

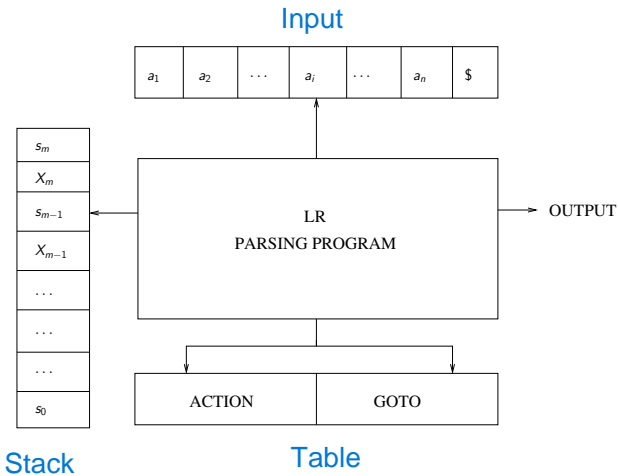
# Syntax Analysis

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# LR Parsing Framework



# Shift-reduce parsing

This is called LR parsing becoz, We read input from Left to Right and do Right Most derivation from Right to left in Reverse Direction.

- ▶ There are context-free grammars for which shift-reduce parsing cannot be used
- ▶ We know that shift-reduce parser uses a stack which indicates how much of the input string has been seen and the marker on the input string says how much is remaining
- ▶ Shift-reduce parser often reach a configuration in which the parser considering stack and the lookahead cannot decide shift/reduce move or reduce/reduce move
- ▶ It is called shift/reduce conflict or reduce/reduce conflict

# Shift-reduce conflict

Consider the dangling-else grammar

- ▶  $stmt \rightarrow \text{if } expr \text{ then } stmt$
- ▶  $stmt \rightarrow \text{if } expr \text{ then } stmt \text{ else } stmt$
- ▶  $stmt \rightarrow other$

**STACK**

... **if** *expr* **then** *stmt*

**INPUT**

**else** ... **\$**

- ▶ The parser does not know whether to shift or to reduce

# Shift-reduce Parsing

- ▶ It is a bottom-up parsing in which a stack holds grammar symbols and an input buffer holds the rest of the string to be parsed
- ▶ If a grammar is unambiguous, then every right-sentential form of the grammar has exactly one handle

STACK	INPUT	ACTION
\$	$id_1 * id_2 \$$	shift
$\$id_1$	$*id_2 \$$	reduce by $F \rightarrow id$
$\$F$	$*id_2 \$$	reduce by $T \rightarrow F$
$\$T$	$*id_2 \$$	shift
$\$T*$	$id_2 \$$	shift
$\$T * id_2$	\$	reduce by $F \rightarrow id$
$\$T * F$	\$	reduce by $T \rightarrow T * F$
$\$T$	\$	reduce by $E \rightarrow T$
$\$E$	\$	accept

# SLR(1) parsing

- ▶ The central idea behind “Simple LR” or SLR parsing is the construction from the grammar of  $LR(0)$  automaton
- ▶ SLR method for constructing parsing table is a good starting point for studying LR parsing
- ▶ The parsing table is called SLR table
- ▶ If any conflicting actions are generated by the following rules, we say the grammar is not  $SLR(1)$ . The algorithm fails to produce a parser in this case.

- ▶ We shall consider sets of  $LR(0)$  items which is called *canonical  $LR(0)$  collection* which provides the basis for constructing a DFA
- ▶ For this purpose, we define an augmented grammar with a new start symbol  $S'$  and production  $S' \rightarrow S$ 
  - Acceptance occurs when and only when the parser is about to reduce  $S' \rightarrow S$
- ▶ We also define two functions, CLOSURE and GOTO

► **Closure of Item Sets**

► Let  $I$  be the set of items for a grammar  $G$ .

1. Initially, add every item in  $I$  to  $CLOSURE(I)$
2. If  $A \rightarrow \alpha.B\beta$  and  $B \rightarrow \gamma$  is a production, then add the item  $B \rightarrow .\gamma$  to  $CLOSURE(I)$ , if it is not already there. Apply this rule until no more new items can be added to  $CLOSURE(I)$

► **The function GOTO**

►  $GOTO(I, X)$ , where  $I$  is a set of items and  $X$  is a grammar symbol, is defined to be closure of the set of all items  $[A \rightarrow \alpha X \beta]$  such that  $[A \rightarrow \alpha.X\beta]$

We moved . post  $X$

Those waiting for  $X$



► **Example**

- Consider the augmented expression grammar

$$\begin{aligned}E' &\rightarrow E \\E &\rightarrow E + T \mid T \\T &\rightarrow T * F \mid F \\F &\rightarrow (E) \mid id\end{aligned}$$

If  $I$  is the set of one item  $\{[E' \rightarrow .E]\}$ , then  $CLOSURE(I)$  contains the set of items as given below

$$\begin{aligned}E' &\rightarrow .E \\E &\rightarrow .E + T \\E &\rightarrow .T \\T &\rightarrow .T * F \\T &\rightarrow .F \\F &\rightarrow .(E) \\F &\rightarrow .id\end{aligned}$$

and  $GOTO(I, E)$  is the set  $\{E' \rightarrow E., E \rightarrow E. + T\}$

## ► LR Parsing Algorithm

- It consists of an input, an output, a stack, a driver program, and a parsing table that has two parts (*action* and *goto*)
- The driver program is the same for all LR parsers; only the parsing table changes from one parser to another
- The program uses a stack to store a string of the form  $s_0X_1s_1X_2s_2X_3\cdots X_ms_m$ . Each  $X_i$  is a grammar symbol and each  $s_i$  is a symbol called state
- The parsing table consists of two parts, a parsing *action* function and a *goto* function

- ▶ **The behavior of the program driving the parser**
- ▶ It determines  $s_m$ , the state currently on the top of stack, and  $a_i$ , the current input symbol. It consults  $action[s_m, a_i]$
- ▶ Parsing table entry can be one of the following
  1. *shift*  $s$ , where  $s$  is a state
  2. *reduce* by a grammar production  $A \rightarrow \beta$
  3. accept, and
  4. error      Error at places where Table is empty.

► **Constructing an SLR parsing table**

Input : An augmented grammar  $G'$

Output : The SLR parsing table functions *action* and *goto* for  $G'$

- Method : Construct  $C = \{I_0, I_1, \dots, I_n\}$ , the collection of sets of  $LR(0)$  items for  $G'$
- State  $i$  is constructed from  $I_i$ . The parsing actions for state  $i$  are determined as follows:
1. If  $[A \rightarrow \alpha.a\beta]$  is in  $I_i$  and  $goto(I_i, a) = I_j$ , then set  $action[i, a]$  to “shift  $j$ ”. Here  $a$  must be terminal.
  2. If  $[A \rightarrow \alpha.]$  is in  $I_i$ , then set  $action[i, a]$  to “reduce  $A \rightarrow \alpha$ ” for all  $a$  in  $FOLLOW(A)$ ; here  $A$  may not be  $S'$ .
  3. If  $[S' \rightarrow S.]$  is in  $I_i$ , then set action  $[i, \$]$  to “accept”

If any conflicting action result from the above rules, the grammar does not remain  $SLR(1)$

## ► LR parsing algorithm

set  $ip$  to point the first symbol  $w\$$

repeat forever begin

    let  $s$  be the state on top of the stack and

$a$  the symbol pointed to by  $ip$ ;

    if  $action[s, a] = shift\ s'$  then begin

        push  $a$  then  $s'$  on top of the stack;

        advance  $ip$  to the next input symbol

    end

    else if  $action[s, a] = reduce\ A \rightarrow \beta$  then begin

        pop  $2 * |\beta|$  symbols off the stack;

        let  $s'$  be the state now on the top of the stack;

        push  $A$  then  $goto[s', A]$  on top of the stack;

        output the production  $A \rightarrow \beta$

    end

    else if  $action[s, a] = accept$  then

        return

    else error()

end

- Consider the following (augmented) grammar for arithmetic expression  $+$  and  $*$

1.  $E' \rightarrow E$
2.  $E \rightarrow E + T$
3.  $E \rightarrow T$
4.  $T \rightarrow T * F$
5.  $T \rightarrow F$
6.  $F \rightarrow (E)$
7.  $F \rightarrow id$

- Augment Grammar
- Form collection of LR(0) Items
- Write states & transitions ( DFA)
- Construct Table
- Run the algo

## ► Canonical collection of $LR(0)$ items

$l_0 : E' \rightarrow .E$   
 $E \rightarrow .E + T$   
 $E \rightarrow .T$   
 $T \rightarrow .T * F$   
 $T \rightarrow .F$   
 $F \rightarrow .(E)$   
 $F \rightarrow .id$

$l_5 : F \rightarrow id.$

$l_6 : E \rightarrow E + .T$   
 $T \rightarrow .T * F$   
 $T \rightarrow .F$   
 $F \rightarrow .(E)$   
 $F \rightarrow .id$

We will try all possible actions by looking at all elements following  $.$  in each state.

$l_1 : E' \rightarrow E.$   
 $E \rightarrow E. + T$

$l_7 : T \rightarrow T * .F$   
 $F \rightarrow .(E)$   
 $F \rightarrow .id$

If it leads to new state,  
Create & name it.

$l_2 : E \rightarrow T.$   
 $T \rightarrow T. * F$

$l_8 : F \rightarrow (E.)$   
 $E \rightarrow E. + T$

Else point to existing state

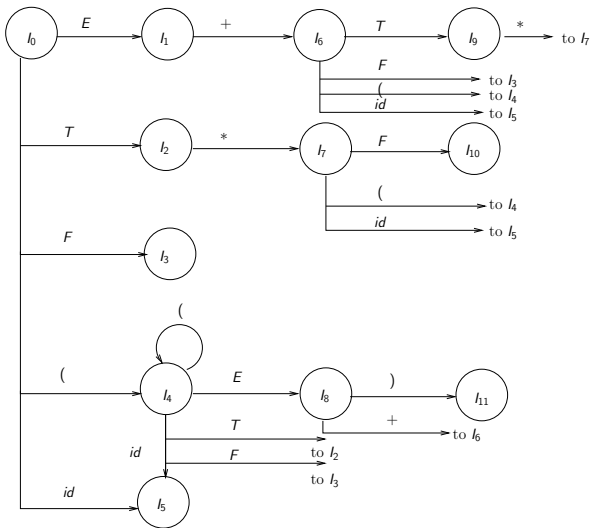
$l_3 : T \rightarrow F.$

$l_4 : F \rightarrow (.E)$   
 $E \rightarrow .E + T$   
 $E \rightarrow .T$   
 $T \rightarrow .T * F$   
 $T \rightarrow .F$   
 $F \rightarrow .(E)$   
 $F \rightarrow .id$

$l_9 : E \rightarrow E + T.$   
 $T \rightarrow T. * F$

$l_{10} : T \rightarrow T * F.$

$l_{11} : F \rightarrow (E).$



Note that only s entries can be deduced directly from this DFA.

For r entries, We need to check the States explicitly & see if anything is ending with . If yes, for every a of Follow of the LHS & We put r\_NoInProductionRulesOrder in Table [stateNo, a]



- ▶  $FOLLOW(E) = \{+, \$, )\}$
- ▶  $FOLLOW(T) = \{+, *, \$, )\}$
- ▶  $FOLLOW(F) = \{+, *, \$, )\}$

# Parsing Table

Actions ( Terminals as input )

GOTO ( Variables as input )

	+	*	(	)	<i>id</i>	\$	<i>E</i>	<i>T</i>	<i>F</i>
0			<i>s</i> <sub>4</sub>		<i>s</i> <sub>5</sub>		1	2	3
1	<i>s</i> <sub>6</sub>					accept			
2	<i>r</i> <sub>3</sub>	<i>s</i> <sub>7</sub>		<i>r</i> <sub>3</sub>		<i>r</i> <sub>3</sub>			
3	<i>r</i> <sub>5</sub>	<i>r</i> <sub>5</sub>		<i>r</i> <sub>5</sub>		<i>r</i> <sub>5</sub>			
4			<i>s</i> <sub>4</sub>		<i>s</i> <sub>5</sub>		8	2	3
5	<i>r</i> <sub>7</sub>	<i>r</i> <sub>7</sub>		<i>r</i> <sub>7</sub>		<i>r</i> <sub>7</sub>			
6			<i>s</i> <sub>4</sub>		<i>s</i> <sub>5</sub>			9	3
7			<i>s</i> <sub>4</sub>		<i>s</i> <sub>5</sub>				10
8	<i>s</i> <sub>6</sub>			<i>s</i> <sub>11</sub>					
9	<i>r</i> <sub>2</sub>	<i>s</i> <sub>7</sub>		<i>r</i> <sub>2</sub>		<i>r</i> <sub>2</sub>			
10	<i>r</i> <sub>4</sub>	<i>r</i> <sub>4</sub>		<i>r</i> <sub>4</sub>		<i>r</i> <sub>4</sub>			
11	<i>r</i> <sub>6</sub>	<i>r</i> <sub>6</sub>		<i>r</i> <sub>6</sub>		<i>r</i> <sub>6</sub>			

Input changes only when the Action is shift.

STACK	INPUT	ACTION
(1)0	$id * id + id\$$	shift
(2)0id5	$*id + id\$$	reduce by $F \rightarrow id$
(3)0F3	$*id + id\$$	reduce by $T \rightarrow F$
(4)0T2	$*id + id\$$	shift
(5)0T2 * 7	$id + id\$$	shift
(6)0T2 * 7id5	$+id\$$	reduce by $F \rightarrow id$
(7)0T2 * 7F10	$+id\$$	reduce by $T \rightarrow T *$
(8)0T2	$+id\$$	reduce by $E \rightarrow T$
(9)0E1	$+id\$$	shift
(10)0E1 + 6	$id\$$	shift
(11)0E1 + 6id5	$\$$	reduce by $F \rightarrow id$
(12)0E1 + 6F3	$\$$	reduce by $T \rightarrow F$
(13)0E1 + 6T9	$\$$	reduce by $E \rightarrow E + T$
(14)0E1	$\$$	accept

Check table for 0,id

Check table for 5, \*

if table has s\$, Write shift here  
and add id,5 to stack

F3 is popped.  
Table(0,T) = 2

Table(3,\*) = r5  
So write the 5th production  
rule here including 'S' -> S as 1.  
Then pop 2\*Len(RHS)  
elements from Stack. Now  
push LHS to stack and then  
push Table  
(Lhs\_Previous\_element ,lhs )  
on to stack & write in next row.

► **Example of conflicts (reduce/reduce conflict)**

- Consider the following grammar

$$S \rightarrow A|a$$

$$A \rightarrow a$$

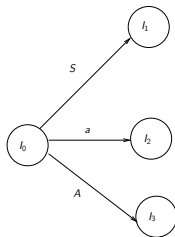
- The canonical collection of  $LR(0)$  is given below. Consider the string  $aa\$$ .

$$\begin{aligned} I_0 : S' &\rightarrow .S \\ S &\rightarrow .A|.a \\ A &\rightarrow .a \end{aligned}$$

$$I_1 : S' \rightarrow S.$$

$$\begin{aligned} I_2 : S &\rightarrow a. \\ A &\rightarrow a. \end{aligned}$$

$$I_3 : S \rightarrow A.$$



	action		goto	
	<i>a</i>	<i>\$</i>	<i>S</i>	<i>A</i>
0	$s_2$		1	3
1		Accept		
2		$r_2/r_3$		
3		$r_1$		

► **Example of conflicts (shift/reduce conflict)**

► Consider the following grammar

$$E \rightarrow E + E \mid E * E \mid id$$

► The canonical collection of  $LR(0)$  is given below. Consider the string  $id + id + id$

$$\begin{aligned} I_0 : E' &\rightarrow .E \\ E &\rightarrow .E + E \\ E &\rightarrow .E * E \\ E &\rightarrow .id \end{aligned}$$

$$\begin{aligned} I_3 : E &\rightarrow E + .E \\ E &\rightarrow .E + E \\ E &\rightarrow .E * E \\ E &\rightarrow .id \end{aligned}$$

$$\begin{aligned} I_6 : E &\rightarrow E * E. \\ E &\rightarrow E. + E \\ E &\rightarrow E. * E \end{aligned}$$

For state  $I_1$ ,  
1st rule gives r  
2,3 rules give s

$$\begin{aligned} I_1 : E' &\rightarrow E. \\ E &\rightarrow E. + E \\ E &\rightarrow E. * E \end{aligned}$$

$$\begin{aligned} I_4 : E &\rightarrow E * .E \\ E &\rightarrow .E + E \\ E &\rightarrow .E * E \\ E &\rightarrow .id \end{aligned}$$

$$I_2 : E \rightarrow .id$$

$$\begin{aligned} I_5 : E &\rightarrow E + E. \\ E &\rightarrow E. + E \\ E &\rightarrow E. * E \end{aligned}$$

► States 1, 5, 6 are the sources of conflict



We can check a G for SLR(1) with out performing any Left factoring/ Left recursion elimination.  
But for LL(1) we need to do them.

