

Recurrences: Methods and Examples

CSE 2320 – Algorithms and Data Structures
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Background

- Solving Summations
 - Needed for the Tree Method
- Math substitution
 - Needed for Methods: Tree and Substitution(induction)
 - E.g. If $T(n) = 3T(n/8) + 4n^{2.5}\lg n$,
 $T(n/8) = \dots\dots\dots$
 $T(n-1) = \dots\dots\dots$
- Theory on trees
 - Given tree height & branching factor, compute:
 nodes per level
 total nodes in tree
- Logarithms
 - Needed for the Tree Method
- We will use different methods than what was done for solving recurrences in CSE 2315, but one may still benefit from reviewing that material.

Recurrences

- Recursive algorithms
 - It may not be clear what the complexity is, by just looking at the algorithm.
 - In order to find their complexity, we need to:
 - Express the “running time” of the algorithm as a **recurrence formula**. E.g.: $f(n) = n + f(n-1)$
 - Find the complexity of the recurrence:
 - Expand it to a summation with no recursive term.
 - Find a concise expression (or upper bound), $E(n)$, for the summation.
 - Find Θ , ideally, or O (big-Oh) for $E(n)$.
- Recurrence formulas may be encountered in other situations:
 - Compute the number of nodes in certain trees.
 - Express the complexity of non-recursive algorithms (e.g. selection sort).

Solving Recurrences Methods

- The Master Theorem
- The Recursion-Tree Method
 - Useful for guessing the bound.
 - I will also accept this method as proof for the given bound (if done correctly).
- The Induction Method
 - Guess the bound, use induction to prove it.
 - Note that the book calls this the substitution method, but I prefer to call it the induction method

Code => Recurrence => Θ

```
void bar(int N){
    int i,k,t;
    if(N<=1) return;
    bar(N/5);
    for(i=1;i<=5;i++){
        bar(N/5);
    }
    for(i=1;i<=N;i++){
        for(k=N;k>=1;k--){
            for(t=2;t<2*N;t=t+2)
                printf("B");
        }
        bar(N/5);
    }
}
```

$T(N)$ =

Solve $T(N)$

Master Theorem Method

Let $a \geq 1$ and $b > 1$, let $f(n)$ be a function, and let $T(n)$ be defined on the nonnegative integers by the recurrence: $T(n) = aT\left(\frac{n}{b}\right) + f(n)$,

where we interpret $\frac{n}{b}$ to mean either $\lfloor n/b \rfloor$ or $\lceil n/b \rceil$. Then $T(n)$ has the following asymptotic bounds:

1. If $f(n) = O(n^{(\log_b a) - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \theta(n^{\log_b a})$
2. If $f(n) = \theta(n^{\log_b a})$, then $T(n) = \theta(n^{\log_b a} \lg n)$.
3. If $f(n) = \Omega(n^{(\log_b a) + \varepsilon})$, for some constant $\varepsilon > 0$, and if $af\left(\frac{n}{b}\right) \leq kf(n)$ for some constant $k < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$.

Solve: $T(n) = 9T(n/3) + n$
 $T(n) = T(2n/3) + 1$
 $T(n) = 3T(n/4) + n \lg n$

Not applicable for:

$T(n) = 2T(n/2) + n \lg n$

See CLRS, page 95, for solutions.

Master Theorem

Let $T(n) = aT\left(\frac{n}{b}\right) + f(n)$, where $a \geq 1, b > 1$ and $n > 0$.

1. If $f(n) = O(n^{(\log_b a) - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$
2. If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \lg n)$.
3. If $f(n) = \Omega(n^{(\log_b a) + \varepsilon})$, for some constant $\varepsilon > 0$, and if $af\left(\frac{n}{b}\right) \leq kf(n)$ for some constant $k < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$.

$$T(n) = 9T(n/3) + n$$

$$a = 9$$

$$b = 3$$

$$\log_b a = \log_3 9 = 2 \Rightarrow n^{\log_b a} = n^2$$

$$f(n) = n = O(n^{2-0.5}), \varepsilon = 0.5 \Rightarrow \text{case 1}$$

$$\Rightarrow T(n) = 9T(n/3) + n = \Theta(n^2)$$

$$T(n) = T(2n/3) + 1$$

$$a = 1$$

$$b = (3/2)$$

$$\log_b a = \log_{(3/2)} 1 = 0 \Rightarrow n^{\log_b a} = n^0 = 1$$

$$f(n) = 1 = \Theta(n^0) \Rightarrow \text{case 2}$$

$$\Rightarrow T(n) = T(2n/3) + 1 = \Theta(n^0 \lg n) = \Theta(\lg n)$$

Master Theorem

Let $T(n) = aT\left(\frac{n}{b}\right) + f(n)$, where $a \geq 1, b > 1$ and $n > 0$.

1. If $f(n) = O(n^{(\log_b a) - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$
2. If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \lg n)$.
3. If $f(n) = \Omega(n^{(\log_b a) + \varepsilon})$, for some constant $\varepsilon > 0$, and if $af\left(\frac{n}{b}\right) \leq kf(n)$ for some constant $k < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$.

$$T(n) = 3T(\lfloor n/4 \rfloor) + n \lg n$$

$$a=3$$

$$b=4$$

$$\log_4 3 \approx 0.8 \Rightarrow n^{\log_b a} \approx n^{0.8}$$

$$f(n) = n \lg n$$

$$n \lg n = \Omega(n^{0.8+0.1}), \varepsilon=0.1 \Rightarrow \text{case 3}$$

$$\text{We also need: } af(n/b) \leq kf(n) \Rightarrow$$

$$3(n/4) \lg(n/4) \leq (3/4)n \lg n \leq kn \lg n$$

$$\text{True } \forall k \geq (3/4). \text{ Need } k < 1 \Rightarrow k = 3/4$$

$$\Rightarrow T(n) = 3T(n/4) + n \lg n = \Theta(n \lg n)$$

$$T(n) = 2T(n/2) + n \lg n$$

$$a = 2$$

$$b = 3$$

$$\log_2 2 = 1 \Rightarrow n^{\log_b a} = n^1 = n$$

$$f(n) = n \lg n$$

$$n \lg n = \Omega(n^1) \text{ but not by } \varepsilon$$

$$\text{B.c., } \forall \varepsilon > 0, \lg n = O(n^\varepsilon) \Rightarrow$$

$$n \lg n = O(n * n^\varepsilon) = O(n^{1+\varepsilon})$$

\Rightarrow Cannot apply case 3 (or cases 1 or 2) \Rightarrow

Cannot solve it with the Master Theorem

Recurrences:

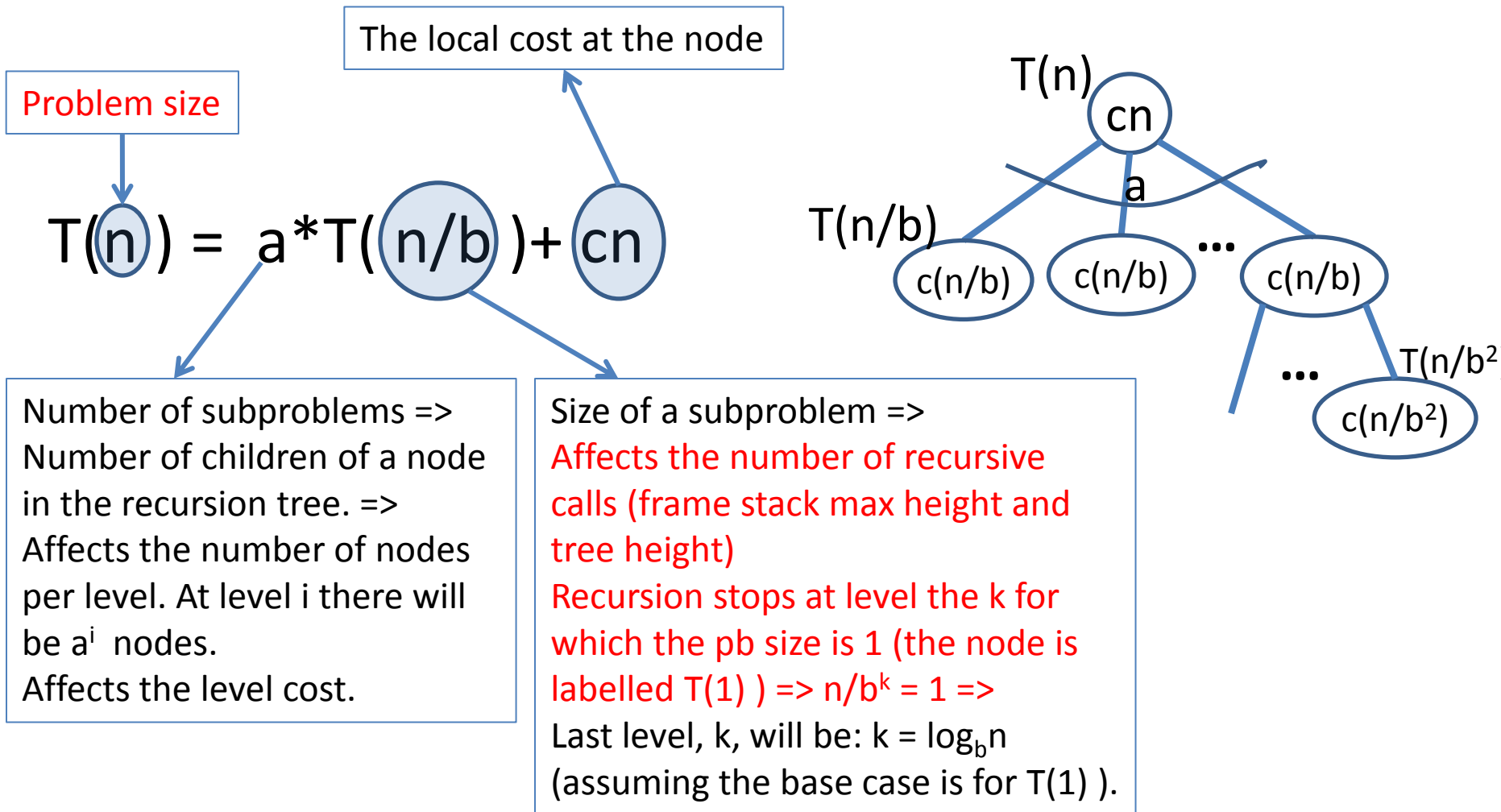
Recursion-Tree Method

1. Build the tree & fill-out the table
2. Compute cost per level
3. Compute number of levels (find last level as a function of N)
4. Compute total over levels.
 - * Find closed form of that summation.

Example 1 : Solve $T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$

Example 2 : Solve $T(n) = T(n/3) + T(2n/3) + O(n)$

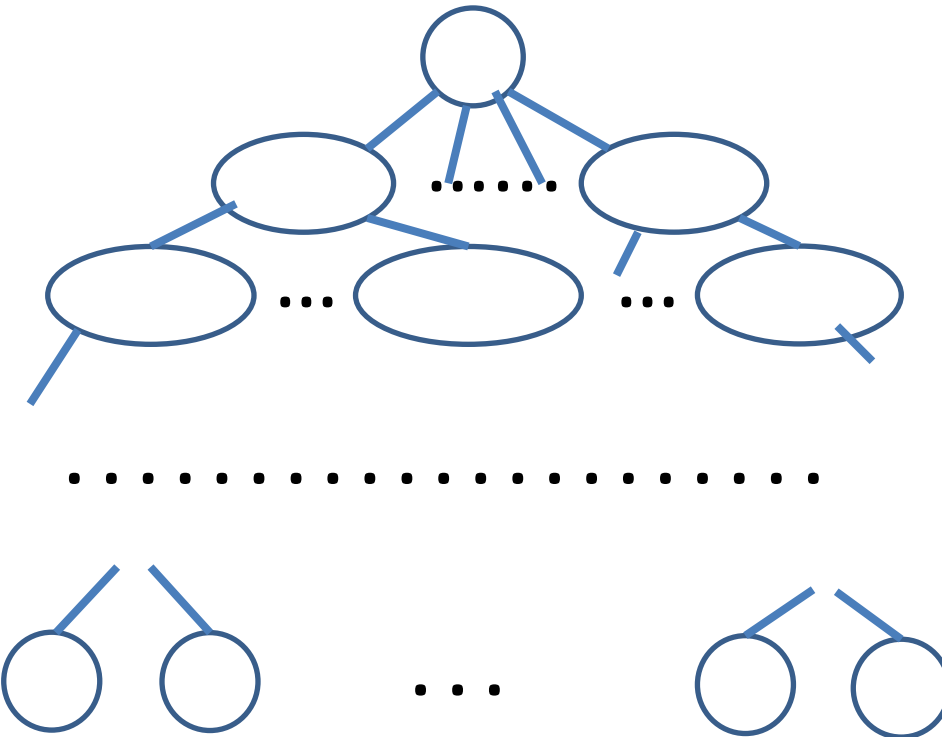
Recurrence - Recursion Tree Relationship



Recursion Tree for: $T(n) = 7T(n/5) + cn^3$

Base case: $T(1) = c$

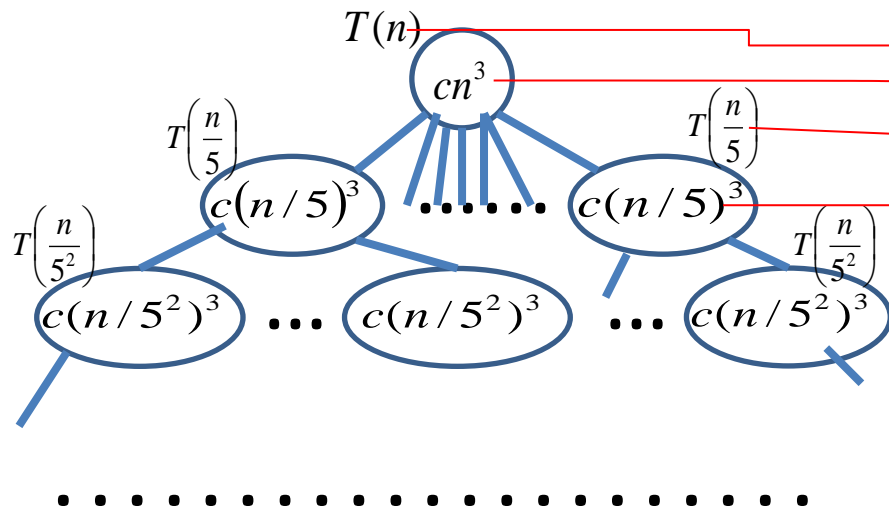
Work it out by hand in class.



Level	Arg/ pb size	cost of 1 node	Nodes per level	Level cost
0				
1				
2				
...				
i				
...				
k=				

Recursion Tree for:

$$T(n) = 7T(n/5) + cn^3, \text{ Base case: } T(1) = c$$



Level	Arg/ pb size	cost of 1 node	Nodes per level	Level cost
0	n	cn^3	1	$c \cdot n^3$
1	$n/5$	$c(n/5)^3$	7	$7 \cdot c \cdot (n/5)^3 = cn^3 (7/5^3)$
2	$n/5^2$	$c(n/5^2)^3$	7^2	$7^2 \cdot c \cdot (n/5^2)^3 = cn^3 (7/5^3)^2$
...				
i	$n/5^i$	$c(n/5^i)^3$	7^i	$7^i \cdot c \cdot (n/5^i)^3 = cn^3 (7/5^3)^i$
...				
k = $\log_5 n$	1 (= $n/5^k$)	$c = c \cdot 1 = c(n/5^k)^3$	7^k	$7^k \cdot c \cdot (n/5^k)^3 = cn^3 (7/5^3)^k$

Stop at level k, when the subtree is $T(1)$.
 \Rightarrow The problem size is 1, but the general formula for the problem size, at level k is:
 $n/5^k \Rightarrow n/5^k = 1 \Rightarrow k = \log_5 n$

Where we used:

$$7^i \cdot (n/5^i)^3 = 7^i \cdot n^3 (1/5^i)^3 = 7^i \cdot n^3 (1/5^3)^i = n^3 (7/5^3)^i$$

- See more solved examples later in the presentation. Look for page with title:

More practice/ Special cases

Tree Method

$$T(n) = T(n/3) + T(2n/3) + O(n)$$

- Draw the tree, notice the shape, see length of shortest and longest paths.
- Notice that:
 - as long as the levels are full (all nodes have 2 children) the level cost is cn (the sum of costs of the children equals the parent: $(1/3)*p_cost + (2/3)*p_cost$)
 \Rightarrow Total cost for those: $cn * \log_3 n = \Theta(n \lg n)$
 - The number of incomplete levels should also be a multiple of $\lg n$ and the cost for each of those levels will be less than cn
 - \Rightarrow Guess that $T(n) = O(n \lg n)$
- Use the substitution method to show $T(n) = O(n \lg n)$
- If the recurrence was given with Θ instead of O , we could have shown $T(n) = \Theta(n \lg n)$
 - with O , we only know that: $T(n) \leq T(n/3) + T(2n/3) + cn$
 - The local cost could even be constant: $T(n) = T(n/3) + T(2n/3) + c$
- Exercise: Solve
 - $T_1(n) = 2T_1(n/3) + cn$ (Why can we use cn instead of $\Theta(n)$ in $T_1(n) = 2T_1(n/3) + cn$?)
 - $T_2(n) = 2T_2(2n/3) + cn$ (useful: $\lg 3 \approx 1.59$)
 - Use them to bound $T(n)$. How does that compare to the analysis in this slide? (The bounds are looser).

Recurrences: Induction Method

1. Guess the solution
2. Use induction to prove it.
3. Check it at the boundaries (recursion base cases)

Example: Find upper bound for: $T(n) = 2T(\lfloor n/2 \rfloor) + n$

1. Guess that $T(n) = O(n \lg n) \Rightarrow$
2. Prove that $T(n) = O(n \lg n)$ using $T(n) \leq c n \lg n$ (for some c)
 1. Assume it holds for all $m < n$, and prove it holds for n .
3. Assume base case (boundary): $T(1) = 1$.

Pick c and n_0 s.t. it works for sufficient base cases and applying the inductive hypotheses.

Recurrences: Induction Method

$$T(n) = 2T(\lfloor n/2 \rfloor) + n$$

2. Prove that $T(n) = O(n \lg n)$, using the definition:

find c and n_0 s.t. $T(n) \leq c * n \lg n$

(here: $f(n) = T(n)$, $g(n) = n \lg n$)

Show with induction: $T(n) \leq c * n \lg n$ (for some $c > 0$)

$$\begin{aligned} T(n) &= 2T(\lfloor n/2 \rfloor) + n \leq 2 * c * \lfloor n/2 \rfloor * \lg(\lfloor n/2 \rfloor) + n \leq \\ &\leq 2 * c * (n/2) * \lg(n/2) + n = cn \lg(n/2) + n = \\ &= cn(\lg n - \lg 2) + n = cn(\lg n - 1) + n = cn \lg n - cn + n = \\ &= cn \lg n + n(1 - c) \end{aligned}$$

want :

$$\leq cn \lg n \Rightarrow$$

$$n(1 - c) \leq 0 \Rightarrow 1 - c \leq 0 \Rightarrow c \geq 1$$

Pick $c = 2$ (the largest of both 1 and 2).

Pick $n_0 = 2$

3. Base case (boundary):

Assume $T(1) = 1$

Find n_0 s.t. the induction holds for all $n \geq n_0$.

$$n=1: 1=T(1) \leq c * 1 * \lg 1 = c * 0 = 0$$

FALSE. $\Rightarrow n_0$ cannot be 1.

$$n=2: T(2) = 2 * T(1) + 2 = 2 + 2 = 4$$

Want $T(2) \leq c * 2 \lg 2 = 2c$, True for: $c \geq 2$

$$n=3: T(3) = 2 * T(1) + 3 = 2 + 3 = 5$$

Want $5 = T(3) \leq c * 3 * \lg 3$

True for: $c \geq 2$

Here we need 2 base cases for the induction: $n=2$, and $n=3$

Recurrences: Induction Method

Various Issues

- Subtleties (stronger condition needed)
 - Solve: $T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + 1$ with $T(1) = 1$ and $T(0) = 1$
 - Use a stronger condition: off by a constant, subtract a constant
- Avoiding pitfalls
 - Wrong: In the above example, stop at $T(n) \leq cn+1$ and conclude that $T(n) = O(n)$
 - See also book example of wrong proof for $T(n) = 2T(\lfloor n/2 \rfloor) + n$ is $O(n)$
- Making a good guess
 - Solve: $T(n) = 2T(\lfloor n/2 \rfloor + 17) + n$
 - Find a similar recursion
 - Use looser upper and lower bounds and gradually tighten them
- Changing variables
 - Recommended reading, not required (page 86)

Stronger Hypothesis for

$$T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + 1$$

Show $T(n) = O(n)$ using the definition: find c and n_0 s.t. $T(n) \leq c \cdot n$

(here: $f(n) = T(n)$, $g(n) = n$). Use induction to show $T(n) \leq c \cdot n$

Inductive step: assume it holds for all $m < n$, show for n :

$$\begin{aligned} T(n) &= T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + 1 \leq c \lfloor n/2 \rfloor + c \lceil n/2 \rceil + 1 = \\ &= c(\lfloor n/2 \rfloor + \lceil n/2 \rceil) + 1 = cn + 1 \end{aligned}$$

We're stuck. We CANNOT say that $T(n) = O(n)$ at this point. We must prove the hypothesis exactly: $T(n) \leq cn$ (not: $T(n) \leq cn + 1$).

Use a stronger hypothesis: prove that $T(n) \leq cn - d$, for some const $d > 0$:

$$\begin{aligned} T(n) &= T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + 1 \leq c \lfloor n/2 \rfloor - d + c \lceil n/2 \rceil - d + 1 = \\ &= c(\lfloor n/2 \rfloor + \lceil n/2 \rceil) + 1 - 2d = cn - d + 1 - d \end{aligned}$$

want :

$$\leq cn - d \Rightarrow$$

$$1 - d \leq 0 \Rightarrow d \geq 1$$

Extra material – Solve:

$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$

- Use the tree method to make a guess for:

$$T(n) = 3T(n/4) + \Theta(n^2)$$

- Use the induction method for the original recurrence (with rounding down):

$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$

Common Recurrences

	Local cost	<i>Number</i> of sub-problems	<i>Size</i> of sub-problem	T(n)	Description Example
1	$\Theta(1)$ c	1	n/2		Halve problem in <u>const</u> time
2	$\Theta(n)$ cn	1	n/2		Halve problem in <u>linear</u> time
3	$\Theta(1)$ c	2	n/2		Break (and put back together) the problem into 2 <i>halves</i> in <u>const</u> time.
4	$\Theta(n)$ cn	2	n/2		Break (and put back together) the problem into 2 <i>halves</i> in <u>linear</u> time.
5	$\Theta(1)$ c	1	n-1		Reduce the pb size <i>by 1</i> in <u>const</u> time.
6	$\Theta(n)$ cn	1	n-1		Reduce the pb size <i>by 1</i> in <u>linear</u> time.

Common Recurrences Review

1. Halve problem in constant time :

$$T(n) = T(n/2) + c \quad \Theta(\lg(n))$$

2. Halve problem in linear time :

$$T(n) = T(n/2) + n \quad \Theta(n) \quad (\sim 2n)$$

3. Break (and put back together) the problem into 2 halves in constant time:

$$T(n) = 2T(n/2) + c \quad \Theta(n) \quad (\sim 2n)$$

4. Break (and put back together) the problem into 2 halves in linear time:

$$T(n) = 2T(n/2) + n \quad \Theta(n \lg(n))$$

5. Reduce the problem size by 1 in constant time:

$$T(n) = T(n-1) + c \quad \Theta(n)$$

6. Reduce the problem size by 1 in linear time:

$$T(n) = T(n-1) + n \quad \Theta(n^2)$$

Recurrence => Code Worksheet

- Give a piece of code/pseudocode for which the time complexity recursive formula is:
 - $T(1) = c$ and
 - $T(N) = N * T(N-1) + cN$

For $T(N) = N * T(N-1) + \Theta(N)$

Replace $\Theta(N)$ with cN and: $T(N) = N * T(N-1) + cN$

Recurrence => Code Answers

- Give a piece of code/pseudocode for which the time complexity recursive formula is:
 - $T(1) = c$ and
 - $T(N) = N * T(N-1) + cN$

```
void foo(int N) {  
    if (N <= 1) return;  
    for(int i=1; i<=N; i++)  
        foo(N-1);  
}
```

Compare

```
void foo1(int N){
    if (N <= 1) return;
    for(int i=1; i<=N; i++){
        foo1(N-1);
    }
}
```

$$T(N) = \mathbf{N} * T(N-1) + cN$$

```
void foo2(int N){
    if (N <= 1) return;
    for(int i=1; i<=N; i++){
        printf("A");
    }
    foo2(N-1); //outside of the loop
}
```

$$T(N) = T(N-1) + cN$$

```
int foo3(int N){
    if (N <= 1) return 5;
    for(int i=1; i<=N; i++){
        return foo3(N-1);
    }
    // No loop. Returns after the first iteration.
}
```

$$T(N) = T(N-1) + \mathbf{c}$$

More practice/ Special cases

Recurrences solved in following slides

Recurrences solved in following slides:

$$T(n) = T(n-1) + c$$

$$T(n) = T(n-4) + c$$

$$T(n) = T(n-1) + cn$$

$$T(n) = T(n/2) + c$$

$$T(n) = T(n/2) + cn$$

$$T(n) = 2T(n/2) + c$$

$$T(n) = 2T(n/2) + 8$$

$$T(n) = 2T(n/2) + cn$$

$$T(n) = 3T(n/2) + cn$$

$$T(n) = 3T(n/5) + cn$$

Recurrences left as individual practice:

$$T(n) = 7T(n/3) + cn$$

$$T(n) = 7T(n/3) + cn^3$$

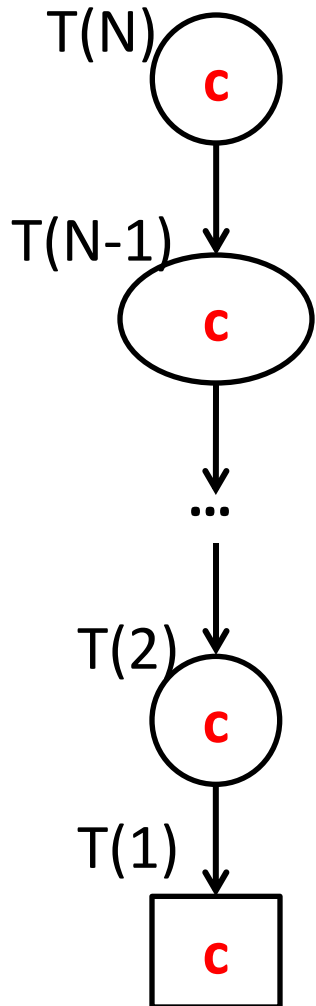
$$T(n) = T(n/2) + n$$

See also “recurrences practice” problems on the Exams page.

$$T(N) = T(N-1) + c$$

fact(N)

Time complexity tree:



```

int fact(int N)
{
    if (N <= 1) return 1;
    return N*fact(N-1);
}
  
```

Time complexity of fact(N) ? $T(N) = \dots$

$$T(N) = T(N-1) + c$$

$$T(1) = c$$

$$T(0) = c$$

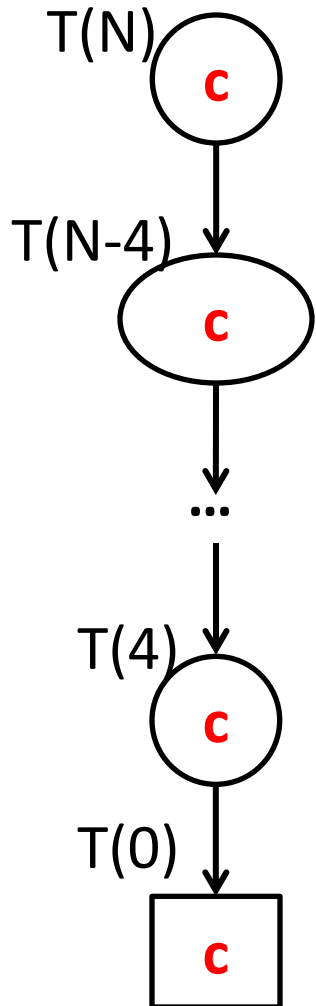
Levels: N

Each node has cost $c \Rightarrow$

$$T(N) = c * N = \Theta(N)$$

$$T(N) = T(N-4) + c$$

Time
complexity
tree:



```

int fact4(int N)
{
    if (N <= 1) return 1;
    if (N == 2) return 2;
    if (N == 3) return 6
    return N * (N-1) * (N-2) * (N-3) * fact4(N-4);
}
  
```

Time complexity of fact4(N) ? $T(N) = \dots$

$$T(N) = T(N-4) + c$$

$$T(3) = c$$

$$T(2) = c$$

$$T(1) = c$$

$$T(0) = c$$

Levels: $\approx N/4$

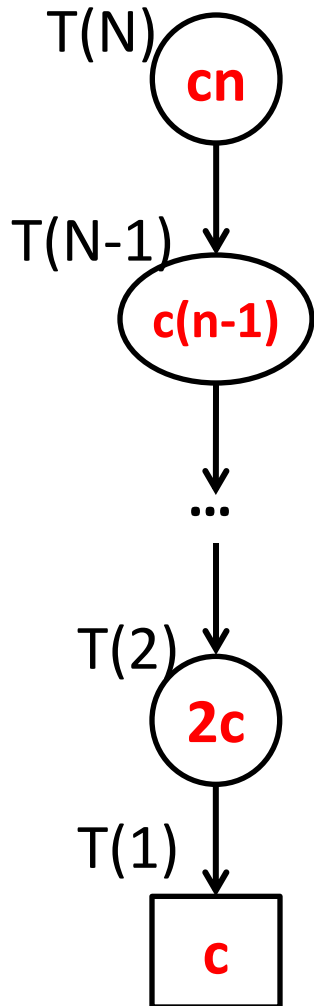
Each node has cost $c \Rightarrow$

$$T(N) = c * N/4 = \Theta(N)$$

$T(N) = T(N-1) + cN$

selection_sort_rec(N)

Time
complexity
tree:



```
int fact(int N, int st, int[] A, ){  
    if (st >= N-1) return;  
    idx = min_index(A, st, N); //  $\Theta(N-st)$   
    A[st] <-> A[idx]  
    return sel_sort_rec(A, st+1, N);  
}
```

$$T(N) = T(N-1) + cN$$

$$T(1) = c$$

$$T(0) = c$$

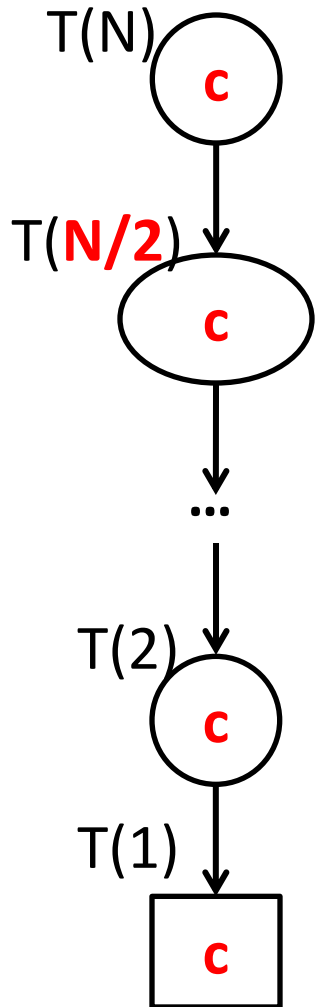
Levels: N

Node at level i has cost $c(N-i) \Rightarrow$

$$T(N) = cN + c(N-1) + \dots + c1 + \dots + c = cN(N+1)/2 = \Theta(N^2)$$

$$T(N) = T(N/2) + c$$

Time
complexity
tree:



$$T(N) = T(N/2) + c$$

$$T(1) = c$$

$$T(0) = c$$

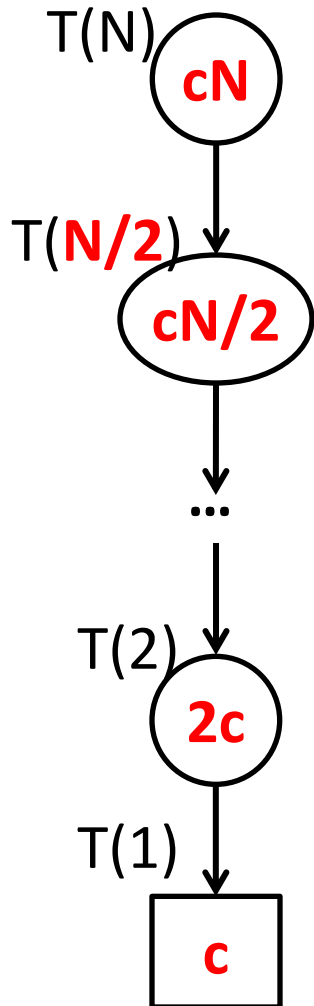
Levels: $\approx \lg N$ (from base case: $N/2^k = 1 \Rightarrow k = \lg N$)

Each node has cost $c \Rightarrow$

$$T(N) = c * \lg N = \Theta(\lg N)$$

$$T(N) = T(N/2) + cN$$

Time
complexity
tree:



$$T(N) = T(N/2) + cN$$

$$T(1) = c$$

$$T(0) = c$$

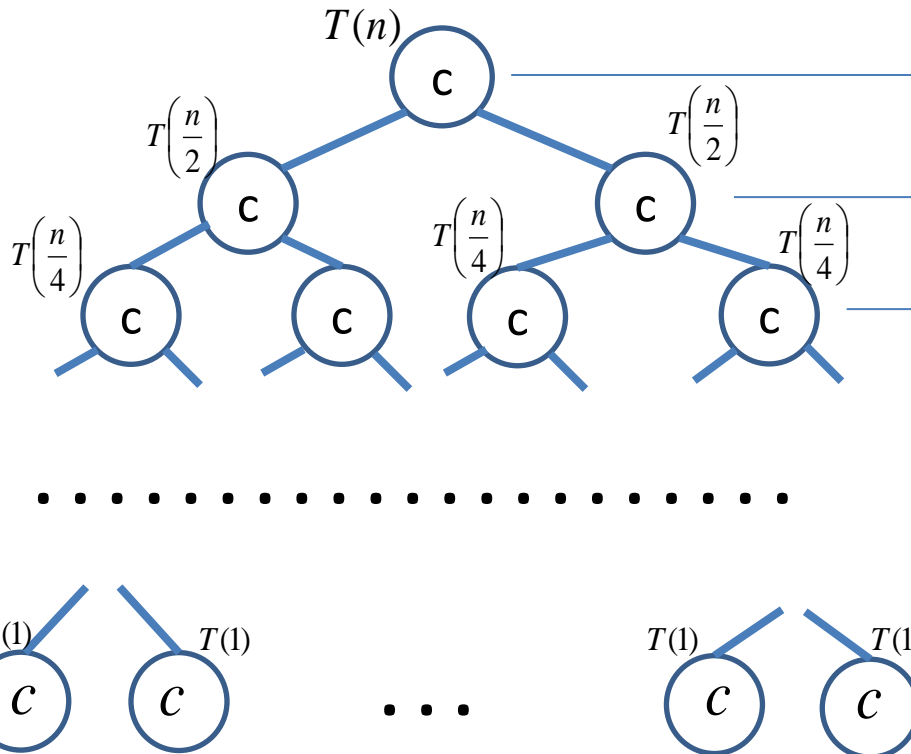
Levels: $\approx \lg N$ (from base case: $N/2^k=1 \Rightarrow k=\lg N$)

Node at level i has cost $cN/2^i \Rightarrow$

$$\begin{aligned} T(N) &= c(N + N/2 + N/2^2 + \dots N/2^i + \dots + N/2^k) = \\ &= cN(1 + 1/2 + 1/2^2 + \dots 1/2^i + \dots + 1/2^k) = \\ &= cN[1 + (1/2) + (1/2)^2 + \dots (1/2)^i + \dots + (1/2)^k] = \\ &= cN * \text{constant} \\ &= \Theta(N) \end{aligned}$$

Recursion Tree for: $T(n) = 2T(n/2) + c$

Base case: $T(1) = c$



Level	Arg/ pb size	cost of 1 node	Nodes per level	Level cost
0	n	c	1	c
1	$n/2$	c	2	$2c$
2	$n/4$	c	4	$4c$
...				
i	$n/2^i$	c	2^i	$2^i c$
...				
$k = \lg n$	1 ($= n/2^k$)	c	2^k ($= n$)	$2^k c$

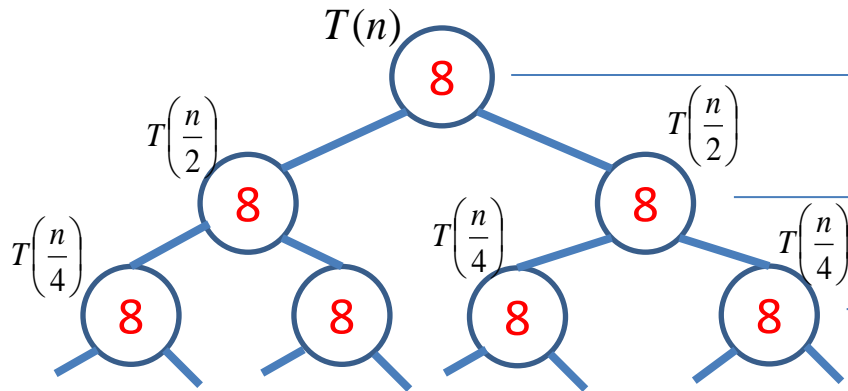
Stop at level k , when the subtree is $T(1)$.
 \Rightarrow The problem size is 1, but the general formula for the problem size, at level k is:
 $n/2^k \Rightarrow n/2^k = 1 \Rightarrow k = \lg n$

$$\begin{aligned} \text{Tree cost} &= c(1 + 2 + 2^2 + 2^3 + \dots + 2^i + \dots + 2^k) = c2^{k+1}/(2-1) \\ &= 2c2^k = 2cn = \Theta(n) \end{aligned}$$

Recursion Tree for: $T(n) = 2T(n/2) + 8$

If specific value is given instead of c , use that. Here $c=8$.

Base case: $T(1) = c$



.....



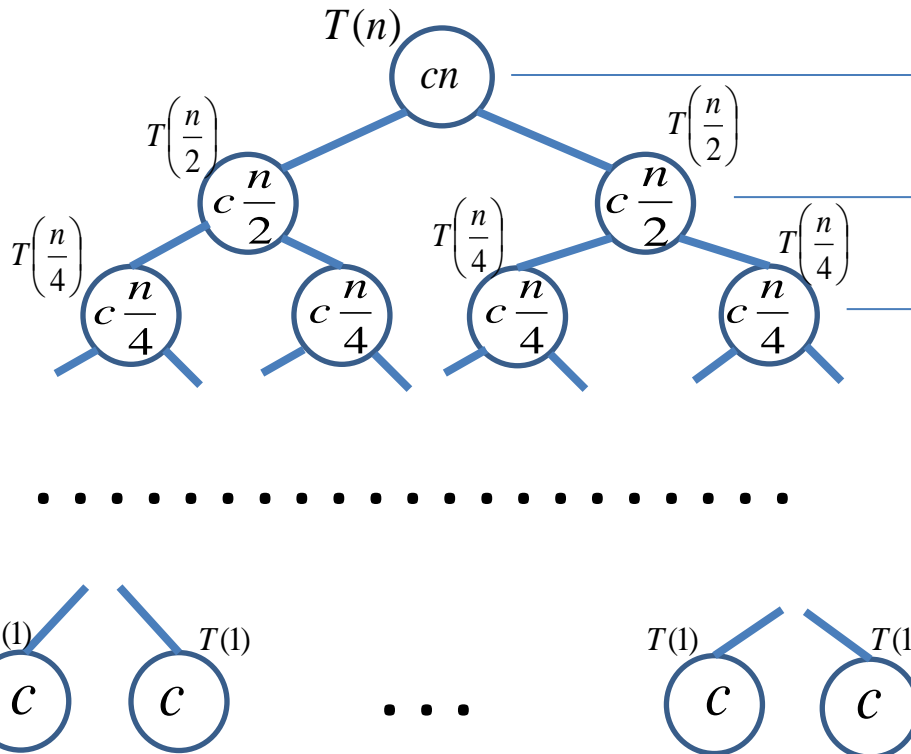
Stop at level k , when the subtree is $T(1)$.
 \Rightarrow The problem size is 1, but the general formula for the problem size, at level k is:
 $n/2^k \Rightarrow n/2^k = 1 \Rightarrow k = \lg n$

Level	Arg/ pb size	cost of 1 node	Nodes per level	Level cost
0	n	8	1	8
1	$n/2$	8	2	$2 \cdot 8$
2	$n/4$	8	4	$4 \cdot 8$
...				
i	$n/2^i$	8	2^i	$2^i \cdot 8$
...				
$k = \lg n$	1 ($= n/2^k$)	8	2^k ($= n$)	$2^k \cdot 8$

$$\begin{aligned} \text{Tree cost} &= c(1 + 2 + 2^2 + 2^3 + \dots + 2^i + \dots + 2^k) = 8 \cdot 2^{k+1} / (2 - 1) \\ &= 2 \cdot 8 \cdot 2^k = 16n = \Theta(n) \end{aligned}$$

Recursion Tree for: $T(n) = 2T(n/2) + cn$

Base case: $T(1) = c$



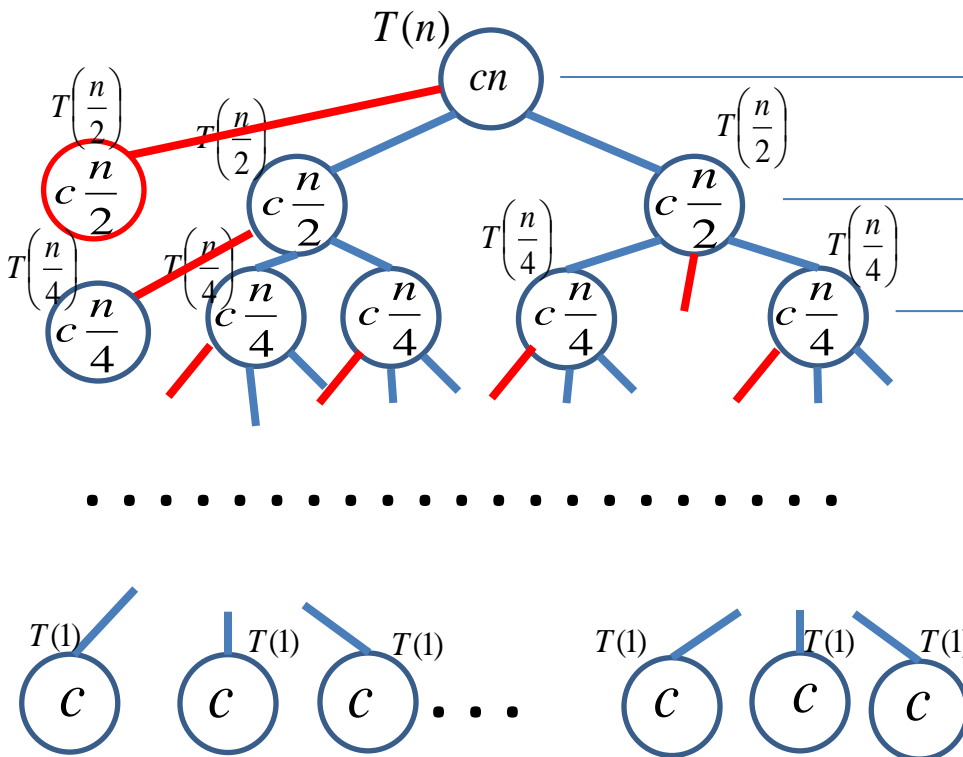
Level	Arg/ pb size	cost of 1 node	Nodes per level	Level cost
0	n	$c*n$	1	$c*n$
1	$n/2$	$c*n/2$	2	$2*c*n/2 = c*n$
2	$n/4$	$c*n/4$	4	$4*c*n/4 = c*n$
...				
i	$n/2^i$	$c*n/2^i$	2^i	$2^i*c*n/2^i = c*n$
...				
$k=\lg n$	1 ($=n/2^k$)	$c=c*1 = c*n/2^k$	2^k ($=n$)	$2^k*c*n/2^k = c*n$

Stop at level k , when the subtree is $T(1)$.
 \Rightarrow The problem size is 1, but the general formula for the problem size, at level k is:
 $n/2^k \Rightarrow n/2^k = 1 \Rightarrow k = \lg n$

$$\begin{aligned} \text{Tree cost} &= cn * (k + 1) = cn * (1 + \lg n) = \\ &= cn \lg n + cn = \Theta(n \lg n) \end{aligned}$$

Recursion Tree for $T(n) = 3T(n/2) + cn$

Base case: $T(1) = c$



Level	Arg/ pb size	cost of 1 node	Nodes per level	Level cost
0	n	$c \cdot n$	1	$c \cdot n$
1	$n/2$	$c \cdot n/2$	3	$3 \cdot c \cdot n/2 = (3/2) \cdot c \cdot n$
2	$n/4$	$c \cdot n/4$	9	$(3/2)^2 \cdot c \cdot n$
...				
i	$n/2^i$	$c \cdot n/2^i$	3^i	$(3/2)^i \cdot c \cdot n$
...				
$k = \lg n$	1 ($= n/2^k$)	$c = c \cdot 1 = c \cdot n/2^k$	3^k ($\neq n$)	$(3/2)^k \cdot c \cdot n$

Stop at level k , when the subtree is $T(1)$.
 \Rightarrow The problem size is 1, but the general formula for the problem size, at level k is:
 $n/2^k \Rightarrow n/2^k = 1 \Rightarrow k = \lg n$

Total Tree Cost for $T(n) = 3T(n/2) + cn$

Closed form

$$\begin{aligned} T(n) &= cn + (3/2)cn + (3/2)^2 cn + \dots (3/2)^i cn + \dots (3/2)^{\lg n} cn = \\ &= cn * [1 + (3/2) + (3/2)^2 + \dots + (3/2)^{\lg n}] = cn \sum_{i=0}^{\lg n} (3/2)^i = \\ &= cn * \frac{(3/2)^{\lg n+1} - 1}{(3/2) - 1} = 2cn[(3/2) * (3/2)^{\lg n} - 1] = 3cn * (3/2)^{\lg n} - 2cn \end{aligned}$$

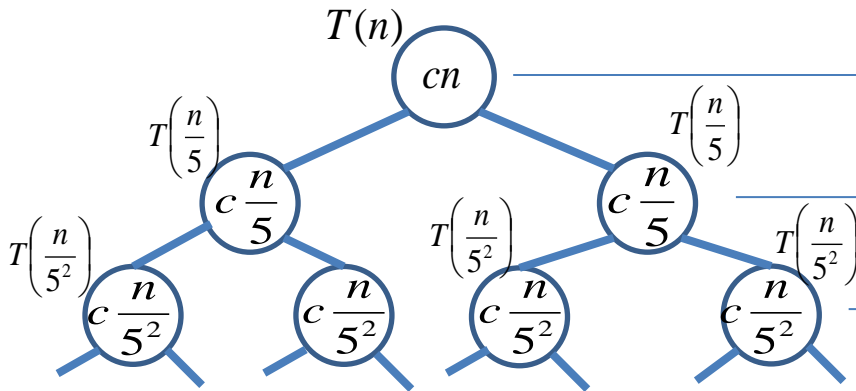
$$\begin{aligned} \text{use : } c^{\lg n} &= n^{\lg c} \Rightarrow (3/2)^{\lg n} = n^{\lg(3/2)} = n^{\lg 3 - \lg 2} = n^{\lg 3 - 1} \Rightarrow \\ &= 3cn * n^{\lg 3 - 1} - 2cn = 3cn^{1 + \lg 3 - 1} - 2cn = 3cn^{\lg 3} - 2cn = \Theta(n^{\lg 3}) \end{aligned}$$

Explanation: since we need Θ , we can eliminate the constants and non-dominant terms earlier (after the closed form expression):

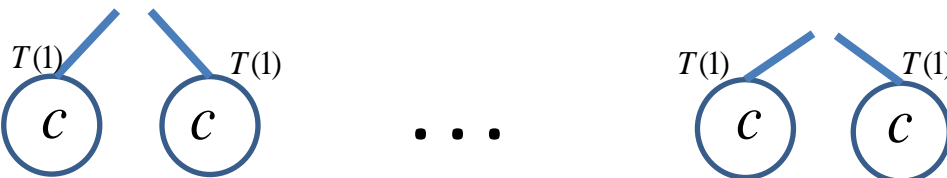
$$\dots = cn * \frac{(3/2)^{\lg n+1} - 1}{(3/2) - 1} = \Theta(n * (3/2) * (3/2)^{\lg n+1}) = \Theta(n * (3/2)^{\lg n+1})$$

$$\begin{aligned} \text{use : } c^{\lg n} &= n^{\lg c} \Rightarrow (3/2)^{\lg n} = n^{\lg(3/2)} = n^{\lg 3 - \lg 2} = n^{\lg 3 - 1} \Rightarrow \\ &= \Theta(n * n^{\lg 3 - 1}) = \Theta(n^{\lg 3}) \end{aligned}$$

Recursion Tree for: $T(n) = 2T(n/5) + cn$



Level	Arg/ pb size	cost of 1 node	Nodes per level	Level cost
0	n	$c*n$	1	$c*n$
1	$n/5$	$c*n/5$	2	$2*c*n/5 = (2/5)*cn$
2	$n/5^2$	$c*n/5^2$	4	$4*c*n/5^2 = (2/5)^2*cn$
...				
i	$n/5^i$	$c*n/5^i$	2^i	$2^i*c*n/5^i = (2/5)^i*cn$
...				
$k=\lg n$	1 ($=n/5^k$)	$c=c*1 = c*n/5^k$	2^k ($=n$)	$2^k*c*n/5^k = (2/5)^k*cn$



Stop at level k , when the subtree is $T(1)$.
 \Rightarrow The problem size is 1, but the general formula for the problem size, at level k is:
 $n/5^k \Rightarrow n/5^k = 1 \Rightarrow k = \log_5 n$

Tree cost
 (derivation similar to cost for $T(n) = 3T(n/2) + cn$)

Total Tree Cost for $T(n) = 2T(n/5) + cn$

$$\begin{aligned} T(n) &= cn + (2/5)cn + (2/5)^2 cn + \dots (2/5)^i cn + \dots (2/5)^{\log_5 n} cn = \\ &= cn * [1 + (2/5) + (2/5)^2 + \dots + (2/5)^{\log_5 n}] = \\ &= cn \sum_{i=0}^{\log_5 n} (2/5)^i \leq cn \sum_{i=0}^{\infty} (2/5)^i = \\ &= cn * \frac{1}{1 - (2/5)} = (5/3)cn = O(n) \end{aligned}$$

Also

$$\begin{aligned} T(n) &= cn + \dots \Rightarrow T(n) \geq cn \Rightarrow T(n) = \Omega(n) \\ &\Rightarrow T(n) = \Theta(n) \end{aligned}$$

Other Variations

- $T(n) = 7T(n/3) + cn$
- $T(n) = 7T(n/3) + cn^5$
 - Here instead of $(7/3)$ we will use $(7/3^5)$
- $T(n) = T(n/2) + n$
 - The tree becomes a chain (only one node per level)

Additional materials

Practice/Strengthen understanding Problem

- Look into the derivation if we had: $T(1) = d \neq c$.
 - In general, at most, it affects the constant for the dominant term.

Practice/Strengthen understanding

Answer

- Look into the derivation if we had: $T(1) = d \neq c$.
 - At most, it affects the constant for the dominant term.

Level	Arg/ pb size	Cost of 1 node	Nodes per level	Level cost
0	n	$c*n$	1	$c*n$
1	$n/2$	$c*n/2$	2	$2*c*n/2 = c*n$
2	$n/4$	$c*n/4$	4	$4*c*n/4 = c*n$
...				
i	$n/2^i$	$c*n/2^i$	2^i	$2^i*c*n/2^i = c*n$
...				
$k=\lg n$	1 ($=n/2^k$)		2^k ($=n$)	$=d*n$

Tree cost

$$= cnk + dn = cn \lg n + dn = \Theta(n \lg n)$$

Permutations without repetitions (Harder Example)

- Covering this material is subject to time availability
- Time complexity
 - Tree, intuition (for moving the local cost in the recursive call cost), math justification
 - induction

More Recurrences

Extra material – not tested on

M1. Reduce the problem size by 1 in logarithmic time

- E.g. Check $\lg(N)$ items, eliminate 1

M2. Reduce the problem size by 1 in N^2 time

- E.g. Check N^2 pairs, eliminate 1 item

M3. Algorithm that:

- takes $\Theta(1)$ time to go over N items.
- calls itself 3 times on data of size $N-1$.
- takes $\Theta(1)$ time to combine the results.

M4. ** Algorithm that:

- calls itself N times on data of size $N/2$.
- takes $\Theta(1)$ time to combine the results.
- This generates a difficult recursion.