

Alone Together: Compositional Reasoning and Inference for Weak Isolation

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1 OPERATIONAL SEMANTICS

Syntax

$x, y \in \text{Variables}$	$f \in \text{Field Names}$	$i, j \in \mathbb{N}$	$\odot \in \{+, -, \leq, \geq, =\}$	$k \in \mathbb{Z} \cup \mathbb{B}$	$r \in \{\bar{f} = \bar{k}\}$
$\delta, \Delta, s \in \text{State}$	$:= \mathcal{P}(\{\bar{f} = \bar{k}\})$				
$\mathbb{I}_e, \mathbb{I}_c \in \text{IsolationSpec}$	$:= (\delta, \Delta, \Delta') \rightarrow \mathbb{P}$				
$v \in \text{Values}$	$:= k \mid r \mid s$				
$e \in \text{Expressions}$	$:= k \mid x \mid x.f \mid \{\bar{f} = \bar{e}\} \mid e_1 \odot e_2$				
$c \in \text{Commands}$	$:= \text{SKIP} \mid \text{LET } x = e \text{ IN } c \mid \text{IF } e \text{ THEN } c_1 \text{ ELSE } c_2 \mid c_1; c_2 \mid \text{INSERT } x$ $\mid \text{DELETE } \lambda x.e \mid \text{LET } x = \text{SELECT } \lambda x.e \text{ IN } c \mid \text{UPDATE } \lambda x.e_1 \lambda x.e_2$ $\mid \text{FOREACH } x \text{ DO } \lambda y.\lambda z.c \mid \text{foreach}\langle s_1 \rangle s_2 \text{ do } \lambda x.\lambda y.e$ $\mid \text{TXN}_i\langle \mathbb{I}_e, \mathbb{I}_c \rangle\{c\} \mid \text{TXN}_i\langle \mathbb{I}_e, \mathbb{I}_c, \delta, \Delta \rangle\{c\} \mid c_1 \parallel c_2$				
$\mathcal{E} \in \text{Eval Ctx}$	$::= \bullet \mid \bullet \parallel c_2 \mid c_1 \parallel \bullet \mid \bullet; c_2 \mid \text{TXN}_i\langle \mathbb{I}_e, \mathbb{I}_c, \delta, \Delta \rangle\{\bullet\}$				

Local Reduction $\boxed{\Delta \vdash (c, \delta) \longrightarrow (c', \delta')}$

E-INSERT

$$\frac{i \notin \text{dom}(\delta \cup \Delta) \quad r = \{\bar{f} = \bar{k}; \text{id} = i; \text{del} = \text{false}\}}{\Delta \vdash (\text{INSERT } \{\bar{f} = \bar{k}\}, \delta) \longrightarrow (\text{SKIP}, \delta \cup \{r\})}$$

E-SELECT

$$\frac{s = \{r \in \Delta \mid \text{eval}([r/x]e) = \text{true}\} \quad c' = [s/y]c}{\Delta \vdash (\text{LET } y = \text{SELECT } \lambda x.e \text{ IN } c, \delta) \longrightarrow (c', \delta)}$$

E-SEQ1

$$\frac{\Delta \vdash (c_1, \delta) \longrightarrow (c_1', \delta') \quad c_1 \neq \text{SKIP}}{\Delta \vdash (c_1; c_2, \delta) \longrightarrow (c_1'; c_2, \delta')}$$

E-IFTRUE

$$\frac{\text{eval}(e) = \text{true}}{\Delta \vdash (\text{IF } e \text{ THEN } c_1 \text{ ELSE } c_2, \delta) \longrightarrow (c_1, \delta)}$$

E-DELETE

$$\frac{s = \{r' \mid \exists (r \in \Delta). \text{eval}([r/x]e) = \text{true} \wedge r' = \{\bar{f} = r.\bar{f}; \text{id} = r.\text{id}; \text{del} = \text{true}\}\}}{\Delta \vdash (\text{DELETE } \lambda x.e, \delta) \longrightarrow (\text{SKIP}, \delta \cup s)}$$

E-UPDATE

$$\frac{s = \{r' \mid \exists (r \in \Delta). \text{eval}([r/x]e_2) = \text{true} \wedge r' = [r/x]e_1\}}{\Delta \vdash (\text{UPDATE } \lambda x.e_1 \lambda x.e_2, \delta) \longrightarrow (\text{SKIP}, \delta \cup s)}$$

E-SEQ2

$$\frac{\Delta \vdash (c_1, \delta) \longrightarrow (\text{SKIP}, \delta')}{\Delta \vdash (c_1; c_2, \delta) \longrightarrow (c_2, \delta')}$$

E-IFFALSE

$$\frac{\text{eval}(e) = \text{false}}{\Delta \vdash (\text{IF } e \text{ THEN } c_1 \text{ ELSE } c_2, \delta) \longrightarrow (c_2, \delta)}$$

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$$\begin{aligned}
\text{E-FOREACH1} \quad & \Delta \vdash (\text{FOREACH } s \text{ DO } \lambda y. \lambda z. c, \delta) \longrightarrow (\text{foreach}(\emptyset) s \text{ do } \lambda y. \lambda z. c) \\
\text{E-FOREACH2} \quad & \Delta \vdash (\text{foreach}(s_1) \{r\} \uplus s_2 \text{ do } \lambda y. \lambda z. c, \delta) \longrightarrow ([r/z][s_1/y]c; \text{foreach}(s_1 \cup \{r\}) s_2 \text{ do } \lambda y. \lambda z. c) \\
\text{E-FOREACH3} \quad & \Delta \vdash (\text{foreach}(s) \emptyset \text{ do } \lambda y. \lambda z. c, \delta) \longrightarrow (\text{SKIP}, \delta)
\end{aligned}$$

$$\begin{array}{c}
\text{Top-Level Reduction} \quad \boxed{(c, \Delta) \longrightarrow (c', \Delta')} \\
\text{E-TXN-START} \quad \frac{}{(\text{txni} \mathbb{I}_e, \mathbb{I}_c c, \Delta) \longrightarrow (\text{TXN}_i \langle \mathbb{I}_e, \mathbb{I}_c, \emptyset \rangle \{c\}, \Delta)} \\
\text{E-TXN} \quad \frac{\mathbb{I}_e(\delta, \Delta, \Delta') \quad \Delta \vdash (c, \delta) \longrightarrow (c', \delta')}{(\text{TXN}_i \langle \mathbb{I}_e, \mathbb{I}_c, \delta, \Delta \rangle \{c\}, \Delta') \longrightarrow (\text{TXN}_i \langle \mathbb{I}_e, \mathbb{I}_c, \delta', \Delta' \rangle \{c'\}, \Delta')} \\
\text{E-COMMIT} \quad \frac{\mathbb{I}_c(\delta, \Delta, \Delta')}{(\text{TXN}_i \langle \mathbb{I}_e, \mathbb{I}_c, \delta, \Delta \rangle \{\text{SKIP}\}, \Delta') \longrightarrow (\text{SKIP}, \delta \gg \Delta')}
\end{array}$$

2 RELY-GUARANTEE REASONING

$$\begin{array}{c}
\text{Txn-Local Reasoning} \quad \boxed{R \vdash \{P\} [c]_i \{Q\}} \\
\text{RG-INSERT} \quad \frac{\text{stable}(R, P) \quad \forall \delta, \delta', \Delta, i. P(\delta, \Delta) \wedge i \notin \text{dom}(\delta \cup \Delta) \wedge \delta' = \delta \cup \{\{\tilde{f} = x.\tilde{f}; \text{id} = i; \text{del} = \text{false}\}\} \Rightarrow Q(\delta', \Delta)}{R \vdash \{P\} [\text{INSERT } x]_i \{Q\}} \\
\text{RG-UPDATE} \quad \frac{\text{stable}(R, P) \quad \forall \delta, \delta', \Delta. P(\delta, \Delta) \wedge \delta' = \delta \cup \{r' \mid \exists (r \in \Delta). [r/x]e_2 = \text{true} \wedge r' = [r/x]e_1\} \Rightarrow Q(\delta', \Delta)}{R \vdash \{P\} [\text{UPDATE } \lambda x.e_1 \lambda x.e_2]_i \{Q\}} \\
\text{RG-FOREACH} \quad \frac{\text{stable}(\mathbb{R}, Q) \quad \text{stable}(\mathbb{R}, \psi) \quad P \Rightarrow [y/\phi]\psi \quad \mathbb{R} \vdash \{\psi \wedge z \in x\} [c]_i \{Q_c\} \quad Q_c \Rightarrow [y \cup \{z\}/y]\psi \quad [x/y]\psi \Rightarrow Q}{\mathbb{R} \vdash \{P\} [\text{FOREACH } x \text{ DO } \lambda y. \lambda z. c]_i \{Q\}} \\
\text{RG-IF} \quad \frac{\{P \wedge e\} [c1]_i \{Q\} \quad \{P \wedge \neg e\} [c2]_i \{Q\} \quad \text{stable}(R, P)}{R \vdash \{P\} [\text{IF } e \text{ THEN } c_1 \text{ ELSE } c_2]_i \{Q\}} \\
\text{RG-DELETE} \quad \frac{\text{stable}(R, P) \quad \forall \delta, \delta', \Delta. P(\delta, \Delta) \wedge \delta' = \delta \cup \{r' \mid \exists (r \in \Delta). [r/x]e = \text{true} \wedge r' = \{\tilde{f} = r.\tilde{f}; \text{id} = r.\text{id}; \text{del} = \text{true}\}\} \Rightarrow Q(\delta', \Delta)}{R \vdash \{P\} [\text{DELETE } \lambda x.e]_i \{Q\}} \\
\text{RG-SELECT} \quad \frac{R \vdash \{P'\} [c]_i \{Q\} \quad \text{stable}(R, P) \quad P'(\delta, \Delta) \Leftrightarrow P(\delta, \Delta) \wedge x = \{r' \mid \exists (r \in \Delta). [r/x]e_2 = \text{true}\}}{R \vdash \{P\} [\text{LET } y = \text{SELECT } \lambda x.e \text{ IN } c]_i \{Q\}} \\
\text{RG-SEQ} \quad \frac{\{P\} [c1]_i \{Q'\} \quad \{Q'\} [c2]_i \{Q\} \quad \text{stable}(R, Q')}{R \vdash \{P\} [c1; c2]_i \{Q\}} \\
\text{RG-CONSEQ} \quad \frac{R \vdash \{P\} [c]_i \{Q\} \quad P' \Rightarrow P \quad Q \Rightarrow Q' \quad \text{stable}(R, P') \quad \text{stable}(R, Q')}{R \vdash \{P'\} [c]_i \{Q'\}}
\end{array}$$

Top-Level Reasoning $\boxed{\{I, R\} c \{G, I\}}$
 RG-TXN

$$\begin{array}{l} \text{stable}(R, I) \quad \text{stable}(R, \mathbb{I}) \quad P(\delta, \Delta) \Leftrightarrow \delta = \emptyset \wedge I(\Delta) \\ R_r(\delta, \Delta, \Delta') \Leftrightarrow \exists \Delta_1. \mathbb{I}_e(\delta, \Delta_1, \Delta) \wedge R(\Delta, \Delta') \wedge \mathbb{I}_e(\delta, \Delta_1, \Delta') \quad R_r \vdash \{P\} c \{Q\} \\ R_c(\delta, \Delta, \Delta') \Leftrightarrow \exists \Delta_1. \mathbb{I}_c(\delta, \Delta_1, \Delta) \wedge R(\Delta, \Delta') \wedge \mathbb{I}_c(\delta, \Delta_1, \Delta') \quad \text{stable}(R_c, Q) \\ \forall \delta, \Delta. Q(\delta, \Delta) \Rightarrow G(\Delta, \delta \gg \Delta) \quad \forall \Delta, \Delta'. I(\Delta) \wedge G(\Delta, \Delta') \Rightarrow I(\Delta') \\ \hline \{I, R\} \text{TXN}_i(\mathbb{I})\{c\} \{G \cup ID, I\} \end{array}$$

RG-PAR

$$\frac{\{I, R \cup G_2 \cup ID\} t_1 \{G_1 \cup ID, I\} \quad \{I, R \cup G_1 \cup ID\} t_2 \{G_2 \cup ID, I\}}{\{I, R\} t_1 || t_2 \{G_1 \cup G_2 \cup ID, I\}}$$

RG-CONSEQ2

$$\frac{\{I, R\} \text{TXN}_i(\mathbb{I})\{c\} \{G, I\} \quad \mathbb{I}' \Rightarrow \mathbb{I} \quad R' \subseteq R \quad \text{stable}(R', \mathbb{I}') \quad G \subseteq G' \quad \forall \Delta, \Delta'. I(\Delta) \wedge G'(\Delta, \Delta') \Rightarrow I(\Delta')}{\{I, R'\} \text{TXN}_i(\mathbb{I}')\{c\} \{G', I\}}$$

3 SOUNDNESS OF RG-REASONING

Definition 3.1 (Step-indexed reflexive transitive closure). For all $A : \text{Type}$, $R : A \rightarrow A \rightarrow \mathbb{P}$, and $n : \mathbb{N}$, the step-indexed reflexive transitive closure R^n of R is the smallest relation satisfying the following properties:

- $\forall (x : A). R^0(x, x)$
- $\forall (x, y, z : A). R(x, y) \wedge R^{n-1}(y, z) \Rightarrow R^n(x, z)$

Definition 3.2 (Interleaved step relation). The interleaved step relation (denoted as \rightarrow_R) interleaves transaction local reduction with interference from concurrent transactions captured as the Rely relation (R). It is defined as follows:

$$(t, \Delta) \rightarrow_R (t', \Delta') \stackrel{\text{def}}{=} (t = t' \wedge R(\sigma, \sigma')) \vee ((t, \Delta) \rightarrow (t', \sigma'))$$

The interleaved multistep relation (denoted as \rightarrow_R^n) is the step-indexed reflexive transitive closure of \rightarrow_R .

Given a transaction $t = \text{txn}(\mathbb{I}, \delta, \Delta)\{c\}$, we use the notation $t.\delta$, $t.\Delta$, $t.\mathbb{I}$ and $t.c$ to denote the various components of t . Below, we provide a more precise definition of the transaction-local RG judgement:

$$\mathbb{R} \vdash \{P\} [c]_i \{Q\} \stackrel{\text{def}}{=} \forall t, \Delta, \Delta', \bar{v}. P(t.\delta, \Delta) \wedge t.c = c \wedge (t, \Delta) \rightarrow_R^n (t_2, \Delta') \rightarrow (t', \Delta') \wedge t'.c = \text{SKIP} \wedge Q(t'.\delta, \Delta')$$

Here, we have explicitly stated that the last step in the reduction sequence is taken by the transaction (and not by the environment), finishing in the state satisfying the assertion Q . The nature of interference before and after the last step of the transaction are different (after the last step and before the commit step, the interference is controlled by \mathbb{I}_c , while before the last step, the interference is controlled by \mathbb{I}_e). Also, \bar{v} denote valuations to all free variables in c .

LEMMA 3.3. *If $\text{stable}(R, Q)$, then $\forall \delta, \Delta, \Delta', k. Q(\delta, \Delta) \wedge R^k(\Delta, \Delta') \Rightarrow Q(\delta, \Delta')$*

PROOF. We use induction on k .

Base Case: For $k = 0$, $\Delta = \Delta'$ and hence $Q(\delta, \Delta')$.

Inductive Case: For the inductive case, assume that for k' , $\forall \delta, \Delta, \Delta'. Q(\delta, \Delta) \wedge R^{k'}(\Delta, \Delta') \Rightarrow Q(\delta, \Delta')$. Given δ, Δ, Δ_1 such that $Q(\delta, \Delta)$, $R^{k'+1}(\delta, \Delta_1)$, we have to show $Q(\delta, \Delta_1)$. There exists Δ' such that $R^{k'}(\Delta, \Delta')$ and $R(\Delta', \Delta_1)$. By the inductive hypothesis, $Q(\delta, \Delta')$. $\text{stable}(R, Q)$ is defined as follows:

$$\text{stable}(R, Q) = \forall \delta, \Delta, \Delta'. Q(\delta, \Delta) \wedge R(\Delta, \Delta') \Rightarrow Q(\delta, \Delta')$$

Instantiating the above statement with $\delta, \Delta', \Delta_1$, we get $Q(\delta, \Delta_1)$ □

THEOREM 3.4. *RG-Txn is sound.*

PROOF.

$\text{stable}(R, I)$	HI
$\text{stable}(R, \mathbb{I})$	$H\mathbb{I}$
$P(\delta, \Delta) \Leftrightarrow \delta = \emptyset \wedge I(\Delta)$	HP
$\mathbb{R}_e(\delta, \Delta, \Delta') \Leftrightarrow \exists \Delta_1. \mathbb{I}_e(\delta, \Delta_1, \Delta) \wedge R(\Delta, \Delta') \wedge \mathbb{I}_e(\delta, \Delta_1, \Delta')$	$H\mathbb{R}_I$
$\mathbb{R}_e \vdash \{P\} c \{Q\}$	Hc
$\mathbb{R}_c(\delta, \Delta, \Delta') \Leftrightarrow \exists \Delta_1. \mathbb{I}_c(\delta, \Delta_1, \Delta) \wedge R(\Delta, \Delta') \wedge \mathbb{I}_c(\delta, \Delta_1, \Delta')$	$H\mathbb{R}_c$
$\text{stable}(\mathbb{R}_c, Q)$	HQ
$\forall \delta, \Delta. Q(\delta, \Delta) \Rightarrow G(\Delta, \delta \gg \Delta)$	HQG
$\forall \Delta, \Delta'. I(\Delta) \wedge G(\Delta, \Delta') \Rightarrow I(\Delta')$	HG
$\forall \Delta. G(\Delta, \Delta)$	HID

Let $t_s = \text{TXN}_i(\mathbb{I})\{c\}$. Consider Δ such that $I(\Delta)$, and let $(t_s, \Delta) \rightarrow_R^n (\text{SKIP}, \Delta')$. We have to show (1) $I(\Delta')$ and (2) $\text{step-guaranteed}(R, G, t_s, \Delta)$. We break down the sequence of reductions into four parts :

- $\pi_1 = (t_s, \Delta) \rightarrow_R^{n_1} (t_s, \Delta_1) \rightarrow (t, \Delta_1)$, where initially only the environment takes steps and the last step in the sequence is the start of the transaction using the rule E-Txn-Start.
- $(t, \Delta_1) \rightarrow_R^{n_2} (t', \Delta_2)$, which begins from t taking its first step at state Δ_1 and ends at the first configuration where $t'.c = \text{SKIP}$. We denote this sub-sequence by π .
- $(t', \Delta_2) \rightarrow_R^{n_3} (\text{SKIP}, \Delta_3)$ which ends at the step where t commits.
- $(\text{SKIP}, \Delta_3) \rightarrow_R^{n_4} (\text{SKIP}, \Delta')$ where only the environment takes a step.

In the sequence π_1 , $R^{n_1}(\Delta, \Delta_1)$. By $I(\Delta)$, HI and Lemma 6.3, $I(\Delta_1)$. By the rule E-Txn-Start, $t.\delta = \phi, t.\Delta = \Delta_1$ and $t.c = c$. Hence $P(t.\delta, \Delta_1)$.

Expanding the definition of the assertion Hc and instantiating it with $\Delta = \Delta_1$ and $\Delta' = \Delta_2$, we would get $Q(t'.\delta, \Delta_2)$. However, the environment steps in run π are in R , while the environment steps in assertion Hc are in \mathbb{R}_e . Hence, we will now show that only environment steps in \mathbb{R}_e can actually happen in the run π . We will show this in two steps. In the first step, we will prove that for all configurations (t_p, Δ_p) in the run π except possibly the last configuration, $\mathbb{I}_e(t_p.\delta, t_p.\Delta, \Delta_p)$.

We will prove this by contradiction. Assume that there is a configuration (t_1, Δ_b) such that $\neg \mathbb{I}_e(t_1.\delta, t_1.\Delta, \Delta_b)$. Let $(t_1, \Delta_b) \rightarrow (t'_1, \Delta'_b)$ be the next step in π taken by the transaction. We know that this step always exists because the last step in π is taken by the transaction. Then $\mathbb{I}_e(t_1.\delta, t_1.\Delta, \Delta'_b)$. All steps between (t_1, Δ_b) and (t_1, Δ'_b) are taken by the environment, i.e. $R^k(\Delta_b, \Delta'_b)$ for some k . However, $\neg \mathbb{I}_e(t_1.\delta, t_1.\Delta, \Delta_b)$, the assertion $H\mathbb{I}$ and a simple induction on k shows that $\neg \mathbb{I}_e(t_1.\delta, t_1.\Delta, \Delta'_b)$. This is a contradiction. Hence, $\mathbb{I}_e(t_1.\delta, t_1.\Delta, \Delta_b)$.

Now, we will show that every environment step in π is in \mathbb{R}_e . Assume that $(t_1, \Delta_a) \rightarrow_R (t_1, \Delta_b)$ is an environment step such that $R(\Delta_a, \Delta_b)$. Then, we know that $\mathbb{I}_e(t_1.\delta, t_1.\Delta, \Delta_a)$ and $\mathbb{I}_e(t_1.\delta, t_1.\Delta, \Delta_b)$. Hence, $t_1.\Delta$ provides the existence of Δ_1 in the definition of \mathbb{R}_e . Thus, $\mathbb{R}_e(t_1.\delta, \Delta_a, \Delta_b)$. We can use Hc and make the assertion $Q(t'.\delta, \Delta_2)$.

Note that $t'.\Delta = \Delta_2$. Also, since all the changes in the global database state have so far been made by the environment, $I(\Delta_2)$.

$(t', \Delta_2) \rightarrow_R^{n_3-1} (t', \Delta'_2) \rightarrow (\text{SKIP}, \Delta_3)$, where the first $n_3 - 1$ steps are only performed by the environment. Since the transaction commits at state Δ'_2 , by the E-Commit rule, $\mathbb{I}_c(t'.\delta, \Delta_2, \Delta'_2)$. We will now show that all environment steps in the above run must be in \mathbb{R}_c . Again, we will show this in two steps. Let $m = n_3 - 1$

and $(t', \Delta_2) \rightarrow_R (t', \Delta_{21}) \rightarrow_R (t', \Delta_{22}) \dots \rightarrow_R (t', \Delta_{2m}) \rightarrow (\text{SKIP}, \Delta_3)$. We will show that $\mathbb{I}_c(t'.\delta, \Delta_2, \Delta_{2k})$ for all $k, 1 \leq k \leq m$.

We will prove this by contradiction. Suppose for some i , $\neg \mathbb{I}_c(t'.\delta, \Delta_2, \Delta_{2i})$. Clearly, $R^j(\Delta_{2i}, \Delta')$. Then, by $H\mathbb{I}$ and a simple induction on j , we can show that $\neg \mathbb{I}_c(t'.\delta, \Delta_2, \Delta'_2)$. However, this is a contradiction. Hence, $\forall k, \mathbb{I}_c(t'.\delta, \Delta_2, \Delta_{2k})$.

Now, we will show that every environment step is in \mathbb{R}_c . Consider the step $(t', \Delta_{2k}) \rightarrow_R (t', \Delta_{2(k+1)})$. We have $\mathbb{I}_c(t'.\delta, \Delta_2, \Delta_{2k})$ and $\mathbb{I}_c(t'.\delta, \Delta_2, \Delta_{2(k+1)})$. Hence, Δ_2 provides the existence of Δ_1 in the definition of \mathbb{R}_c . Thus, $\mathbb{R}_c(t'.\delta, \Delta_{2k}, \Delta_{2(k+1)})$.

By HQ , $Q(t'.\delta, \Delta_2)$ and Lemma 6.3 we have $Q(t'.\delta, \Delta'_2)$. Since all state changes so far have been made by the environment, $I(\Delta'_2)$. By HQG , $G(\Delta'_2, t'.\delta \gg \Delta'_2)$. By the E-Commit rule, $\Delta_3 = (t'.\delta \gg \Delta'_2)$. Hence, $G(\Delta'_2, \Delta_3)$. All the steps of the transaction except the commit step do not change the global database state and by HID belong to G . The commit step satisfies G . This proves the step-guaranteed assertion. Finally, by HG , $I(\Delta_3)$.

All the steps in $(\text{SKIP}, \Delta_3) \rightarrow_R^{n_4} (\text{SKIP}, \Delta')$ are performed by the environment. Since $I(\Delta_3)$, by HI and Lemma 6.3, $I(\Delta')$. \square

THEOREM 3.5. *RG-Select is sound*

PROOF. Given the premise of RG-Select, t, Δ such that $t.c = \text{LET } x = \text{SELECT } \lambda y.e \text{ IN } c$, $(t, \Delta) \rightarrow_R^m (t_2, \Delta') \rightarrow (t', \Delta')$, $P(t.\delta, \Delta)$ and $t'.c = \text{SKIP}$, we have to show that $Q(t'.\delta, \Delta')$. The reduction sequence can be broken down into following parts:

- $\pi_1 = (t, \Delta) \rightarrow_R^{n_1} (t_1, \Delta_1) \rightarrow (t_1, \Delta_1)$ where initially only the environment takes steps, and ends with the application of the E-Select rule.
- $\pi_2 = (t_1, \Delta_1) \rightarrow_R^{n_2} (t_2, \Delta') \rightarrow (t', \Delta')$ which corresponds to the execution of c

In π_1 , $R^{n_1}(\Delta, \Delta_1)$. By $P(t.\delta, \Delta)$ and $\text{stable}(R, P)$, we get $P(t.\delta, \Delta_1)$. By applying the E-Select rule, $t_1.\delta = t.\delta$, $t_1.c = [s/x]c$, where $s = \{r \in \Delta_1 \mid \text{eval}([r/y]e) = \text{true}\}$. By definition of P' , $P'(t_1.\delta, \Delta_1)$. The following property holds trivially:

$$R \vdash \{P \wedge x = s\} [c]_i \{Q\} \Leftrightarrow R \vdash \{P\} [[s/x]c]_i \{Q\}$$

Since $R \vdash \{P'\} [c]_i \{Q\}$, by the above property, $R \vdash \{P\} [[s/x]c]_i \{Q\}$. Since $P(t_1.\delta, \Delta_1)$, by definition of $R \vdash \{P\} [[s/x]c]_i \{Q\}$, we get $Q(t'.\delta, \Delta')$. \square

THEOREM 3.6. *RG-Update is sound*

PROOF. Given the premise of RG-Update, t, Δ such that $t.c = \text{UPDATE } \lambda x.e_1 \lambda x.e_2$, $(t, \Delta) \rightarrow_R^m (t_2, \Delta') \rightarrow (t', \Delta')$, $P(t.\delta, \Delta)$ and $t'.c = \text{SKIP}$, we have to show that $Q(t'.\delta, \Delta')$.

Since only a single step needs to be taken by the transaction (by applying the E-Update rule), $t_2.c = t.c$, $t_2.\delta = t.\delta$ and $R^m(\Delta, \Delta')$. By $\text{stable}(R, P)$, $P(t_2.\delta, \Delta')$. According to E-Update, $t'.\delta = t_2.\delta \cup \{r' \mid \exists (r \in \Delta'). \text{eval}([r/x]e_2) = \text{true} \wedge r' = [r/x]e_1\}$. From the premise of RG-Update, we know that

$$\forall \delta, \delta', \Delta. P(\delta, \Delta) \wedge \delta' = \delta \cup \{r' \mid \exists (r \in \Delta). [r/x]e_2 = \text{true} \wedge r' = [r/x]e_1\} \Rightarrow Q(\delta', \Delta)$$

Instantiating the above statement with $\delta = t_2.\delta$ and $\Delta = \Delta'$, we get $Q(\delta', \Delta')$. However, $\delta' = t'.\delta$. Hence, $Q(t'.\delta, \Delta')$. \square

THEOREM 3.7. *RG-Insert is sound*

PROOF. Given the premise of RG-Insert, t, Δ such that $t.c = \text{INSERT } x, (t, \Delta) \rightarrow_R^m (t_2, \Delta') \rightarrow (t', \Delta'), P(t.\delta, \Delta)$ and $t'.c = \text{SKIP}$, we have to show that $Q(t'.\delta, \Delta')$.

Since only a single step needs to be taken by the transaction (by applying the E-Insert rule), $t_2.c = t.c, t_2.\delta = t.\delta$ and $R^m(\Delta, \Delta')$. By $\text{stable}(R, P), P(t_2.\delta, \Delta')$. According to E-Insert, $t'.\delta = t_2.\delta \cup \{\bar{f} = \bar{k}; \text{id} = i; \text{del} = \text{false}\}$ and $i \notin \text{dom}(t_2.\delta \cup \Delta')$. From the premise of RG-Insert, we know that

$$\forall \delta, \delta', \Delta, i. P(\delta, \Delta) \wedge i \notin \text{dom}(\delta \cup \Delta) \wedge \delta' = \delta \cup \{\bar{f} = x.\bar{f}; \text{id} = i; \text{del} = \text{false}\} \Rightarrow Q(\delta', \Delta)$$

Instantiating the above statement with $\delta = t_2.\delta$ and $\Delta = \Delta'$, we get $Q(\delta', \Delta')$. However, $\delta' = t'.\delta$. Hence, $Q(t'.\delta, \Delta')$. \square

THEOREM 3.8. *RG-Delete is sound*

PROOF. Given the premise of RG-Delete t, Δ such that $t.c = \text{DELETE } \lambda x.e, (t, \Delta) \rightarrow_R^m (t_2, \Delta') \rightarrow (t', \sigma'), P(t.\delta, \Delta)$ and $t'.c = \text{SKIP}$, we have to show that $Q(t'.\delta, \Delta')$.

Since only a single step needs to be taken by the transaction (by applying the E-Delete rule), $t_2.c = t.c, t_2.\delta = t.\delta$ and $R^m(\Delta, \Delta')$. By $\text{stable}(R, P), P(t_2.\delta, \Delta')$. According to E-Delete, $t'.\delta = t_2.\delta \cup \{r' \mid \exists (r \in \Delta'). \text{eval}([r/x]e) = \text{true} \wedge r' = \{\bar{f} = r.\bar{f}; \text{id} = r.\text{id}; \text{del} = \text{true}\}\}$. From the premise of RG-Delete, we know that

$$\forall \delta, \delta', \Delta. P(\delta, \Delta) \wedge \delta' = \delta \cup \{r' \mid \exists (r \in \Delta). [r/x]e = \text{true} \wedge r' = \{\bar{f} = r.\bar{f}; \text{id} = r.\text{id}; \text{del} = \text{true}\}\} \Rightarrow Q(\delta', \Delta)$$

Instantiating the above statement with $\delta = t_2.\delta$ and $\Delta = \Delta'$, we get $Q(\delta', \Delta')$. However, $\delta' = t'.\delta$. Hence, $Q(t'.\delta, \Delta')$. \square

THEOREM 3.9. *RG-Foreach is sound*

PROOF.

$\text{stable}(R, Q)$	HQ
$\text{stable}(R, \psi)$	HI
$\text{stable}(R, P)$	HP
$P \Rightarrow [\phi/y]\psi$	$H1$
$R \vdash \{\psi \wedge z \in x\} [c]_i \{Q_c\}$	Hc
$Q_c \Rightarrow [y \cup \{z\}/y]\psi$	$H2$

Given t, Δ such that $t.c = \text{FOREACH } x \text{ DO } \lambda y.\lambda z.c, (t, \Delta) \rightarrow_R^n (t_2, \Delta') \rightarrow (t', \Delta'), P(t.\delta, \Delta)$ and $t'.c = \text{SKIP}$, we have to show that $Q(t'.\delta, \Delta')$.

The operational semantics of foreach (E-Foreach1, E-Foreach2, E-Foreach3) essentially execute the command c for a number of iterations, where in each iteration, z is bound to a record $r \in x$, while y is bound to a set containing records bound to z in previous iterations. z is bound to a different record in each iteration, and the loop stops when all records in x are iterated over.

Assuming that $|x| = s$, the reduction sequence for foreach will have the following structure :

$$(t, \Delta) \rightarrow_R^m (t_1, \Delta_1) \rightarrow_R^{n_1} (t'_2, \Delta'_2) \rightarrow_R^{n'_1} (t_2, \Delta_2) \rightarrow_R^{n_2} (t'_3, \Delta'_3) \rightarrow_R^{n'_2} (t_3, \Delta_3) \dots (t_s, \Delta_s) \rightarrow_R^{n_s} (t'_{s+1}, \Delta'_{s+1}) \rightarrow_R^l (t', \Delta')$$

The reduction sequence $\pi_i = (t_i, \Delta_i) \rightarrow_R^{n_i} (t'_{i+1}, \Delta'_{i+1})$ corresponds to the execution of the command c in the i th iteration, such that the first and last steps in π_i are not environment steps. The sequence $\pi_0 = (t, \Delta) \rightarrow_R^m (t_1, \Delta_1)$ corresponds to the steps E-Foreach1 and E-Foreach2 along with environment steps. Similarly, the sequence

$\pi'_i = (t'_{i+1}, \Delta'_{i+1}) \rightarrow_R^{n'_i} (t_{i+1}, \Delta_2)$ corresponds to the execution of the E-Foreach2 step required to prepare the $(i + 1)$ th iteration along with environment steps.

Let $x = \{r_1, \dots, r_s\}$, and assume that the records are picked in the increasing order. Then at the start of the i th iteration, z is bound to r_i , while y is bound to $\{r_1, \dots, r_{i-1}\}$. We will show that $[\{r_1, \dots, r_i\}/y]\psi$ holds at the end of iteration i , for all $1 \leq i \leq s$. More precisely, we will show $[\{r_1, \dots, r_i\}/y]\psi(t'_{i+1}, \delta, \Delta'_{i+1})$. We will use induction on i .

Base Case: The steps E-Foreach1 and E-Foreach2 do not change δ . Also, $P(t, \delta, \Delta)$ and $\text{stable}(R, P)$. Hence, at the end of the sequence π_0 , $P(t_1, \delta, \Delta_1)$. By H1, this implies $[\phi/y]\psi(t_1, \delta, \Delta_1)$. The sequence $\pi_1 = (t_1, \Delta_1) \rightarrow_R^{n'_1} (t'_2, \Delta'_2)$ corresponds the execution of c in the first iteration with z bound to r_1 and y bound to ϕ . Clearly, $\psi(t_1, \delta, \Delta_1) \wedge z \in x$ holds. Hence, by Hc, $Q_c(t'_2, \delta, \Delta'_2)$. By H2, this implies $[\{r_1\}/y]\psi(t'_2, \delta, \Delta'_2)$.

Inductive Case: Assume that $[\{r_1, \dots, r_{k-1}\}/y]\psi(t'_k, \delta, \Delta'_k)$. The next sequence of reductions $(t'_k, \Delta'_k) \rightarrow_R^{n'_k} (t_k, \Delta_k)$ only corresponds to the execution of the E-Foreach2 step for the k th iteration and environment steps. E-Foreach2 does not change δ , and since $\text{stable}(R, \psi)$, we get $[\{r_1, \dots, r_k\}/y]\psi(t_k, \delta, \Delta_k)$. At the start of the next iteration, z is bound to r_k , and y is bound to $\{r_1, \dots, r_{k-1}\}$. Hence, $\psi(t_k, \delta, \Delta_k) \wedge z \in x$. By Hc, this implies $Q_c(t'_{k+1}, \delta, \Delta'_{k+1})$. By H2, this implies $[y \cup z/y]\psi(t'_{k+1}, \delta, \Delta'_{k+1}) = [\{r_1, \dots, r_k\}/y]\psi(t'_{k+1}, \delta, \Delta'_{k+1})$. This proves the inductive step.

Hence, at the end of the s th iteration, $[x/y]\psi(t'_{s+1}, \delta, \Delta'_{s+1})$. This implies $Q(t'_{s+1}, \delta, \Delta'_{s+1})$. Finally, the last part of the reduction, $(t'_{s+1}, \Delta'_{s+1}) \rightarrow_R^l (t', \Delta')$ corresponds environment steps and E-Foreach3 (as the last step). Since $\text{stable}(R, Q)$ and E-Foreach3 does not change δ , we have $Q(t', \delta, \Delta)$. \square

THEOREM 3.10. *RG-Seq is sound*

PROOF.

$$\begin{array}{ll} \{P\} [c1]_i \{Q'\} & H1 \\ \{Q'\} [c2]_i \{Q\} & H2 \\ \text{stable}(R, Q') & H3 \end{array}$$

Given t, Δ such that $t.c = c1; c2$, $(t, \Delta) \rightarrow_R^m (t_2, \Delta') \rightarrow (t', \Delta')$, $P(t, \delta, \Delta)$ and $t'.c = \text{SKIP}$, we have to show that $Q(t', \delta, \Delta')$. We can divide the reduction sequence into three parts :

- $(t, \Delta) \rightarrow_R^{m_1} (t'_m, \Delta_1) \rightarrow (t_m, \Delta_1)$, where $t_m.c = c2$. We denote this sequence as π_1 .
- $(t_m, \Delta_1) \rightarrow_R^{m_2} (t_m, \Delta'_1)$ where all steps are taken by the environment. This sequence is denoted as π_2 .
- $(t_m, \Delta'_1) \rightarrow_R^{m_3} (t_2, \Delta') \rightarrow (t', \Delta')$. This run is denoted as π_3 .

By the premise of the E-Seq1 and E-Seq2 rules, all the reductions in the sequence π_1 are also applicable to $c1$. Hence, consider transaction s such that $s.c = c1$, $s.\delta = t.\delta$. Then, there exists the sequence $(s, \Delta) \rightarrow_R^{m_1} (s_2, \Delta_1) \rightarrow (s', \Delta_1)$ with $s'.c = \text{SKIP}$, $s'.\delta = t_m.\delta$. Since $P(s, \delta, \Delta)$, by H1, $Q'(s', \delta, \Delta_1)$. This implies $Q'(t_m.\delta, \Delta_1)$.

In the sequence π_2 , all steps are taken by the environment. By H3, $Q'(t_m.\delta, \Delta'_1)$.

Since $t_m.c = c2$, by H3, $Q(t', \delta, \Delta')$. \square

THEOREM 3.11. *RG-If is sound*

PROOF.

$$\begin{aligned} \{P \wedge e\} [c1]_i \{Q\} & \quad H1 \\ \{P \wedge \neg e\} [c2]_i \{Q\} & \quad H2 \\ \text{stable}(R, P) & \quad H3 \end{aligned}$$

Given t, Δ such that $t.c = \text{IF } e \text{ THEN } c_1 \text{ ELSE } c_2$, $(t, \Delta) \rightarrow_R^m (t_2, \Delta') \rightarrow (t', \Delta')$, $P(t, \delta, \Delta)$ and $t'.c = \text{SKIP}$, we have to show that $Q(t', \delta, \Delta')$. Assume that $\text{eval}(e) = \text{true}$. We divide the sequence of steps into two parts:

- $\pi_1 = (t, \Delta) \rightarrow_R^{n_1} (t_1, \Delta_1) \rightarrow (t_1, \Delta_1)$ where initially only the environment takes steps, and the last step is taken by the transaction using E-IfTrue.
- $\pi_2 = (t_1, \Delta_1) \rightarrow_R^{n_2} (t_2, \Delta') \rightarrow (t', \Delta')$

Since $P(t, \delta, \Delta)$ and $R^{n_1}(\Delta, \Delta_1)$, by H3, we have $P(t, \delta, \Delta_1)$. By applying the rule E-IfTrue, we have $t_1.\delta = t.\delta$, $t_1.c = c1$. Hence, $P(t_1, \delta, \Delta_1)$. By the definition of H1, $Q(t', \delta, \Delta')$. A similar proof follows for the case $\text{eval}(e) = \text{false}$

□

LEMMA 3.12. *If $\text{stable}(R, Q)$ and $R' \subseteq R$, then $\text{stable}(R', Q)$*

PROOF. Given δ, Δ, Δ' such that $Q(\delta, \Delta)$ and $R'(\Delta, \Delta')$, we have to show that $Q(\delta, \Delta')$. Since $R' \subseteq R$, $R(\Delta, \Delta')$. Hence, by $\text{stable}(R, Q)$, $Q(\delta, \Delta')$. □

LEMMA 3.13. *If $\{I, R\} \text{TXN}_i(\mathbb{I})\{c\} \{G \cup ID, I\}$ and $R' \subseteq R$, then $\{I, R'\} \text{TXN}_i(\mathbb{I})\{c\} \{G \cup ID, I\}$*

PROOF. Let $t = \text{TXN}_i(\mathbb{I})\{c\}$. Then, given Δ such that $I(\Delta)$ and $(t, \Delta) \rightarrow_{R'}^n (\text{SKIP}, \Delta')$, we have to show (1) $I(\Delta')$ and (2) $\text{step-guaranteed}(R', G \cup ID, t, \Delta)$. Since $R' \subseteq R$, every environment step in the above reduction sequence is in R . Thus, $(t, \Delta) \rightarrow_R^n (\text{SKIP}, \Delta')$, which by definition of $\{I, R\} \text{TXN}_i(\mathbb{I})\{c\} \{G \cup ID, I\}$ implies $I(\Delta')$. The same argument holds for $\text{step-guaranteed}(R', G \cup ID, t, \Delta)$. □

THEOREM 3.14. *RG-Par is sound*

PROOF.

$$\begin{aligned} \{I, R \cup G_2 \cup ID\} t_1 \{G_1 \cup ID, I\} & \quad H1 \\ \{I, R \cup G_1 \cup ID\} t_2 \{G_2 \cup ID, I\} & \quad H2 \end{aligned}$$

Consider Δ such that $I(\Delta)$, and let $(t_1 || t_2, \Delta) \rightarrow_R^n (\text{SKIP}, \Delta')$. We have to show (1) $I(\Delta')$ and (2) $\text{step-guaranteed}(R, G_1 \cup G_2 \cup ID, t_1 || t_2, \Delta)$.

Suppose that t_1 commits before t_2 in the execution sequence. Consider the sequence upto (and including) the commit step of t_1 , i.e. $(t_1 || t_2, \Delta) \rightarrow_R^{n_1} (t'_1 || t'_2, \Delta_1) \rightarrow (t'_2, \Delta'_1)$. In this sequence, all steps apart from the steps taken by t_1 belong to $R \cup ID$, since any step taken by t_2 cannot change the global database state. Hence, there exists the sequence $(t_1, \Delta) \rightarrow_{R \cup ID}^{n_1} (t'_1, \Delta_1) \rightarrow (\text{SKIP}, \Delta'_1)$. Since $R \cup ID \subseteq R \cup G_2 \cup ID$, by H1 and Lemma, $I(\Delta'_1)$ and $G_1(\Delta_1, \Delta'_1)$. Now, consider the entire run from the perspective of t_2 . All steps taken by t_1 except the commit step do not change the global database state, and the change during the commit step belongs to G_1 . Hence, all steps in the run apart from the steps taken by t_2 belong to $R \cup G_1 \cup ID$. Hence, there exists a run $(t_2, \Delta) \rightarrow_{R \cup G_1 \cup ID}^n (\text{SKIP}, \Delta')$. By H2 $I(\Delta')$.

Finally, the commit step of t_1 belongs to G_1 , while the commit step of t_2 belongs to G_2 , and every other step of either transaction does not change the global database state. Hence, $\text{step-guaranteed}(R, G_1 \cup G_2 \cup ID, t_1 || t_2, \Delta)$. The proof for the case where t_2 commits before t_1 would be similar. □

THEOREM 3.15. *RG-Conseq is sound*

PROOF.

$$\begin{array}{ll} R \vdash \{P\} [t]_i \{Q\} & H1 \\ P' \Rightarrow P & H2 \\ Q \Rightarrow Q' & H3 \end{array}$$

Given t, Δ such that $(t, \Delta) \rightarrow_R^m (t_2, \Delta')$ and $P'(t.\delta, \Delta)$ and $t'.c = \text{SKIP}$, we have to show that $Q'(t'.\delta, \Delta')$. By H2, $P(t.\delta, \Delta)$. Then, expanding the definition in H1, we get $Q(t'.\delta, \Delta')$. By H3, $Q'(t'.\delta, \Delta')$. \square

THEOREM 3.16. *RG-Conseq2 is sound*

PROOF.

$$\begin{array}{ll} \{I, R\} \text{TXN}_i(\mathbb{I})\{c\} \{G, I\} & H1 \\ \mathbb{I}' \Rightarrow \mathbb{I} & H2 \\ R' \subseteq R & H2 \\ \text{stable}(R', \mathbb{I}') & H3 \\ G \subseteq G' & H4 \\ \forall \Delta, \Delta'. I(\Delta) \wedge G'(\Delta, \Delta') \Rightarrow I(\Delta') & H5 \end{array}$$

Let $t = \text{TXN}_i(\mathbb{I}')\{c\}$. Given Δ such that $I(\Delta)$ and reduction sequence $\pi = (t, \Delta) \rightarrow_R^n (\text{SKIP}, \Delta')$, we have to show that $I(\Delta')$ and $\text{step-guaranteed}(R', G', t, \Delta)$. First, we will show that the above reduction sequence is valid even if the isolation level of t is changed to \mathbb{I} . Assume that the transaction performs m steps in π . We will use induction on m to show that every step of the transaction is valid for isolation level \mathbb{I} .

For the base case, the first step is always valid irrespective of any isolation level. For the inductive case, assume that all steps upto the k th step of the transaction in t are valid with isolation level \mathbb{I} . Let the $(k + 1)$ th step of the transaction be $(t_1, \Delta_1) \rightarrow (t_2, \Delta_1)$. Then $\mathbb{I}'(t_1.\delta, t_1.\Delta, \Delta_1)$. By H2, $\mathbb{I}(t_1.\delta, t_1.\Delta, \Delta_1)$. Hence, the $k + 1$ th step is also valid for isolation level \mathbb{I} . This shows that the entire reduction sequence is valid even if the isolation level of t is changed to \mathbb{I} . Let $t' = \text{TXN}_i(\mathbb{I}')\{c\}$. Since $R' \subseteq R$, it follows that the reduction sequence $\pi' = (t', \Delta) \rightarrow_R^n (\text{SKIP}, \Delta')$ comprising of the same steps as π is valid. By H1, $I(\Delta')$. Finally, by $\text{step-guaranteed}(R, G, t', \Delta)$, all global database state changes caused by t' in π' are in G . But these are the same global database stage changes in π . Since $G \subseteq G'$, these state changes are also in G' . \square