# bericht

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# 1 Bericht Projektlabor Maschinelles Lernen (PML)

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# 1.2 Einleitung

Nach einer Einführung in maschinelles Lernen, war es unsere Aufgabe das Kalman Filter und den DBSCAN Algorithmus für Daten aus einem 1D und anschließend 3D Radarsensor zu implementieren.

```
[79]: # Folgender Code muss zu Beginn des Notebooks ausgeführt werden,
# er importiert alle notwendigen Bibliotheken.
import numpy as np
import copy
```

```
import matplotlib.pyplot as plt
from collections import deque
```

#### 1.3 1D Radarsensor

In diesem Abschnitt befinden sich Simulation, Kalman Filter und Experiment für den 1D Radarsensor.

#### 1.3.1 1D-Radar-Sensor Simulation

Die Simulation für den 1D Radarsensor gibt uns die Wahl zwischen fünf verschiedenen Bewegungsarten: Static, Constant Velocity, Constant Acceleration, Sinus und Triangle, sowie die Möglichkeit einen Sporadic Error zu den Sensordaten hinzuzufügen.

Zudem lassen sich an dieser Stelle die Sensor Eigenschaften anspassen.

```
[80]: minRange = 0.3 # m
maxRange = 25.0 # m
maxVelocity = 25 # m/s --> 90 km/h
rangeAccuracy = 0.02 # m
velocityAccuracy = 0.005 # m/s
measurementRate = 100 # Hz
```

```
[81]: def GenerateData(type="Static", options={}):
          # static
          if(type == "Static"):
              # sanity check
              if(("initialDistance" in options) == False) \
                      or (("stopTime" in options) == False):
                  return None, None
              timeAxis = np.arange(0, options["stopTime"], 0.01/measurementRate)
              distValues = options["initialDistance"] * \
                  np.ones(np.shape(timeAxis))
              truthDistValues = copy.copy(distValues)
              distValues += np.random.uniform(-1*rangeAccuracy,
                                                  rangeAccuracy, np.shape(timeAxis))
              velValues = np.zeros(np.shape(timeAxis))
              truthVelValues = copy.copy(velValues)
              velValues += np.random.uniform(-1*velocityAccuracy,
                                                 velocityAccuracy, np.shape(timeAxis))
              velValues[distValues > maxRange] = np.NaN
              distValues[distValues > maxRange] = np.NaN
              velValues[distValues < minRange] = np.NaN</pre>
              distValues[distValues < minRange] = np.NaN</pre>
              velValues[velValues > maxVelocity] = np.NaN
```

```
velValues[velValues < -1 * maxVelocity] = np.NaN</pre>
       # decimate to actual measurement rate
       timeAxis = timeAxis[0::100]
       distValues = distValues[0::100]
       velValues = velValues[0::100]
       truthDistValues = truthDistValues[0::100]
       truthVelValues = truthVelValues[0::100]
       if("SporadicError" in options):
           rng = np.random.default_rng()
           ind = rng.choice(np.size(timeAxis), size=options["SporadicError"],_
→replace=False)
           distValues[ind] = np.random.uniform(minRange,
                                           maxRange, np.shape(ind))
           velValues[ind] = np.random.uniform(-1*maxVelocity,
                                           maxVelocity, np.shape(ind))
       return timeAxis, distValues, velValues, truthDistValues, truthVelValues
   # constant velocity
   if(type == "ConstantVelocity"):
       # sanity check
       if(("initialDistance" in options) == False) \
               or (("stopTime" in options) == False) \
               or (("velocity" in options) == False):
           return None, None
       timeAxis = np.arange(0, options["stopTime"], 0.01/measurementRate)
       distValues = options["initialDistance"] + options["velocity"]*timeAxis
       truthDistValues = copy.copy(distValues)
       distValues += np.random.uniform(-1*rangeAccuracy,
                                           rangeAccuracy, np.shape(timeAxis))
       velValues = options["velocity"] * np.ones(np.shape(timeAxis))
       truthVelValues = copy.copy(velValues)
       velValues += np.random.uniform(-1*velocityAccuracy,
                                         velocityAccuracy, np.shape(timeAxis))
       velValues[distValues > maxRange] = np.NaN
       distValues[distValues > maxRange] = np.NaN
       velValues[distValues < minRange] = np.NaN</pre>
       distValues[distValues < minRange] = np.NaN</pre>
```

```
velValues[velValues > maxVelocity] = np.NaN
       velValues[velValues < -1 * maxVelocity] = np.NaN</pre>
       # decimate to actual measurement rate
       timeAxis = timeAxis[0::100]
       distValues = distValues[0::100]
       velValues = velValues[0::100]
       truthDistValues = truthDistValues[0::100]
       truthVelValues = truthVelValues[0::100]
       if("SporadicError" in options):
           rng = np.random.default_rng()
           ind = rng.choice(np.size(timeAxis), size=options["SporadicError"],_
→replace=False)
           distValues[ind] = np.random.uniform(minRange,
                                          maxRange, np.shape(ind))
           velValues[ind] = np.random.uniform(-1*maxVelocity,
                                          maxVelocity, np.shape(ind))
       return timeAxis, distValues, velValues
   # constant acceleration
   if(type == "ConstantAcceleration"):
       # sanity check
       if(("initialDistance" in options) == False) \
               or (("stopTime" in options) == False) \
               or (("initialVelocity" in options) == False) \
               or (("acceleration" in options) == False):
           return None, None
       timeAxis = np.arange(0, options["stopTime"], 0.01/measurementRate)
       velValues = options["initialVelocity"] + \
           options["acceleration"] * timeAxis
       distValues = options["initialDistance"] + 0.5 * \
           options["acceleration"] * timeAxis * timeAxis
       truthVelValues = copy.copy(velValues)
       truthDistValues = copy.copy(distValues)
       velValues += np.random.uniform(-1*velocityAccuracy,
                                         velocityAccuracy, np.shape(timeAxis))
       distValues += np.random.uniform(-1*rangeAccuracy,
                                          rangeAccuracy, np.shape(timeAxis))
```

```
velValues[distValues > maxRange] = np.NaN
       distValues[distValues > maxRange] = np.NaN
       velValues[distValues < minRange] = np.NaN</pre>
       distValues[distValues < minRange] = np.NaN</pre>
       velValues[velValues > maxVelocity] = np.NaN
       velValues[velValues < -1 * maxVelocity] = np.NaN</pre>
       # decimate to actual measurement rate
       timeAxis = timeAxis[0::100]
       distValues = distValues[0::100]
       velValues = velValues[0::100]
       truthDistValues = truthDistValues[0::100]
       truthVelValues = truthVelValues[0::100]
       if("SporadicError" in options):
           rng = np.random.default_rng()
           ind = rng.choice(np.size(timeAxis), size=options["SporadicError"], ___
→replace=False)
           distValues[ind] = np.random.uniform(minRange,
                                           maxRange, np.shape(ind))
           velValues[ind] = np.random.uniform(-1*maxVelocity,
                                           maxVelocity, np.shape(ind))
      return timeAxis, distValues, velValues, truthDistValues, truthVelValues
   # sinus movement
   if(type == "Sinus"):
       # sanity check
       if(("initialDistance" in options) == False) \
               or (("stopTime" in options) == False) \
               or (("movementRange" in options) == False) \
               or (("frequency" in options) == False):
           return None, None
       timeAxis = np.arange(0, options["stopTime"], 0.01/measurementRate)
       distValues = options["initialDistance"] + options["movementRange"] * \
           np.sin(2*np.pi*options["frequency"]*timeAxis)
       truthDistValues = copy.copy(distValues)
       velValues = 2*np.pi*options["frequency"] * options["movementRange"] *__
→np.cos(
           2*np.pi*options["frequency"]*timeAxis)
```

```
truthVelValues = copy.copy(velValues)
       velValues += np.random.uniform(-1*velocityAccuracy,
                                          velocityAccuracy, np.shape(timeAxis))
       distValues += np.random.uniform(-1*rangeAccuracy,
                                           rangeAccuracy, np.shape(timeAxis))
       velValues[distValues > maxRange] = np.NaN
       distValues[distValues > maxRange] = np.NaN
       velValues[distValues < minRange] = np.NaN</pre>
       distValues[distValues < minRange] = np.NaN</pre>
       velValues[velValues > maxVelocity] = np.NaN
       velValues[velValues < -1 * maxVelocity] = np.NaN</pre>
       # decimate to actual measurement rate
       timeAxis = timeAxis[0::100]
       distValues = distValues[0::100]
       velValues = velValues[0::100]
       truthDistValues = truthDistValues[0::100]
       truthVelValues = truthVelValues[0::100]
       if("SporadicError" in options):
           rng = np.random.default_rng()
           ind = rng.choice(np.size(timeAxis), size=options["SporadicError"],_
→replace=False)
           distValues[ind] = np.random.uniform(minRange,
                                           maxRange, np.shape(ind))
           velValues[ind] = np.random.uniform(-1*maxVelocity,
                                           maxVelocity, np.shape(ind))
       return timeAxis, distValues, velValues, truthDistValues, truthVelValues
   # triangle movement
   if(type == "Triangle"):
       # sanity check
       if(("initialDistance" in options) == False) \
               or (("stopTime" in options) == False) \
               or (("movementRange" in options) == False) \
               or (("frequency" in options) == False):
           return None, None
       timeAxis = np.arange(0, options["stopTime"], 0.01/measurementRate)
       distValues = np.zeros(np.shape(timeAxis))
       velValues = np.zeros(np.shape(timeAxis))
```

```
for i in range(np.size(timeAxis)):
           t = timeAxis[i]
           while (t > 1/options["frequency"]):
               t = t - 1/options["frequency"]
           if (t <= 1/(2*options["frequency"])):</pre>
               if(i == 0):
                   distValues[i] = options["initialDistance"] + (2 * | 1 |
→options["frequency"] * options["movementRange"])*0.01/measurementRate
               else:
                   distValues[i] = distValues[i-1] + (2 * options["frequency"]__
→* options["movementRange"])*0.01/measurementRate
               velValues[i] = 2 * options["frequency"] *_
→options["movementRange"]
           else:
               distValues[i] = distValues[i-1] - (2 * options["frequency"] *__
→options["movementRange"])*0.01/measurementRate
               velValues[i] = -2 * options["frequency"] *___
→options["movementRange"]
       truthDistValues = copy.copy(distValues)
       truthVelValues = copy.copy(velValues)
       velValues += np.random.uniform(-1*velocityAccuracy,
                                          velocityAccuracy, np.shape(timeAxis))
       distValues += np.random.uniform(-1*rangeAccuracy,
                                           rangeAccuracy, np.shape(timeAxis))
       velValues[distValues > maxRange] = np.NaN
       distValues[distValues > maxRange] = np.NaN
       velValues[distValues < minRange] = np.NaN</pre>
       distValues[distValues < minRange] = np.NaN</pre>
       velValues[velValues > maxVelocity] = np.NaN
       velValues[velValues < -1 * maxVelocity] = np.NaN</pre>
       # decimate to actual measurement rate
       timeAxis = timeAxis[0::100]
       distValues = distValues[0::100]
       velValues = velValues[0::100]
       truthDistValues = truthDistValues[0::100]
       truthVelValues = truthVelValues[0::100]
       if("SporadicError" in options):
           rng = np.random.default_rng()
           ind = rng.choice(np.size(timeAxis), size=options["SporadicError"],_
→replace=False)
```

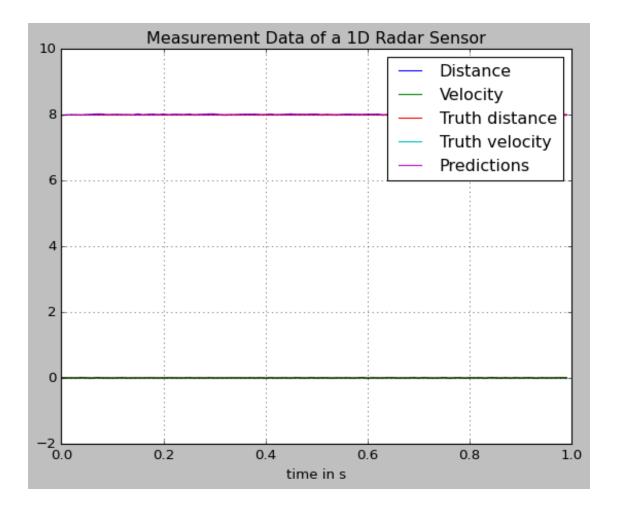
#### 1.3.2 Kalman Filter für den 1D Radarsensor

Die Klasse des Kalman Filter besteht aus zwei Funktionen, einer\_\_init\_\_ Funktion zur Initialisierung der Klasse und einer step Funktion, die den Kalman Algorithmus implementiert.

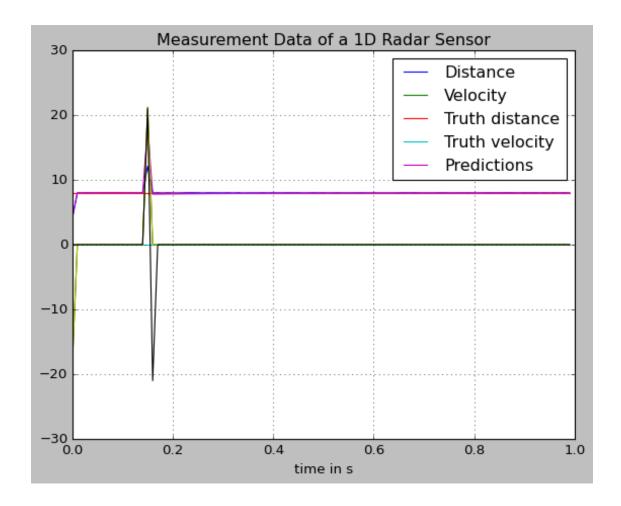
```
[82]: class KalmanFilter:
          # Initialisierung von Kalman Filter
          def __init__(self, s_hat, transition_model, H, Q, R):
              self.s_hat = s_hat
              self.P_hat = np.eye(len(s_hat)) * 100
              self.model = transition_model
              self.H = H # Measurement Function
              self.Q = Q # Process Noise
              self.R = R # Measurement Noise.
              pass
          def step(self,z):
              # Prediction
              s_hat_p = self.model @ self.s_hat
              P_hat_p = self.model @ self.P_hat @ self.model.T + self.Q
              # Calculate Kalman Matrix
              K = P_hat_p @ self.H.T @ np.linalg.inv(self.H @ P_hat_p @ self.H.T +_
       ⇒self.R)
              # Update covariance of estimation error
              self.P_hat = self.P_hat - K @ self.H @ self.P_hat
              # Improve estimate
              e_m_p = z - self.H @ s_hat_p
              self.s_hat = s_hat_p + K @ e_m_p
              return self.s_hat
```

#### 1.3.3 Experiment für den 1D Radarsensor

In unserem Experiment für die Daten aus dem eindimensionalen Radarsensor haben wir nachfolgend alle Bewegungsarten simuliert und an das Kalman Filter übergeben.



Verhalten und Beschreibung für Static



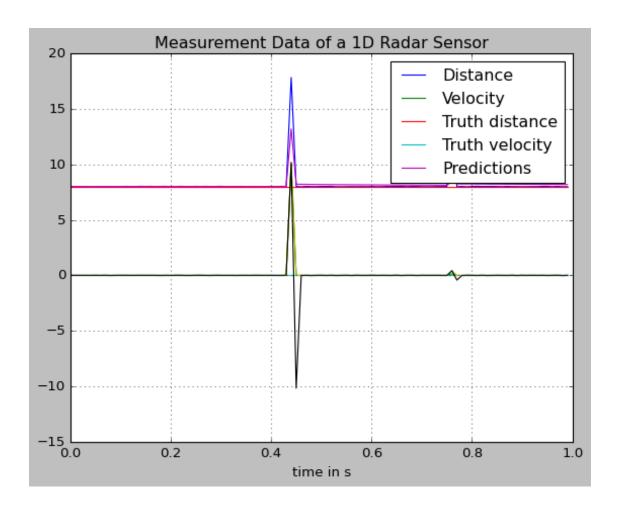
Verhalten und Beschreibung für Static mit Sporadic Error

Verhalten und Beschreibung für ..

Verhalten und Beschreibung für .. mit Sporadic Error Wenn das Jupyter Notebook ausgeführt wird, können die nachfolgenden Parameter beliebig angepasst werden, um eine Simulation mit dem Kalman Filter auszuprobieren.

```
[83]: # Optionen für die Simulation
opt = {
    "initialDistance": 8,
    "stopTime": 1,
    # "velocity": 3,
    # "SporadicError": 2
    # "initialVelocity": 3,
    # "acceleration": 1,
    # "movementRange": 1,
    # "frequency": 2,
    "SporadicError": 2
}
```

```
[84]: # Measurement Error
      ## Variance of a uniform distribution is given by (b-a)**2/12.
      R = np.diag([rangeAccuracy**2, velocityAccuracy**2])/3
      # todo: Add variance.
      Q = np.diag([0,0,0])
      # todo: add column for acceleration
      s0 = np.array([distValues[0], velValues[0], 0])
      #todo: Add acceleration.
      transition_model = np.array([[1, 0.01, 0.01/2],
                                   [0, 1, 0.01],
                                   [0, 0, 0.01]
      # todo: adjust H for accomodating acceleration.
      H = np.array([[1., 0., 0.],
                     [0., 1., 0.]])
      KalmanFilter = KalmanFilter(s0, transition_model, H, Q, R)
      Predictions = [s0]
      for i in range(1,np.size(timeAxis)):
          s = np.array([distValues[i], velValues[i]])
          pred = KalmanFilter.step(s)
          Predictions.append(pred)
      plt.figure()
      plt.plot(timeAxis, distValues)
      plt.plot(timeAxis, velValues)
      plt.plot(timeAxis, truthDistValues)
      plt.plot(timeAxis, truthVelValues)
      plt.plot(timeAxis, Predictions)
      plt.xlabel("time in s")
      plt.legend(["Distance", "Velocity", "Truth distance", "Truth velocity", [
       →"Predictions"])
      plt.title("Measurement Data of a 1D Radar Sensor")
      plt.grid(True)
      plt.show()
      # Um wie viel hat sich die Messgenauigkeit verbessert?
      # Wie beeinflussen die Schätzung der Kovarianzmatrix Q und R die Genauigkeit
      # Fügen Sie zufällige Messfehler mit der Parameter "SporadicError" hinzu, wie⊔
       →verhält sich das Kalman Filter?
```



## 1.4 3D Radarsensor

## 1.4.1 3D-Radar-Sensor Simulation

```
[85]:

This script simulates a 3D-Radar-Sensor capable of outputing detected position and velocity and amplitude of an object.

The simulation is done at 100 times the measurement rate of the sensor, then decimate it to the actual measurement rate

'''

Sensor characteristics
'''

import np

minRange = 0.3 # m

maxRange = 25.0 # m
```

```
maxVelocity = 25 # m/s --> 90 km/h
rangeAccuracy = 0.05 # m
velocityAccuracy = 0.005 # m/s
measurementRate = 30 # Hz
sensorPosition = np.array([0,0,0.8]) # x,y,z-coordinate of the sensor
Class definition of a target
111
class Target:
    def __init__(self, opt):
        self.ValidateOption(opt)
        self.currentPosition = self.opt['InitialPosition']
        self.Trajectory = [self.opt['InitialPosition']]
        self.velocityVector = np.zeros((3,))
        self.pathCounter = 0
        self.reachedEnd = False
    def ValidateOption(self, opt):
        if(('InitialPosition' in opt) == False):
            raise Exception("Missing value for 'InitialPosition'")
        else:
            if(type(opt['InitialPosition']) is not np.ndarray):
                 raise Exception("InitialPosition should be a np.ndarray with
\hookrightarrowthe shape (3,).")
            else:
                 if(np.shape(opt['InitialPosition']) != (3,)):
                     raise Exception("InitialPosition should be a np.ndarray...
\hookrightarrowwith the shape (3,).")
        if(('Path' in opt) == False):
            raise Exception("Missing value for 'Path'")
        else:
            if(type(opt['Path']) is not np.ndarray):
                raise Exception("Path should be a np.ndarray with the shape_
\hookrightarrow (3,n).")
            else:
                 if(np.size(opt['Path'],0) != 3):
                     raise Exception ("Path should be a np.ndarray with the shape_
\hookrightarrow (3,n).")
        if(('Velocities' in opt) == False):
            raise Exception("Missing value for 'Velocities'")
        else:
```

```
if(type(opt['Velocities']) is not np.ndarray):
               raise Exception ("Velocities should be a np.ndarray with the
\hookrightarrowshape (1,n).")
           else:
               if(np.size(opt['Velocities'],0) != 1):
                   raise Exception("Velocities should be a np.ndarray with the
\hookrightarrowshape (1,n).")
               if(np.size(opt['Velocities'],1) != np.size(opt['Path'],1)):
                   raise Exception("Velocities and Path should have the same
→length.")
       self.opt = opt
   def Step(self, deltaTime):
       # check if we are at the end
       if(self.pathCounter > np.size(self.opt['Path'],1) - 1):
           self.reachedEnd = True
           return self.currentPosition, self.velocityVector
       velocityVector = self.__GetVelocityVector(self.currentPosition, self.
→opt['Path'][:, self.pathCounter], self.opt['Velocities'][0, self.
→pathCounter])
       # try to step
       nextPosition = self.currentPosition + velocityVector * deltaTime
       # now check if we are within the next path target
       if(np.linalg.norm(nextPosition - self.currentPosition) < np.linalg.</pre>
→norm(self.opt['Path'][:, self.pathCounter] - self.currentPosition)):
           self.currentPosition = nextPosition
           self.Trajectory.append(nextPosition)
           self.velocityVector = velocityVector
           return self.currentPosition, self.velocityVector
       else: # we have to microstep
           # get the time to current path target
           countDown = deltaTime
           stepFurther = True
           while(stepFurther == True):
               microtime = (np.linalg.norm(self.opt['Path'][:, self.
→pathCounter] - self.currentPosition)/np.linalg.norm(nextPosition - self.
→currentPosition)) * countDown
               resttime = countDown - microtime
               if(self.pathCounter + 1 == np.size(self.opt['Path'],1)):
```

```
stepFurther == False
                    self.pathCounter = self.pathCounter + 1
                    self.velocityVector = np.zeros((3,))
                    self.currentPosition = self.opt['Path'][:, self.pathCounter_
→- 1]
                    self.reachedEnd = True
                    return self.currentPosition, self.velocityVector
                velocityVector = self.__GetVelocityVector(self.opt['Path'][:,__
 →self.pathCounter], self.opt['Path'][:, self.pathCounter + 1], self.
 →opt['Velocities'][:, self.pathCounter + 1])
                # try to step
                nextPosition = self.opt['Path'][:, self.pathCounter] +__
\rightarrowvelocityVector * resttime
                if(np.linalg.norm(nextPosition - self.opt['Path'][:, self.
 →pathCounter]) < np.linalg.norm(self.opt['Path'][:, self.pathCounter + 1] - □

→self.opt['Path'][:, self.pathCounter])):
                    stepFurther = False
                # we proceed to next path target
                self.pathCounter = self.pathCounter + 1
            self.velocityVector = velocityVector
            self.currentPosition = nextPosition
            self.Trajectory.append(nextPosition)
            return self.currentPosition, self.velocityVector
    def __GetVelocityVector(self, Position1, Position2, Velocity):
        targetPosition = Position2
        movementDirection = targetPosition - Position1
        movementDirection = movementDirection / np.linalg.
 →norm(movementDirection)
        return Velocity * movementDirection
class RadarSensor:
    def __init__(self, opt):
        self.ValidateOption(opt)
        opt['MinRange'] = minRange
        opt['MaxRange'] = maxRange
        opt['MaxVelocity'] = maxVelocity
        opt['RangeAccuracy'] = rangeAccuracy
        opt['VelocityAccuracy'] = velocityAccuracy
        opt['MeasurementRate'] = 30
    def ValidateOption(self, opt):
```

```
if(('Position' in opt) == False):
           raise Exception("Missing value for 'Position'")
       else:
           if(type(opt['Position']) is not np.ndarray):
               raise Exception ("Position should be a np.ndarray with the shape
else:
               if(np.shape(opt['Position']) != (3,)):
                    raise Exception ("Position should be a np.ndarray with the
\hookrightarrowshape (3,).")
       if(('OpeningAngle' in opt) == False):
           raise Exception("Missing value for 'OpeningAngle'")
       else:
           if(type(opt['OpeningAngle']) is not np.ndarray):
               raise Exception("OpeningAngle should be a np.ndarray with the
\hookrightarrowshape (2,).")
               if(np.size(opt['OpeningAngle'],0) != 2):
                    raise Exception("OpeningAngle should be a np.ndarray with ⊔
\hookrightarrowthe shape (2,).")
       self.opt = opt
   def Detect(self, targets):
       if len(targets) == 0:
           return None
       # initiate list
       detections = []
       # looping through targets
       for target in targets:
           visibleHor = False
           visibleVer = False
           # check horizontal angle
           horAngle = np.rad2deg(np.arctan((target.currentPosition[0] - self.
→opt['Position'][0])/(target.currentPosition[1] - self.opt['Position'][1])))
           if(np.abs(horAngle) < self.opt['OpeningAngle'][0]/2.0):</pre>
               visibleHor = True
           # check vertical angle
           verAngle = np.rad2deg(np.arctan((target.currentPosition[2] - self.
→opt['Position'][2])/(target.currentPosition[1] - self.opt['Position'][1])))
           if(np.abs(verAngle) < self.opt['OpeningAngle'][1]/2.0):</pre>
```

```
visibleVer = True
           # if target visible
           if(visibleVer == True and visibleHor == True):
               currPos = target.currentPosition - self.opt['Position']
               if(np.linalg.norm(currPos) > self.opt['MinRange'] and np.linalg.
→norm(currPos) < self.opt['MaxRange']):</pre>
                   bVector = self.opt['Position'] - target.currentPosition
                   radialVelocityToSensor = (np.dot(target.velocityVector,__
→bVector)/np.dot(bVector, bVector)) + np.random.uniform(-1*self.
→opt['VelocityAccuracy'],self.opt['VelocityAccuracy'],1)
                   currPos = currPos + np.random.uniform(-1*self.
→opt['RangeAccuracy'],self.opt['RangeAccuracy'],3)
                   currPos = np.append(currPos, radialVelocityToSensor)
                   if(radialVelocityToSensor < self.opt['MaxVelocity']):</pre>
                       detections.append(currPos)
       # add noise / false detection ?
       if(('FalseDetection' in self.opt) == True):
           if(self.opt['FalseDetection'] == True):
               for i in range(20):
                   randPos = np.random.uniform(self.opt['MinRange'], 2 * self.
→opt['MaxRange'], 3)
                   randVel = np.random.uniform(0, 2 * self.opt['MaxVelocity'],
→1)
                   visibleHor = False
                   visibleVer = False
                   # check horizontal angle
                   horAngle = np.rad2deg(np.arctan((randPos[0] - self.
→opt['Position'][0])/(randPos[1] - self.opt['Position'][1])))
                   if(np.abs(horAngle) < self.opt['OpeningAngle'][0]/2.0):</pre>
                       visibleHor = True
                   # check vertical angle
                   verAngle = np.rad2deg(np.arctan((randPos[2] - self.
→opt['Position'][2])/(randPos[1] - self.opt['Position'][1])))
                   if(np.abs(verAngle) < self.opt['OpeningAngle'][1]/2.0):</pre>
                       visibleVer = True
                   # if target visible
                   if(visibleVer == True and visibleHor == True):
                       randPos = randPos - self.opt['Position']
```

### 1.4.2 Kalman Filter für den 3D Radarsensor

```
[]: class KalmanFilter:
         # Initialisierung von Kalman Filter
         def __init__(self, s_hat, transition_model, H, Q, R):
             self.s hat = s hat
            self.P_hat = np.eye(len(s_hat)) * 100
             self.model = transition_model
             self.H = H # Measurement Function
             self.Q = Q # Process Noise
             self.R = R # Measurement Noise.
            pass
         def step(self,z):
             # Prediction
             s_hat_p = self.model @ self.s_hat
            P_hat_p = self.model @ self.P_hat @ self.model.T + self.Q
             # Calculate Kalman Matrix
            K = P_hat_p @ self.H.T @ np.linalg.inv(self.H @ P_hat_p @ self.H.T +_
     ⇒self.R)
             # Update covariance of estimation error
             self.P_hat = self.P_hat - K @ self.H @ self.P_hat
             # Improve estimate
```

```
e_m_p = z - self.H @ s_hat_p
        self.s_hat = s_hat_p + K @ e_m_p
        return self.s_hat
if __name__ == "__main__":
    # Measurement Error
    ## Variance of a uniform distribution is given by (b-a)**2/12.
    R = np.diag([1**2, 1**2])/3
    # todo: Add variance.
    Q = np.diag([0.05, 0.05, 0.05])
    # todo: add column for acceleration
    s0 = np.array([[1,1],
                   [2,2],
                   [3,3])
    #todo: Add acceleration.
    transition_model = np.array([[1, 0.01, 0.01/2],
                                  [0, 1, 0.01],
                                  [0, 0, 0.01]
    # todo: adjust H for accomodating acceleration.
    H = np.array([[1., 0., 0.],
                   [0., 1., 0.]])
    kf = KalmanFilter(s0, transition model, H, Q, R)
```

# 1.4.3 DBScan

Der DBSCAN Algorithmus findet vorhande Cluster in einer Menge an Datenpunkten. Um diese Aufgabe zu erfüllen hat der Algorithmus zwei verstellbare Parameter. Zum einen den Parameter eps, der bestimmt in welchem Radius um den Datenpunkt nach Nachbarn gesucht wird, und zum anderen den Parameter minpts, der festlegt wie viele Punkte es minimal braucht damit es sich um einen Kernobjekt handelt.

Da sich die gefunden Cluster mit dem verstellen der Parameter ändern können müssen diese auf das eigene Problem angepasst werden.

Für den DBSCAN haben wir eine eigene Klasse erstellt, bei der der Algorithmus mit diesen Parametern initialisiert wird. Einmal initialisiert kann man den Algorithmus mit den gesetzten Parametern auf verschiedene Datensätze anwenden.

```
[]: class DBSCAN():
    def __init__(self, eps=0.5, minpts=5):
        self.eps = eps
        self.minpts = minpts
```

Damit die Cluster in einem bestimmten Datensatz gefunden werden können wird der DBSCAN Klasse die Funktion fit(self, X) hinzugefügt. Dabei entspricht X dem Datensatz der analysiert werden soll. Innerhalb dieser Funktion wird dann der Algorithmus durchgeführt und die einzelnen Datenpunkte werden als Kernobjekte, Dichte-erreichbare Objekte und Rauschpunkte kategorisiert.

Für die Bestimmung der Punkte Art wird der Abstand zwischen zwei Punkten benötigt. Um diesen Abstand zu bestimmen wird die Hilfsfunktion pairwise\_sq\_distance erstellt.

```
[]: def pairwise_sq_distance(X1, X2):
    # Calculate the pairwise distance between all pairs of points from X1 and
    →X2.
    return np.sum(X1**2, axis=1, keepdims=True) - 2*np.matmul(X1, X2.T) + np.
    →sum(X2**2, axis=1, keepdims=True).T
```

```
[]: def fit(self, X):
         dist = pairwise_sq_distance(X, X)
         neighbours = list(map(lambda d: np.arange(d.shape[0])[d < self.eps**2],u
     →dist))
         # Label all points as outliers initially.
         self.assignment = np.full((X.shape[0],), -1, dtype=int)
         # Find core points.
         # Determine the number of neighbors of each point.
         N_neighbors = np.sum(dist < self.eps**2, axis=1)</pre>
         self.assignment[N_neighbors >= self.minpts] = -2
         # Create clusters.
         cluster = 0
         stack = deque()
         for p in range(X.shape[0]):
             if self.assignment[p] != -2:
                 continue
             self.assignment[p] = cluster
             stack.extend(neighbours[p])
             # Expand cluster outwards.
             while len(stack) > 0:
                 n = stack.pop()
                 label = self.assignment[n]
                 # If core point include all points in -neighborhood.
                 if label == -2:
                     stack.extend(neighbours[n])
                 # If not core point (edge of cluster).
                 if label < 0:</pre>
                     self.assignment[n] = cluster
             cluster += 1
     DBSCAN.fit = fit
```

Die Funktion fit findet die verschiedenen Cluster und speichert diese in der Variable assignments ab. Um die gefundenen Cluster zurückzugeben wird die predict Methode hinzugefügt. Zusätzlich

wird auch noch die Methode fit\_predict hinzugefügt die das aufrufen der beiden Methoden kombininert. Das heißt wenn man den Algorithmus zum ersten mal auf einen Datensatz anwendet und direkt das Ergebnis haben will sollte die Funktion fit\_predict verwendet werden. Wenn man das Ergebnis des Algorithmus zu einem spätern Zeitpunkt nochmal benötigt muss jetzt nur noch predict ausgeführt werden. Kurz gesagt wird durch die aufsplittung von fit und predict Rechenaufwand reduziert.

```
[]: def predict(self,X):
    return self.assignment

def fit_predict(self, X):
    self.fit(X)
    return self.assignment

DBSCAN.predict = predict
DBSCAN.fit_predict = fit_predict
```

Um den DBSCAN zu testen haben wir den make\_moons Datensatz von scikitlearn genutzt. Das Ergebnis kann man hier sehen:

```
[]: from sklearn.datasets import make_moons

if __name__ == '__main__':
    X,y = make_moons(100)
    model = DBSCAN()
    preds = model.fit_predict(X)
    # Either low or high values are good since DBSCAN might switch class labels.
    print(f"Accuracy: {round((sum(preds == y)/len(preds))*100,2)}%")
```

#### 1.4.4 Experiment für den 3D Radarsensor

```
[]: # imports for interactive plotting
#from ipywidgets import interact, interactive, fixed, interact_manual
#import ipywidgets as widgets

import np as np
import matplotlib.pyplot as plt
from mpl_toolkits.mplot3d import Axes3D

# ipynb plotting
#%matplotlib inline
#%matplotlib widget

'''

Example for creating a target and design its path
'''

# Parameters first target.
```

```
path1 = [[0,5,0],
         [0,5,0.5],
         [1,4,1],
         [2,3,2],
         [1,5,3],
         [1,5,0.5],
         [0.5, 2, 0.1]]
vel1 = 3 * np.ones((1,len(path1)))
vel1[0,2] = 1
InitialPosition1 = np.array([-1,5,0])
opt1 = {
    'InitialPosition' : InitialPosition1,
    'Path' : np.array(path1).transpose(),
    'Velocities' : vel1
}
# Parameters second target.
path2 = [[1., 4., 1.],
         [1., 5., 1.7],
         [2., 5., 1.],
         [3., 4., 2.],
         [3., 4., 1.5],
         [2., 4., 2.]]
vel2 = 2 * np.ones((1,len(path2)))
vel2[0,4] = 0.5
InitialPosition2 = np.array([2,4,1])
opt2 = {
    'InitialPosition' : InitialPosition2,
    'Path' : np.array(path2).transpose(),
    'Velocities' : vel2
}
# Instantiate targets
x = Target(opt1)
y = Target(opt2)
targets = [x, y]
```

```
111
Setup the radar sensor
The radar sensor points always to the direction along the y axis
(see diagram in the note)
I I I
optRadar = {
    'Position' : np.array([0,0,0.5]),
    'OpeningAngle' : np.array([120,90]), # [Horizontal, Vertical]
    'FalseDetection': True
sensor = RadarSensor(optRadar)
# Measurement error.
## Variance of a uniform distribution is given by (b-a)**2/12.
R = np.diag([rangeAccuracy**2])/3
# Process error.
Q = np.diag([0.05, 0.05, 0.05])
# Process/transition model.
transition_model = np.array([[1, 0.01, 0.01/2],
                             [0, 1, 0.01],
                              [0, 0, 0.01]])
# Transformation matrix
## Transforms predicted quantities into outputs that can be compared to the
\rightarrow measurements
H = np.array([[1., 0., 0.]])
getNext = True
Detections = np.array([0,0,0])
model = DBSCAN(eps=0.2, minpts=2)
# Number of previous measurements to consider for DBSCAN().
ante = 20
# Count number of iterations
i = 0
while(getNext == True):
    i += 1
    for target in targets:
        target.Step(1/sensor.opt['MeasurementRate'])
        getNext = getNext & ~target.reachedEnd
    dets = sensor.Detect(targets)
    # Exclude radialVelocity for the moment. (todo: include it.)
    for det in dets:
        det = det[:-1]
        Detections = np.vstack((det, Detections))
```

```
# Execute once to initialize filters etc. todo: Is there a smarter way to \Box
 \rightarrow do all below ?
    if i == ante:
        # First application of DBSCAN.
        clusters = model.fit predict(Detections[:ante])
        # Determine number of targets (objects tracked).
        num_objs = len(set(clusters[clusters > -1]))
        # "Filters" contains a kalman filter for each target.
        Filters = []
        # "Preds" contains the predictions of the path of each target.
        Preds = []
        # Iterate over the targets.
        for j in range(num_objs):
            # Find index of first occurence of target j in clusters. This line
\rightarrow is needed to filter out false detections
            obj_idx = np.where(clusters == j)[0][0]
            # Add placeholder values for speed and acceleration in each
\rightarrow component to the detection.
            s0 = np.vstack((Detections[obj_idx], np.zeros((2,3))))
            Filters.append(KalmanFilter(s0, transition_model, H, Q, R))
            # For the moment only the predicted position is relevant. todo:
\rightarrow incorporate velocity.
            Preds.append(s0[0,:])
    # Cluster and predict position via Kalman filter.
    elif i > ante:
        clusters = model.fit_predict(Detections[:ante])
        for j in range(num_objs):
            # try/ except prevents non-detection of existing object from
 →breaking the program.
            try:
                obj_idx = np.where(clusters == j)[0][0]
                # Reshape is needed to make matrix multiplication inside the
\hookrightarrow kalman filter work.
                s = Detections[obj_idx].reshape(1,3)
                s_hat = Filters[j].step(s)
                Preds[j] = np.vstack((s_hat[0,:], Preds[j]))
            except IndexError:
                print(f"Object {j} not found!")
                continue
if __name__ == "__main__":
```

```
# Visualize trajectory.
  T1 = Preds[0][:-1]
  T2 = Preds[1][:-1]
  # Plot Trajectory
  fig=plt.figure(figsize=(12,8), dpi= 100, facecolor='w', edgecolor='k')
  #fig = plt.figure()
  ax = plt.axes(projection='3d')
  #ax.view init(20, 35)
  ax.plot3D(T1[:,0], T1[:,1], T1[:,2], 'blue')
  ax.plot3D(T2[:,0], T2[:,1], T2[:,2], 'red')
  # show plot
  plt.style.use('classic')
  plt.show()
  # Other previous visualization experiments.
  # model = DBSCAN(eps=0.2, minpts=7)
  \# T = np.vstack((T1, T2))
  # clusters = model.fit_predict(T)
  # fig = plt.figure()
  # ax = plt.axes(projection ="3d")
  \# ax.scatter(T[:,0], T[:,1], T[:,2], c = clusters)
  # fig2 = plt.figure()
  # ax2 = plt.axes(projection='3d')
  # #ax.view_init(20, 35)
  # ax2.plot3D(Detections[:,0], Detections[:,1], Detections[:,2], 'blue')
  # ax2.set xlim3d(0, 5)
  # ax2.set_ylim3d(0, 5)
  # ax2.set_zlim3d(0, 5)
  # model = DBSCAN(eps=0.2, minpts=3)
  # ante = -10
  # clusters = model.fit_predict(Detections[:ante])
  # fig = plt.figure()
  # ax3 = plt.axes(projection ="3d")
  # ax3.set_xlim3d(0, 5)
  # ax3.set_ylim3d(0, 5)
  # ax3.set_zlim3d(0, 5)
   # ax3.scatter(Detections[:ante,0], Detections[:ante,1], Detections[:
\rightarrow ante,2], c = clusters)
```

# 1.5 Verwendete Literatur

 $1.\,$  Kalman and Bayesian Filters in Python, 2015, Roger R. Labbe