective stellar reference frame (Figure 12). We observed that absorption features common to all these stars align, but the spike does not. Thus, the signal is not in the stars' reference frames. Second, we Doppler-shifted each spectrum into the *terrestrial* observatory's reference frame using the barycentric radial velocity listed in the using the HIERARCH ESO DRS BERV FITS header, and confirmed that the stars' absorption features do not line up, but the mysterious spike does.

We confirmed that the spike was in the observatory's reference frame by looking at the raw CCD images, which showed a common spike at the same CCD pixels in all of them. There was no evidence of CCD "bleed-through" at this location. Additionally, Figure 13 shows that in the raw CCD images, the common absorption lines from the stars are shifted by an amount that we have confirmed matches their relative velocity, while the candidate spike at 5733 Ådoes not doppler-shift at all relative to the calibration source. Thus, our analysis suggests that this is an as-yet-unknown terrestrial source.

The two hour time window during which the source persisted argues against satellites in low earth orbit, since at such velocities the satellite would not stay in the same location long enough to continuously beam down a laser for that duration. However, we can also rule out a transmitter at interstellar distances. We are therefore left with a signature which is both unexplained, yet originating from a local source.

4.4. Estimating the Power Requirements for a Transmitting Laser

If one of our candidate signatures came from an extraterrestrial laser, how powerful would it need to be for us to detect it? We will use the HD127423 spike as a benchmark example. Our calculation follows Lipman et al. (2019). We do not know the diameter of the aperture of the laser's transmitting optics: in these calculations, we assume 10 meters, similar to our own largest telescopes.

The laser beam will spread out by diffraction as it travels to Earth, with an opening angle:

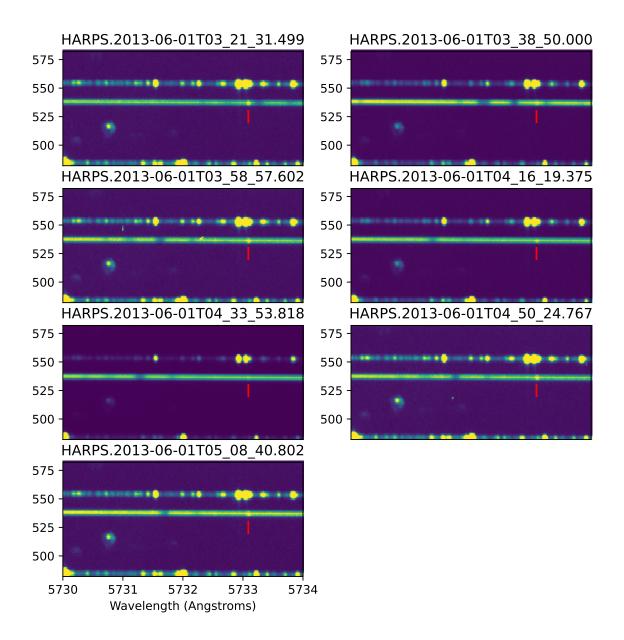


Figure 13. Raw CCD images of spectra from HD127423, HD107181, HD128356, HD126535, HD143120, HD143361 and HD144899 at 5733 Å. A bright spot (denoted in each with a red line) is visible in all of them, in what appears to be the same location relative to the calibration lamp. Notably, there is also no visible signature of bleedthrough.

$$\theta = \left(\frac{1.22\lambda}{2d_T}\right) \tag{2}$$

where λ is the wavelength of the beam and d_T the diameter of the transmitter's aperture. On reaching Earth, the beam will be spread out into a disk of area

$$A = \pi r^2 = \pi (\frac{\theta}{2}D)^2 = \pi \frac{1.22^2 \lambda^2 D^2}{4d_T^2}$$
(3)

where D is the distance from the transmitter to Earth. If the transmitting laser's luminosity is L_T , the luminous flux at Earth is

$$F = \frac{L_T}{A} = \frac{4L_T d_T^2}{\pi 1.22^2 \lambda^2 D^2} \tag{4}$$

The power, in watts, entering the receiving telescope is thus

$$P_R = A_R F = \pi (d_R/2)^2 F = \frac{L_T d_T^2 d_R^2}{1.22^2 \lambda^2 D^2}$$
 (5)

where A_R is the area and d_R the diameter of the receiving telescope. The number of photons entering the telescope over the course of an observation of duration T is thus

$$N = P_R T \frac{\lambda}{hc} = \frac{L_T d_T^2 d_R^2 T}{1.22^2 \lambda hc D^2} \tag{6}$$

where h and c are Planck's constant and the speed of light. Accounting for the instrument's quantum efficiency ϵ , the number detected will be

$$N_{\text{det}} = \frac{\epsilon L_T d_T^2 d_R^2 T}{1.22^2 \lambda h c D^2} \tag{7}$$

Solving for the transmitter power L_T :

$$L_T = \frac{1.22^2 N_{\text{det}} \lambda h c D^2}{\epsilon d_T^2 d_R^2 T} \tag{8}$$

 $N_{
m det}$ 5000 λ 4733 Å D 68.96 pc ϵ 0.057 (from HARPS user manual) d_T 10m (assumed) d_R 3.6m T 900 s

Table 6. Values used to calculate transmitter power needed to explain spectral spike candidate in observation of HD127423 at 2013-06-01, 04:16:19

Plugging in values given in Table 4.4, we get a required transmitter power of about 50 kW, continuously for the duration of the 15 minute exposure time. If the transmitter were pulsed, higher power would be needed, in proportion to the transmitter's duty cycle.

This calculation does not factor in extinction due to interstellar dust. Hippke (2018) estimated power losses due to astronomical dust, and found them to be appreciable only for distances >= 300 pc, and an order-of-magnitude problem at distances >= 1000 pc. Thus, we are justified in ignoring them for close targets like HD127423 (68.96 pc).

Thus, using the HD127423 candidate as a benchmark, we find that this survey has a sensitivity capable of detecting lasers which are possible to manufacture with currently existing human technology (Lander et al. 1997).

5. SUMMARY AND CONCLUSIONS

We analyzed single archived reduced spectra from 2821 stellar targets taken by ESO's HARPS spectrometer, to search for potential signatures of lasers from extraterrestrial civilizations. We identified false positives (Section 3.2), including cosmic rays (Section 3.2.2), bleed through from the Th-Ar calibration lamp (Section 3.2.3), night sky airglow lines (Section 3.2.1), and large clusters of emission lines which are likely the product of natural stellar activity (Section 3.2.4). Of the 2821 stellar spectra we analyzed, we identified 285 candidates with at least one narrow spectral emission feature. 41 of these did not fit any of the above false positive categories. We filtered this dataset further on the basis of linewidth (Section 3.3) and analyzed all other HARPS observations of these stars (Section 4.1). We were left with a total of 9 compelling candidates (Section 4.2). After further investigation, we identified one that could be a faint airglow line (Section 4.3), and one that must be an unknown terrestrial signal (Section 4.3.1). The rest defy

known natural or anthropogenic explanations. However, we did not identify any signal that repeated in subsequent observations of the same star.

Detection of candidate laser signals is more ambiguous in red dwarfs, due to their relatively cool temperatures, high metallicity, and coronal activity, which leads to flaring and prominent narrow emission lines (Marcy 2021a). Four of our unexplained candidates originate from M-Dwarf stars, but several G and K type stars are in our list as well. Candidate GJ4291 (Spectral Type: K3V) stands out as especially bright compared to the stellar continuum, and passes through all our filters for natural terrestrial and stellar emission sources. HIP59341 (Spectral Type G5V) is another compelling candidate worthy of further study. HD127423 (Spectral Type: G0V) was subjected to comprehensive follow-up investigation; its spike appears to be an unknown terrestrial source (Section 4.3.1).

Since our study analyzed only one observation from each target, and then performed follow-up analysis of additional observations only on stars where a candidate was found, it can only constrain the likelihood of continuous sources repeatedly directed toward the Earth. It could easily miss more intermittent signals. Thus, our study must contend with the longstanding challenge faced by many other SETI searches: how can we adapt our methodologies to account for the possibility of intermittent transmitters or "one-off" signals? Can "one-off" signals constitute SETI detections, or is repeatability an inescapable requirement of a confirmed detection (NASA Technosignatures Workshop 2019; Kipping & Gray 2022)? These questions will define the future direction of this project.

6. FUTURE AVENUES OF RESEARCH

6.1. Expanding the Search

Our search only analyzed one observation from each star. While we did look at all subsequent observations for the handful of stars which yielded compelling candidates, time constraints limited us to survey only a small fraction of the total number of observations. In future work, we will extend the search to analyze every stellar observation in the HARPS archive, which will reveal more candidate signals and may allow us to investigate the possibility of intermittent signals. To make this task feasible, we will incorporate the Doppler-shifted airglow identification in Section 4.3 and the curve-fitting analysis of Section 3.3 into the initial automated search. Ancillary data from the HARPS pipeline may also allow us to automate cosmic ray detection.

We can also expand our search spatially, by covering the Northern Hemisphere. HARPS-N operates as a sister instrument with the same capabilities (Cosentino et al. 2012), but covering a different set of stars. Therefore, we can expand the search both spatially and temporally to include orders of magnitude more observations and targets, improving our odds of discovering technosignatures and novel astrophysical anomalies.

6.2. Further Investigating The Unexplained Candidates

We will also continue to investigate the unexplained candidates from our study. While their lack of persistence suggests they are not permanent beacons directed at Earth, we cannot rule out intermittent or "one-off" anomalies.

Our initial manual analysis of CCD images and subsequent curve fitting algorithm is sufficient to rule out a majority of cosmic rays. However, there are still may be some rare scenarios which allow cosmic rays to slice through the CCD at an angle which leaves little to no visible signature in the final image and happens to match or exceed HARPS' PSF/FWHM. We intend to use optimal extraction (Horne 1986) to identify whether the pattern of these features extracted directly from the eschellogram match the expected pattern from starlight passing through the HARPS optics. The ancillary data from the HARPS pipeline (Mayor et al. 2003) may provide optimal extraction data: if not, a bespoke reanalysis will be performed.

We will also investigate whether any these candidate stellar systems stand out as unusual in other datasets in ways which may further substantiate the ETI origin hypothesis, such as the existence of potentially habitable exoplanets (Pepe, F. et al. 2011), and perhaps even biosignature / biosignature gasses in the atmospheres of those planets (Schwieterman et al. 2018; Haqq-Misra et al. 2022), detection of unusual radio emissions (Backus 1998), infrared excess that might indicate system-scale megastructures (Dyson 1960; Tilgner & Heinrichsen 1998), or indications of nuclear waste dumped in the stellar atmospheres (Whitmire & Wright 1980). And finally, we hope that our presentation of these candidates here will inspire other investigators to take a closer look at them as well.

By expanding our search temporally and spatially, by improving our automated detection methods, by considering whether our candidates stand out in other types of SETI searches, and by inviting others to perform follow-up analysis, we intend to continue assessing the rich optical SETI dataset provided by HARPS. Thus, this paper does not represent an endpoint-rather, we hope it is only the start of a much larger inquiry,

7. ACKNOWLEDGEMENTS

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Both authors declare that they have no conflicts of interest.

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APPENDIX

A. UNEXPLAINED CANDIDATES REJECTED BY THE CURVE FITTING ALGORITHM

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BD-194341	K0IV	2015-07-20, 01:34:29.692	6693.13 Å
CoRoT-22	G8V	2010-06-07, 03:31:53.279	6864.20 Å
GJ3200	G3V	2015-09-17, 09:25:58.011	6717.03 Å
GJ3436	G7VFe-1.5	2012-11-27, 07:50:10.982	6136.16 Å
GJ493	K5.5V	2013-02-04, 08:24:36.477	6473.91 Å
GJ722	G6V	2006-03-14, 07:34:56.654	6011.96 Å
GJ9075	F8V	2015-09-17, 08:11:02.767	6294.7 Å
GJ9322	G3/5V	2006-04-09, 02:04:21.684	6850.47 Å
HD104800	G3V	2015-01-14, 08:15:07.195	6212.84 Å
HD128207	B8V	2015-01-21, 07:49:50.149	4252.94 Å
HD134088	G2/3(V)	2016-03-15, 06:11:36.659	6826.23 Å
HD138403	O8(f)ep	2006-03-24, 06:48:41.041	6355.8 Å
HD145689	A3V	2006-05-21, 03:03:28.159	6225.54 Å
HD147644	F8V	2015-04-19, 06:48:21.114	5937.49 Å
HD149933	K0V	2005-05-14, 05:50:20.610	5794.54 Å
HD157060	F9V	2015-04-19, 06:07:40.929	6650.82 Å
HD112109	F0V	2018-04-21, 04:01:22.672	4840.94 Å
HD184711	G5wA/F	2008-09-20, 00:41:44.834	6017.72 Å
HD186194	G3V	2013-06-01, 07:45:16.866	5733.43 Å
HD186194	G3V	2013-06-01, 07:45:16.866	5817.84 Å
HD205289	F5VFe-0.8CH-0.4	2004-09-22, 01:25:01.520	6687.43 Å
HD39091	G5V	2019-04-27, 22:52:34.109	5925.82 Å
HD43940	K3V	2006-09-11, 07:41:55.332	5422.82 Å
HD47186	G5V	2016-01-13, 06:55:06.378	6650.75 Å
HD56413	G0V	2015-11-12, 07:07:02.375	6769.09 Å
HD68284	G8/K0V	2013-11-29, 08:30:47.035	6715.78 Å
HD71479	ApEuSr	2006-01-24, 04:28:30.077	6833.37 Å
HD90926	G6V	2007-02-10, 06:17:51.971	6274.12 Å
HD96423	G5V	2012-05-01, 02:26:12.958	6121.38 Å
HD98248	G0	2005-05-16, 02:18:59.000	6763.27 Å
HD77191	ApSrEu(Cr)	2004-11-23, 08:38:23.489	6445.04 Å
TYC6296-1556-1	N/A	2014-06-24, 06:47:40.911	6712.83 Å
TYC6822-2535-1	N/A	2017-10-11, 00:13:56.459	6341.24 Å
TYC8233-68-1	N/A	2017-05-17, 04:15:56.151	5651.96 Å

Table 7. Candidate spikes with line widths narrower than the predicted FWHM of the instrument. As discussed in Section 3.3, this test indicates that these candidates are probably not "extra-instrumental", but we include them here for completeness.

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