# **Task 3 Training Report**

## 1. Introduction

This report compares the performance of the pretrained (*wav2vec2-large-960h*) and finetuned (*wav2vec2-large-960h-cv*) models on the *cv-valid-dev* dataset using Word Error Rate (WER) and Character Error Rate (CER). The goal is to analyse improvements from finetuning and propose further optimisations.

# 2. Model Comparison

The following metrics were computed for both model:

Model	WER	CER
Pretrained (wav2vec2-large-960h)	0.1176	0.0492
Finetuned (wav2vec2-large-960h-cv)	0.0426	0.0182

#### Observations:

- The finetuned model outperforms the pretrained model, reducing WER by ~64% and CER by 63%.
- This improvement confirms that finetuning on the Common Voice (CV) dataset enhances speech recognition accuracy for the target domain.

# 3. Proposed Improvements

While the finetuning is successful, further optimisations can be made in dataset preparation, model architecture, and hyperparameter tuning.

# 3.1 Dataset Improvements

### (a) Full Dataset Utilisation

- (i) Current Approach: The *cv-valid-train* dataset is further split into 70-30 training-validation sets.
- (ii) Proposed Change: Use the entire training set for finetuning and evaluate on *cv-valid-dev* or *cv-valid-test*.
- (iii) Benefits:
  - (1) More training data leads to better generalisation and robustness.

# (b) Retain Long Audio Sequences

- (i) Current Approach: Long audio sequences are removed to reduce memory consumption.
- (ii) Proposed Change: Keep all sequences.
- (iii) Benefits:
  - (1) Besides improving generalisation and robustness, more diverse training samples can lead to better handling of long-form speech.

# (c) Silence Trimming

- (i) Technique: Trim leading/trailing silence using dB thresholding (e.g., -30dB cutoff).
  - (1) Source: <a href="https://brentspell.com/blog/2022/gmm-trim/">https://brentspell.com/blog/2022/gmm-trim/</a>
- (ii) Benefits:
  - (1) Improves alignment between audio and text tokens.
  - (2) Prevents the model from learning silence as a feature.

### (d) Audio Augmentation

- (i) Techniques:
  - (1) Noise/Reverb Injection (to simulate noisy and realistic environment)
    - a) Source: <a href="https://arxiv.org/html/2410.15609v1">https://arxiv.org/html/2410.15609v1</a>
  - (2) Speed Perturbation (increase or decrease audio speed to simulate fast and slow speaker)
    - a) Source: <a href="https://www.danielpovey.com/files/2015\_interspeech\_augme">https://www.danielpovey.com/files/2015\_interspeech\_augme</a><a href="https://www.danielpovey.com/files/2015\_interspeech\_augme">https://www.danielpovey.com/files/2015\_interspeech\_augme</a>
  - (3) SpecAugment (performs frequency masking and time masking to the feature representations of the audio waveform)
    - a) Source: <a href="https://research.google/blog/specaugment-a-new-data-augment-a-new-dat
  - (4) ROAR: Reinforcing Original to Augmented Data Ratio Dynamics for Wav2Vec2.0 Based ASR (dynamically balance clean vs augmented samples to prevent overfitting)
    - a) Source: <a href="https://arxiv.org/abs/2406.09999">https://arxiv.org/abs/2406.09999</a>
- (ii) Benefits:
  - (1) Improve robustness to real-world speech variations

#### 3.2 Model Architecture Tweaks

# (a) Unfreezing More Layers

(i) Current Approach: The feature extractor + first 6 encoder layers are frozen.

- (ii) Proposed Change: Experiment with gradually unfreezing more layers (with lower learning rates).
  - (1) Source:

https://scispace.com/pdf/deep-transfer-learning-for-automatic-spee ch-recognition-202ykftf.pdf

- (iii) Benefits:
  - (1) Better adaptation to the target dataset.
  - (2) Higher accuracy potential (but requires regularization to avoid overfitting).

# (b) Adapter Layers / Low-Rank Adaptation (LoRA)

- (i) Current Approach: Freeze layers to reduce computational cost.
- (ii) Proposed Change: Add adapter layers or LoRA while freezing other layers.
  - (1) Source: <a href="https://arxiv.org/pdf/2306.05617">https://arxiv.org/pdf/2306.05617</a>
- (iii) Benefits:
  - (1) Reduce computational cost, while keeping the finetuning efficient.

## (c) LayerDrop Regularisation

- (i) Current Approach: Does not have layer drop regularisation according to the pretrained model configuration
  - (1) Source:

https://huggingface.co/facebook/wav2vec2-large-960h/blob/6c9a71 75e837a339d8c51851c3738d3b38640e4a/config.json

- (ii) Proposed Change: Add layer drop to randomly skip a percentage of transformer layers during training.
- (iii) Benefits:
  - (1) Improves generalisation

### 3.3 Language Model (LM) Integration

### (a) N-gram LM Fusion (KenLM)

- (i) Technique: Wav2Vec2 predicts tokens independently, while an LM adds linguistic context.
  - (1) Source:

https://colab.research.google.com/github/patrickvonplaten/notebook s/blob/master/Boosting Wav2Vec2 with n grams in Transformer s.ipynb#scrollTo=gUPAx3\_MdyQv

- (ii) Benefits:
  - (1) Enables contextual error correction
    - a) Eg. Wav2Vec2 might transcribe "I went too the store", but KenLM corrects to "I went to the store".

- (2) Improves beam search by combining Wav2Vec2's acoustic confidence score and KenLM's language model scores.
  - a) Eg.
    - i) Without LM, the transcription may be "The quick brown fox jumps over the lazy dog." → "The kwik brown foks jumps over the lazy dog."
    - ii) With LM, the misspellings ("kwik" and "foks") may be corrected based on N-gram probabilities.

# 3.4 Hyperparameter Tuning

### (a) Differential Learning Rates

- (i) Current Approach: Single learning rate for all layers.
- (ii) Proposed Change: Lower learning rate for pretrained layers and higher learning rate (5e-4 to 1e-3) for the classification head.
  - (1) Source:

https://scispace.com/pdf/deep-transfer-learning-for-automatic-speech-recognition-202ykftf.pdf

- (iii) Benefits:
  - (1) Prevents catastrophic forgetting while allowing task adaptation.

# (b) Dynamic Adjustment of Learning Rate

- (i) Current Approach: Learning rate changes according to training step.
- (ii) Proposed Change: Learning rate can be automatically reduced when validation loss plateaus
  - (1) Source:

https://medium.com/@zhonghong9998/adaptive-learning-rate-sche duling-optimizing-training-in-deep-networks-14d4f95a45d6

- (iii) Benefits:
  - (1) Allows better convergence and avoids suboptimal minima.