

CSE 2320 - Homework 5

NAME: _____ SOLUTION _____

Total points: 100 Topics: Recurrences , solved with methods: Master Theorem, Tree, Substitution (induction)

P1. (23 points) Use the tree and table method to compute the Θ time complexity for $T(N) = 5T(\lfloor N/4 \rfloor) + 2N^3$.

Assume $T(0) = 1$ and $T(1) = 1$. Fill in the table below and finish the computations outside of it:

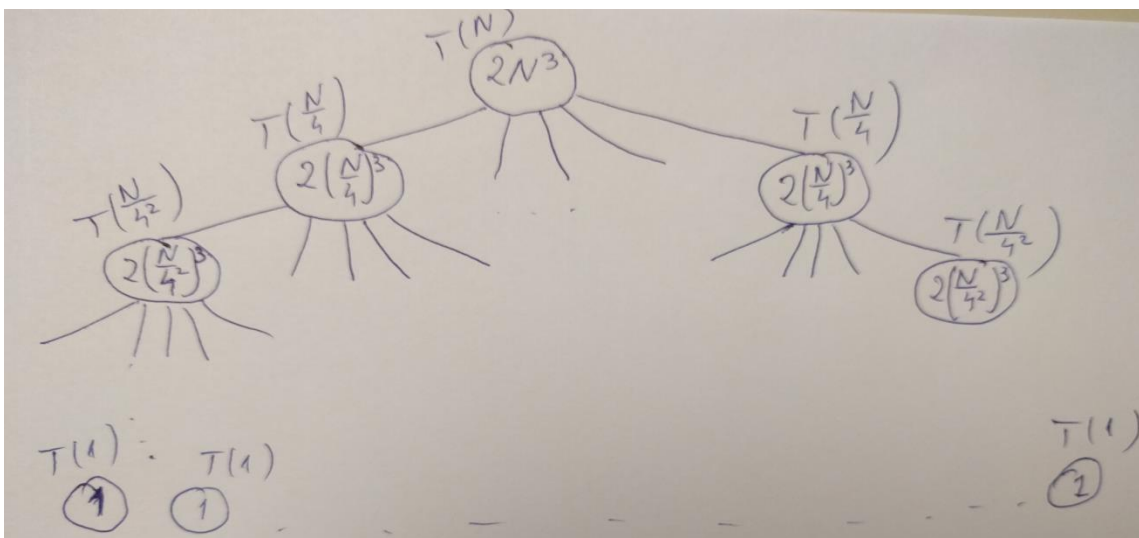
Level	Argument/ Problem size	Cost of one node	Nodes per level	Cost of whole level
0	N	$2N^3$	1	$2N^3$
1	N/4	$2(N/4)^3$	5	$5 * 2(N/4)^3 = 2N^3(5/4^3)^1$
2	$N/4^2$	$2(N/4^2)^3$	5^2	$5^2 * 2(N/4^2)^3 = 2N^3(5/4^3)^2$
i	$N/4^i$	$2(N/4^i)^3$	5^i	$5^i * 2(N/4^i)^3 = 2N^3(5/4^3)^i$
$k = \log_4 N$ Leaf level. Write k as a function of N.	$N/4^k = 1$	1 Acceptable to use 2 instead of 1, if stated that using 2 instead of the 1 (correct), results in a lower order term or a constant and does not affect Θ .	5^k	$= 1 * 5^k$ It is also: $5^k * (N/4^k)^3 = N^3(5/4^3)^k$

Total tree cost calculation:

$$(\sum_{i=0}^k 2N^3(5/4^3)^i) - 5^k = (2N^3 \sum_{i=0}^k (5/4^3)^i) - 5^k \leq 2N^3 - 5^k = 2N^3 - 5^{\log_4 N} = 2N^3 - N^{\log_4 5}$$

$$T(N) = \Theta(\dots\dots\dots N^3 \dots\dots) \text{ (cubic - polynomial)}$$

Draw the tree. Show **levels 0,1,2** and the **leaves level**. Show the problem size $T(\dots)$ as a label next to the node and inside the node show the local cost (cost of one node) as done in class. For the leaf level and level 2 it suffices to show a few nodes.



P2. (23 points) Use the tree and table method to compute the Θ time complexity for $T(N) = 4T(N - 5) + 7$. Assume $T(N) = 17$ for all $0 \leq N \leq 4$. Assume N is a convenient value for your computations. $T(N) = 5T(N - 4) + 7$

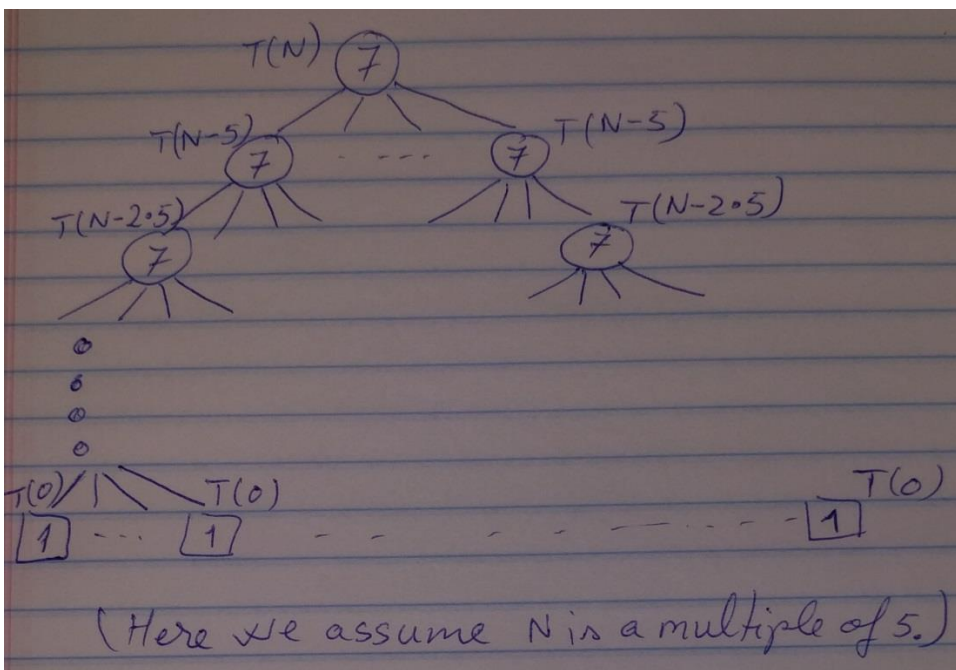
Fill in the table below and finish the computations outside of it:

Level	Argument/ Problem size	Cost of one node	Nodes per level	Cost of whole level
0	N	7	1	7
1	N-5	7	4	$4*7$
2	N-5*2	7	4^2	4^2*7
i	N-5i	7	4^i	4^i*7
$k=N/5$ Leaf level. Write k as a function of N.	N-5k	7	4^k	4^k*7

$$\text{Total tree cost calculation: } \sum_{i=0}^k 4^i * 7 = 7 \sum_{i=0}^k 4^i = 7 \frac{4^{k+1}-1}{4-1} = 7 \frac{4^{4k+1}-1}{3} = \frac{7}{3} \left(4 * 4^{\frac{N}{5}} - 1 \right) = \frac{7}{3} (4 * \sqrt[5]{4^N} - 1)$$

$$T(N) = \Theta(\dots (\sqrt[5]{4^N}) \dots) \text{ (exponential)}$$

Draw the tree. Show **levels 0,1,2** and the **leaves level**. Show the problem size $T(\dots)$ as a label next to the node and inside the node show the local cost (cost of one node) as done in class. For the leaf level and level 2 it suffices to show a few nodes.



P3. (36 points) Can you use the Master Theorem to solve the recurrences below? If yes, solve it with this method, if no, show why you cannot use it.

a) $T(N) = 5T(\lfloor N/4 \rfloor) + 2N^3$. Assume $T(0) = 1$ and $T(1) = 1$.

$$a = 5, b = 4, N^{\log_b a} = N^{\log_4 5}$$

$$f(N) = 2N^3 = \Omega(N^{\log_4 5 + 1}) \Rightarrow \text{case 3}$$

$$af(N/b) = 5 * 2(N/4)^3 = 2N^3 * (\frac{5}{64}) \leq (\frac{5}{25}) * 2N^3 = (\frac{5}{25})f(N), \text{Pick : } c = (\frac{5}{25}) < 1, \text{verified} \Rightarrow$$

$$T(N) = \Theta(f(N)) = \Theta(N^3)$$

b) $T(N) = 4T(\lceil N/4 \rceil) + d$, for some constant $d > 0$. Assume $T(0) = 1$ and $T(1) = 1$.

$$a = 4, b = 4, N^{\log_b a} = N^{\log_4 4} = N$$

$$f(N) = d = O(N^{1-0.1}) \Rightarrow \text{case 1} \Rightarrow T(N) = \Theta(N^{\log_b a}) = \Theta(N)$$

c) $T(N) = 6T(N/6) + 5N$, Assume $T(0) = 1$ and $T(1) = 1$

$$a = 6, b = 6, N^{\log_b a} = N^{\log_{b6} 6} = N^1 = N$$

$$f(N) = 5N = \Theta(N) \Rightarrow \text{case 2} \Rightarrow T(N) = \Theta(N \lg N)$$

d) $T(N) = 8T(N/2) + cN^3 \lg N$, Assume $T(0) = 1$ and $T(1) = 1$

$$a = 8, b = 2, N^{\log_b a} = N^{\log_2 8} = N^3$$

$$f(N) = cN^3 \lg N = O(N^3)$$

\Rightarrow it may be case 3 but it fails it because $\lg n$ grows slower than ANY polynomial

\Rightarrow Cannot find any ε s.t. $\lg n = \Omega(N^\varepsilon)$

(because in fact $\forall \varepsilon, \lg N = O(N^\varepsilon) \Theta(N \lg N)$)

\Rightarrow cannot apply case 3 or any other case

\Rightarrow cannot solve it with the Master Theorem

P4. (4 points) Go to the Wikipedia webpage [https://en.wikipedia.org/wiki/Master_theorem_\(analysis_of_algorithms\)](https://en.wikipedia.org/wiki/Master_theorem_(analysis_of_algorithms)).

See section "Inadmissible equations" and list the equation and the reason why it does not satisfy the Master Theorem requirements.

NOTE: Here it is copy/pasted, but ideally student homework answer was handwritten or retyped to help retain the information.

The following equations cannot be solved using the master theorem:^[3]

- $T(n) = 2^n T\left(\frac{n}{2}\right) + n^n$

a is not a constant; the number of subproblems should be fixed

- $T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log n}$

non-polynomial difference between $f(n)$ and $n^{\log_b a}$ (see below)

- $T(n) = 0.5T\left(\frac{n}{2}\right) + n$

$a < 1$ cannot have less than one sub problem

- $T(n) = 64T\left(\frac{n}{8}\right) - n^2 \log n$

$f(n)$, which is the combination time, is not positive

- $T(n) = T\left(\frac{n}{2}\right) + n(2 - \cos n)$

case 3 but regularity violation.

In the second inadmissible example above, the difference between $f(n)$ and $n^{\log_b a}$ can be expressed with the ratio $\frac{f(n)}{n^{\log_b a}} = \frac{n/\log n}{n^{\log_2 2}} = \frac{n}{n \log n} = \frac{1}{\log n}$. It is clear that $\frac{1}{\log n} < n^\epsilon$ for any constant $\epsilon > 0$. Therefore, the difference is not polynomial and the Master Theorem does not apply.

P5. (14 points) Show that $T(N) = 5T(\lfloor N/4 \rfloor) + 2N^3 = \Theta(N^3)$ by showing that it is $O(N^3)$ and also $\Omega(N^3)$. Assume $T(0) = 1$ and $T(1) = 1$

- a) (9 pts) Use the induction method to show $O(N^3)$. As done in class, start with the inductive step and then check and refine for enough low values of N until the inductive step can be applied (See lecture from Wed, Oct 11).

Prove that $T(N) = O(N^3)$, using the definition: find c and N_1 s.t. $T(N) \leq cN^3$ (here: $f(N) = T(N)$, $g(N) = N^3$)

Show with induction: $T(N) \leq cN^3$ (for some $c > 0$, for all $N \geq N_1$)

Base cases:

$N = 0$: $T(0) = 1$, but $cN^3 = 3 \cdot 0 = 0 \Rightarrow$ fails

$N = 1$: $T(1) = 1 \leq c \cdot 1^3 = c$, for all $c \geq 1$ (1*)

$T(2)$ and $T(3)$ use $T(0)$ (in the recurrence formula), but $T(0)$ fails the hypothesis we are proving, so we cannot prove them using the inductive step \Rightarrow must treat $T(2)$ and $T(3)$ as base cases (same way as we did for $T(1)$).

$N = 2$: $T(2) = 5 \cdot T(0) + 2 \cdot 2^3 = 5 \cdot 1 + 16 = 21$. We want $21 \leq c \cdot 2^3$. Holds for $c \geq 21/8$ (2*)

$N = 3$: $T(3) = 5 \cdot T(0) + 2 \cdot 3^3 = 5 \cdot 1 + 54 = 59$. We want $59 \leq c \cdot 3^3$. Holds for $c \geq 59/27$ (3*)

We want all of the above to hold, so we will use: $c \geq \max\{1, 21/8, 59/27\}$

Inductive step:

Now we have proved enough base cases. Every value of $N \geq 4$, will use $T(1)$ or higher and the hypothesis holds for T of 1 or higher for all $c \geq \max\{1, 21/8, 59/27\}$. We can **prove the recursive case** for all $N \geq 4$.

We will show that $T(N) \leq cN^3$ (for some $c \geq \max\{1, 21/8, 59/27\}$ for all $N \geq 4$)

$$T(N) = 5T(\lfloor N/4 \rfloor) + 2N^3 \leq$$

↓ by inductive hypothesis applied to $T(\lfloor N/4 \rfloor)$

$$\begin{aligned} &\leq 5c \left\lfloor \frac{N}{4} \right\rfloor^3 + 2N^3 \leq \\ &\leq 5c \left(\frac{N}{4} \right)^3 + 2N^3 = \\ &= cN^3 \left(\frac{5}{64} \right) + 2N^3 = \\ &= N^3 \left(\frac{5c}{64} + 2 \right) \end{aligned}$$

We want:

$$N^3 \left(\frac{5c}{64} + 2 \right) \leq cN^3 \Rightarrow$$

$$N^3 \left(c - 2 - \frac{5c}{64} \right) \geq 0 \Rightarrow$$

$$c \left(1 - \frac{5}{64} \right) \geq 2 \Rightarrow$$

$$c \geq \frac{2}{\frac{59}{64}} \Rightarrow$$

$$c \geq \frac{128}{59}$$

Pick $c = 3$ (b.c. $3 \geq \max\{1, 21/8, 59/27, 128/59\}$)

We have shown that $T(N) \leq cN^3$, for $c=3$, for all $N \geq 1$. Therefore pick $N_1=1$.

- b) (5 pts) Use just the definition with c and n_0 to show that it is $\Omega(N^3)$. Assume that $T(N) \geq 0$, for all $N \geq 0$. You should not need to use induction.

Let $c_0 = 1$ and $N_0 = 1$, $1 * N^3 \leq 5T(\lfloor N/4 \rfloor) + 2N^3, \forall N \geq N_0 \Rightarrow T(N) = \Omega(N^3)$

Write your answers in a document called **2320_H5.pdf**. It can be hand-written and scanned, but it must be uploaded electronically. Follow the same conventions: place it in a folder called **HW5**, zip that and send it.

Remember to include your name at the top.