# Kepler's mathematical stars

Gabriel Pallier

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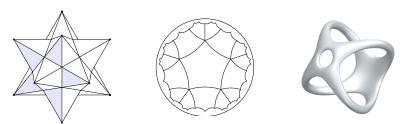


Figure: Main characters: Kepler's small stellated dodecahedron, tessellation of the hyperbolic plane with pentagons (5 at each vertex) and genus 4 topological surface.

#### Outline

Background on convex and star polyhedra

Star polytopes and platonic Riemann surfaces

Schläfli-Hess polytope:

There exists infinitely many convex regular polygons: those are in one-to-one correspondence with elements of  $\mathbf{Z}_{\geqslant 3}$ .

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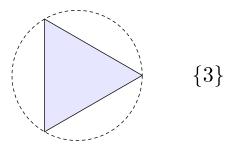


Figure: The convex regular 3-gon.

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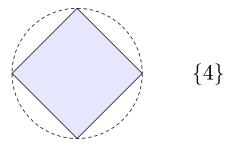


Figure: The convex regular 4-gon.

Denote by  $\{p\}$  the convex regular p-gon. The integer p is the number of 0-cells (vertices) and of 1-cells (edges).

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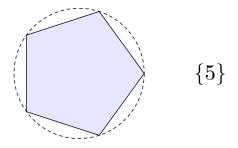


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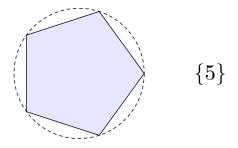


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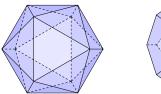
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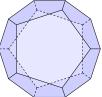


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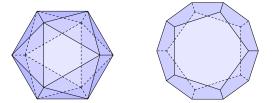


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$$Area(\triangle) = \frac{\pi}{2} + \frac{\pi}{p} + \frac{\pi}{q} - \pi.$$

As  $Area(\triangle)$  must be nonnegative, a necessary (and in fact sufficient) condition for  $\{p,q\}$  to define a convex polyhedron is

$$\frac{1}{p} + \frac{1}{q} > \frac{1}{2}$$
 (spherical group eq.)

#### Cell numbers

Using the expression for  $Area(\triangle)$  and Euler's formula, one can recover the full combinatorial data of  $\{p,q\}$ : denoting by  $\{p,q\}^{(k)}$  the set of k-cells

$$\left| \{p,q\}^{(2)} \right| = \frac{4\pi}{2p \cdot \text{Area}(\triangle)} = \frac{4q}{2p + 2q - pq},$$
 (Conv 2)

$$\left|\{p,q\}^{(0)}\right| = \left|\{q,p\}^{(2)}\right| = \frac{4p}{2p+2q-pq}, \tag{Conv 0}$$

$$\left|\{p,q\}^{(1)}\right| = \left|\{p,q\}^{(2)}\right| + \left|\{p,q\}^{(0)}\right| - 2 = \frac{2p + 2q + pq}{2p + 2q - pq}, \quad \text{ (Conv 1)}$$

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where the last equality accounts for  $\chi(S^2)=2$ , after possibly triangulating the 2-cells.

A presentation for  $W_{p,q}$  is

$$W_{p,q} = \langle r, s, t \mid r^2, s^2, t^2, (rs)^p, (st)^q \rangle$$
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#### Remark (1)

By Poincaré's observation on fundamental polygons, any discrete group generated by reflections on one of the model spaces  $\mathbb{S}^2, \mathbb{E}^2, \mathbb{H}^2$  has presentation (Cox).

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#### Remark (2)

An important consequence of the representation in  $\mathrm{O}(V)$  is that groups with (Cox) presentation are **virtually torsion-free** (i.e. contain finite index torsion-free subgroups). In general this is a consequence of Selberg's lemma.



Figure: Spherical Coxeter complexes.

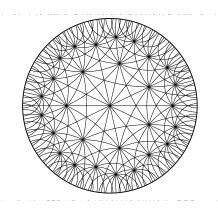


Figure: Part of Coxeter complex for the group  $W_{3,7}$ 

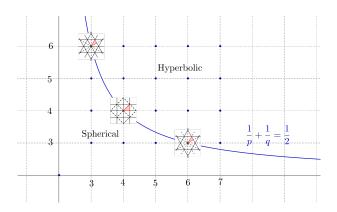


Figure: Affine Euclidean tesselation, with Coxeter chamber in red.

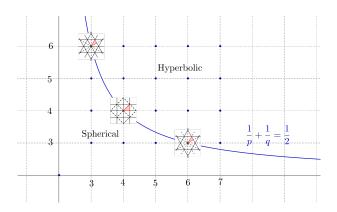


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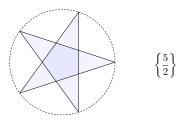


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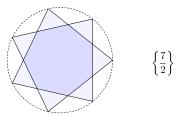


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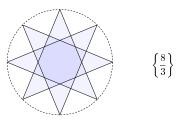


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# Regular polygons and coverings of $S^1$

To each  $\{p/d\}$ , one can associate a topological covering of  $S^1$ , e.g. by projecting radially  $\partial \{p/d\}$  onto  $\partial \{p\} \simeq S^1$ .

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Except for degree 1, the covering does not extend to a topological covering of the 2-cell  $D\simeq B^2$  of  $\{p\}$ . Nevertheless, if D is promoted to the unit complex disk  $\mathbb D$ , then as  $z\mapsto z^d$ , it extends to a branched covering.

### Finite regular polyhedra

#### Definition

An immersion ("geometric realization") of a finite abstract polyhedron is regular if

- 1. Every 2-cell has a fixed  $\{p_1/d_1\}$  as geometric realization,
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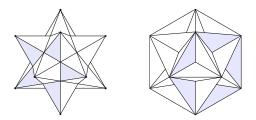


Figure: Edge-first views of the small stellated dodecahedron  $\{5/2,5\}$  and great dodecahedron  $\{5,5/2\}$ , the first two stellations of a regular dodecahedron.

Apart from the 5 convex ones, there exists 4 nonconvex regular polyhedra. Kepler recognized two of them, plus the nonconnected stella octangula, in Harmonices Mundi (1619). At least  $\{5/2,5\}$  was actually known before Kepler. Poinsot isolated the four and called them regular ; the list was proved complete (in a sense) by Poinsot and Cauchy.

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Figure: Marble floor of Basilica St Mark, Venice, circa 1430.

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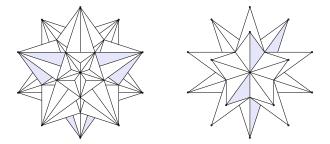


Figure: Vertex-first view of a great icosahedron  $\{3,5/2\}$  (on the left) and great stellated dodecahedron  $\{5/2,3\}$  (on the right).

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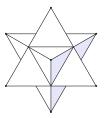


Figure: Vertex-first (and face-first) view of Kepler's stella octangula, stellation of the octahedron: a compound (i.e. disconnected union) of two tetrahedra.

#### Degree

As in the convex case, one can associate to any star polyhedron P a tiling of the sphere by right-angled triangles, this time with overlap. The number of overlapping triangles at a non-vertex point is called the degree of P. For instance, the small stellated dodecahedron  $\{5/2,5\}$  has degree 3.

# Combinatorics of star polyhedra

Р	symbol	vertices	edges	faces	$\chi(P)$	$\deg(P)$
	$\{5/2, 5\}$	12	30	12	-6	3
	$\{5, 5/2\}$	12	30	12	-6	3
*	$\{5/2, 3\}$	20	30	12	2	7
	{3,5/2}	12	30	30	2	7
		8	12	8	4	2

Table: Combinatorics and degrees of star polyhedra (and a nonconnected intruder). Observe that for the stella octangula,  $\chi(P)=\deg(P)\chi(S^2)=4$ . We shall give a subtler relation between  $\chi$  and  $\deg$  in the connected case.

## Overview: Conway's hexagon

Several poyhedra in the preceding table have identical combinatorics. In fact one can check that pairs of opposite polyhedra in the following hexagon are isomorphic as abstract polyhedra.

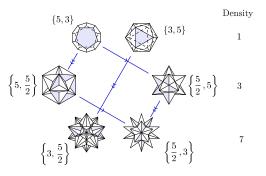


Figure: Hexagonal arrangement of the polyhedra with group  $H_3$ . Single arrows depicts stellation (extend 1-cells and fill), double arrow greatening (extend 2-cells).

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Star polytopes and platonic Riemann surfaces

Schläfli-Hess polytope:

### Hyperbolic Riemann surface

Implicitly, all the surfaces are assumed connected.

#### Theorem and Definition

A hyperbolic compact Riemann surface is, equivalently:

- 1. A 1-dimensional complex manifold, compact, such that the underlying topological surface has genus  $\geqslant 2$ .
- 2. A conformal class of Riemannian metrics on a compact smooth surface of genus  $\geqslant 2$ . This class has a preferred metric of Gauss curvature -1.
- 3. A compact quotient by a discrete, freely operating group  $\Gamma$  of automorphisms of  $\mathbb D$  / isometries of  $\mathbb H^2$ , up to conjugacy.

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Equivalence between the two formulations of item 3 can be seen as a consequence of the Schwarz-Pick lemma, while existence in 2 requires uniformization theorem.

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Any compact Riemann surface is either hyperbolic, or

- ▶ The Riemann sphere  $\mathbb{C}\mathrm{P}^1$ .
- $ightharpoonup \mathbb{C}/\Lambda$  for  $\Lambda < \mathbb{C}$  a lattice (equivalently, a flat metric on  $T^2$ ).

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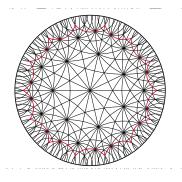


Figure: Fundamental domain for  $\Gamma'$  in  $\mathbb{H}^2$ .

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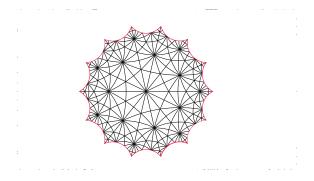


Figure: Fundamental domain for  $\Gamma'$ , only.

The Riemann surface  $X=\mathbb{H}^2/\Gamma'$  is the Klein quartic. It can be obtained by guing sides 2n and 2n+5 of the 14-gon depicted in appropriate directions (that can be found by drawing the heptagons).

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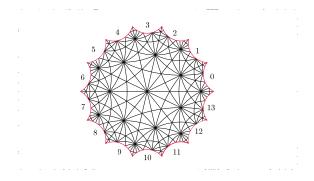


Figure: Label the edges of the 14-gon

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# The Klein quartic

#### Proposition

Identifying  $\mathrm{Isom}(\mathbb{H}^2)$  with  $\mathrm{PSl}(2,\mathbf{R})$ , the group  $\Gamma'$  is conjugated to the congruence subgroup  $\ker\mathrm{PSl}(2,\mathbf{Z})\to\mathrm{PSl}(2,\mathbb{F}_7)$ .

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Identifying  $\mathrm{Isom}(\mathbb{H}^2)$  with  $\mathrm{PSl}(2,\mathbf{R})$ , the group  $\Gamma'$  is conjugated to the congruence subgroup  $\ker\mathrm{PSl}(2,\mathbf{Z})\to\mathrm{PSl}(2,\mathbb{F}_7)$ .

The automorphism group of X is the simple group of order 168. X is tesselated by (2,3,7) triangles whose sides are the lines of reflections of anti-automorphisms of X.

The Klein quartic is a platonic Riemann surface: it has a tesselation by polygons (heptagons or triangles, according to the vertex chosen in the Coxeter chambers) with automorphism group acting vertex- and face-transitively.

### Holomorphic maps

Thanks to analyticity properties of holomorphic maps, morphisms in the category of compact Riemann surfaces behave nicely:

#### Proposition

Let f:X o Y be a holomorphic map between compact connected Riemann surfaces. Then there exists finite sets  $R\subset X$ ,  $f(R)=S\subset Y$ , such that  $f:X\setminus R\to Y\setminus R$  is a n-sheeted topological covering for some n, and for all  $Q\in Y$ ,

$$\sum [f(P) = Q]e_f(P) = n.$$

R is the ramification set and S the singular set;  $e_f(P)=k$  if f is conjugated to  $z\mapsto z^k$  in holomorphic charts centered at P and f(P).

#### The Riemann-Hurwitz formula

#### Theorem (Riemann-Hurwitz)

Let  $f:X\to Y$  be a holomorphic map of degree n between compact Riemann surfaces X and Y. Then,

$$2g_X - 2 = n(2g_Y - 2) + \sum_{P \in X} (e_f(P) - 1).$$
 (R-H)

#### On a proof.

Assume that Y admits a triangulation  $\mathcal{T}_Y$ ; refining if necessary, the singular set S is contained in  $\mathcal{T}_Y^{(0)}$ . Lift  $\mathcal{T}_Y$  to X. As f is a topological covering outside S,  $|\mathcal{T}_X^{(1)}| = n|\mathcal{T}_Y^{(1)}|$  and  $|\mathcal{T}_X^{(2)}| = n|\mathcal{T}_Y^{(2)}|$ , while for any  $Q \in S$ , the number of points over Q is

$$|f^{-1}(Q)| = n - \sum [f(P) = Q](e_f(P) - 1).$$

### On the proof of Riemann-Hurwitz formula

That  ${\cal Y}$  can actually be triangulated is in full generality a nonobvious topological statement.

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That Y can actually be triangulated is in full generality a nonobvious topological statement. However, for Riemann surfaces Y admitting platonic tesselations (such as  $\mathbb{C}\mathrm{P}^1$  with any of the Coxeter complexes seen before), this is direct, and reduces the proof of formula (R-H) to its combinatorial part.

# Construction of the nonsingular metric

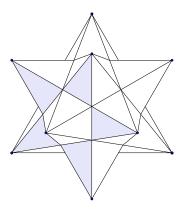


Figure: Kepler's small stellated dodecadedron (edge first)

# Construction of the nonsingular metric

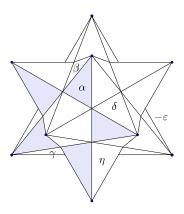


Figure: Label the faces with letters  $\alpha,\ldots,\eta,-\alpha,\ldots,-\eta$ 

# Constructing a tesselation of $\mathbb{H}^2$

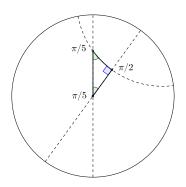


Figure: A triangle  $\triangle$  with angles  $\pi/2$ , pi/5,  $\pi/5$  in  $\mathbb{H}^2$ 

# Constructing a tesselation of $\mathbb{H}^2$

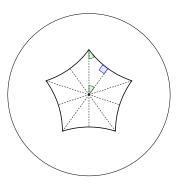


Figure: Reflect along the sides to get a hyperbolic regular pentagon into which  $\{5/2\}$  can be mapped.

# Unfolding $\{5/2,5\}$ on the hyperbolic plane

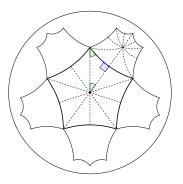


Figure: Reflect again with respect to the sides to get a tiling of  $\mathbb{H}^2$  by pentagons, 5 meeting at each vertex

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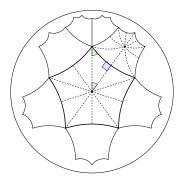


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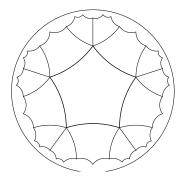


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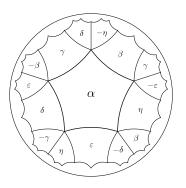


Figure: Install the hyperbolic metric on the surface.

#### The Riemann surface structure

The preceding construction yields a hyperbolic metric, hence a Riemann surface structure, on the small stellated dodecahedron. Denote this surface by  $\Sigma$ .

By construction, the mapping  $\Sigma \to \mathbb{C}P^1$  is holomorphic with 12 ramification points of order 2, hence the -6 Euler characteristic and that  $\Sigma$  has genus 4 is a consequence of Riemann-Hurwitz formula (or only of its combinatorial part).

## Singular flat metric

When realized in  ${\bf R}^3, \Sigma$  possesses a singular flat metric with 24 singularities.

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When realized in  ${f R}^3$ ,  $\Sigma$  possesses a singular flat metric with 24 singularities. There are 12 singularities with cone angle  $\pi$  (the vertices of  $\{5/2,5\}$ ), and 12 singularities with cone angle  $4\pi$ . This singular metric belongs to the generalized conformal class of  $\Sigma$ .

The generalized Gauss Bonnet formula reads

$$2\pi\chi(\Sigma) = 12\pi + (-2) \cdot 12\pi.$$

#### Singular spherical metric

 $\Sigma$  also acquires a  $\mathit{spherical}$  singular metric when seen as a tiling of  $\mathbb{S}^2$  with overlap.

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This can be more generaly used in order to express the degree of  $\{p,q\}$ : if  $\operatorname{Area}^1\Sigma_{p,q}$  denotes the area of the Riemann surface of  $\{p,q\}$  when given the singular spherical metric,

$$\deg\{p,q\} = \frac{\operatorname{Area}^{1} \Sigma_{p,q}}{4\pi} = \left(\frac{1}{p} + \frac{1}{q} - \frac{1}{2}\right) \left| \{p,q\}^{(1)} \right|$$

# Algebraic curve

#### Proposition (Klein)

 $\boldsymbol{\Sigma}$  is biholomorphic to the plane affine curve defined by

$$w^5(z-1) = (z+1)z^2$$

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The associated holomorphic covering group of  $\Sigma \to \mathbb{C} P^1$  is (anti-naturally) isomorphic to the Galois group  $\operatorname{Gal}(\mathbb{C}(z,w)/\mathbb{C}(z)), w^5 = z^2 \frac{z+1}{z-1}$ . This is a more general fact for compact Riemann surfaces, which can all be obtained as algebraic curves.

#### Outline

Background on convex and star polyhedra

Star polytopes and platonic Riemann surfaces

Schläfli-Hess polytopes

## Regular convex 4-polytopes

Regular 4-polytopes were first investigated by Schläfli.

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- Every 3-cell is realized as a geometric regular polyhedron  $\{p, q\}$ .
- lacktriangle Vertex figures are realized as a geometric regular polyhedron  $\{q,r\}$ .

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#### Theorem (Schläfli)

There exists 6 convex regular real 4-polytopes, namely:

- ▶ The simplex  $\alpha_4 = \{3, 3, 3\}$ .
- ▶ The 4-hypercube  $\{4,3,3\}$  and its dual cross-polytope  $\beta_4 = \{3,3,4\}$ , whose vertices form short roots in  $C_4$  and  $B_4$ .
- ▶ The 24-cell  $\{3,4,3\}$  with exceptional Coxeter group  $F_4$  as symmetry group.
- ▶ The 120-cell  $\{5,3,3\}$  and its dual polytope  $\{3,3,5\}$  with  $H_4$  as symmetry group.

## Exceptional polytopes as finite quaternion groups

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- Lifting the group of orientation preserving transformation of  $\{3,3\}$  yields  $\{3,4,3\}$ .
- ▶ Lifting  $SO(3) \cap \rho(W_{3,5}) \simeq \mathfrak{A}_5$  to  $G < S^3$  yields the cells of  $\{5,3,3\}$ ;  $\Pi = G \backslash S^3$  is Poincaré dodecahedral space. As  $H_4$  has order 14400, G has index 120 (any doedecahedron is cut into 120 tetrahedra).

$$\begin{split} 1 \to \{\pm 1\} \to G \to \mathfrak{A}_5 \to 1 \\ 1 \to \{\pm 1\} \to \mathrm{SU}(2) \to \mathrm{SO}(3) \to 1. \end{split}$$

# Conway's cuboctahedron

In the same way that polyhedra with  $\rm H_3$  symmetry group can be arranged on a hexagon, the  $\rm 12$  regular polytopes with  $\rm H_4$  symmetry group can be arranged on the vertices of a cuboctahedron.

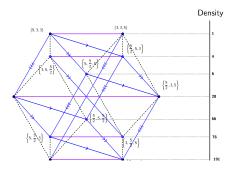


Figure: Regular polytopes with  $H_4$  symmetry group. Arrows depict stellation, greatening and *aggrandizement* (extend 3-cells and fill).

# Further reading...

References for this talk as well as complements can be found in the following.

- ▶ On star polytopes: H.S.M Coxeter, *Regular polytopes* (chapter 6).
- On Riemann surfaces and algebraic curves, their covering/Galois theory:
  W. Fulton, Algebraic Topology: a first course (chapter 20).
- ▶ On the small stellated dodecahedron and its Jacobian: M. Weber, On Kepler's small stellated dodecahedron, Pacific Math. journal 220 (2005), no. 1, 167–182.
- ▶ Epistemology, around Euler's formula: I. Lakatos, *Proofs and refutations* (chapter 6).