

# On the Counting Complexity of the Skolem Problem

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## Abstract

The Skolem Problem asks, given an integer linear recurrence sequence (LRS), to determine whether the sequence contains a zero term or not. Its decidability is a longstanding open problem in theoretical computer science and automata theory. Currently, decidability is only known for LRS of order at most 4. On the other hand, the sole known complexity result is NP-hardness, due to Blondel and Portier.

A fundamental result in this area is the celebrated Skolem-Mahler-Lech theorem, which asserts that the zero set of any LRS is the union of a finite set and finitely many arithmetic progressions. This paper focuses on a computational perspective of the Skolem-Mahler-Lech theorem: we show that the problem of counting the zeros of a given LRS is #P-hard, and in fact #P-complete for the instances generated in our reduction.

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## 1 Introduction

### 1.1 LRS and the Skolem Problem

Linear recurrence sequences (LRS), such as the Fibonacci numbers, are a fundamental class of sequences ubiquitous across diverse domains within mathematics and computer science.

► **Definition 1.1** (Linear Recurrence Sequence). *An integer sequence  $\mathbf{u} = \langle u_n \rangle_{n=0}^{\infty}$  is a linear recurrence sequence (LRS) of order  $k$  if  $k$  is the least positive integer such that the  $n^{\text{th}}$  term  $u_n$  of the sequence  $\mathbf{u}$  can be written as:*

$$u_n = a_{k-1}u_{n-1} + \cdots + a_1u_{n-k+1} + a_0u_{n-k}, \quad (1)$$

for every  $n \geq k$ , where  $a_j \in \mathbb{Z}$  for  $0 \leq j \leq k-1$  and  $a_0 \neq 0$ . The LRS  $\mathbf{u}$  is then uniquely determined by the initial values  $u_0, u_1, u_2, \dots, u_{k-1}$ .

The primary motivation of this paper comes from the following famous problem.

► **Problem 1.1** (Skolem). *Given an LRS  $\mathbf{u}$ , decide if there exists  $n \in \mathbb{N}$  such that  $u_n = 0$ .*

This longstanding open problem, going back nearly a hundred years, has garnered considerable attention in the literature. Currently, decidability of the Skolem Problem is known only for LRS of orders up to 4 [22, 17], a result established some forty years ago and unimproved since. A related question is the Positivity Problem, defined as follows:

► **Problem 1.2** (Positivity). *Given a LRS  $\mathbf{u}$ , decide if there exists  $n \in \mathbb{N}$  such that  $u_n < 0$ .*

It is relatively straightforward to show that the Skolem Problem reduces to the Positivity Problem, by using the fact that LRS are closed under pointwise addition and multiplication. Both Skolem and Positivity serve as flagship problems in various subfields of theoretical computer science, such as program-termination analysis, see e.g. [4]. Decidability of the Positivity Problem is known for LRS of order at most 5 [18]. Moreover, it is known that decidability of the Positivity Problem for LRS of order 6 would entail major breakthroughs in the field of Diophantine approximation [18]. On the complexity front, the only known lower bounds are that the Skolem Problem (and consequently the Positivity Problem) is NP-hard [9, 20].

When investigating the Skolem Problem, it is natural to consider the zero sets of LRS. The well-known Skolem-Mahler-Lech theorem sheds light on the structure of the zero set  $Z(\mathbf{u})$  of  $\mathbf{u}$  defined as:  $Z(\mathbf{u}) := \{n \in \mathbb{N} \mid u_n = 0\}$ . This theorem asserts that the zero set of any linear recurrence sequence is the union of a finite set and finitely many arithmetic progressions. Formally:

► **Theorem 1.2** (Skolem-Mahler-Lech theorem, [13, 14]). *The zero set  $Z(\mathbf{u})$  of an LRS  $\mathbf{u}$  is the union of a finite set and a finite number of residue classes (arithmetic progressions)  $\{n \in \mathbb{N} \mid n \equiv r \pmod{m}\}$ .*

For an LRS  $\mathbf{u}$  of order  $k$  as in Definition 1.1, the characteristic polynomial  $\chi_{\mathbf{u}}$  of  $\mathbf{u}$  is defined as the following univariate polynomial:

$$\chi_{\mathbf{u}}(x) := x^k - a_{k-1}x^{k-1} - a_{k-2}x^{k-2} - \dots - a_1x - a_0.$$

The roots of  $\chi_{\mathbf{u}}$  are known as the *characteristic roots* of  $\mathbf{u}$ . Suppose that the characteristic roots are  $\{\lambda_1, \lambda_2, \dots, \lambda_d\}$  with corresponding multiplicities  $\{m_1, m_2, \dots, m_d\}$ . These characteristic roots give rise to an exponential-polynomial representation of  $u_n$  as [13]:

$$u_n = \sum_{j=1}^d A_j(n) \lambda_j^n,$$

where  $A_j(x)$  are univariate polynomials with complex coefficients of degree at most  $m_j - 1$ . We say that  $\mathbf{u}$  is a *simple* LRS if  $m_j = 1$  for all  $0 \leq j \leq d$ , or equivalently if  $d = k$ . We say that  $\mathbf{u}$  is *degenerate* if there exist two distinct characteristic roots  $\lambda_i, \lambda_j$  such that  $\frac{\lambda_i}{\lambda_j}$  is a root of unity. An equivalent formulation of the Skolem-Mahler-Lech theorem is the assertion that the zero set of a non-zero non-degenerate LRS is finite.

It is common to restrict oneself to non-degenerate LRS, since one can effectively decompose any given LRS into finitely many non-degenerate sub-LRS, see e.g. [8]. Upper bounds on the cardinality of the zero set of a given non-degenerate LRS are known; the sharpest such result is due to Amoroso and Viada [5]:

► **Theorem 1.3.** *Let  $\mathbf{u}$  be a non-degenerate LRS having  $d$  distinct characteristic roots, each with multiplicity at most  $m$ . Then  $\mathbf{u}$  has at most  $(8d^m)^{8d^{6m}}$  zeros.*

Note that one can derive from Theorem 1.3 uniform bounds that depend only on the order of LRS. Unfortunately, no such upper bounds are known on the *magnitude* of zeros of LRS, which is of course why the Skolem Problem remains open to this day.

Taking a computational perspective on the Skolem-Mahler-Lech theorem, we now delve into the counting variant of the Skolem Problem. Let us write  $|S|$  to denote the cardinality of a given finite set  $S$ . We define:

► **Problem 1.3 (#Skolem).** *Given an LRS  $\mathbf{u}$  and a positive integer  $m$  in binary, compute  $|\{0 \leq n \leq m \mid u_n = 0\}|$ .*

## 1.2 Our Results

We exploit some of the concepts presented in [20] to establish #P-hardness of the #Skolem Problem:

► **Theorem 1.4.** *#Skolem is #P-hard.*

In fact, we investigate a restricted variant of the #Skolem Problem denoted by #Skolem<sub>ω</sub>. This is inspired by the problem Skolem<sub>ω</sub> defined and explored in [20]. Skolem<sub>ω</sub> is a special case of the Skolem Problem for which the characteristic roots of the given LRS are restricted to be roots of unity. The problem Skolem<sub>ω</sub> was shown to be NP-complete in [20].

► **Problem 1.4** (#Skolem<sub>ω</sub>). *Given an LRS  $\mathbf{u}$  whose characteristic roots are roots of unity together with a positive integer  $m$  represented in binary, compute  $|\{0 \leq n \leq m \mid u_n = 0\}|$ .*

We establish that #Skolem<sub>ω</sub> is #P-complete, which immediately entails Theorem 1.4. One might have anticipated that the NP-completeness of Skolem<sub>ω</sub> would automatically imply the #P-completeness of #Skolem<sub>ω</sub>, but this is not the case because the NP-hardness reduction provided in [20] is not parsimonious.<sup>1</sup> We modify the reduction of [20] so that primes used in the reduction are chosen carefully, leading to #P-completeness of #Skolem<sub>ω</sub>. More precisely, we exploit and combine some of the ideas from [24, 20] to establish our results. Finally, we investigate the following inclusion problem for LRS, which serves as a generalization of both the Skolem and Positivity Problems.

► **Problem 1.5** (LRSInclusion). *Given two LRS  $\mathbf{u}$  and  $\mathbf{v}$ , determine whether  $\{u_n \mid n \in \mathbb{N}\} \subseteq \{v_n \mid n \in \mathbb{N}\}$ .*

By making use of some of the key tools from [20], we establish the following hardness result for the LRSInclusion Problem.

► **Theorem 1.5.** *LRSInclusion is  $\Pi_2^P$ -hard.*

## 1.3 Proof Idea

To demonstrate the #P-hardness of #Skolem<sub>ω</sub>, we reduce the counting version of the Subset Sum Problem (#SSP) to #Skolem<sub>ω</sub> (see Section 2 for precise definitions). Given an instance  $(S, b)$  of #SSP, we first construct a specific type of LRS, denoted  $\mathbf{u}_{S,b}$ , based on prime numbers in certain arithmetic progressions. The proof establishes a correspondence between solutions to the Subset Sum Problem and zeros of the constructed LRS. We demonstrate that modulo a particular prime  $q$  (upon which the construction of  $\mathbf{u}_{S,b}$  is based), the number of solutions of  $(S, b)$  and the number of zeros of  $\mathbf{u}_{S,b}$  are congruent (see Lemma 3.1). By repeating this procedure for various primes  $q$  and using Chinese remaindering, we are able to conclude that #SSP reduces to #Skolem<sub>ω</sub>. To establish that #Skolem<sub>ω</sub> belongs to #P, we adapt the key ideas developed in [20]. For details, see Section 3.

## 2 Preliminaries

We recall relevant definitions and results related to counting complexity and linear recurrence sequences. For a more detailed discussion, we refer the reader to [6] and [13].

<sup>1</sup> There are more elaborate technical conditions on NP-completeness reductions which automatically guarantee the #P-completeness of associated counting variants, see e.g. [16]. However, it is far from clear whether the reductions proposed in [9, 20] satisfy such conditions.

► **Definition 2.1** ( $\#P$ , [6]). A function  $f : \{0, 1\}^* \rightarrow \mathbb{N}$  is in  $\#P$  if there exists a polynomial  $p : \mathbb{N} \rightarrow \mathbb{N}$  and a polynomial-time Turing machine  $M$  such that for every  $x \in \{0, 1\}^*$  :

$$f(x) = \left| \left\{ y \in \{0, 1\}^{p(|x|)} \mid M(x, y) = 1 \right\} \right|.$$

We define  $FP$  to be the set of functions from  $\{0, 1\}^*$  to  $\mathbb{N}$  computable by a deterministic polynomial-time Turing machine.

► **Definition 2.2** ([6]). A function  $f : \{0, 1\}^* \rightarrow \mathbb{N}$  is  $\#P$ -hard if every  $g \in \#P$  is also in  $FP^f$ .  $f$  is said to be  $\#P$ -complete if it is  $\#P$ -hard and it is also in  $\#P$ .

An LRS  $\mathbf{u}$  is said to be periodic with period  $p$  if  $u_n = u_{n+p}$  for all non-negative  $n$ . For an LRS  $\mathbf{u}$  of order  $k$ , we denote by  $\|\mathbf{u}\|$  the size of the bit representation of the coefficients of the corresponding recurrence relation (Equation (1)), namely  $a_0, a_1, a_2, \dots, a_{k-1}$ , and of the initial values  $u_0, u_1, u_2, \dots, u_{k-1}$ . LRS are closed under addition; moreover, if  $\mathbf{u}$  and  $\mathbf{v}$  are two given LRS, one can construct the LRS  $\mathbf{u} + \mathbf{v} = \langle u_n + v_n \rangle_{n=0}^\infty$  efficiently:

► **Theorem 2.3** (Lemma 2 in [20]). Suppose  $\mathbf{u}^{(1)}, \mathbf{u}^{(2)}, \dots, \mathbf{u}^{(\ell)}$  are LRS of orders  $k_1, k_2, \dots, k_\ell$  respectively, then we have:

1.  $\mathbf{u} := \mathbf{u}^{(1)} + \mathbf{u}^{(2)} + \dots + \mathbf{u}^{(\ell)}$  is an LRS of order at most  $k_1 + k_2 + \dots + k_\ell$ .
2.  $\mathbf{u}$  can be constructed in  $\text{poly}(\|\mathbf{u}^{(1)}\| + \|\mathbf{u}^{(2)}\| + \dots + \|\mathbf{u}^{(\ell)}\|)$  time.
3. The characteristic polynomial  $\chi_{\mathbf{u}}$  is a factor of  $\prod_{i=1}^\ell \chi_{\mathbf{u}^{(i)}}$ .

To show  $\#P$ -hardness of  $\#\text{Skolem}_\omega$ , we reduce the Subset Sum Problem to  $\#\text{Skolem}_\omega$ . Given a set  $T \subseteq \mathbb{Z}$ , write  $\sum(T)$  to denote the sum of its elements. For a pair  $(S, b)$  with  $S \subseteq \mathbb{Z}$  and  $b \in \mathbb{Z}$ , let  $W(S, b)$  denote the set of solutions of the subset sum set instance  $(S, b)$ , i.e.,

$$W(S, b) := \{T \subseteq S \mid \sum(T) = b\}.$$

► **Problem 2.1** (Subset Sum Problem). Given an integer  $b$  and a set of integers  $S = \{s_1, s_2, \dots, s_m\}$ , we define the following problems:

1. *Decision variant (SSP):* Determine whether there exists a subset  $T \subseteq S$  such that the sum of all the integers in  $T$  equals  $b$ , i.e., decide if  $W(S, b) \neq \emptyset$ .
2. *Counting variant (#SSP):* Count the number of subsets  $T \subseteq S$  such that the sum of all the integers in  $T$  equals  $b$ , i.e., compute  $|W(S, b)|$ .

It is known that SSP is NP-complete and this fact was used in [20] to show that  $\text{Skolem}_\omega$  is itself NP-complete. Moreover, it is also known that #SSP is  $\#P$ -complete [12, 10], as stated below.

► **Theorem 2.4** ([12, 10]). #SSP is  $\#P$ -complete.

### 3 $\#P$ -completeness of $\#\text{Skolem}_\omega$

In this section we show that  $\#\text{Skolem}_\omega$  is  $\#P$ -complete.

### 3.1 #Skolem<sub>ω</sub> is #P-hard

Here we extend the ideas of [20] to prove that #Skolem<sub>ω</sub> is #P-hard. To this end, we invoke the #P-hardness of the #SSP Problem defined in Problem 2.1. Let us assume that we are given a set  $S = \{s_1, s_2, \dots, s_m\} \subseteq \mathbb{Z}$  of integers together with  $b \in \mathbb{Z}$ . Suppose  $q$  is an odd prime. We now construct the desired LRS  $\mathbf{u}_{S,b}$ . Suppose  $p_1, p_2, \dots, p_m$  are  $m$  distinct primes in the arithmetic progression  $\{aq + 2 \mid a \in \mathbb{N}\}$ . Then for each  $1 \leq i \leq m$ , we define the LRS  $\mathbf{u}^{(i)}$  whose  $n^{\text{th}}$  term is given as:

$$\mathbf{u}_n^{(i)} := \begin{cases} s_i & \text{if } n = 0 \\ 0 & \text{if } 1 \leq n < p_i \\ \mathbf{u}_{n-p_i}^{(i)} & \text{otherwise.} \end{cases}$$

So  $\mathbf{u}^{(i)}$  is a periodic LRS where every  $p_i^{\text{th}}$  term is  $s_i$  and all the other terms are zero. We can now define the LRS  $\mathbf{u}_{S,b}$ , as follows:

$$(\mathbf{u}_{S,b})_n = \sum_{i=1}^m \mathbf{u}_n^{(i)} - b.$$

Notice that the characteristic polynomial  $\chi_{\mathbf{u}^{(i)}}$  of  $\mathbf{u}^{(i)}$  is  $x^{p_i} - 1$  and that of the constant LRS  $b$  is  $x - 1$ . Thus thanks to Theorem 2.3, the characteristic polynomial  $\chi_{\mathbf{u}_{S,b}}$  of  $\mathbf{u}_{S,b}$  is a factor of  $(x - 1) \prod_{i=1}^m (x^{p_i} - 1)$ . Therefore all characteristic roots of  $\mathbf{u}_{S,b}$  are roots of unity, and counting the number of zeros of  $\mathbf{u}_{S,b}$  is an instance of the #Skolem<sub>ω</sub> Problem.

For an LRS  $\mathbf{u}$  and positive integer  $B$ , let us write  $Z_B(\mathbf{u})$  to denote the set  $\{0 \leq n < B \mid u_n = 0\}$  of zeros of  $\mathbf{u}$  of magnitude less than  $B$ . The following result is key to showing that #Skolem is #P-hard.

► **Lemma 3.1.** *For any #SSP instance  $(S, b)$  and odd prime  $q$ , define  $B := \prod_{i=1}^m p_i$ . Then we have:*

$$|W(S, b)| \equiv |Z_B(\mathbf{u}_{S,b})| \pmod{q}.$$

**Proof.** Suppose  $T \in W(S, b)$ . Define  $N_T := \prod_{s_i \in T} p_i$ . Now consider the set:

$$C_T := \{0 \leq k < B \mid \gcd(k, B) = N_T\}. \quad (2)$$

It is clear that  $(\mathbf{u}_{S,b})_k = 0$  for all  $k \in C_T$ . By definition of  $C_T$ , it is also clear that  $C_T$  and  $C_{T'}$  are disjoint for distinct  $T, T' \in W(S, b)$ . In fact,  $C_T$  is exactly the set of indices  $k$  less than  $B$  corresponding to  $T$  such that  $(\mathbf{u}_{S,b})_k = 0$ . Moreover, Equation (2) implies:

$$C_T = \{mN_T \mid 0 \leq m < B/N_T, \gcd(m, B/N_T) = 1\}. \quad (3)$$

Equation (3) clearly entails that  $|C_T| = \varphi(B/N_T) = \prod_{s_i \notin T} (p_i - 1)$ , where  $\varphi$  is Euler's totient function. Since the  $p_i$ 's are in the set  $\{aq + 2 \mid a \in \mathbb{N}\}$ , we have that  $p_i = a_i q + 2$  for some  $a_i \in \mathbb{N}$ . Hence:

$$p_i - 1 = a_i q + 1$$

$$p_i - 1 \equiv 1 \pmod{q}$$

Therefore  $|C_T| \equiv 1 \pmod{q}$ . By summing over all  $T \in W(S, b)$ , we get:

$$|W(S, b)| \equiv |Z_B(\mathbf{u}_{S,b})| \pmod{q},$$

as required. ◀

To complete the proof of  $\#P$ -hardness of  $\#Skolem_\omega$ , we also need to show that the  $p_i$ 's above are not “too large” and also that  $\mathbf{u}_{S,b}$  can be constructed efficiently from a given instance  $(S, b)$  of  $\#SSP$ . To this end, we make use of the results established in Section 4.

► **Theorem 3.2.**  $\#Skolem_\omega$  is  $\#P$ -hard.

**Proof.** As stated above, we reduce  $\#SSP$  to  $\#Skolem_\omega$ . We are given a subset sum instance  $(S = \{s_1, s_2, \dots, s_m\}, b)$ . By using Corollary 4.4, we find odd primes  $q_1, q_2, \dots, q_m$  and primes  $\{p_{ij} \mid 1 \leq i, j \leq m\}$  such that  $p_{ij}$  is in the arithmetic progression  $\{aq_i + 2 \mid a \in \mathbb{N}\}$ . This is always possible as long as  $m \geq m_0$ , where  $m_0$  is some absolute effective constant. If  $m < m_0$  then  $\#SSP$  can be trivially solved in polynomial time by a brute-force algorithm, hence we can assume that  $m \geq m_0$ . Corollary 4.4 implies that we can find such  $q_i, p_{ij}$  in  $\text{poly}(m)$  time and also that  $q_i, p_{ij}$  are polynomially bounded in  $m$ .

Fix a prime  $q \in \{q_1, q_2, \dots, q_m\}$ . Consider  $m$  primes  $p_1, p_2, \dots, p_m$  in the arithmetic progression  $\{aq + 2 \mid a \in \mathbb{N}\}$ , generated by Corollary 4.4. Now construct the LRS  $\mathbf{u}_{S,b}$  as above. By using Theorem 2.3 and bounds on the  $p_i$ 's,  $\mathbf{u}_{S,b}$  can be constructed in  $\text{poly}(I)$  time, where  $I$  is the length of the binary description of the input  $(S = \{s_1, s_2, \dots, s_m\}, b)$ . We then use a  $\#Skolem_\omega$  oracle on the  $\#Skolem_\omega$  instance  $(\mathbf{u}_{S,b}, B)$ , where  $B := \prod_{i=1}^m p_i$ . By invoking Lemma 3.1, we obtain that

$$|W(S, b)| \equiv |Z_B(\mathbf{u}_{S,b})| \pmod{q}.$$

Now we repeat the above procedure for all  $q \in \{q_1, q_2, \dots, q_m\}$ . Hence by invoking the  $\#Skolem_\omega$  oracle  $m$  times, we can compute  $|W(S, b)| \pmod{q_i}$  for all  $i \in \{1, \dots, m\}$ . Since  $|W(S, b)| \leq 2^m$  and  $\prod_{i=1}^m q_i > 2^m$ , by using Chinese remaindering we can recover the exact value of  $|W(S, b)|$ . It is moreover known that Chinese remaindering can be performed in polynomial time, see e.g. [23, Chapter 5]. This implies that  $\#SSP$  is in  $\text{FP}^{\#Skolem_\omega}$ . By using Theorem 2.4, we conclude that  $\#Skolem_\omega$  is  $\#P$ -hard. ◀

### 3.2 $\#Skolem_\omega$ is in $\#P$

The proof of membership of  $\#Skolem_\omega$  in  $\#P$  follows from the ideas developed in the proof of Theorem 8 in [20]. Given an LRS  $\mathbf{u}$  whose characteristic roots are roots of unity, in the proof of Theorem 8 in [20], the following facts are proved:

- If  $\mathbf{u}$  has a zero at all then there exists an  $N \leq 2^{\text{poly}(\|\mathbf{u}\|)}$  such that  $u_N = 0$ .
- For any  $N \leq 2^{\text{poly}(\|\mathbf{u}\|)}$ , the condition  $u_N = 0$  can be verified in deterministic polynomial time.

We adapt the above ideas to show that  $\#Skolem_\omega \in \#P$ . To this end, consider a given  $\#Skolem_\omega$  instance  $(\mathbf{u}, B)$ . We define the function  $f_{\mathbf{u},B} : \{0, 1, \dots, B\} \rightarrow \{0, 1\}$  by:

$$f_{\mathbf{u},B}(n) = \begin{cases} 1 & \text{If } u_n = 0 \\ 0 & \text{Otherwise.} \end{cases}$$

We now show that  $f_{\mathbf{u},B}$  can be computed in  $\text{poly}(\|\mathbf{u}\|, \log B)$  time, which in turn implies that  $\#Skolem_\omega$  is in  $\#P$ . Recall the exponential-polynomial representation of  $\mathbf{u}$ , whereby we have

$$u_n = \sum_{j=1}^d A_j(n) \lambda_j^n,$$

where  $\lambda_j$  are roots of unity and  $A_j(n)$  are polynomials. Since  $\lambda_j$ 's are roots of unity, the asymptotic behavior of  $u_n$  is controlled by the  $A_j$  polynomials. Hence the bit size of the

absolute value of  $u_n$  should grow polynomially in  $\|\mathbf{u}\|$  and  $\log n$ . This intuition is made precise in the proof of Theorem 8 in [20]. We now recall and adapt the key ideas of [20], to show that  $f_{\mathbf{u},B}$  can be computed in  $\text{poly}(\|\mathbf{u}\|, \log B)$  time. To this end, we recall the following definitions from [20]: for  $m \in \mathbb{N}$ ,  $P_m(x) := \sum_{j=1}^d A_j(x) \lambda_j^m$  and  $P = \{P_m \mid m \in \mathbb{N}\}$ .

► **Lemma 3.3** (Lemma 10 in [20]). *The set  $P$  is finite. In fact  $P = \{P_m \mid m \in \{0, 1, \dots, k^{3k}\}\}$ , where  $k$  is the order of  $\mathbf{u}$ .*

Moreover, it is also shown in [20] that the coefficients of all polynomials in  $P$  are rational numbers which are also  $\text{poly}(\|\mathbf{u}\|)$  bounded in bit size and can be computed in polynomial time. Notice that for any  $n \in \mathbb{N}$ , we have  $u_n = P_n(n)$ . Since  $P_n \in P$ , the coefficients of  $P_n$  are also bounded by  $\text{poly}(\|\mathbf{u}\|)$  in bit size. This implies that  $u_n$  is bounded by  $\text{poly}(\|\mathbf{u}\|, \log n)$  in bit size, and thus we can compute  $u_n$  in  $\text{poly}(\|\mathbf{u}\|, \log n)$  time (using iterated squaring of the companion matrix, see e.g. [11, 20]). One can then easily check whether  $u_n = 0$ . Therefore the function  $f_{\mathbf{u},B}$  can indeed be computed in  $\text{poly}(\|\mathbf{u}\|, \log B)$  time, whence:

► **Theorem 3.4.**  $\#\text{Skolem}_\omega$  is in  $\#\text{P}$ .

► **Remark 3.5.** The above approach for deciding  $u_n = 0$  given  $\mathbf{u}$  and  $n$  (by iterated squaring of the companion matrix) also applies for general LRS. However in such instances it can happen that the binary representation of  $u_n$  has bit size  $\text{poly}(\|\mathbf{u}\|, n)$  instead of  $\text{poly}(\|\mathbf{u}\|, \log n)$ . Checking zeroness of such huge  $u_n$  is not known to be feasible in deterministic polynomial time. This is a special case of the well-known EquSLP Problem [3], which can be handled in randomized polynomial time.

## 4 Finding Primes in Arithmetic Progression

This section presents an algorithm for finding primes in arithmetic progressions. This algorithm is adapted from [24, Section 4] with only minor modifications, and is included here for completeness. We use  $\pi(x)$  to denote the prime-counting function, i.e.,

$$\pi(x) := \{n \in \mathbb{N}, 2 \leq n \leq x \mid n \text{ is prime}\}.$$

For  $a, d \in \mathbb{N}$  with  $\gcd(a, d) = 1$ , we define  $\pi(x; d, a)$  to be the number of primes below  $x$  that belong to the arithmetic progression  $\{a + dt \mid t \in \mathbb{N}\}$ , i.e.,

$$\pi(x; d, a) := \{n \in \mathbb{N}, 2 \leq n \leq x, n \equiv a \pmod{d} \mid n \text{ is prime}\}.$$

With this notation we have  $\pi(x) = \pi(x; 1, 0)$ . The prime number theorem for arithmetic progressions states that

$$\pi(x; d, a) \sim \frac{\pi(x)}{\varphi(d)} \text{ as } x \rightarrow \infty \quad (4)$$

provided  $\gcd(a, d) = 1$ , where  $\varphi$  is Euler's totient function. For an effective version of Equation (4), the following result was proven in [2]:

► **Theorem 4.1** ([2], Fact 4.10 in [24]). *There exist positive constants  $x_0, \eta \in \mathbb{R}$ ,  $t \in \mathbb{N}$  such that for all  $x, y \in \mathbb{R}$  with  $y \geq x \geq x_0$  there exists  $E \subseteq \mathbb{N}$  with  $|E| < t$ ,  $\min(E) \geq \log x$  such that*

$$\pi(y; d, a) \geq \frac{\pi(x)}{2\varphi(d)}$$



whenever  $\gcd(a, d) = 1$ ,  $1 \leq d \leq \min\{x^{\frac{2}{5}}, yx^{-\frac{3}{5}}\}$ , and  $E$  contains no divisor of  $d$ . Furthermore,  $e \geq x^\eta$  for all but at most one  $e \in E$ . We can assume  $\eta \leq \frac{2}{7}$ .

► **Corollary 4.2.** *There exist positive constants  $x_0, \eta \in \mathbb{R}$  such that for all  $x \in \mathbb{R}$  with  $x \geq \max\{5, x_0\}$  there exists  $E \subseteq \mathbb{N}$  with  $\min(E) \geq \log x$  and we have*

$$\pi(x; q, 2) \geq \frac{\pi(x)}{2\varphi(q)}$$

for any odd prime  $q$  with  $q \leq x^{\frac{2}{5}}$  and  $q \notin E$ . Furthermore, we can assume  $\eta \leq \frac{2}{7}$  and  $e \geq x^\eta$  for all but at most one  $e \in E$ .

**Proof.** In Theorem 4.1, we choose  $y = x$  and hence  $\min\{x^{\frac{2}{5}}, yx^{-\frac{3}{5}}\}$  can be replaced by  $x^{\frac{2}{5}}$ . Clearly the condition  $\gcd(a, d) = 1$  is satisfied because  $a = 2$  and  $d = q$  is an odd prime. First notice that  $1 \notin E$ , since  $\min(E) \geq \log x$ . Hence the only way  $E$  can contain a divisor of  $q = d$  is if  $q \in E$ . Hence the condition of  $E$  containing no divisor of  $d$  can be replaced by  $q \notin E$ . Observe that we can now just drop the parameter  $t$  from Theorem 4.1 to get Corollary 4.2. ◀

Suppose  $x_0, \eta$  are the constants defined in Corollary 4.2. We define:

$$N := \max \left\{ 2 \cdot 10^{34}, \frac{2}{5\eta} \right\}.$$

#### Algorithm 1 Primes in Arithmetic Progression

**Input** :  $n \in \mathbb{N}$  in unary.

**Output**:  $n$  odd primes  $\{q_i \mid i \in [n]\}$  and  $n^2$  odd primes  $\{p_{ij} \mid i, j \in [n]\}$  such that  $p_{ij} \equiv 2 \pmod{q_i}$  for all  $i, j \in [n]$ .

```

1  $B \leftarrow 3n \log^2 n$ .
2 By using the primality testing algorithm of [1], find first  $n + 1$  primes  $q_0, q_1, q_2, \dots, q_n$  that
  are at least  $\frac{B}{2}$ .
3 foreach  $i \in \{0, 1, 2, \dots, n\}$  do
4    $k \leftarrow 0$ . //  $k$  is the number of primes found in  $\{2 + q_i t \mid t \in \mathbb{N}\}$ .
5   foreach  $j \in \{2 + q_i t \mid t \in \mathbb{N}\}$  do
6     if  $j > \max\{B^{\frac{1}{n}}, x_0\}$  or  $k \geq n$  then
7       // Found  $n$  primes in  $\{2 + q_i \mathbb{N}\}$  or  $j$  is "too large".
8       break
9     end
10    Using the primality testing algorithm of [1], check if  $j$  is prime.
11    if  $j$  is prime then
12       $k \leftarrow k + 1$ 
13       $p_{ik} \leftarrow j$ 
14    end
15 end

```

► **Theorem 4.3.** *Algorithm 1 uses  $\text{poly}(n)$  bit operations and if  $n \geq N$  then its output has the following properties for all  $i \in [n]$ :*

1.  $n \log^2 q_i \leq \frac{B}{2} \leq q_i \leq B$ .



287 2.  $p_{ij} \leq \max\{B^{1/\eta}, x_0\}$  and  $p_{ij} \in \{2 + q_i t \mid t \in \mathbb{N}\}$  for all  $j \in [n]$ .

288 **Proof.** We use the following fact from [19]:

$$289 \quad B - \pi\left(\frac{B}{2}\right) \geq \frac{3B}{10 \log\left(\frac{B}{2}\right)}$$

290 to obtain for  $n \geq 11$ :

$$291 \quad \pi(B) - \pi\left(\frac{B}{2}\right) \geq \frac{3B}{10 \log\left(\frac{B}{2}\right)} = \frac{9n \log^2 n}{10} \cdot \frac{1}{\log\left(\frac{3}{2}n \log^2 n\right)} \geq n + 1.$$

292 Hence  $\frac{B}{2} \leq q_i \leq B$ . First notice that:

$$293 \quad \frac{3}{2} \log^2 n \geq \log^2(3n \log^2 n) \geq \log^2 B.$$

294 Hence:

$$295 \quad q_i \geq q_0 \geq \frac{B}{2} = \frac{3}{2} n \log^2 n \geq n \log^2 B \geq n \log^2 q_n \geq q_n \log^2 q_i.$$

296 Hence the first condition  $n \log^2 q_i \leq \frac{B}{2} \leq q_i \leq B$  is proven now.

297 Suppose  $x := \max\{B^{1/\eta}, x_0\}$ . It is clear that  $q_i \leq B \leq x^\eta$  for all  $i \in [n]$ . We first see:

$$298 \quad \begin{aligned} (2 - 5\eta)/2\eta &\geq 1, \\ \eta B^{(2-5\eta)/2\eta} &\geq \eta n^{(2-5\eta)/2\eta} \geq \frac{2}{5}, \\ \eta B^{1/\eta} &\geq \frac{2}{5} B^{5/2}. \end{aligned}$$

299 For convenience:  $Q := \{q_i \mid i \in [n]\}$ . We first notice that  $|Q \cap E| \leq 1$ . This is because we  
 300 have  $q_i \leq x^\eta$  for all  $i \in [n]$  and we have  $e \geq x^\eta$  for all but at most one  $e \in E$ . Suppose  
 301  $q_i \in Q \setminus E$ . We also have  $q_i \leq B \leq x^\eta < x^{\frac{5}{2}}$ . Hence all the conditions on  $q$  in Corollary 4.2  
 302 are satisfied. We use the fact that  $\pi(x) \geq \frac{x}{\log x}$ . Now Corollary 4.2 implies that:

$$303 \quad \begin{aligned} \pi(x; q_i, 2) &\geq \frac{\pi(x)}{2\phi(q_i)} \geq \frac{B^{1/\eta}}{2 \log(B^{1/\eta}) B} \\ 304 \quad &= \frac{\eta B^{1/\eta-1}}{2 \log B} \geq \frac{\frac{2}{5} B^{\frac{3}{2}}}{2 \log B} = \frac{(3n \log^2 n)^{\frac{3}{2}}}{5 \log(n \log^2 n)} > n. \end{aligned}$$

305 Since there can be at most one “bad prime”  $q_i \in E$ , by renaming this prime to  $q_0$ , we obtain  
 306 the desired claim. The polynomial running time of the algorithm is easy to verify. ◀

307 ▶ **Corollary 4.4.** *There exists  $m_0 \in \mathbb{N}$  such that for all  $m \geq m_0$ , in  $\text{poly}(m)$  bit operations  
 308 we can find  $m$  odd primes  $q_1, q_2, \dots, q_m$  and  $m^2$  odd primes  $\{p_{ij} \mid i, j \in [m]\}$  such that:*

- 309 1.  $q_i \leq 3m \log^2 m$  for all  $i \in [m]$ .
- 310 2. For  $i, j \in [m]$ ,  $p_{ij}$  is in the arithmetic progression  $\{2 + q_i t \mid t \in \mathbb{N}\}$ .
- 311 3. For all  $i, j \in [m]$ ,  $p_{ij} \leq (3m \log^2 m)^4$ .

312 **Proof.** We directly use Theorem 4.3 for  $m = n$  and  $N = m_0$ . It is clear that primes  $q_i, p_{ij}$   
 313 satisfy the properties claimed in Item 1 and Item 2. The bound on  $p_{ij}$  claimed in Item 3  
 314 follows from the fact that  $x_0$  is an absolute constant in Corollary 4.2 and  $\eta$  can be chosen to  
 315 be anything smaller than  $2/7$ ; here we use  $\eta = 1/4$ . ◀

## 5 Checking inclusion of LRS

In this section, we consider the problem **LRSInclusion** defined in Section 1.2. Recall, given two LRS  $\mathbf{u}$  and  $\mathbf{v}$  as input, that we want to determine whether  $\{u_n \mid n \in \mathbb{N}\} \subseteq \{v_n \mid n \in \mathbb{N}\}$ . Observe that the **Positivity Problem** reduces to **LRSInclusion**, as can be seen by choosing  $\mathbf{u}$  to be the LRS of positive integers. Therefore both **Skolem** and **Positivity** reduce to **LRSInclusion**. This section is now concerned with showing that **LRSInclusion** is hard for the second level of the polynomial hierarchy, though only NP-hardness is known for **Skolem** and **Positivity**. For a discussion of the polynomial hierarchy, we refer the reader to [6].

To establish hardness of **LRSInclusion**, we reduce from the known hardness of following problem.

► **Problem 5.1** (Generalized Subset Sum (GSSP)). *Given two vectors  $a, b \in \mathbb{Z}^m$  and  $t \in \mathbb{Z}$ , determine whether  $\exists x \forall y [ax + by \neq t]$  holds, where  $x, y \in \{0, 1\}^m$ .*

► **Theorem 5.1** ([7, 15, 21]). *GSSP is  $\Sigma_2^P$ -complete.*

Theorem 5.1 immediately implies the following:

► **Corollary 5.2.** *Consider the problem: given two vectors  $a, b \in \mathbb{Z}^m$  and  $t \in \mathbb{Z}$ , determine whether  $\forall x \exists y [ax + by = t]$  holds, where  $x, y \in \{0, 1\}^m$ . This problem is  $\Pi_2^P$ -complete.*

► **Theorem 5.3.** *LRSInclusion is  $\Pi_2^P$ -hard.*

**Proof.** Given two vectors  $a, b \in \mathbb{Z}^m$  and  $t \in \mathbb{Z}$ , we want to decide if  $\forall x \exists y [ax + by = t]$  holds, with  $x, y \in \{0, 1\}^m$ . This problem is  $\Pi_2^P$ -complete by Corollary 5.2. We reduce this problem to **LRSInclusion**. For every  $i \in \{1, 2, \dots, m\}$ , let  $p_i$  be the  $i^{\text{th}}$  prime. Then, for each  $i \in \{1, 2, \dots, m\}$ , we have an LRS  $\mathbf{u}^{(i)}$ , whose  $n^{\text{th}}$  term is defined as:

$$\mathbf{u}_n^{(i)} = \begin{cases} a_i & \text{if } n = 0 \\ 0 & \text{if } 1 \leq n < p_i \\ \mathbf{u}_{n-p_i}^{(i)} & \text{otherwise.} \end{cases}$$

So  $\mathbf{u}^{(i)}$  is a periodic LRS in which every  $p_i^{\text{th}}$  term is  $a_i$  and all the other terms are zero. We now define the LRS  $\mathbf{u}$  as:

$$\mathbf{u} = \sum_{i=1}^m \mathbf{u}^{(i)}.$$

For each  $i \in \{1, 2, \dots, m\}$ , we define an LRS  $\mathbf{v}^{(i)}$ , whose  $n^{\text{th}}$  term is defined as:

$$\mathbf{v}_n^{(i)} = \begin{cases} b_i & \text{if } n = 0 \\ 0 & \text{if } 1 \leq n < p_i \\ \mathbf{v}_{n-p_i}^{(i)} & \text{otherwise.} \end{cases}$$

So  $\mathbf{v}^{(i)}$  is a periodic LRS in which every  $p_i^{\text{th}}$  term is  $b_i$  and all the other terms are zero. We now define the LRS  $\mathbf{v}$  as:

$$\mathbf{v} = t - \sum_{i=1}^m \mathbf{u}^{(i)}.$$

By using Theorem 2.3 and the ideas in Section 3, it is easy to see that  $\mathbf{u}$  and  $\mathbf{v}$  can be constructed in polynomial time (in terms of the input size). Let us write  $S_{\mathbf{u}}$  and  $S_{\mathbf{v}}$  to denote the sets of integers occurring in  $\mathbf{u}$  and  $\mathbf{v}$  respectively. Observe that:

$$S_{\mathbf{u}} = \{ax \mid x \in \{0, 1\}^m\},$$

$$S_{\mathbf{v}} = \{t - by \mid y \in \{0, 1\}^m\}.$$

Thanks to the above, our LRS inclusion  $S_{\mathbf{u}} \subseteq S_{\mathbf{v}}$  can be rewritten as  $\forall x \exists y [ax + by = t]$ . Hence LRSInclusion is  $\Pi_2^P$ -hard, as claimed. ◀

## 6 Conclusion and Open Problems

We have established that counting the zeros of a given linear recurrence sequence is  $\#P$ -hard, extending the known NP-hardness of the Skolem Problem. We have moreover shown that for instances of the Skolem Problem generated in our reduction, counting zeros is  $\#P$ -complete. Finally, we have introduced the LRSInclusion Problem, which serves as a generalization of both the Skolem and Positivity Problems, and established its  $\Pi_2^P$ -hardness.

We conclude by listing a few important open problems for further research:

1. The Skolem Problem is not known to be decidable, yet our best lower bound for it is NP-hardness; can this huge gap be narrowed?
2. The NP-hardness of Skolem is witnessed in LRS of high order; can one improve by showing NP-hardness for LRS of constant order, as even decidability of Skolem at order 5 remains open?
3. Given an LRS  $\mathbf{u}$  and  $n \in \mathbb{N}$  in binary, what is the complexity of determining whether  $u_n = 0$ ? This problem can be reduced to EquSLP, implying a polynomial-time randomized algorithm for it. Can one obtain a deterministic alternative? This in turn would likely lead to a better understanding of the EquSLP Problem.
4. We showed that  $\#\text{Skolem}_\omega$  is  $\#P$ -complete. Is  $\#\text{Skolem}$  also  $\#P$ -complete?

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