

Genetic and Particle Swarm Optimisation Algorithms for Secure Domination Problem

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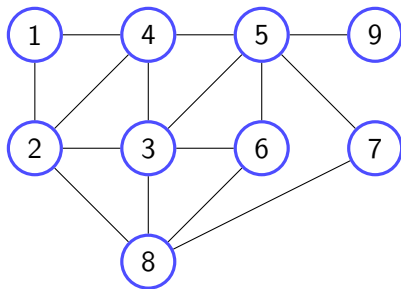
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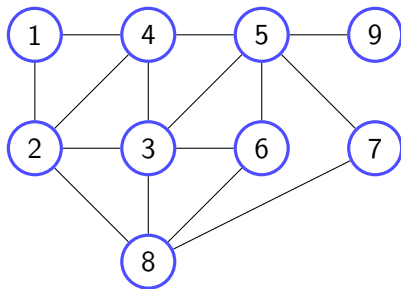
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In a simple, undirected graph $G(V, E)$, a subset of vertices D is called a dominating set of G if every vertex in $V - D$ has a neighbor in D . The minimum cardinality of a dominating set of G is called domination number denoted by $\gamma(G)$. The problem of determining $\gamma(G)$ of a graph G , known as the domination problem is NP-hard but different metaheuristic algorithms have been proposed to solve it. A set $S \subseteq V(G)$ is a secure dominating set (SDS) of G if for each vertex $v \in V(G) \setminus S$ there exists a vertex $u \in S$ such that v is adjacent to u and the set $(S - \{u\}) \cup \{v\}$ is a dominating set of G . The minimum cardinality of a SDS of G is called secure domination number and is denoted by $\gamma_s(G)$. Given a graph G , determining $\gamma_s(G)$ is termed as secure domination problem (SDOM). SDOM is known to be NP-hard which implies no polynomial time algorithm for the same.

To the best of our knowledge, unlike domination problem, there are no known metaheuristic algorithms for SDOM problem. In order to counter this, we propose a genetic algorithm and particle swarm optimisation algorithm-based solutions to solve SDOM problem. The proposed algorithms uses a heuristic to generate a population of feasible solutions and generate a better feasible solution by passing it through various steps of genetic and partial swarm optimisation algorithms. Performance of the proposed genetic and particle swarm optimisation algorithms for SDOM problem has been tested on graphs for which optimal values are known to verify the algorithm's effectiveness. The experiments were also carried out on random graphs generated using Erdős-Rényi model, a prominent model for graph generation and Harwell-Boeing (HB) dataset, a well-known dataset for graph problems.

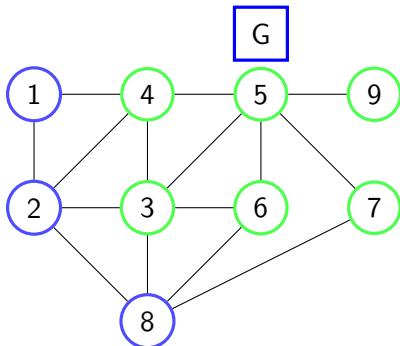
Motivation and Introduction



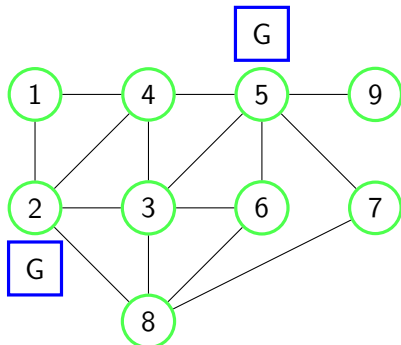


Deployment of Security Guards

Deployment of Security Guards



Deployment of Security Guards



Motivation

Deployment of security or military personnels

Example:

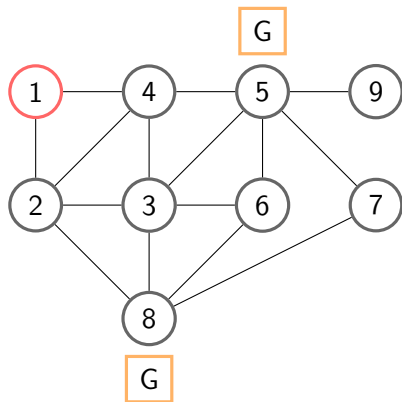


Figure 1: Graph G_1

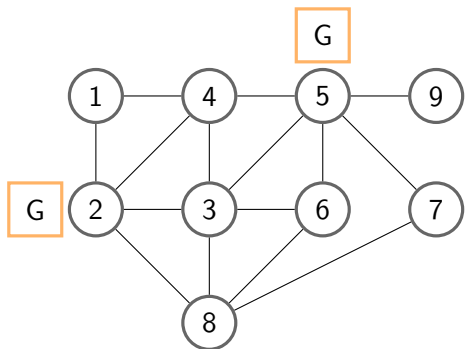
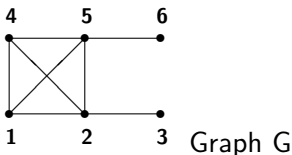


Figure 2: Graph G_2

Graph: A *graph* is an ordered pair $G(V, E)$ comprising of a set V of vertices, and a set E of edges. We consider simple, connected and undirected graphs.



Open neighbourhood: $N(v) = \{u \in V(G) : (u, v) \in E(G)\}$.

Example: $N(1) = \{2, 4, 5\}$

Closed neighbourhood: $N[v] = N(v) \cup \{v\}$.

Example: $N[1] = \{1, 2, 4, 5\}$

Degree of a vertex: $\text{Degree}(v) = |N(v)|$.

Example: $\text{Degree}(1) = |N(1)| = |\{2, 4, 5\}| = 3$

Work-Flow:

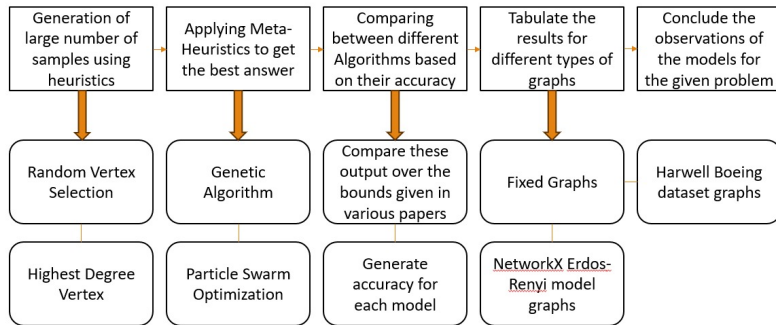


Figure 3: Workflow

- The study of domination in graphs began in 1958 by Claude Berge. But officially **dominating set** term was introduced by Oysten Ore in 1962 [7].

Definition

A subset S of V is called a **dominating set** of graph G if every vertex in $V \setminus S$ is adjacent to a vertex in S and the minimum cardinality of S is the **domination number** of the graph G denoted by $\gamma(G)$.

- The concept is being used extensively in wireless sensor networks, facility placement, server placement etc [7].

Dominating Set : An Example

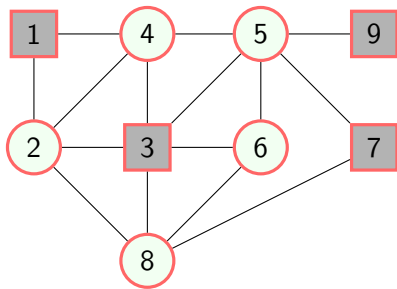


Figure 4: Graph G

$\{1, 3, 7, 9\}$, $\{2, 7, 9\}$, $\{3, 7, 9\}$,
 $\{1, 5, 8\}$, $\{2, 5\}$, $\{2, 8, 9\}$, etc
are dominating sets of G .

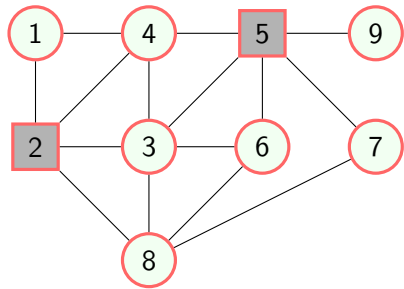


Figure 5: Graph G with γ -set

$\{2, 5\}$ is a minimum
dominating set of G .
Hence $\gamma(G) = 2$.

The **optimization version** of domination problem is given below.

Minimum Domination Problem (MIN-DOM)

Instance : A graph $G = (V, E)$.

Solution : Domination number of G i.e., $\gamma(G)$.

The decision version of domination problem is defined as follows.

Domination Decision Problem (DOM)

Instance : A graph $G = (V, E)$ and a positive integer $k \leq |V|$.

Question : Does G has a dominating set of size at most k ?

MIN-DOM has been proven **NP-hard** whereas DOM is proven to be **NP-complete** [7].

Secure Domination

Secure domination was introduced by E.J. Cockayne et al in 2005 [4].

Definition

A dominating set $S \subseteq V(G)$ is said to be a *Secure Dominating Set (SDS)* in G if for every $u \in V(G) \setminus S$ there exists $v \in S$ such that $(u, v) \in E(G)$ and $(S \setminus \{v\}) \cup \{u\}$ is a dominating set in G . The *secure domination number* of graph G is the minimum cardinality of a SDS, and is denoted by $\gamma_s(G)$. [4]

Example

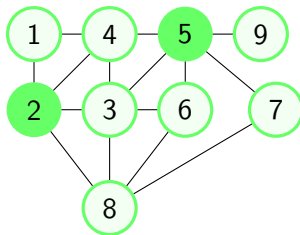


Figure 6: Graph G_1

Secure Dominating Set : An Example

Example

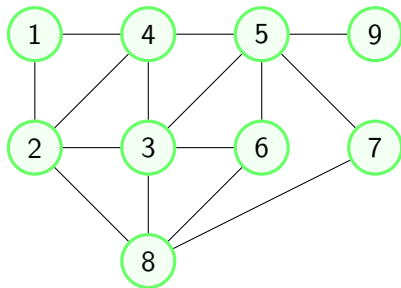


Figure 7: Graph G

$\{1, 3, 7, 9\}$, $\{2, 5, 7, 9\}$ and $\{1, 5, 8\}$ are secure dominating sets of graph G .

Goal: Find a secure dominating set of G with minimum size.

Secure Domination Number : An Example

Example

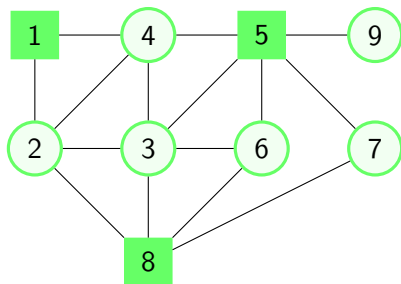


Figure 8: Graph G with γ_s -set

$\{1, 5, 8\}$ is a minimum secure dominating set of G .
Hence $\gamma_s(G) = 3$.

Optimization and Decision Versions: Secure Domination Problem

The **optimization version** of secure domination problem is given below.

Minimum Secure Domination Problem (MIN-SDOM)

Instance : A graph $G = (V, E)$.

Solution : Secure domination number of G i.e., $\gamma_s(G)$.

The decision version of secure domination problem is defined as follows.

Secure Domination Decision Problem (SDOM)

Instance : A graph $G = (V, E)$ and a positive integer $k \leq |V|$.

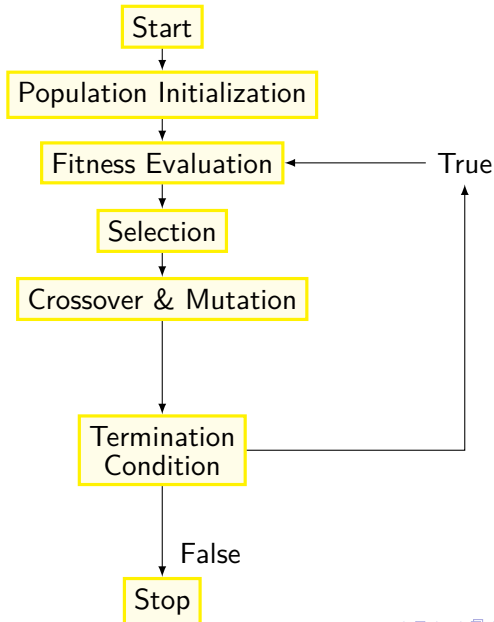
Question : Does G has a secure dominating set of size at most k ?

MIN-SDOM has been proven as **NP-hard** whereas SDOM is proven to be **NP-complete** [7].

- 1 For any graph G , $\gamma_s(G) \leq \gamma(G) + \beta_0(G) - 1$. [5]
- 2 For two path graphs, P_m and P_k , $\gamma_s(P_m \square P_k) \leq \lceil \frac{mk}{3} \rceil + 2$,
where $P_m \square P_k$ is the Cartesian product of graphs P_m and P_k . [4]

- Secure domination problem (MIN-SDOM) is NP-hard for bipartite graphs and split graphs [1].
- MIN-SDOM is NP-hard for subclasses of bipartite graphs [6].
- MIN-SDOM is NP-hard for doubly chordal graphs [6].
- MIN-SDOM is linear time solvable for block graphs [2].
- MIN-SDOM is APX-hard for graphs with maximum degree 4, which shows the difficulty of obtaining an approximation algorithm to solve MIN-SDOM. [6]
- No metaheuristic algorithm has been given to solve MIN-SDOM.
- Hence, we propose a genetic algorithm and partial swarm optimisation algorithm to solve MIN-SDOM.

Genetic Algorithm : An Introduction



Genetic algorithms simulate the process of natural selection which means those species who can adapt to changes in their environment are able to survive and reproduce and go to next generation. It uses artificial construction of search algorithms.

Generating Parameters for Genetic Algorithm

- Initial Population (*initial_pop*)
- Number of Solutions (*num_sol*)
- Cost obtained in current population (*present_min_val*)
- Intermediate population (*intermediate_pop*)
- Best Solution (*best_sol*)
- Optimal Cost (*optimal_val*)

Pseudocode for Genetic Algorithm

Algorithm 1 Pseudocode for Genetic Algorithm

Input: A simple, undirected graph G

Output: Best Approximate Solution

Initialize num_sol

Create $initial_pop$

$present_min_val \leftarrow$ minimum cost on $initial_pop$

$optimal_val \leftarrow present_min_val$

while termination condition **do**

$intermediate_pop \leftarrow$ Crossover & Mutation on $initial_pop$

$initial_pop \leftarrow intermediate_pop$

$present_min_val \leftarrow$ minimum cost on $initial_pop$

if $optimal_val > present_min_val$ **then**

$optimal_val \leftarrow present_min_val$

$best_sol \leftarrow present_optimal_sol$

return $best_sol$

MIN-SDOM : Heuristic for Initial Population Generation

In this heuristic, we first find a **dominating set** of the given graph G by repeating the following step until the graph is empty.

Step 1. Select a vertex v of the graph G arbitrarily and remove vertex v and its neighbors $N(v)$ from G .

- Let D be the set of vertices selected.
- Every vertex of G not in D is adjacent to a vertex of D .
- Therefore D is a dominating set of G .

Step 2. If D is a secure dominating set of G , we are done. Otherwise a subset of vertices from $V \setminus D$ are added to D to make it a secure dominating set of G .

Algorithm 2 Pseudocode for Heuristic

Input: A simple, undirected graph G

Output: A secure dominating set of G

$R \leftarrow \text{FindDominatingSet}(G)$

Determine whether R is a secure dominating set of G using $\text{IsSecureDomSet}(G, R)$ algorithm

If R is a secure dominating set of G then return R

Else

 Add sufficient number of vertices of $V(G) \setminus R$ to R to make it a secure dominating set S of G using $\text{Set-SecureDomSet}(G, R)$ algorithm.

Return S

Algorithm 3 Pseudocode for FindDominatingSet(G) Algorithm

Input: A simple, undirected graph $G(V, E)$

Output: A dominating set of G

$D \leftarrow \emptyset$

while $V(G) \neq \emptyset$ **do**

 select a vertex $v \in V(G)$

$D \leftarrow D \cup \{v\}$

$V(G) \leftarrow V(G) \setminus N[v]$

$E(G) \leftarrow E(G) \setminus \{(u, v) : u \in V(G) \text{ or } v \in V(G)\}$

return D

Pseudo Code for IsSecureDomSet() Procedure

Algorithm 4 Pseudocode for IsSecureDomSet(G, D) Algorithm

Input: A simple, undirected graph G and a set $D \subseteq V(G)$

Output: If it is Secure Domination set return *True* else *False*

$D' \leftarrow V(G) - D$

$S, S' \leftarrow D, D'$

Initialize *count3* and *count4* to 0

for j in 1 to *lengthof* $D' - 1$ **do**

for i in 1 to *lengthof* $D - 1$ **do**

if $D'[j]$ in *adjacencyList* $[D[i]]$ **then**

 swap $D[i]$ and $D'[j]$

$X, Y = \text{lengthof} D - 1, \text{lengthof} D' - 1$

 Initialize *count1* and *count2* to 0

while X and Y greater than or equal to 0 **do**

if $D'[Y]$ in *adjacencyList* $[D[X]]$ **then**

 Decrement Y

 Increment *count1*

$X = \text{lengthof} D - 1$

else

 Decrement X

 Increment *count2*

if *count1* is equal to *lengthof* D' **then**

 Increment *count3*

if *count3* greater than or equal to 1 **then**

 Increment *count4*

count3 = 0

if *count4* is equal to *lengthof* S' **then**

return *True*

else

return *False*

Pseudo Code for Set-SecureDomSet() Procedure

Algorithm 5 Pseudocode for Set-SecureDomSet(G, D) Algorithm

Input: A simple, undirected graph G and a set $D \subseteq V(G)$

Output: Secure Domination set S

$D' \leftarrow V(G) - D$

$S, S' \leftarrow D, D'$

Initialize $count3$ and $count4$ to 0

R and R' are empty lists

for j in 1 to $lengthofD' - 1$ **do**

for i in 1 to $lengthofD - 1$ **do**

if $D'[j]$ in $adjacencyList[D[i]]$ **then**

 swap $D[i]$ and $D'[j]$

$X, Y = lengthofD - 1, lengthofD' - 1$

 Initialize $count1$ and $count2$ to 0

while X and Y greater than or equal to 0 **do**

if $D'[Y]$ in $adjacencyList[D[X]]$ **then**

 Decrement Y

 Increment $count1$

$X = lengthofD - 1$

else

 Decrement X

 Increment $count2$

if $count1$ is equal to $lengthofD'$ **then**

 Increment $count3$

if $count3$ greater than or equal to 1 **then**

 Increment $count4$

else

$R.append(S'[j])$

$count3 = 0$

if $count4$ is equal to $lengthofS'$ **then**

return S

else

$R' \leftarrow \text{union of non-duplicates}(R) \text{ and } S$

return R'

- Selection operator is applied to select best possible candidates for crossover and mutation.
- Initial population of 1000 feasible solutions is generated using the proposed Heuristic.
- Next, we select two solutions among the 1000 solutions using tournament selection operator.

Crossover and Mutation Operator

- Crossover and Mutation are the two important operations in Genetic Algorithm. Here, we use the uniform crossover operation.
- Uniform crossover operation is performed on the best two solutions S_1 and S_2 selected from 1000 solutions after performing the tournament selection operation.
- Result of the crossover operation is a set of two child solutions which may or may not be feasible.
- Next, we perform the feasibility test to check if the two child solutions are feasible.

Crossover (Contd.)

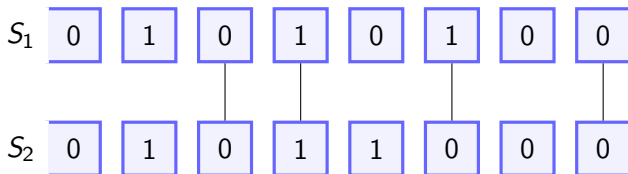


Figure 9: Selected Solutions S_1 & S_2

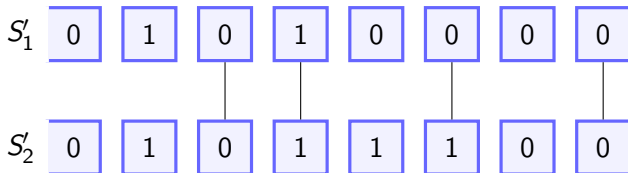


Figure 10: Solutions S'_1 & S'_2 After Crossover

Is S'_1 a Valid Secure Dominating Set of graph G ?



Figure 11: Solution S'_1 after Crossover

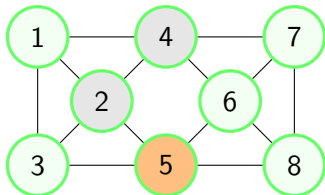


Figure 12: Graph G labelled as per S'_1

Is S'_2 a Valid Secure Dominating Set of graph G ?



Figure 13: Solution S'_2 after Crossover

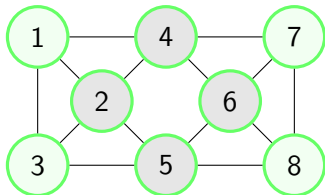


Figure 14: Graph G labelled as per S'_2

Algorithm 6 Feasibility check

Input: A simple, undirected graph G and a set $C \subseteq V(G)$

Output: Modified feasible child solution

$\text{bool} = \text{IsSecureDomSet}(G, C)$

if bool **then**

return C

else

$\text{Set-SecureDomSet}(G, C)$

Solutions S'_1 and S'_2 after Feasibility check

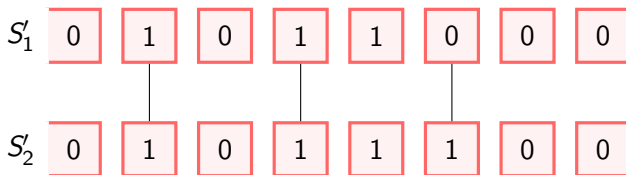


Figure 15: Solutions S'_1 and S'_2 after Feasibility check

Pseudocode for Partical Swarm Optimization Algorithm

```
1  Initialize population
2  for  $t = 1$  : maximum generation
3      for  $i = 1$  : population size
4          if  $f(x_{i,d}(t)) < f(p_i(t))$  then  $p_i(t) = x_{i,d}(t)$ 
5               $f(p_g(t)) = \min_i (f(p_i(t)))$ 
6          end
7          for  $d = 1$  : dimension
8               $v_{i,d}(t+1) = wv_{i,d}(t) + c_1r_1(p_i - x_{i,d}(t)) + c_2r_2(p_g - x_{i,d}(t))$ 
9               $x_{i,d}(t+1) = x_{i,d}(t) + v_{i,d}(t+1)$ 
10             if  $v_{i,d}(t+1) > v_{\max}$  then  $v_{i,d}(t+1) = v_{\max}$ 
11             else if  $v_{i,d}(t+1) < v_{\min}$  then  $v_{i,d}(t+1) = v_{\min}$ 
12             end
13             if  $x_{i,d}(t+1) > x_{\max}$  then  $x_{i,d}(t+1) = x_{\max}$ 
14             else if  $x_{i,d}(t+1) < x_{\min}$  then  $x_{i,d}(t+1) = x_{\min}$ 
15             end
16         end
17     end
18 end
```

Process of PSO

- Initialize Parameters inertia weight (w), two positive constants (c_1, c_2) and two random parameters within $[0-1]$ (r_1, r_2)[10].
- Initialize Position (x_i and Velocity (V_i) randomly for each particle.
- Evaluate Fitness $f(x_i^t)$. If fitness Value is better than global best then update global best.
- Calculate Particle Position and velocity using formulas show in above algorithm.
- Evaluate Fitness $f(x_i^t)$ and find the current best and update to next iterations. Finally output is $gBest$ (global best) and x_i^t position.
- After doing pso we get particles of the swarm in float values. we have to set the Thresholds for the particles (0,1) and need to perform the feasibility check.

Algorithm 7 Fitness check

Input: a set $C \subseteq V(G)$ and initial population P of simple graph G

Output: Return new population

$weight = \text{sum}(\text{feasible child solution } C \text{ values})$

if $weight < \text{min}(\text{initial population weights})$ **then**

return $P \text{ union } C$

else

return P

- Initially, the experiments were carried out on paths, cycle graphs and ladder graphs for which the optimal values are known to prove the algorithm's correctness.

Graph	Optimal Results
Path	$\lceil \frac{3n}{7} \rceil [3]$
Cycle	$\lceil \frac{3n}{7} \rceil [1]$
Ladder ($P_2 \square P_n$)	$\lceil \frac{3n+1}{4} \rceil [9]$

Table 1: Standard graphs with known $\gamma_s(G)$

Experimental results for special graphs (GA)

Graph	n	$\gamma_s(G)$	Obtained Results		
			# of iterations		
			1000	10000	100000
Path	13	6	6	6	6
	22	10	11	10	10
	64	28	36	35	32
	100	43	57	54	52
Cycle	13	6	6	6	6
	22	10	11	10	10
	64	28	34	32	31
	100	43	56	53	51
Ladder Graph	14	6	7	6	6
	22	9	10	9	9
	64	25	32	28	25
	100	38	50	46	43

Table 2: Results obtained for special graphs

Experimental Results (contd..)

- Performance of the proposed genetic and Partical Swarm Optimisation algorithms will be tested on random graphs generated using Erdős-Rényi model and Harwell Boeing data sets as it is used by most of the researchers working in this domain.

Theorem

For every simple, undirected graph G , $\gamma_s(G) \leq \gamma_2(G)$.

Proof.

Let S be a 2-dominating set of G . For every vertex u not in S there exists a neighbor v in S such that $(S - \{v\}) \cup \{u\}$ is a dominating set of G . Hence S is also a secure dominating set of G . Therefore, $\gamma_s(G) \leq \gamma_2(G)$. □

Experimental results for NetworkX Erdős-Rényi model graphs (GA) (contd..)

n	p	UB	Obtained Result
17	0.1	17	12
	0.2	21	7
20	0.1	20	10
	0.2	24	8
24	0.1	24	12
	0.2	26	8
25	0.1	25	12
	0.2	27	10
62	0.1	74	21
	0.2	53	12
78	0.1	84	26
	0.2	47	14
94	0.1	89	29
	0.2	47	18

Table 3: Results obtained for NetworkX Erdős-Rényi model graphs.

Experimental results for Harwell Boeing data sets graphs (GA)

<i>Graph</i>	$V(G)$	$E(G)$	UB	Obtained Result
<i>rgg010</i>	10	76	6	1
<i>jgl011</i>	11	76	7	3
<i>jgl009</i>	9	50	7	2
<i>impcol_b</i>	59	312	63	22
<i>ibm32</i>	32	126	31	11
<i>fidap005</i>	27	279	13	4
<i>dwt_59</i>	59	163	71	25
<i>curtis54</i>	54	291	58	19
<i>can_73</i>	73	225	78	35
<i>bcspwr02</i>	49	108	47	26
<i>bcspwr01</i>	39	85	37	19

Table 4: Results obtained for Harwell Boeing data sets graphs.

Experimental results for Harwell Boeing data sets graphs (GA)

<i>Graph</i>	$V(G)$	$E(G)$	UB	Obtained Result
<i>ash85</i>	85	304	91	30
<i>dwt_162</i>	162	672	194	52
<i>west0156</i>	156	371	167	66
<i>bfw62b</i>	62	342	74	23
<i>dwt_72</i>	72	147	68	41
<i>fidapm05</i>	42	520	20	17
<i>can_24</i>	24	92	23	6
<i>dwt_66</i>	66	193	63	22
<i>bfw62a</i>	62	450	67	18
<i>can_61</i>	61	309	43	12
<i>bcsstk03</i>	112	376	86	42

Table 5: Results obtained for Harwell Boeing data sets graphs.

Experimental results for special graphs (PSO)

Graph	n	$\gamma_s(G)$	Obtained Results		
			# of iterations		
			1000	10000	100000
Path	13	6	6	6	6
	22	10	10	10	10
	64	28	35	33	30
	100	43	55	51	47
Cycle	13	6	6	6	6
	22	10	10	10	10
	64	28	33	31	29
	100	43	55	52	46
Ladder Graph	14	6	6	6	6
	22	9	10	9	9
	64	25	31	25	25
	100	38	58	45	41

Table 6: Results obtained for special graphs

Experimental results for NetworkX Erdős-Rényi model graphs (PSO) (contd..)

n	p	UB	Obtained Result
17	0.1	17	12
	0.2	21	7
20	0.1	20	10
	0.2	24	9
24	0.1	24	12
	0.2	26	9
25	0.1	25	11
	0.2	27	11
62	0.1	74	21
	0.2	53	15
78	0.1	84	24
	0.2	47	17
94	0.1	89	27
	0.2	47	22

Table 7: Results obtained for NetworkX Erdős-Rényi model graphs.

Experimental results for Harwell Boeing data sets graphs (GA)

<i>Graph</i>	$V(G)$	$E(G)$	UB	Obtained Result
<i>rgg010</i>	10	76	6	1
<i>jgl011</i>	11	76	7	5
<i>jgl009</i>	9	50	7	6
<i>impcol_b</i>	59	312	63	14
<i>ibm32</i>	32	126	31	11
<i>fidap005</i>	27	279	13	4
<i>dwt_59</i>	59	163	71	25
<i>curtis54</i>	54	291	58	19
<i>can_73</i>	73	225	78	35
<i>bccspwr02</i>	49	108	47	26
<i>bccspwr01</i>	39	85	37	19

Table 8: Results obtained for Harwell Boeing data sets graphs.

Experimental results for Harwell Boeing data sets graphs (GA)

<i>Graph</i>	$V(G)$	$E(G)$	UB	Obtained Result
<i>ash85</i>	85	304	91	28
<i>dwt_162</i>	162	672	194	51
<i>west0156</i>	156	371	167	69
<i>bfw62b</i>	62	342	74	24
<i>dwt_72</i>	72	147	68	39
<i>fidapm05</i>	42	520	20	14
<i>can_24</i>	24	92	23	8
<i>dwt_66</i>	66	193	63	23
<i>bfw62a</i>	62	450	67	19
<i>can_61</i>	61	309	43	14
<i>bcsstk03</i>	112	376	86	35

Table 9: Results obtained for Harwell Boeing data sets graphs.

- Since there are no known non-trivial bounds on the secure domination number of general graphs, based on the fact $\gamma_s(G) \leq \gamma_2(G)$ we are using the results obtained for determining 2-domination number of graph in [8] for the comparison purpose and consider it as upper bound (UB).
- The upper bound is $\frac{2 * \ln(\delta+1) + 1}{(\delta+1)} * n$ where n is the number of vertices and δ is the minimum degree of graph
- Experiments show that the result obtained by the proposed algorithm for solving SDOM problem is very much less than the upper bound, emphasizing that the algorithm is effective.

- PSO algorithm purpose is to find the global optimum of the fitness function defined in a given area. GA algorithm modifies a population of individual solutions repeatedly.
- a Genetic Algorithms complexity is $O(g(nm + nm + n))$ with g the number of generations, n the population size and m the size of the individuals. Therefore the complexity is on the order of $O(gnm)$.
- PSO Algorithm complexity depends on swarm size S and no of dimensions D . Complexity is $O(S * D)$. However, this can vary depending on the specific problem and algorithm variations.

- We have proposed a genetic algorithm based solution for solving secure domination problem which is NP-hard.
- Effectiveness of the proposed meta-heuristic algorithm is tested on the random graphs generated using NetworkX Erdős-Rényi model, a popular model for graph generation and Harwell Boeing (HB) graph dataset.
- The obtained results are much lower than the upper bound. This fact emphasizes that the algorithm is efficient and designing better metaheuristic algorithms for the problem remains open.

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