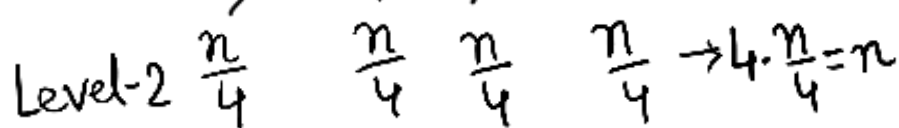
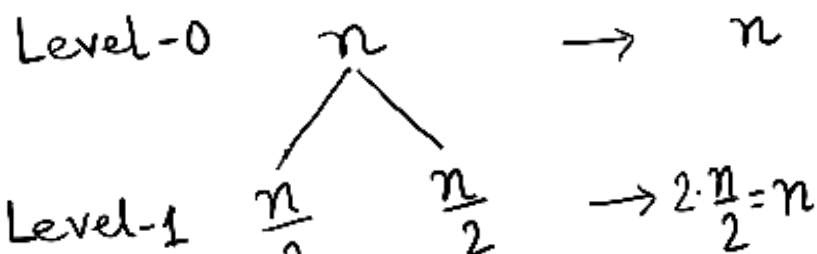


Recursion tree method for solving recurrence relation

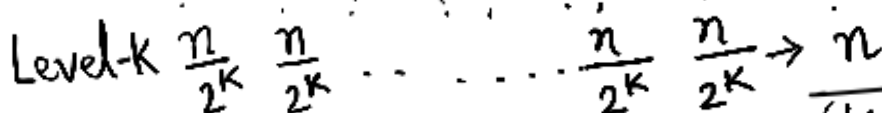
- Solve the following recurrence relation using recursion tree.

$$T(n) = 2T\left(\frac{n}{2}\right) + n \rightarrow \text{to solve a problem of size } n, \text{ we must solve two subproblems of size } \frac{n}{2} \text{ and perform } n \text{ amount additional work.}$$



Leaves are labelled with base cost $T(0)$

$$\frac{n}{2^k} = 1 \Rightarrow \underline{k = \log n}$$



$$\begin{aligned} &= (k+1) \cdot n = (\log n + 1) \cdot n \\ &= O(n \log n) [\text{Ans}] \end{aligned}$$

- Solve the following recurrence relation using recursion tree.

$$T(n) = 2T\left(\frac{n}{2}\right) + n^2$$

$$\rightarrow n^2$$

$$\left(\frac{n}{2}\right)^2 \quad \left(\frac{n}{2}\right)^2$$

$$\rightarrow 2 \cdot \left(\frac{n}{2}\right)^2 = \frac{n^2}{2}$$

$$\left(\frac{n}{4}\right)^2 \quad \left(\frac{n}{4}\right)^2 \quad \left(\frac{n}{4}\right)^2 \quad \left(\frac{n}{4}\right)^2$$

$$\rightarrow 4 \cdot \left(\frac{n}{4}\right)^2 = \frac{n^2}{4}$$

$$\left(\frac{n}{2^k}\right)^2 \quad \left(\frac{n}{2^k}\right)^2 \quad \dots \quad \left(\frac{n}{2^k}\right)^2 \quad \left(\frac{n}{2^k}\right)^2$$

$$\rightarrow 2^k \cdot \left(\frac{n}{2^k}\right)^2 = \frac{n^2}{2^k}$$

$$n^2 \left[1 + \frac{1}{2} + \frac{1}{4} + \dots + \frac{1}{2^k} \right]$$

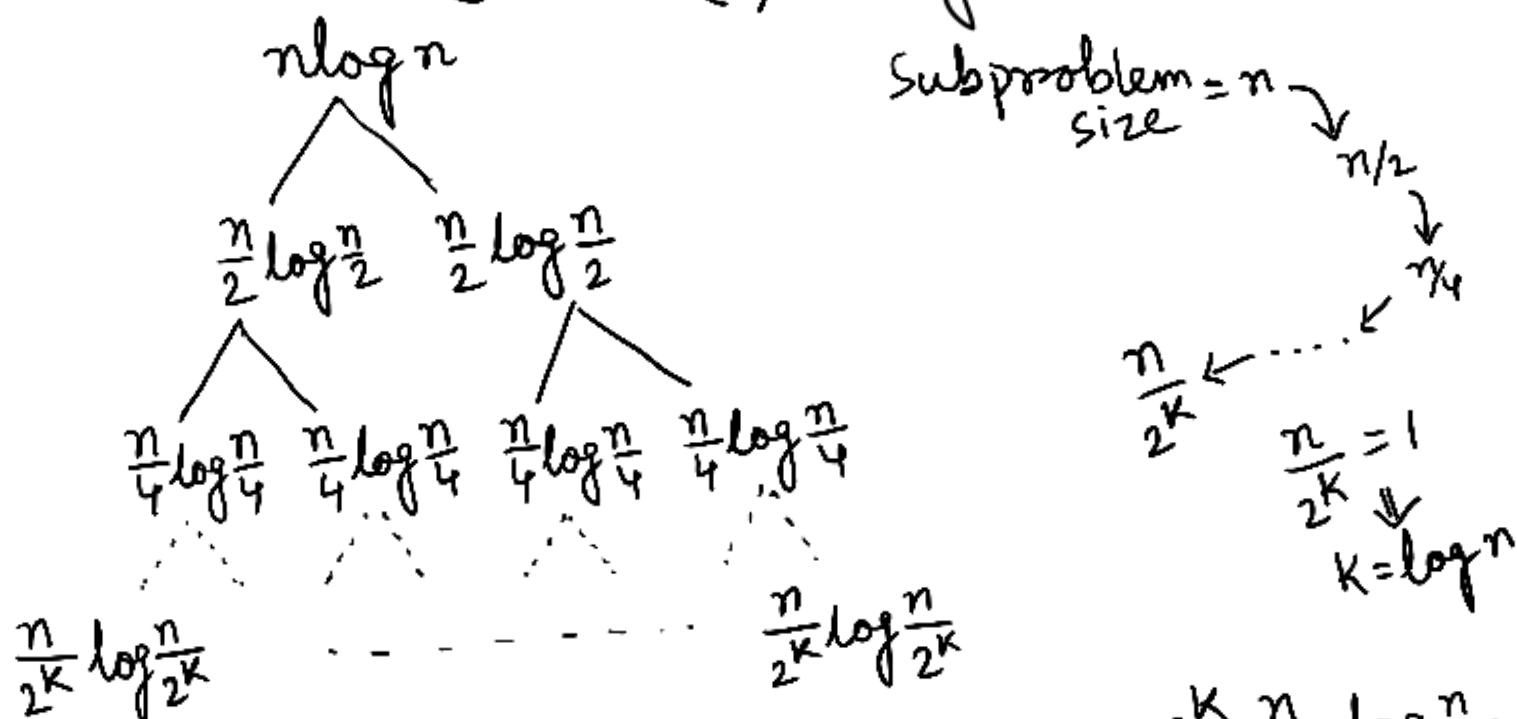
$$\leq n^2 \cdot \sum_{i=0}^{\infty} \frac{1}{2^i}$$

$$\leq n^2 \cdot \frac{1}{1 - \frac{1}{2}} \leq 2n^2$$

$$\underline{\underline{O(n^2)}}$$

• Recursion tree method

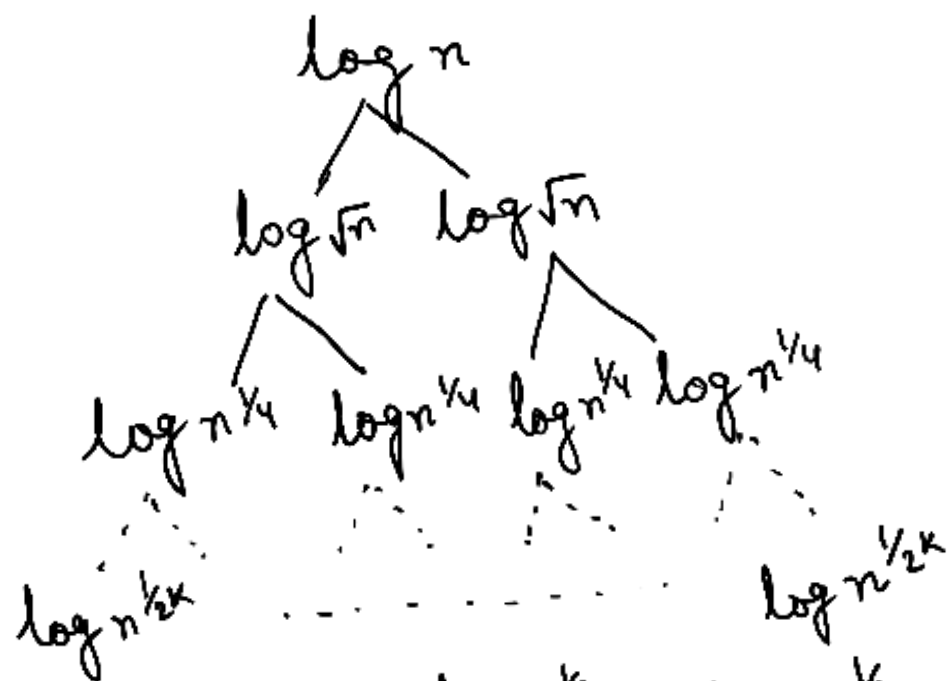
$$T(n) = 2T\left(\frac{n}{2}\right) + n \log n$$



$$\begin{aligned}
 \text{Sum} &= n \log n + 2 \cdot \frac{n}{2} \log \frac{n}{2} + 4 \cdot \frac{n}{4} \log \frac{n}{4} + \dots + 2^k \cdot \frac{n}{2^k} \log \frac{n}{2^k} \\
 &= n \log n + n \log \frac{n}{2} + n \log \frac{n}{4} + \dots + n \log \frac{n}{2^k} \\
 &= n \log n + n(\log n - 1) + n(\log n - 2) + \dots + n(\log n - k) \\
 &= [n \log n + n \log n + \dots (k+1) \text{ times}] \\
 &\quad - (n + 2n + 3n + \dots + kn) \\
 &= [n \log n + n \log n + \dots (\log n + 1) \text{ times}] \\
 &\quad - n(1 + 2 + 3 + \dots + \log n) \\
 &= n(\log n)^2 - n \cdot \frac{\log n \cdot (\log n + 1)}{2} \\
 &= \underline{O[n(\log n)^2]} \quad \text{[ignoring +1 term]} \\
 &\quad \text{(Ans.)}
 \end{aligned}$$

• Recursion tree method:

$$T(n) = 2T(\sqrt{n}) + \log n$$



$$\begin{aligned} \text{Sum} &= \log n + 2\log n^{1/2} + 4\log n^{1/4} + \dots + 2^K \log n^{1/2^K} \\ &= \log n + \log n + \log n + \dots + \log n \end{aligned}$$

$$= (k+1) \log n$$

$$= (\log \log n + 1) \log n$$

$$= \log n \cdot \log \log n + \log n$$

$$\underline{\underline{O(\log n \cdot \log \log n)}}$$

(Ans.)

Subproblem size
 $n \rightarrow n^{1/2} \rightarrow n^{1/4}$

$$n^{1/2^K} \leftarrow \dots \rightarrow n^{1/2^K} = 2$$

$$\log n^{1/2^K} = \log 2$$

$$n = 2^{2^K}$$

$$K = \log \log n$$

$$\begin{aligned} \frac{1}{2^K} \log n &= 1 \\ \log n &= 2^K \end{aligned}$$

- Recursion tree method:

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + \underline{n}$$

→ Level 0

$$n = \left(\frac{1}{3} + \frac{2}{3}\right)^0 \cdot n$$

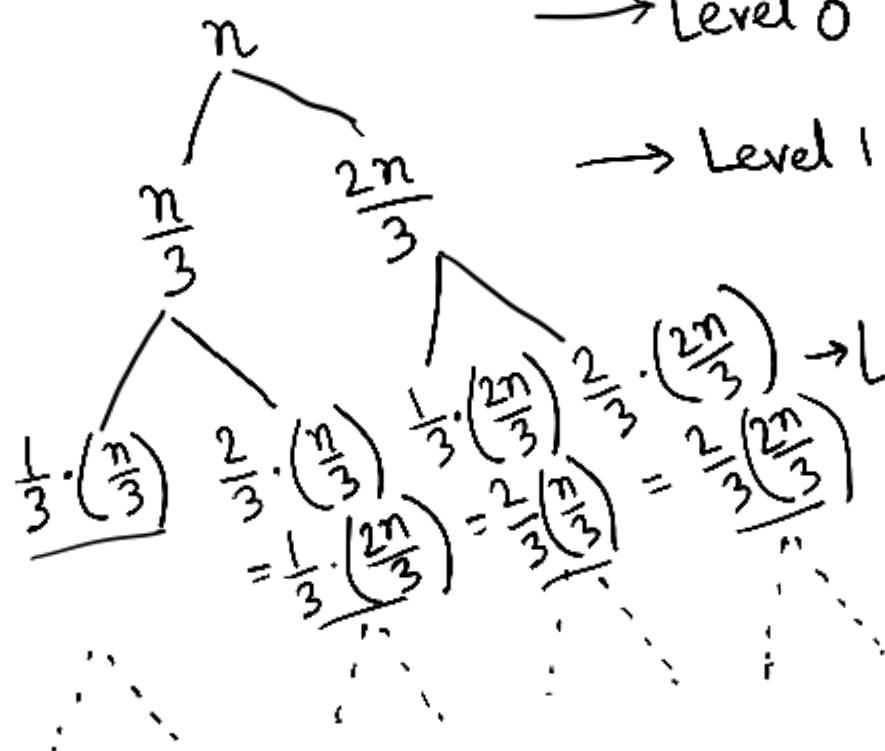
→ Level 1

$$\left(\frac{1}{3} + \frac{2}{3}\right)^1 \cdot n$$

→ Level 2

$$\left(\frac{1}{3} + \frac{2}{3}\right)^2 \cdot n$$

At Level k , $\left(\frac{1}{3} + \frac{2}{3}\right)^k \cdot n$



$$\text{Sum} = \sum_{i=0}^k \left(\frac{1}{3} + \frac{2}{3}\right)^i \cdot n$$

$$= \sum_{i=0}^k i \cdot n = \sum_{i=0}^k n = (k+1) \cdot n$$

$$= (\log n + 1) \cdot n$$

$$\frac{n}{2^k} = 1 \Rightarrow k = \log n$$

$$O(\underline{n \log n}) \text{ (Ans.)}$$

Master Theorem - Another method for solving recurrence relation

Consider an equation of the form as

$$T(n) = aT\left(\frac{n}{b}\right) + O(n^k) \text{ where } a \geq 1 \text{ and } b > 1$$

Rewriting it as $T(n) = aT\left(\frac{n}{b}\right) + n^k$

Putting $n = b^m$, $T(b^m) = aT(b^{m-1}) + (b^m)^k$

Dividing both side by a^m ,

$$\frac{T(b^m)}{a^m} = \frac{T(b^{m-1})}{a^{m-1}} + \left(\frac{b^k}{a}\right)^m$$

$$\frac{T(b^{m-1})}{a^{m-1}} = \frac{T(b^{m-2})}{a^{m-2}} + \left(\frac{b^k}{a}\right)^{m-1}$$

$$\vdots$$
$$\frac{T(b)}{a} = \frac{T(b^0)}{a^0} + \left(\frac{b^k}{a}\right)$$

From these equations, we get,

$$\frac{T(b^m)}{a^m} = \frac{T(b^0)}{a^0} + \left(\frac{b^k}{a}\right)^m + \left(\frac{b^k}{a}\right)^{m-1} + \dots + \left(\frac{b^k}{a}\right)$$

$$\frac{T(b^m)}{a^m} = \sum_{i=0}^m \left(\frac{b^k}{a}\right)^i \left[\because \frac{T(b^0)}{a^0} = 1 = \left(\frac{b^k}{a}\right)^0 \right]$$

$$\frac{T(n)}{a^m} = \sum_{i=0}^m \left(\frac{b^k}{a}\right)^i \left[\because n = b^m \right]$$

$$T(n) = a^m \sum_{i=0}^m \left(\frac{b^k}{a}\right)^i$$

Now, Case-1: $a = b^k$

$$\begin{aligned} T(n) &= (b^k)^m \sum_{i=0}^m 1 = (b^m)^k \cdot (m+1) \\ &= (m+1) \cdot n^k \quad [\because n = b^m] \\ &= (\log_b n + 1) \cdot n^k \quad [\because m = \log_b n] \end{aligned}$$

$$T(n) = O(n^k \log_b n)$$

Case-2: $a < b^k$ $T(n) = a^m \sum_{i=0}^m \left(\frac{b^k}{a}\right)^i$

Common ratio $\left(\frac{b^k}{a}\right) > 1$, So,

$$T(n) = a^m \cdot \frac{\left(\frac{b^k}{a}\right)^{m+1} - 1}{\left(\frac{b^k}{a} - 1\right)}$$

$$\begin{aligned} &= a^m \cdot \left(\frac{b^k}{a}\right)^m \quad [\text{Neglecting -1 terms}] \\ &= (b^k)^m = (b^m)^k = n^k \quad [\because n = b^m] \end{aligned}$$

$$T(n) = O(n^k)$$

Case-3: $a > b^k$ $T(n) = a^m \sum_{i=0}^m \left(\frac{b^k}{a}\right)^i$

As $\sum_{i=0}^m \left(\frac{b^k}{a}\right)^i \leq \sum_{i=0}^{\infty} \left(\frac{b^k}{a}\right)^i$, So, $T(n) \leq a^m \sum_{i=0}^{\infty} \left(\frac{b^k}{a}\right)^i$

As common ratio is < 1 , So $T(n) \leq a^m \cdot \frac{1}{1 - \frac{b^k}{a}}$

$$T(n) = O(a^m) = O(a^{\log_b n}) = O(n^{\log_b a}) \leq a^m \quad [\text{Neglecting } \frac{1}{1 - \frac{b^k}{a}}]$$

$$T(n) = \begin{cases} O(n^k), & \text{if } a < b^k \\ O(n^k \log_b n), & \text{if } a = b^k \\ O(n \log_b a), & \text{if } a > b^k \end{cases} \quad T(n) = aT\left(\frac{n}{b}\right) + n^k$$

General form of Master Theorem:

Let $a \geq 1$ and $b > 1$ be constants and $f(n)$ be a function
 $T(n) = aT\left(\frac{n}{b}\right) + f(n)$

Then we have

- i) If $f(n) \in O(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$
then $T(n) = \Theta(n^{\log_b a})$
- ii) If $f(n) \in \Theta(n^{\log_b a})$ then $T(n) = \Theta(n^{\log_b a} \log n)$
- iii) If $f(n) \in \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$
and if $a f\left(\frac{n}{b}\right) \leq c f(n)$ for some constant $c < 1$
and sufficiently large n , $T(n) = \Theta(f(n))$

Replacing $\frac{n}{b}$ with $\lfloor \frac{n}{b} \rfloor$ or $\lceil \frac{n}{b} \rceil$ does not change the result.

- Using Master theorem, solve the following recurrence relation.

$$T(n) = T\left(\frac{n}{2}\right) + O(1)$$

comparing it with $T(n) = aT\left(\frac{n}{b}\right) + O(n^k)$

$$a=1, b=2, k=0, \text{ so } a = b^k$$

$$T(n) = O(n^k \log_b n) = O(n^0 \log_2 n) = O(\log n)$$

(Ans)

- : Solv
Using Master theorem, solve the following recurrence relation.

$$T(n) = 4T\left(\frac{n}{2}\right) + n$$

Comparing it with $T(n) = aT\left(\frac{n}{b}\right) + f(n)$

we get, $a=4, b=2, f(n)=n$

checking 1st condition, $f(n) \in O(n^{\log_b a - \epsilon})$

$$n \in O(n^{\log_2 4 - \epsilon})$$

$$n \in O(n^{2 - \epsilon}), \text{ true}$$

$$\text{So, } T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_2 4}) = \Theta(n^2) \quad (\text{Ans.})$$

- Solve $T(n) = 2T\left(\frac{n}{2}\right) + n$ using Master theorem.

Comparing it with $T(n) = aT\left(\frac{n}{b}\right) + f(n)$

we get, $a=2, b=2, f(n)=n$

checking 2nd condition, $f(n) \in \Theta(n^{\log_b a})$

$$n \in \Theta(n^{\log_2 2})$$

$$n \in \Theta(n), \text{ true}$$

$$\begin{aligned} T(n) &= \Theta(n^{\log_b a} \log n) = \Theta(n^{\log_2 2} \log n) \\ &= \Theta(n \log n) \quad [\text{Ans}] \end{aligned}$$

• Solve $T(n) = 3T(\frac{n}{2}) + n^2$ using Master theorem.

Comparing it with $T(n) = aT(\frac{n}{b}) + f(n)$ we get, $a=3, b=2, f(n)=n^2$

Checking 1st condition, $f(n) \in O(n^{\log_b a - \epsilon})$
 $n^2 \in O(n^{\log_2 3 - \epsilon})$

As $\log_2 3 < 2$ so this is false

Checking 2nd condition, $f(n) \in \Theta(n^{\log_b a})$
 $n^2 \in \Theta(n^{\log_2 3})$, false

Checking 3rd condition, $f(n) \in \Omega(n^{\log_b a + \epsilon})$
 $n^2 \in \Omega(n^{\log_2 3 + \epsilon})$, true

checking $a f(\frac{n}{b}) \leq c f(n)$

$$3 f(\frac{n}{2}) \leq c f(n)$$

$$3 \cdot \frac{n^2}{4} \leq c n^2, c < 1, \text{ true}$$

$$T(n) = \Theta(f(n)) = \Theta(n^2) \text{ [Ans.]}$$

• Check whether Master theorem is applicable in $T(n) = 4T(\frac{n}{2}) + \frac{n^2}{\log n}$

Comparing it with $T(n) = aT(\frac{n}{b}) + f(n)$, we get, $a=4, b=2, f(n) = \frac{n^2}{\log n}$

Checking 1st condition, $f(n) \in O(n^{\log_b a - \epsilon})$
 $\frac{n^2}{\log n} \in O(n^{\log_2 4 - \epsilon})$
 $\frac{n^2}{\log n} \in O(n^{2-\epsilon})$

For this to hold we must have,

$$\frac{n^2}{\log n} \leq c n^{2-\epsilon}$$

$$\frac{n^2}{\log n} \leq c \cdot \frac{n^2}{n^\epsilon} \Rightarrow \frac{1}{\log n} \leq c \cdot \frac{1}{n^\epsilon}$$

$$\log n \geq \frac{1}{c} \cdot n^\epsilon, \text{ false}$$

so, $f(n) \notin O(n^{\log_b a - \epsilon})$

Checking 2nd condition, $f(n) \in \Theta(n^{\log_b a})$

$$\frac{n^2}{\log n} \in \Theta(n^2), \text{ false}$$

Checking 3rd condition, $f(n) \in \Omega(n^{\log_b a + \epsilon})$

$$\frac{n^2}{\log n} \in \Omega(n^{2+\epsilon})$$

For this to hold, we must have

$$\frac{n^2}{\log n} \geq c n^{2+\epsilon}$$

$$\frac{n^2}{\log n} \geq c \cdot n^2 \cdot n^\epsilon \Rightarrow \frac{1}{\log n} \geq c \cdot n^\epsilon, \text{ false}$$

So, $f(n) \notin \Omega(n^{\log_b a + \epsilon})$

So, Master theorem will not be applicable here.

Amortized Analysis

Algorithm 1 \rightarrow Best case $O(1)$
Worst case $O(n)$

100 operations $\rightarrow \begin{cases} 99 \rightarrow \text{Best case} \\ 1 \rightarrow \text{Worst case} \end{cases}$

$$\text{Average} = [99 * O(1) + 1 * O(n)] / 100$$
$$= \frac{99}{100} * O(1) + \frac{1}{100} * O(n) = \underline{\underline{O(n)}}$$

Stack : S Remove k no. of elements from S

Push(S), Pop(S), Multipop(S, k)

Multipop(S, k)

1. While non-empty(S) and $k \neq 0$

2. Pop(S)

3. $k = k - 1$

$\min(L, k) \rightarrow$ No. of elements in S

Binary Counter:

Increment(A) \rightarrow Array

1. $i := 0$

2. While $i < A.length$ and $A[i] = 1$

3. $A[i] := 0$

4. $i := i + 1$

5. if $i < A.length$

6. $A[i] := 1$

1 \rightarrow 0 (Reset)

0 \rightarrow 1 (Set)

After 1st increment

5 4 3 2 1 0 \rightarrow Position
1 0 1 1 1 1 \rightarrow A

1 1 0 0 0 0

Amortized Analysis:

1. Aggregate method
2. Accounting method
3. Potential method

1. Aggregate: Total no. of operations are considered and their average is calculated.

Stack: $n \rightarrow$ total no. of operations (Push, Pop and Multipop)
 $O(n)/n = O(1)$

Binary counter:

$$\begin{array}{ccccccc} 1 & 0 & 0 & 0 & 0 & & \\ \downarrow & \downarrow & \downarrow & & & & \\ 1 & 0 & 0 & 0 & 1 & & \\ 1 & 0 & 0 & 1 & 0 & & \\ 1 & 0 & 0 & 1 & 1 & & \\ 1 & 0 & 0 & 1 & 1 & 1 & \\ 1 & 0 & 1 & 0 & 0 & & \\ 1 & 0 & 1 & 0 & 1 & & \\ 1 & 0 & 1 & 1 & 0 & & \\ 1 & 0 & 1 & 1 & 1 & & \\ & & & & & & \vdots \end{array} \quad n + \frac{n}{2} + \frac{n}{4} + \dots = n \left[1 + \frac{1}{2} + \frac{1}{4} + \dots \right]$$

$$= n \cdot 2$$

$$\text{Average} = \frac{2n}{n} = 2$$



For binary counter increment operation, on an average, two bits are changing.

2) Accounting method: We assign different charges to different operations.

Stack: Amortized cost of Push $\rightarrow 2$

Pop $\rightarrow 0$

Multipop $\rightarrow 0$

Binary counter: $1 \rightarrow 0$ (Reset)

$0 \rightarrow 1$ (Set)

Amortized cost of increment $\rightarrow 2$

③ Potential method: Potential Function (PF) is considered

Stack: PF: No. of elements in the stack

Amortized cost of Push $\stackrel{\text{A.C}}{=} \text{Actual} + \text{Effect of the operation}$
 $= 1 + (k+1 - k)$

$= 2$
Amortized cost of Pop $\stackrel{\text{A.C}}{=} 1 + (k-1 - k)$
 $= 1 - 1 = 0$

Amortized cost of Multipop $= \min(l, k) - \min(l, k)$
 $= 0$

Binary counter:

PF: No. of 1's present in the counter

Amortized cost of increment

$$= A.C + \text{Effect of the operation}$$

$$= t_i + 1 + (-t_i + 1)$$

No. of
digits that
are changing = 2

t_i
↓
 $1 \rightarrow 0$ (Reset)
 $0 \rightarrow 1$ (Set)

$101111 \rightarrow$
 $\hookrightarrow 110000 \rightarrow$