Consider the problem of implementing insertion sort using a doubly-linked list instead of array. Namely, each element a of the linked list has fields $a.previous$, $a.next$ and $a.value$. You are giving a stating element s of the linked list (so that $s.previous = nil$, $s.value = A[1]$, $s.next.value = A[2]$, etc.)

(a) (8 points) Give a pseudocode implementation of this algorithm, and analyze its running time in the $\Theta(f(n))$ notation. Explain how we do not have to "bump" elements in order to create room for the next inserted elements. Is this saving asymptotically significant?

Solution:

As explained in the class, Insertion Sort, In each iteration takes one input from the array (or in this case a doubly-linked list) and correspondingly produces a sorted output array (or a sorted doubly-linked list).

In each iteration, the chosen element or the key from the list is placed in the appropriated position in the sorted list to it's left. This is repeated until the entire list is sorted

Psuedo Code

In the following Psuedo code,

- *keyNode* represents the node in the doubly-linked list that is to be inserted in the appropriate position in the sorted list to it's left
- *SortedListTail* represents the tail node of the sorted list to the left of *keyNode*
- s represents the head or the starting element of the doubly-linked list

```
 1  Algorithm: InsertionSort(s)
 2  keyNode ← s.next;
 3  SortedListTail ← keyNode.prev;
 4  while keyNode is not NULL do
 5  │    nextkeyNode ← keyNode.next;
 6  │    Node ← SortedListTail;
 7  │    while Node is not NULL and Node > keyNode do
 8  │    │    Node = Node.prev;
 9  │    end
10  │    if Node is NULL then
11  │    │    SortedListTail.next = nextkeyNode;
12  │    │    nextkeyNode.prev = SortedListTail;
13  │    │    keyNode.prev = NULL;
14  │    │    keyNode.next = s;
15  │    │    s.prev = keyNode;
16  │    │    s = keyNode;
17  │    end
18  │    else
19  │    │    SortedListTail.next = nextkeyNode;
20  │    │    nextkeyNode.prev = sortedListTail;
21  │    │    keyNode.next = Node.next;
22  │    │    Node.next.prev = keyNode;
23  │    │    Node.next = keyNode;
24  │    │    keyNode.prev = Node;
25  │    end
26  │    keyNode ← nextkeyNode;
27  │    sortedListTail ← keyNode.prev;
28  end
```

Algorithm 1: Insertion Sort on a doubly-linked list in $O(n^2)$ time

Time Complexity

In the worst case scenario, the key node always gets inserted at the beginning or the head of the partially sorted list, thus having to traverse the entire sorted list starting from it's tail (i.e the previous node of key node). Thus the total number of computations atmost will be $1 + 2 + 3 + \ldots + n - 1 = (n-1)(n-2)/2$. i.e $T(n) = O(n^2)$

Is using doubly-linked list asymptotically significant?

In the case of doubly-lined list (as opposed to arrays), we do not need to swap the key element each time that we find an element greater to the key in the partially sorted list. Instead, we keep traversing the sorted list until we find a suitable position for the key node and insert it there accordingly, updating the previous and next pointers of the involved nodes as seen in the pseduo code. Thus we do not have to bump each element as we did previously in case of arrays.

Each bump (or swapping two elements in the array) takes only $O(1)$ time. Hence this saving is not asymptotically significant and the time complexity still remains to be $O(n^2)$

□

(b) (2 points) Can we speed up the time of the implementation to $O(n \log n)$ by utilizing binary search?

Solution: To implement binary search list in a doubly-linked list we proceed as follows

- Traverse till the middle node. If it matches then return it
- If the node is bigger, discard the right half of the list and use binary search in the remaining left half of the list
- If the node is smaller, discard the left half of the list and use binary search in the remaining right half of the list
- Keep repeating these steps until we find the required node

The first step requires $n/2$ steps. From there on we traverse to middle element of the remaining half list which takes $n/4$ steps, then traverse to middle element of the quarter of the list which takes $n/8$ steps.

Thus, the total number of steps will be $n + n/2 + n/4 + n/8 + \ldots = n$. Therefore, binary search in a doubly-linked list still takes $O(n)$ time which is same as that of a linear search. Hence, there will not be any difference and the time complexity still remains to be $O(n^2)$ □

You are given two n-bit binary integers a and b. These integers are stored in two arrays $A[0, \ldots, n-1]$ and $B[0, \ldots, n-1]$ *in reverse*, so that $a = \sum_{i=0}^{n-1} A[i] \cdot 2^i$ and $b = \sum_{i=0}^{n-1} B[i] \cdot 2^i$. For example, if $n = 6$ and $a = 000111$ (7 in decimal) and $b = 100011$ (35 in decimal), then $A[0] = A[1] = A[2] = 1$, $A[3] = A[4] = A[5] = 0$, $B[0] = B[1] = B[5] = 1$, $B[2] = B[3] = B[4] = 0$. Your goal is to produce an array $C[0, \ldots 2n-1]$ which stores the product c of a and b. For example, $000111 \cdot 100011 = 7 \cdot 35 = 245 = 000011110101$, meaning that $C[0 \ldots 11] = 101011110000$.

(a) (2 points) Prove that $c = \sum_{(i:B[i]=1)} (a \cdot 2^i)$.

Solution: Given

$$a = \sum_{i=0}^{n-1} A[i].2^i$$

$$b = \sum_{i=0}^{n-1} B[i].2^i$$

$$c = a.b$$

$$\implies c = a.(\sum_{i=0}^{n-1} B[i].2^i)$$

We know that the only possible values of $B[i]$ are 0 and 1

$$\implies c = a.(\sum_{(i:B[i]=1)} B[i].2^i + \sum_{(i:B[i]=0)} B[i].2^i)$$

$$= a.(\sum_{(i:B[i]=1)} 1.2^i + \sum_{(i:B[i]=0)} 0.2^i)$$

$$= a.(\sum_{(i:B[i]=1)} 2^i)$$

$$= \sum_{(i:B[i]=1)} (a.2^i)$$

$\square$

(b) (4 points) Write an $O(n+i)$ time procedure SHIFT(A, n, i) to compute the $(n+i)$-bit product $a \cdot 2^i$.

Solution: Multiplying any n-bit integer a by 2^i is equivalent to left shifting the bit integer by i places resulting in a new (n+i)-bit integer. The pseudo code for shift is as follows

Psuedo Code

```
1  Algorithm: SHIFT(A, n, i)
2  ShiftedArray ← malloc(n+i) //Allocate new array of length n + i
3  for k = n+i to i do
4  |   ShiftedArray[k] = A[k-i]
5  end
6  for k = i-1 to 0 do
7  |   ShiftedArray[k] = 0
8  end
9  return ShiftedArray
```

Algorithm 2: Left shift array A of length n by i places

□

Time Complexity

As seen in the Psuedo code, we are just traversing the entire $ShiftedArray$ using the two for loops. Therefore, $T(n) = O(n + i)$

(c) (4 points) Assume you are given $O(n)$ procedure $\text{ADD}(X, m, Y, k)$ which adds an m-bit X to k-bit Y, where $m, k \leq 2n$. Using this procedure, and your work in parts (a) and (b), write the pseudocode to produce the desired product array C. Analyze the running time of your procedure in the $\Theta(f(n))$ notation, for appropriate function $f(n)$.

Solution: From part(a), we know that $c = c = \sum_{(i:B[i]=1)}(a.2^i)$. Now, the idea is to calculate each term of the summation using the $SHIFT(A, n, i)$ method from part(b) and then add all these terms using $ADD(X, m, Y, k)$

Psuedo Code

```
1  Algorithm: MUTIPLY(A,B)
2  C ← 0;
3  numTerms ← 0;
4  for i = 0 to n-1 do
5  |   if B[i] is 1 then
6  |   |   ShiftedArray[numTerms] = SHIFT(A,n,i);
7  |   |   numTerms++;
8  |   end
9  end
10 for i = 0 to numTerms do
11 |   C = ADD(C, C.length, ShiftedArray[i], ShiftedArray[i].length)
12 end
13 return C
```

Algorithm 3: Multiply two n-bit integers a and b using $SHIFT$ and ADD

Time Complexity

In the worst case scenario, all the bits of both the arrays or either of the arrays is 1. Therefore, In the *Shift* step, time taken to shift each of the n terms of the summation by i places will be $\sum_{i=1}^{n}(n+i) = O(n^2)$.

In the *Add* step, time taken to add two consecutive bit integers (derived from *Shift* step) is $O(n)$ and the iteration is repeated until all the n terms are added, Hence total time is $O(n^2)$.

Therefore, the time complexity of Shift and Add multiplication is $O(n^2)$.

$\square$

A degree-n polynomial $P(x)$ is a function

$$P(x) = a_0 + a_1 x + \ldots + a_{n-1} x^{n-1} + a_n x^n = \sum_{i=0}^{n} a_i x^i$$

(a) (2 points) Express the value $P(x)$ as

$$P(x) = a_0 + a_1 x + \ldots + a_{n-2} x^{n-2} + b_{n-1} x^{n-1} = \sum_{i=0}^{n-1} b_i x^i$$

where $b_0 = a_0, \ldots, b_{n-2} = a_{n-2}$. What is b_{n-1} as a function of the a_i's and x?

Solution:

$$Given, P(x) = a_0 + a_1 x + \ldots + a_{n-1} x^{n-1} + a_n x^n = \sum_{i=0}^{n} a_i x^i$$

$$\implies P(x) = a_0 + a_1 x + \ldots + a_{n-2} x^{n-2} + x^{n-1}(a_{n-1} + a_n x)$$

Let $b_0 = a_0, \ldots, b_{n-2} = a_{n-2}$ and $b_{n-1} = a_{n-1} + a_n x$

$$\implies P(x) = b_0 + b_1 x + \ldots + b_{n-2} x^{n-2} + bn - 1 x^{n-1}$$

$$= \sum_{i=0}^{n-1} b_i x^i$$

where $b_k = a_k, \forall\ k = 0, \ldots, n-2$ and $b_{n-1} = a_{n-1} + a_n x$

$\square$

(b) (5 points) Using part (a) above write a recursive procedure $\mathsf{Eval}(A, n, x)$ to evaluate the polynomial $P(x)$ whose coefficients are given in the array $A[0 \ldots n]$ (i.e., $A[0] = a_0$, etc.). Make sure you do not forget the base case $n = 0$.

Solution: From part(a)

$$P(x) = \sum_{i=0}^{n-1} b_i x^i$$

where $b_k = a_k, \forall\ k = 0, \ldots, n-2$ and $b_{n-1} = a_{n-1} + a_n x$

Similarly, we can reduce $P(x)$ to as follows

$$P(x) = \sum_{i=0}^{n-2} c_i x^i$$

where $c_k = b_k, \forall\ k = 0, \ldots, n-3$ and $c_{n-2} = b_{n-2} + b_{n-1}x$

$\implies c_k = a_k, \forall\ k = 0, \ldots, n-3$ and $c_{n-2} = a_{n-2} + b_{n-1}x$ $\ (\because b_k = a_k, \forall\ k = 0, \ldots, n-2)$

Similarly, further reducing $P(x)$ we get

$$P(x) = \sum_{i=0}^{n-3} d_i x^i$$

where $d_k = c_k, \forall\ k = 0, \ldots, n-4$ and $d_{n-3} = c_{n-3} + c_{n-2}x$

$\implies d_k = a_k, \forall\ k = 0, \ldots, n-4$ and $d_{n-3} = a_{n-3} + c_{n-2}x$ $\ (\because c_k = a_k, \forall\ k = 0, \ldots, n-3)$

On further reduction,

$\vdots$

$\vdots$

$$P(x) = \sum_{i=0}^{1} z_i x^i$$

where $z_k = a_k$ for $k = 0$ and $z_1 = a_1 + y_2 x \implies P(x) = a_0 + z_1 x$

Define new variables $p_1, p_2, \ldots, p_n$ such that

$$p_n = b_n = a_n$$
$$p_{n-1} = b_{n-1}$$
$$p_{n-2} = c_{n-2}$$
$$p_{n-3} = d_{n-3}$$
$$\vdots$$
$$p_2 = y_2$$
$$p_1 = z_1$$

Now substituting $p_1, p_2, \ldots, p_n$ into the above obtained results we get,

$$p_n = a_n$$
$$p_{n-1} = a_{n-1} + p_n x$$
$$p_{n-2} = a_{n-2} + p_{n-1} x$$
$$\vdots$$
$$p_1 = a_1 + p_2 x$$
$$P(x) = a_0 + p_1 x$$

$\therefore$ From the above equations, it can be easily seen that the recurrence relation is as follows

$$P(x) = a_0 + p_1 x$$
$$p_i = a_i + p_{i+1} x, \qquad \forall i = 1, \ldots, n-1$$
$$p_n = a_n$$

Psuedo Code

$Eval(A, 0, x)$ is the starting point of recursion

---
1 **Algorithm:** Eval(A, k, x)

2 **if** k *is* n **then**

3 | return a_n

4 **end**

5 **else**

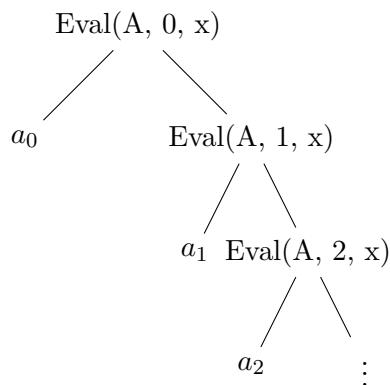6 | return $a_k + \text{Eval}(A, k+1, x)$

7 **end**

Algorithm 4: Evaluate polynomial $P(x)$ using recursion

$\square$

(c) (3 points) Let $T(n)$ be the running time of your implementation of Eval. Write a recurrence equation for $T(n)$ and solve it in the $\Theta(\cdot)$ notation.

Solution: The recursion tree looks like follows

Eval(A, 0, x)

a_0 Eval(A, 1, x)

a_1 Eval(A, 2, x)

a_2 $\vdots$

The height of the tree is n as the final call of the recursion is $Eval(A, n, x)$. At each level of the tree, total number of executions done is of $O(1)$.

$$
\begin{aligned}
\therefore T(n) &= T(n-1) + O(1) \\
&= T(n-2) + O(1) + O(1) \\
&= \underbrace{O(1) + O(1) + \ldots + O(1)}_{\text{n terms}} \\
&= nO(1) \\
&= O(n)
\end{aligned}
\tag{1}
$$

$\square$

(d) (6 points) Assuming n is a power of 2, try to express $P(x)$ as $P(x) = P_0(x) + x^{n/2}P_1(x)$, where $P_0(x)$ and $P_1(x)$ are both polynomials of degree $n/2$. Assuming the computation of $x^{n/2}$ takes $O(n)$ times, describe (in words or pseudocode) a recursive procedure Eval_2 to compute $P(x)$ using two recursive calls to Eval_2. Write a recurrence relation for the running time of Eval_2 and solve it. How does your solution compare to your solution in part (c)?

Solution: Given,

$$
\begin{aligned}
P(x) &= a_0 + a_1 x + \ldots + a_{n-1}x^{n-1} + a_n x^n \\
&= a_0 + a_1 x + \ldots + a_{n/2-1}x^{n/2-1} + a_{n/2}x^{n/2} + \ldots + a_{n-1}x^{n-1} + a_n x^n \\
&= (a_0 + a_1 x + \ldots + a_{n/2-1}x^{n/2-1}) + x^{n/2}(a_{n/2} + \ldots + a_{n-1}x^{n/2-1} + a_n x^{n/2}) \\
&= (a_0 + a_1 x + \ldots + a_{n/2-1}x^{n/2-1} + a'_{n/2}x^{n/2}) + x^{n/2}(a_{n/2} + \ldots + a_{n-1}x^{n/2-1} + a_n x^{n/2}) \\
&= P_0(x) + x^{n/2}P_1(x)
\end{aligned}
$$

where
$$P_0(x) = a_0 + a_1 x + \ldots + a_{n/2-1}x^{n/2-1} + a'_{n/2}x^{n/2}, \quad (a'_{n/2} = 0)$$

$$P_1(x) = a_{n/2} + a_{n/2+1}x \ldots + a_{n-1}x^{n/2-1} + a_n x^{n/2}$$

Therefore, $P(x)$ can be evaluated by evaluating $P_0(x)$, $P_1(x)$ and $x^{n/2}$ which can be done through recursion as seen in the following Psuedo Code

Psuedo Code

```
1  Algorithm: Eval₂(P,n,x)

2  if n is 1 then
3  │   return a₀ + a₁x
4  end
5  else
6  │   return Eval₂(P₀, n/2, x) + x^{n/2}.Eval₂(P₁, n/2, x)
7  end
```

Algorithm 5: Algorithm to evaluate the value of $P(x)$ using divide and conquer

Time Complexity

$P(x)$ can be evaluated using divide and conquer as seen above.
In the divide step, we split $P(x)$ into two polynomials, $P_0(x)$ and $P_1(x)$ of degree $n/2$.
In the conquer step, we multiply $P_1(x)$ with $x^{n/2}$ and add the result to $P_0(x)$. Also, it is given that calculation of $x^{n/2}$ takes $O(n)$ time. Therefore,

$$
\begin{aligned}
T(n) &= 2T(n/2) + O(n) \\
&= 2(2T(n/4) + O(n/2)) + O(n) \\
&= 4(2T(n/8) + O(n/4) + 2O(n/2) + O(n) \\
&= 8T(n/8) + 4O(n/4) + O(n) + O(n) \\
&= \underbrace{O(n) + O(n) + \ldots + O(n) + O(n)}_{\log n \text{ terms}} \\
&= O(n \log n)
\end{aligned}
$$

Compared to part(c), this algorithm is $\log n$ times slower ☐

(e) (**Extra Credit.**) Explain how to fix the slow "conquer" step of part (d) so that the resulting solution is as efficient as "expected".

Solution: The conquer step includes the calculation of $x^{n/2}$ which takes $O(n)$ time which makes the above algorithm less efficient. So we need to evaluate $x^{n/2}$ faster than $O(n)$. This can be done using recursion by reducing x^n into $x^{n/2} * x^{n/2}$. Pseudo Code is given below which takes only $(O(\log n))$ time

Psuedo Code

```
 1  Algorithm: Power(x,n)
 2  if n is 0 then
 3  |   return 1
 4  end
 5  d = Power(x, n/2);
 6  if n % 2 is 0 then
 7  |   return d.d
 8  end
 9  else
10  |   return x.d.d
11  end
```

Algorithm 6: Algorithm to evaluate $x^{n/2}$ in $O(\log n)$ time

Therefore $T(n)$ reduces to,

$$
\begin{aligned}
T(n) &= 2T(n/2) + O(\log n) \\
&= 2(2T(n/4) + O(\log n/2)) + O(\log n) \\
&= 4T(n/4) + 2O(\log n/2) + O(\log n) \\
&= 8T(n/8) + 4O(\log n/4) + 2O(\log n/2) + O(\log n) \\
&= \underbrace{O(\log n) + 2O(\log n/2) + 4O(\log n/4) + \ldots\ldots}_{\log n \text{ terms}}
\end{aligned}
$$

Now we need to evaluate the sum of the sequence, $\log n + 2\log n/2 + 4\log n/4 + \ldots\ldots$

$$
\begin{aligned}
\Sigma &= \underbrace{\log n + 2\log n/2 + 4\log n/4 + \ldots\ldots}_{\log n \text{ terms}} \\
&= \log n + 2(\log n - \log 2) + 4(\log n - \log 4) + \ldots\ldots \\
&= \log n.\underbrace{(1 + 2 + 4 + 8 + \ldots\ldots)}_{\log n \text{ terms}} - \underbrace{(2\log 2 + 4\log 2^2 + 8\log 2^3 + \ldots\ldots)}_{\log n \text{ terms}} \\
&= n.\log n - \underbrace{(2.1 + 4.2 + 8.3 + \ldots\ldots)}_{\log n \text{ terms}} \\
&= n.\log n - S_{\log n}
\end{aligned}
$$

Let

$$S_k = 1.2 + 2.4 + 3.8 + \ldots + k.2^k$$
$$2.S_k = 1.4 + 2.8 + 3.16 + \ldots + k.2^{k+1}$$
$$s_k - 2.S_k = 1.2 + 1.4 + 1.8 + \ldots + 2^k - k.2^{k+1}$$
$$-S_k = \frac{2.(2^k - 1)}{2 - 1} - k.2^{k+1}$$
$$S_k = k.2^{k+1} - 2.(2^k - 1)$$
$$\implies S_{\log n} = \log n.2^{(\log n+1)} - 2.(2^{\log n-1})$$
$$As \quad n \to \infty, \quad S_{\log n} = n.\log n - 2n$$

Now substitute the value of $S_{\log n}$ in Σ we get,

$$\Sigma = n.\log n - n.\log n + 2n$$
$$= 2n$$

$$\therefore T(n) = O(\Sigma) = O(n)$$

Thus by evaluating x^n in $O(\log n)$ instead of $O(n)$, the above algorithm runs in $O(n)$ time as expected $\qquad\square$

For each of the following pairs of functions $f(n)$ and $g(n)$, state whether f is $O(g)$; whether f is $o(g)$; whether f is $\Theta(g)$; whether f is $\Omega(g)$; and whether f is $\omega(g)$. (More than one of these can be true for a single pair!)

(a) (2 points) $f(n) = 15n^{15} + 2$; $g(n) = \frac{n^{16}+3n^2+4}{111} - 37n$.

Solution:

$$\lim_{n\to\infty} \frac{f(n)}{g(n)} = \lim_{n\to\infty} \frac{15n^{15} + 2}{\frac{n^{16}+3n^2+4}{111} - 37n}$$

$$= \lim_{n\to\infty} \frac{n^{15}(15 + \frac{2}{n^{15}})}{n^{16}(\frac{1}{111} + \frac{3}{111n^{14}} + \frac{4}{111n^{16}} - \frac{37}{n^{15}})}$$

$$= \lim_{n\to\infty} \frac{n^{15}(15 + 0)}{n^{16}(\frac{1}{111} + 0 + 0 - 0)}$$

$$= \lim_{n\to\infty} \frac{111}{n}$$

$$= 0$$

$$\therefore f(n) = O(g(n))$$
$$= o(g(n))$$

□

(b) (2 points) $f(n) = \log(n^{111} + 3n)$; $g(n) = \log(n^2 - 1)$.

Solution:

$$\lim_{n\to\infty} \frac{f(n)}{g(n)} = \lim_{n\to\infty} \frac{\log(n^{111} + 3n)}{\log(n^2 - 1)}$$

$$= \lim_{n\to\infty} \frac{\log n(n^{110} + 3)}{\log(n + 1)(n - 1)}$$

$$= \lim_{n\to\infty} \frac{\log n + \log(n^{110} + 3)}{\log n + \log n}$$

$$= \lim_{n\to\infty} \frac{\log n}{2\log n} + \frac{\log n^{110}}{2\log n}$$

$$= \frac{1}{2} \lim_{n\to\infty} (1 + \frac{110\log n}{\log n})$$

$$= \frac{111}{2}$$

$$\therefore f(n) = \Theta(g(n))$$
$$= \Omega(g(n))$$
$$= \omega(g(n))$$

$\square$

(c) (2 points) $f(n) = \log(2^n + n^{12})$; $g(n) = \log(n^{12})$.

Solution:

$$
\begin{aligned}
\lim_{n\to\infty} \frac{f(n)}{g(n)} &= \lim_{n\to\infty} \frac{\log(2^n + n^{12})}{\log n^{12}} \\
&= \lim_{n\to\infty} \frac{\log 2^n(1 + \frac{n^{12}}{2^n})}{12 \log n} \\
&= \lim_{n\to\infty} \frac{\log 2^n}{12 \log n} \quad (\because 2^n >>> n^{12}) \\
&= \frac{\log 2}{12} \lim_{n\to\infty} \frac{n}{\log n} \\
&= \infty \quad (\because n >>> \log n) \\
\therefore f(n) &= \Omega(g(n)) \\
&= \omega(g(n))
\end{aligned}
$$

$\square$

(d) (2 points) $f(n) = n^5 \cdot 2^n$; $g(n) = n^2 \cdot 3^n$.

Solution:

$$
\begin{aligned}
\lim_{n\to\infty} \frac{f(n)}{g(n)} &= \lim_{n\to\infty} \frac{n^5.2^n}{n^2.3^n} \\
&= \lim_{n\to\infty} n^3 (\frac{2}{3})^n \\
&= 0 \quad (\because (2/3)^n << n^3) \\
\therefore f(n) &= = O(g(n)) \\
&= o(g(n))
\end{aligned}
$$

$\square$

(e) (2 points) $f(n) = (n^n)^{10}$; $g(n) = n^{(n^2)}$.

Solution:

$$
\begin{aligned}
\lim_{n\to\infty} \frac{f(n)}{g(n)} &= \lim_{n\to\infty} \frac{(n^n)^{10}}{n^{(n^2)}} \\
&= \lim_{n\to\infty} \frac{n^{10n}}{n^{(n^2)}} \\
&= 0 \quad (\because n^2 \gg 10n \implies n^{(n^2)} \gg n^{10n}) \\
\therefore f(n) &= = O(g(n)) \\
&= o(g(n))
\end{aligned}
$$

$\square$