

U.S. Department of Justice

Washington, DC 20530

**Amendment to Registration Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**

**INSTRUCTIONS.** File this amendment form for any changes to a registration. Compliance is accomplished by filing an electronic amendment to registration statement and uploading any supporting documents at <http://www.fara.gov>.

**Privacy Act Statement.** The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <http://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <http://www.fara.gov>.

**Public Reporting Burden.** Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant

Sandler Trade, LLC

2. Registration No.

6180

3. This amendment is filed to accomplish the following indicated purpose or purposes:

☐ To give a 10-day notice of change in information as required by Section 2(b) of the Act.☒ To correct a deficiency in☐ Initial Statement☒ Supplemental Statement for the period ending 2/29/16☐ Other purpose (*specify*) \_\_\_\_\_☐ To give notice of change in an exhibit previously filed.

4. If this amendment requires the filing of a document or documents, please list:

This amendment does not require the filing of additional documents.

5. Each item checked above must be explained below in full detail together with, where appropriate, specific reference to and identity of the item in the registration statement to which it pertains. (*If space is insufficient, a full insert page must be used.*)

Sandler Trade LLC amends the responses to Item 11, Item 12, Item 14, and Item 15 of the supplemental statement of the six month reporting period ending 2/29/2016. Please see supporting attachment.

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**EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>1</sup>)

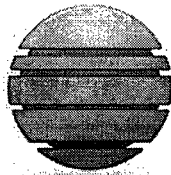
December 20, 2016

/s/ Anna Rose Brown Ott

eSigned

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<sup>1</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.



Sandler Trade LLC

December 20, 2016

**Amendment to Supplemental Statement for Period Ending February 29, 2016**

Sandler Trade LLC (Registration No. 6180) amends the responses to Item 11, Item 12, Item 14, and Item 15 of the firm's supplemental statement for the six month reporting period ending February 29, 2016. The items have been amended to provide full disclosure of all activities engaged in, and services rendered to the Royal Thai Embassy.

**Item 11**

Sandler Trade LLC did not engage in any activities for advocacy purposes for the Royal Thai Embassy. Sandler Trade LLC services included analytical work and required submission of documents to the Office of U.S. Trade Representative and the U.S. International Trade Commission, as required by the Generalized System of Preferences (GSP) regulatory process of the Annual GSP Review.

**Item 12**

During this six month reporting period, Sandler Trade LLC on behalf of the Royal Thai Embassy did not engage in political activity as defined in Section 1(o) of the Act.

**Item 14**

14(a) Monies received from the Royal Thai Embassy were not for advocacy purposes. The monies are for analytical work and required submission of documents to the Office of U.S. Trade Representative and the U.S. International Trade Commission, as required by the Generalized System of Preferences (GSP) regulatory process of the Annual GSP Review. Monies from Embassy of Nepal were received in June 2015.

**Item 15**

15(c) During this six month reporting period, we from your own funds or on our own behalf either directly or through any other person, have not made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office.